

## Procurement Plan for Regional Cap. Bldg.: TB Water Governance (P154856)

### I. General

1. **Bank's approval Date of the procurement Plan** December 15, 2016)
2. **Date of General Procurement Notice:** [December 15, 2016]
3. **Period covered by this procurement plan:** January 2017 onwards

### *Procurement*

(a) **General.** All goods and consultants' services required for the Project and to be financed out of the proceeds of the Grant shall be procured in accordance with the requirements set forth or referred to in (i) Section I of the "Guidelines: Procurement of Goods, Works and Non-consulting Services under IBRD Loans and IDA Credits and Grants by World Bank Borrowers" dated January 2011 (revised July 2014) ("Procurement Guidelines"), in the case of goods; and (ii) Sections I and IV of the "Guidelines: Selection and Employment of Consultants under IBRD Loans and IDA Credits and Grants by World Bank Borrowers" dated January 2011 (revised July 2014) ("Consultant Guidelines") in the case of consultants' services; and (iii) the provisions of this Section, as the same shall be elaborated in the procurement plan prepared and updated from time to time by the Recipient for the Project in accordance with paragraph 1.18 of the Procurement Guidelines and paragraph 1.25 of the Consultant Guidelines ("Procurement Plan").

(b) **Definitions.** The capitalized terms used in the following paragraphs of this Section to describe particular procurement methods or methods of review by the World Bank of particular contracts, refer to the corresponding method described in Sections II and III of the Procurement Guidelines, or Sections II, III, IV and V of the Consultant Guidelines, as the case may be.

(c) **Particular Methods of Procurement of Goods.** The following methods may be used for procurement of goods: (A) Shopping; and (B) Direct Contracting.

(d) **Particular Methods of Procurement of Consultants' Services.** Consultants' services shall be procured under contracts awarded on the basis of Quality- and Cost based Selection. The following methods, other than Quality- and Cost-based Selection, may be used for the procurement of consultants' services for those assignments which are specified in the Procurement Plan:

- (A) Selection of Individual Consultants;  
(B) Single-source procedures for the Selection of Individual Consultants.

(e) **Review by the World Bank of Procurement Decisions.** The Procurement Plan shall set forth those contracts which shall be subject to the World Bank's Prior Review. All other contracts shall be subject to Post Review by the World Bank.

### II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	<b>Procurement Method</b>	<b>Prior Review Threshold US\$</b>
1.	ICB and LIB (Goods)	<i>Above US\$ 3 million</i>
2.	NCB (Goods)	<i>Above US\$ 1 million</i>
3.	ICB (Works)	<i>Above US\$ 15 million</i>
4.	NCB (Works)	<i>Above US\$ 10 million</i>
5.	(Non-Consultant Services)	<i>Above US\$ 1 million</i>

2. **Prequalification** for Bidders *Not applicable*
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines):** NA
4. **Reference to (if any) Project Operational/Procurement Manual:** *N/A*
5. **Any Other Special Procurement Arrangements:**

In addition, the justification for all contracts to be issued on the basis of single-source or direct contracting (except for contracts less than US\$50,000 in value) will be subject to prior review. Justification for all contracts to be issued on the basis of LIB, single-source or direct contracting (except for contracts less than US\$50,000 in value) will be subject to prior review.

### **III. Selection of Consultants**

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	<b>Selection Method</b>	<b>Prior Review Threshold</b>
1.	Competitive Methods (Firms)	Above US\$ 200,000
2.	Single Source (Firms)	Above US\$ 50,000
3.	Individual	Above US\$ 100,000

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ *300,000* equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

#### **Regional Cap. Bldg.: TB Water Governance (P154856) Procurement Plan**

Item No	Description of Contract	Proc. Method	Estimated Cost	Prior / Post Review	Expected Date of contract
	1. Consultants				
1.1	Module development: Module 1 - Concept of IWRM and Governance of River Basins	SSS	5,000.00	Post	15 July 2017

