

**INTEGRATED SAFEGUARDS DATA SHEET
ADDITIONAL FINANCING**

Report No.: ISDSA10550

Date ISDS Prepared/Updated: 29-Sep-2014

Date ISDS Approved/Disclosed: 05-Jun-2014, 24-Jun-2014, 06-Oct-2014

I. BASIC INFORMATION

1. Basic Project Data

Country:	Burkina Faso	Project ID:	P149556
		Parent Project ID:	P106909
Project Name:	Urban Water Sector Project - Additional Financing (P149556)		
Parent Project Name:	Urban Water Sector Project (P106909)		
Task Team Leader:	Matar Fall		
Estimated Appraisal Date:	09-Sep-2014	Estimated Board Date:	11-Nov-2014
Managing Unit:	GWADR	Lending Instrument:	Investment Project Financing
Sector(s):	Water supply (100%)		
Theme(s):	Urban services and housing for the poor (100%)		
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	80.00	Total Bank Financing:	80.00
Financing Gap:	0.00		
Financing Source			Amount
BORROWER/RECIPIENT			0.00
IDA Grant			80.00
Total			80.00
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Development Objective(s)

A. Original Project Development Objectives – Parent

The overall objective of the project is to increase access to sustainable water and sanitation services in selected urban areas. This will contribute to achievement of the water and sanitation MDGs. The project will achieve the objective through: (a) facilitating access to services through programs for constructing social water service connections, public standpipes, and on-site sanitation facilities; and (b) consolidating the achievements of the urban water supply sub-sector reforms and strengthening capacities to deliver and manage services. The project will help to provide clean water to 527,000 additional people and to provide improved sanitation services to 246,000 additional people."

B. Current Project Development Objectives – Parent

C. Proposed Project Development Objectives – Additional Financing (AF)

3. Project Description

The proposed project comprises three components: (i) urban water supply in four cities; (ii) urban sanitation in Ouagadougou and Bobo-Dioulasso; and (iii) capacity strengthening to improve the sub-sectoral's performances and to warrant the efficiency of investments. The three components will be implemented by the relevant departments of ONEA.

Component 1 - Urban water (US\$139.52 million): includes the following activities.

- Ouagadougou. This subcomponent will be implemented by the Maître d'ouvrage de Ziga (DMOZ), ONEA's department in charge of Ouagadougou water investments. It will help to: (i) expand the distribution networks by 175 km; and (ii) expand access by installing 25,000 household connections and 50 standpipes. Additional activities for Ouagadougou to be implemented by DMOZ under the proposed Additional Financing are the following:
 - (i) Provision and installation of 40 km of water transmission mains; ductile cast iron, diameter 1600mm to 1200m (US\$56.30 million);
 - (ii) Expansion of the water storage capacity by 4,000 m³ and construction of 4 water pumping stations (US\$7.88 million);
 - (iii) Expansion of the secondary and tertiary water distribution networks by 340 km, installation of 26,100 household connections and 80 public standpipes, and supply of 52,200 water connections kits (US\$12.88 million);
 - (iv) Consultant services for the supervision of the above mentioned civil works (US\$2.9 million);
- Bobo-Dioulasso. This subcomponent will be implemented by the Department of Investment Programming (DPI), ONEA's department in charge of water investments outside of Ouagadougou. It will help to (i) expand the water production and storage capacity (two new production boreholes, one new treatment unit and two elevated water tanks); (ii) expand the distribution system (new pumping stations and 428 km distribution networks); and (iii) expand access by installing 30,000 household connections and 120 standpipes.
- Koudougou. This subcomponent will be implemented by DPI and will help to (i) reinforce the water production and storage capacity (rehabilitation of water intake, new electricity supply line and one elevated water tank); (ii) expand the distribution system (80 km of distribution networks);

and (iii) expand access by installing 2,500 household connections and 10 standpipes.

- Dedougou. This subcomponent will be implemented by DPI and will help to (i) expand the water production and storage capacity (two new production boreholes and one elevated water tank); (ii) expand the distribution networks by 40 km; and (iii) expand access by installing 200 household connections and 20 standpipes.

Component 2 - Access to sanitation (US\$29.2 million): This component will be implemented by the Sanitation Department of ONEA (DASS) and includes the following activities.

