

**The Republic of Guatemala
Ministry of Public Health and Social Assistance
COVID-19 Response**

**[Draft /Version for Negotiations]
ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

April 17, 2020

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Guatemala (hereinafter the Borrower), through the Ministry of Public Health and Social Assistance (MSPAS, for its acronym in Spanish) will implement the COVID-19 Response Project (the Project). The International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project.
2. The Borrower will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Borrower is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the MSPAS referenced in 1. above.
4. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Borrower as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
5. As agreed by the Bank and the Borrower, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Borrower will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Borrower or MSPAS on behalf of the Borrower. The Borrower or MSPAS will promptly disclose the updated ESCP.
6. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Borrower shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	REGULAR REPORTING: Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, stakeholder engagement activities and grievances log.	Every month during the Project's implementation in conjunction with the Project's progress reports.	MSPAS
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE: The Ministry of Public Health and Social Assistance (MSPAS) shall establish and maintain a Project Implementation Unit (PIU) with qualified staff and resources to support management of ESHS risks and impacts of the Project, including the hiring of an environmental specialist, a social specialist, and a communications specialist. This team will work in close coordination with MSPAS's Unit for Indigenous Peoples and Intercultural Health.	<p>One environmental, one social, and one communications specialist to be available to support the Project not later than 30 days after the Effective Date and maintained throughout Project implementation. TORs and CVs of proposed candidates will be submitted to the Bank Team's Environmental and Social specialists for No-Objection.</p> <p>During the first month of project implementation, the CrecerSano PIU staff and specialists hired, along with the support of the Ministry's Unit for Indigenous Peoples and Intercultural Health, will be in charge of the management of the ESHS aspects of the project.</p>	MSPAS

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</p> <p>a. Assess the environmental and social risks and impacts of proposed Project activities, in accordance with the Environmental and Social Management Framework (ESMF) to be prepared, disclosed and adopted for the Project. This will include measures to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project.</p> <p>b. Prepare, disclose, adopt, and implement any environmental and social management plans (ESMPs) or other instruments required for the respective Project activities based on the assessment process, in accordance with the ESSs, the ESMF, the EHSs, and other relevant Good International Industry Practice (GIIP) including relevant WHO guidelines on COVID-19 response, in a manner acceptable to the Bank.</p> <p>c. Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p>	<p>a. ESMF to be prepared and adopted in a manner acceptable to the Bank, not later than thirty (30) days after the Effective Date. Until the ESMF is approved, the Project will apply the WHO standards on COVID-19 response in a manner consistent with ESS1. Assessment to be conducted before the carrying out of the relevant Project activities.</p> <p>b. Plans, measures (including the availability of interpretation services in ESMPs, in cases that health personnel do not speak the four primary Mayan languages, for health centers and hospitals supported by the Project) or instruments prepared before carrying out the relevant Project activities, and thereafter implemented throughout the carrying out of such activities.</p> <p>c. Before launching the procurement process for the relevant activities, and thereafter throughout the carrying out of such activities.</p>	<p>MSPAS</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p>EXCLUSIONS: Exclude the following types of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) adverse impacts • Activities that may have significant adverse social impacts and may give rise to significant social conflict • Activities that may affect rights of vulnerable people, • Activities that may have adverse impacts on cultural heritage • Activities that involve land acquisition, land use restriction and involuntary resettlement <p>All the other excluded activities set out in the ESMF of the Project.</p>	During the assessment process conducted under action 1.2.a. above.	MSPAS
MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT: The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Bank, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. The Recipient shall prepare and implement Labor Management Procedures (LMP), which shall be incorporated in the ESMF.</p>	Throughout Project implementation.	MSPAS
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to: manage health care wastes, and other types of hazardous and non-hazardous wastes.		
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable have access to the development benefits resulting from the Project; manage the risks of labor influx; and prevent and respond to sexual exploitation and abuse, and sexual harassment.		
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
	Not currently relevant.		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
	Not currently relevant		
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	Cultural and linguistic adaptations to communications tools will be reflected in the SEP and other relevant aspects of service delivery shall be considered, as needed, under action 1.2 above.		
ESS 8: CULTURAL HERITAGE			
	Not currently relevant.		
ESS 9: FINANCIAL INTERMEDIARIES			
	Not currently relevant.		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT INFORMATION AND DISCLOSURE: Prepare, disclose, adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, in a manner acceptable to the Bank.	A draft SEP was already prepared and disclosed and shall be updated within 30 days after the Effective Date and shall be implemented throughout Project implementation.	MSPAS
10.2	GRIEVANCE MECHANISM: Accessible grievance arrangements shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10, in a manner acceptable to the Bank.	Throughout Project implementation.	MSPAS
CAPACITY SUPPORT (TRAINING)			
	TRAINING: Provide training to staff of health delivery facilities on the adequate use of personal protection equipment, handling and disposal of medical wastes, and on diagnosis, care and notification of positive COVID-19 cases, among other topics as identified during development of the ESMF and throughout implementation of the Project.	Throughout Project implementation.	MSPAS