1.2	Module development Module 2 (Author 1) - Hydro-diplomacy as a new approach to river basin cooperation	SSS	5,000.00	Post	15 July 2017
1.3	Module development Module 2 (Author 2) - Hydro-diplomacy as a new approach to river basin cooperation	SSS	5,000.00	Post	15 July 2017
1.4	Module development Module 3 - Transboundary water governance - Principles, instruments and institutions to overcome transboundary challenges and Module 5 - Cooperation in the GBM basins (rationale and case studies)	SSS	10,000.00	Post	15 July 2017
1.5	Module development Module 4 (Author 1) Cooperative arrangements worldwide – case studies and Practical Session 2 (Author 1): GBM case – analysis of selected conflict scenarios and proposal for resolution mechanisms	SSS	5,000.00	Post	15 Sept 2017
1.6	Module development Module 4 (Author 2): Cooperative arrangements worldwide – Case Studies	SSS	2,000.00	Post	15 Sept 2017
1.7	Module development Module 4 (Author 3): Cooperative arrangements worldwide – case studies	SSS	2,000.00	Post	15 Sept 2017
1.8	Module development Practical Session 2 (Author 2): GBM case – analysis of selected conflict scenarios and proposal for resolution mechanisms	SSS	3,000.00	Post	15 Sept 2017
1.9	Module development Practical session 1: Fictive case – Role play analysis of selected fictive conflict scenarios	SSS	5,000.00	Post	15 Sept 2017
1.10	Module development (review)	SSS	1500.00	Post	1 Oct 2017
1.11	Module development (review)	SSS	1500.00	Post	1 Oct 2017

1.12	Project support (Program Officer)	IC	12,000.00	Post	10 July 2017
1.13	Development of e-module and e-discussion platform	IC	15,000.00	Post	25 Sept 2017
1.14	Development of film and videos for e-module	SSS	35,000.00	Post	25 Sept 2017
1.15	Support to Academic Institution- India for pilot testing	SSS	15,000.00	Post	25 Sept 2017
1.16	Support to Academic Institution- Bangladesh for pilot testing	SSS	15,000.00	Post	25 Sept 2017
			137,000.00		
	2. Non Consultant Services				
2.1	Inception workshop (Delhi/Bangkok)	Shopping	34,000.00	Post	15 July 2017
2.2	Pilot testing workshop (Delhi)	Shopping	31,000.00	Post	30 Oct 2017
2.3	Pilot testing workshop (Dhaka)	Shopping	13,500.00	Post	10 Nov 2017
2.4	Regional Workshop (Bangkok)	Shopping	47,000.00	Post	21 Oct 2017
2.5	Consultation Workshop (Bangkok)	Shopping	47,000.00	Post	15 Dec 2017
2.6	Travel costs	Shopping	2,500.00	Post	2017
			175,000.00		
			312,000.00		
	Grand Total				



**PROCUREMENT PLAN** South Asia : Regional Capacity Building: Water Governance

**General Information**

**Country:** South Asia **Bank / Approval Date of the Original Procurement Plan:** 2017-09-26  
**Revised Plan Date(s): (comma delineated, leave blank if none)** 2017-09-26  
**Project ID:** P154856 **GNP Date:**  
**Project Name:** Regional Capacity Building: Water Governance  
**Loan / Credit No.:** TF / A3886  
**Executing Agency(ies):** International Union for the Conservation of Nature

**WORKS**

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

**GOODS**

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

**NON CONSULTING SERVICES**

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN/IJCN-29527-NC-DR / Hiring of a firm/institution to provide logistics for Delhi, India pilot testing and institutionalisation of modules	TF / A3886	Pilot Testing of Modules	Post	Direct Selection	Direct			15,000.00	0.00	Pending Implementation					2017-09-22		2017-09-22									2017-10-01		2018-01-31
IN/IJCN-29553-NC-DR / Hiring of firm/institution to assist with logistics of pilot testing workshop in Dhaka, Bangladesh and institutionalising modules	TF / A3886	Pilot Testing of Modules	Post	Direct Selection	Direct			15,000.00	0.00	Pending Implementation					2017-09-22		2017-09-22									2017-10-01		2018-01-31
IN/IJCN-29479-NC-RFQ / Inspection workshop (Delhi/Bangkok)	TF / A3886	Development of Training Modules/Curriculum	Post	Request for Quotations	Limited	Single Stage - One Envelope		34,000.00	0.00	Pending Implementation							2017-09-22									2017-09-22		2017-09-22
IN/IJCN-29500-NC-RFQ / National Pilot testing workshop- India (Delhi)	TF / A3886	Pilot Testing of Modules	Post	Request for Quotations	Limited	Single Stage - One Envelope		31,000.00	0.00	Pending Implementation							2017-09-25									2017-10-01		2017-11-02
IN/IJCN-29501-NC-RFQ / National pilot testing workshop, Bangladesh in Dhaka	TF / A3886	Pilot Testing of Modules	Post	Request for Quotations	Limited	Single Stage - One Envelope		13,500.00	0.00	Pending Implementation							2017-09-22									2017-10-10		2017-11-15
IN/IJCN-29503-NC-RFQ / Regional Pilot testing Workshop (Bangkok)	TF / A3886	Pilot Testing of Modules	Post	Request for Quotations	Limited	Single Stage - One Envelope		47,000.00	0.00	Pending Implementation							2017-10-10									2017-10-28		2017-11-28
IN/IJCN-29505-NC-RFQ / Consultation Workshop (Bangkok)	TF / A3886	Pilot Testing of Modules	Post	Request for Quotations	Limited	Single Stage - One Envelope		47,000.00	0.00	Pending Implementation							2017-11-27									2017-12-08		2018-01-18
IN/IJCN-29563-NC-DR / Staff travel for coordination	TF / A3886	Pilot Testing of Modules	Post	Request for Quotations	Limited	Single Stage - One Envelope		2,500.00	0.00	Pending Implementation							2017-09-22									2017-09-22		2018-01-31