- Ouagadougou. This subcomponent will help to: (i) expand access by installing 18,000 household latrines and 27,000 household washing facilities; (ii) provide improved water and sanitation in 250 schools by connecting them to the water system and installing blocks of latrines; and (iii) provide adequate disposal of effluents by installing two sludge treatment plants.
- Bobo-Dioulasso. This subcomponent will help to: (i) expand access by installing 10,000 household latrines and 15,000 household washing facilities; (ii) provide improved water and sanitation in 100 schools; and (iii) provide adequate disposal of effluents by installing two sludge treatment plants.
- Technical Assistance. This subcomponent will help to: (i) mobilize the population, manage contracts and supervise works through NGOs and the private partners; (ii) train local masons and contractors; and (iii) support activities with media campaigns, hygiene education and training in maintenance of facilities.

Component 3 - Institutional support and capacity building (US\$3.51 million): This component will be implemented by the Human Resources Department of ONEA (DRH) and includes the following activities.

- Institutional Support. This subcomponent will help to: (i) assess the performances and viability of ONEA (technical audits and assistance to the implementation of the financial model); (ii) transfer know-how (partnerships with performing utilities); and (iii) review the impact and suitability of access options proposed to households (mid-term surveys and assessments of pilot programs).
- Strengthening of capacities. This subcomponent will help to: (i) improve reliability and accountability (strengthening the Internal Audit Department); (ii) improve customer management (technical assistance and procurement of advanced technologies (portable billing stations, remote metering and prepayment); and (iii) improve staff skills (training in project management, procurement and technical management).

Component 4 – Environmental and social management (US\$0.68 million equivalent). This component will be implemented by the Environmental and Social Unit of DMOZ and include the following activities.

- Land Acquisition and Indemnification (xx million equivalent). This subcomponent will be financed by ONEA and will help to: (i) purchase land required for the few facilities which will not be located on ONEA's existing premises or which will not use existing rights of way; and to (i) compensate losses of property and revenues.

- Coordination and Monitoring. This subcomponent will help to coordinate and monitor implementation of the ESMF and the RPF and help to prepare the ESIA's, ESMPs and RAPs that will be required by specific activities.
- Strengthening of Capacities. This subcomponent will help to strengthen the capacities of the entities involved in the implementation of this component.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

For urban water supply, the project will focus on four cities, namely the capital city Ouagadougou, Bobo-Dioulasso, Koudougou and Dedougou. As regards urban sanitation, the project focusses on Ouagadougou and Bobo-Dioulasso. However, specific areas of intervention in the said cities will be determined by technical studies.

5. Environmental and Social Safeguards Specialists

Maman-Sani Issa (GENDR)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	Yes	OP 4.01 is triggered because of the potential environmental and social impacts of the project activities. Based on the lessons learned from the original project, the environmental and social negative impact are expected to be minor and site specific, and largely outweighed by the positive outcomes in sanitation, improved quality of life, etc. As the AF consist in an expanding of component 1 of the original project, the existing safeguard documents (the ESMF and the RPF) have been updated and disclosed in-country and at the Bank infoshop prior to appraisal.
Natural Habitats OP/BP 4.04	No	The project activities will be located in urban and peri-urban area. No natural habitat will be threatened.
Forests OP/BP 4.36	No	The project will not finance activity related to forest exploitation, nor it will induce indirect pressure on forest.
Pest Management OP 4.09	No	The project finances no activity which deals with pesticide acquisition or use.
Physical Cultural Resources OP/ BP 4.11	No	None of the expected activity locations is known as being archeological site or hosting sacred resources. However, the ESMF will include a "Chance find mechanism" to insert in civil works contract clauses.
Indigenous Peoples OP/BP 4.10	No	There are no indigenous people in the project intervention area.
Involuntary Resettlement OP/BP 4.12	Yes	ONEA own most of the new pumping station sites, thus not requiring land expropriation.

		However, expanding water distribution networks may lead to some temporary disturbance of economic activities and/or land acquisition though not significant. The Resettlement Policy Framework (RPF) of the original project has been updated and disclosed in-country and at the Bank Infoshop prior appraisal. It will guide simple compensation or the preparation and execution of RAP at any time case arises during project implementation.
Safety of Dams OP/BP 4.37	No	The project activities are not related to dam construction or the use of dam facilities or water.
Projects on International Waterways OP/BP 7.50	No	The project will not draw water from international waterways nor will it intervene in building infrastructure on such waterways.
Projects in Disputed Areas OP/BP 7.60	No	The project intervention areas are not under dispute.

