

PROCUREMENT PLAN (Textual Part)

Project information: Brazil – FinBRAZEEC – P162455

Project Implementation agency: Caixa Economica Federal

Date of the Procurement Plan: May 21, 2018

Period covered by this Procurement Plan: 2018 - 2020

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements as set forth in Caixa’s procurement regulations, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: “Not Applicable”

Procurement of Second Hand Goods as specified under paragraph 5.11 of the Procurement Regulations – “Not Applicable”

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (Goods and Works): “Not Applicable”

PROCUREMENT PLAN **Brazil : Financial Instruments for Brazil Energy Efficient Cities - FinBRAZEEC**

General Information
Country: Brazil **Bank:** Approval Date of the Original Procurement Plan: 2018-05-23
Project ID: P162455 **Revised Plan Date(s): (comma delineated, leave blank if none)** 2018-05-23
Project Name: Financial Instruments for Brazil Energy Efficient Cities - FinBRAZEEC **GPN Date:**
Loan / Credit No:
Executing Agency(ies): CAIXA - FEDERAL ECONOMIC BANK

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned

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Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposals		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion		
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
BR-CAIXA - CEF-63072-CS-QCBS / Capacity Building for municipalities to support the development of PPPs (training, events, publications, tools)		Technical Assistance	Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-08-23	2019-09-13	2019-10-27					2019-11-24	2019-12-24	2020-01-28	2020-03-03	2021-03-03							
BR-CAIXA - CEF-63479-CS-QCBS / Assessment of ESCOs and their ability to provide off-balance sheet financing for Industrial EE		Technical Assistance	Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-08-23	2019-09-13	2019-10-27					2019-11-24	2019-12-24	2020-01-28	2020-03-26	2021-03-26							
BR-CAIXA - CEF-63485-CS-QCBS / Study on tax and accounting implications of different aggregation vehicles to support off-balance sheet EE financing		Technical Assistance	Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-08-23	2019-09-13	2019-10-27					2019-11-24	2019-12-24	2020-01-28	2020-03-03	2021-03-03							
BR-CAIXA - CEF-63481-CS-QCBS / Consultant team to provide Technical and Legal Support to CAIXA to establish a syndication vehicle risk allocation, cost sharing, responsibilities of lenders, legal documents, role of CAIXA, endk and the guarantee fund		Technical Assistance	Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-08-23	2019-09-13	2019-10-27					2019-11-24	2019-12-24	2020-01-28	2020-03-03	2021-03-03							
BR-CAIXA - CEF-63184-CS-QCBS / Contrataçã de Consultoria/Auditoria para análise de projetos de Categoria "A" - Risco Socioambiental		Technical Assistance	Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-08-23	2019-09-13	2019-10-27					2019-11-24	2019-12-24	2020-01-28	2020-03-03	2021-03-03							
BR-CAIXA - CEF-63479-CS-QCBS / Curso de Viabilidade Financeira		Technical Assistance	Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-08-23	2019-09-13	2019-10-27					2019-11-24	2019-12-24	2020-01-28	2020-03-03	2021-03-03							
BR-CAIXA - CEF-63482-CS-QCBS / Investment grade audits (involving all industrial technologies) with CAIXA's participation (as part of its capacity building)		Technical Assistance	Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-08-23	2019-09-13	2019-10-27					2019-11-24	2019-12-24	2020-01-28	2020-03-03	2021-03-03							

INDIVIDUAL CONSULTANTS