**CONSULTING FIRMS**

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN/IJCN-29456-CS-CQS / Development of e-module and e-discussion platform	TF / A3886	Development of Training Modules/Curriculum Program Management and Dissemination	Post	Consultant Qualification Selection	Open - National		15,000.00	0.00	Pending Implementation	2017-10-01		2017-10-01		2017-10-01											2017-10-01		2018-01-31

**INDIVIDUAL CONSULTANTS**

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
2017/CSC-NAT/IN10 / Module development Module 4 (Author 2): Cooperative arrangements worldwide- Case Studies	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		2,000.00	0.00	Pending Implementation	2017-09-21		2017-09-21				2017-09-25			2017-12-24
2017/CSC-NAT/IN11 / Module development Module 4 (Author 3): Cooperative arrangements worldwide- case studies	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		2,000.00	0.00	Pending Implementation	2017-09-21		2017-09-21				2017-09-25			2017-12-24

2017/CSC-NAT/IN012 / Module development Module 4 (Author 1) Cooperative arrangements worldwide - case studies and Practical Session 2 (Author 1). GSM case - analysis of selected conflict scenarios and proposal for resolution mechanisms	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		5,000.00	0.00	Pending Implementation	2017-09-21	2017-09-21			2017-09-25	2017-12-24
2017/CSC-INT/IN003 / Module development Practical Session 2 (Author 2). GSM case - analysis of selected conflict scenarios and proposal for resolution mechanisms	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		3,000.00	0.00	Pending Implementation	2017-09-21	2017-09-21			2017-09-25	2017-12-24
2017/CSC-INT/IN002 / Module development Module 2 (Author 2) - Hydro-diplomacy as a new approach to river basin cooperation	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		5,000.00	0.00	Pending Implementation	2017-09-21	2017-09-21			2017-09-25	2017-12-31
2017/CSC-NAT/IN007 / Module development Module 2 (Author 1) - Hydro-diplomacy as a new approach to river basin cooperation	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		5,000.00	0.00	Pending Implementation	2017-09-21	2017-09-21			2017-09-25	2017-12-31
IN-IJCN-29415-CS-CDS / Development of a short process film and development of videos to including in the e-module	TF / A3886	Pilot Testing of Modules Program Management and Dissemination	Post	Direct Selection	Direct		35,000.00	0.00	Pending Implementation	2017-09-21	2017-09-21			2017-10-01	2018-01-15
2017/CSC-NAT/IN006 / Module development Module 3 - Transboundary water governance - Principles, instruments and institutions to overcome transboundary challenges and Module 5 - Cooperation in the GSM basins (rationale and case studies)	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		10,000.00	0.00	Pending Implementation	2017-09-22	2017-09-22			2017-09-22	2017-12-31
2017/CSC-NAT/IN003 / Project support (Program Officer)	TF / A3886	Program Management and Dissemination	Post	Individual Consultant Selection	Open		12,000.00	0.00	Pending Implementation	2017-09-22	2017-09-22	2017-09-22		2017-09-22	2017-12-31
IN-IJCN-29451-CS-CDS / Module development Practical session 1: Fictive case - Role play analysis of selected fictive conflict scenarios	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		5,000.00	0.00	Pending Implementation	2017-09-22	2017-09-22			2017-09-25	2017-12-31
IN-IJCN-29454-CS-CDS / Module development - Review	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		1,500.00	0.00	Pending Implementation	2017-10-10	2017-10-10			2017-10-10	2017-12-31
IN-IJCN-29455-CS-CDS / Module development Review	TF / A3886	Development of Training Modules/Curriculum	Post	Direct Selection	Direct		1,500.00	0.00	Pending Implementation	2017-10-10	2017-10-10			2017-10-10	2017-12-31