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

<p>1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:</p> <p>The safeguard responsibility of the original has been transferred to the sector unit. The overall implementation of the safeguard measures was rated satisfactory by the implementation support missions carried out by the Bank because almost all the due diligences have been completed such as: (i) regular screening of activities; (ii) elaboration, processing and execution of specific safeguard instruments (ESIA, RAP) of the 06 eligible sub-projects supported (secondary water connection channels in Bobo Dioulasso, Kedougou and Koudougou communes; boreholes in Bobo Dioulasso and Dedougou; and transmission line in Kedougou); (iii) periodic environmental and social safeguard monitoring reports sent out to the Bank; (iv) a good documentation of the safeguard track records including the title of lands where stations and boreholes are built.</p> <p>The proposed additional activities (laying water transmission pipes, construction of water reservoirs, expansion of water distribution networks and installation of household water connections and public standpipes) are of the same nature as water works included in the original project. Then, the AF does not trigger any new safeguard policy and the environmental category is similar to the original project (category B). As regard the environmental and social aspects of the original project, no significant environmental and social negative impact is feared under this additional financing. Instead, positive social aspects (access to potable water, improved hygiene and sanitation condition, decreased prevalence of water related diseases) are expected to be significant.</p>
<p>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</p> <p>N/A</p>
<p>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</p>

N/A
4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.
<p>The ESMF and RPF of the original project have been updated and re-disclosed at the Bank and in country before appraisal. The Environmental and social aspects of the additional activities will be dealt with in the same manner as for the works completed under the original project; for any subproject or activity subject to ESIA or RAP as a result of the screening, the appropriate document (ESMP, RAP) has been prepared in consultation with the relevant stakeholders, gotten approved by the national bureau of EA (BUNEE), then implemented and evaluated. The overall implementation of the safeguard measures of the original project was rated satisfactory by the implementation support missions carried out by the Bank.</p> <p>Likewise, the updated ESMF provides environmental guidelines to ensure that any new activity undergoes an appropriate procedure of environmental and social screening, and then if eligible, be subject to the preparation and approval of an ESIA/ESMP and/or RAP prior its implementation. The RPF outlines the principles and procedures to be followed in the event of land acquisition, impact on assets and/or loss of livelihoods. Any specific Resettlement Action Plans (RAPs) prepared in accordance to the screening result will be negotiated, approved on with all the stakeholders then fully executed before the concerned activity starts. An amount of \$0.68M is earmarked in the project budget to ensure the implementation of the safeguard measures.</p> <p>The Implementing entity (ONEA) has set up a permanent environmental and social unit managed by two competent senior staff, and equipped with sampling and analysis capacity. Further the institution is endeavored to mainstreaming sustainability in its own strategies and operations as evidenced by the ongoing process of quality-safety-environment (QSE) certification. Capacity to supervise social and environmental aspects has been satisfactory under the original project and institutional support and training has been delivered to the staff of ONEA's Environmental Unit which is well experienced with Bank safeguard instruments and procedures.</p>
5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.
<p>Appropriate consultation has taken place Dec 14 – 31, 2008 for the development of the ESMF and the RPF as per the guidelines and the level of consultation is commensurate with the two instruments. Affected populations and local Government were informed of the objectives, the scope and potential impacts of the project and their views have been fully reflected in the reports. The ESMF and the RPF were approved by the Bank and the Government and have been widely disclosed in Burkina Faso on January 30, 2009 and June 5, 2014 and made available to the public at the World Bank's Info Shop on February 6, 2009 and June 5, 2014. As for the original project, the updated ESMF and RPF has been consulted upon with the appropriate stakeholders before disclosure.</p>

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	15-May-2014
Date of submission to InfoShop	05-Jun-2014
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	

"In country" Disclosure	
Burkina Faso	05-Jun-2014
<i>Comments:</i>	
Resettlement Action Plan/Framework/Policy Process	
Date of receipt by the Bank	15-May-2014
Date of submission to InfoShop	05-Jun-2014
"In country" Disclosure	
Burkina Faso	05-Jun-2014
<i>Comments:</i>	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP/GP 4.01 - Environment Assessment	
Does the project require a stand-alone EA (including EMP) report?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
If yes, then did the Regional Environment Unit or Practice Manager (PM) review and approve the EA report?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
OP/BP 4.12 - Involuntary Resettlement	
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] NA [<input type="checkbox"/>]

Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
--	---

III. APPROVALS

Task Team Leader:	Name: Matar Fall	
<i>Approved By</i>		
Sector Manager:	Name: Alexander E. Bakalian (SM)	Date: 06-Oct-2014