

**INTEGRATED SAFEGUARDS DATA SHEET
APPRAISAL STAGE**

Report No.:ISDSA22261

Date ISDS Prepared/Updated: 24-Aug-2017

I. BASIC INFORMATION

1. Basic Project Data

Country:	Kiribati	Project ID:	P163153
Project Name:	Kiribati Adaptation Program Phase III - Additional Financing (P163153)		
Task Team Leader(s):	Artesa Saldivar-Sali		
Estimated Appraisal Date:		Estimated Board Date:	28-Aug-2017
Managing Unit:	GSU08	Financing Instrument:	Investment Project Financing
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00(Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	0.87	Total Bank Financing:	0.00
Financing Gap:	0.00		
Financing Source			Amount
AUSTRALIA, Government of			0.00
Australia-Pacific Islands Partnership Trust Fund			0.49
Pacific Regional Infrastructure Facility Trust Fund			0.38
Total			0.87
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Development Objective(s)

There is no change to the original Project Development Objective, which is to improve the resilience of the Recipient to the impacts of climate change on freshwater supply and coastal infrastructure.

3. Project Description

The activities proposed for additional financing will enable the Government of Kiribati to scale-up and move forward the work already being undertaken to support key government priority activities, as captured in the Kiribati Adaptation Program's (KAP III) development objective and components. The Government will be able to take advantage of the existing KAPIII mechanisms, and allow the project to increase the scope and sustainability of the outcomes envisaged under the original KAP III design. Specifically, support is proposed for the activities described below.

1. Component 1. Improving Water Resource Use and Management.

Expansion of the Improved Water Supply Pilot Zones (USD 210,000 including contingencies). This would respond to the Government's request to undertake the technical design and associated community engagement activities for additional sites in the population centers of Betio and South Tarawa, under Part 1(b) of the Project. KAP III has supported the Public Utilities Board (PUB) to build upon the existing Tarawa Water Master Plan (2010) and the Water and Sanitation Roadmap 2011–2030 to develop a "Roadmap for an Expanded Program of Leak Detection / Water Network Improvements" (2016). The Roadmap operationalizes the earlier strategies, while highlighting the need for the pilot interventions to be executed in an integrated and scalable manner that ensures the sustainability of the entire network. The Cabinet has approved KAPIII-funded improvements of nine water supply zones in three villages (Tanaea, Tebikenikora/Eita, and Nanikai) and the corresponding trial of a "user pays" IECC and cost recovery method. Increasing the number of sites included in the pilot will provide several benefits: a greater proportion of the population will receive more reliable access to potable water and protect against the impacts of climate change on water supply, while the larger pilot will help prove the effectiveness of the overall Water Network Roadmap. The expanded pilot zones will cover the largest of the urban areas, namely Betio, Bairiki, and Bikenibeu. The scope will include preliminary route selection for the network pipes, preparation of all design, drawings, and construction documentation, and launching of community engagement and water usage and payment publicity campaigns. By implementing the scaled-up engineering and publicity tasks under KAPIII, the PUB will be able to bundle the design services, minimizing the costs of mobilizing international consultants.

2. Component 3. Strengthening the Capacity to Manage the Effects of Climate Change and Natural Hazards.

(i) Development of a Long-Term Coastal Security Strategy (LTCSS) (USD 80,000 including contingencies). Under Part 3(b) of the original project, a baseline study and a draft coastal management framework and policy have been completed. As a continuation of this activity, the newly-elected government requested support in August 2016 for an expanded Long-Term Coastal Security Strategy that focuses on measures to ensure long term viability and climate-adaptive development. The purpose of the envisaged strategy will be to better understand the population movements within and between the islands as well as in and out of the country and, based on this, be able to model – in a broad manner – where population and associated infrastructure vulnerabilities may be located over short and medium term time horizons.

(ii) Resilience Fund scale-up (USD 200,000 including contingencies). This will enable a larger number of eligible communities and in more of the outer islands to receive grants for small-scale climate adaptation and disaster risk resilience strengthening sub-projects, under Part 3(e) of the Project. The initial call for proposals received over 300 applications (mostly for projects to improve access to potable water), of which 35 projects across 13 islands can be supported under the existing funding envelope, despite many more applications being found to be technically sound. In accordance with the President's Policy Statement (2016), the Government is strongly committed to maximizing outer island development, which will need to be underpinned by greater resilience of communities. Additional funds for this activity would allow for an increase in the number of sub-projects that can be financed, including an expansion of the call for proposals to additional populated islands in the Line and Phoenix groups, utilizing the implementation arrangements now in place for delivery and monitoring of these grants.

(iii) Extension of the Office of the President's Building (USD 380,000 including contingencies). Under Part 3(f) of the project, KAP III has funded the architectural design of an extension to the OB's Office to house staff of the OB's Strategic Risk Management Unit that leads policy coordination for climate adaptation and disaster risk Management. The additional finance will be utilized to implement the construction of the extension.

4. Project location and Salient physical characteristics relevant to the safeguard analysis (if known)

5. Environmental and Social Safeguards Specialists on the Team

Nicholas John Valentine, Environmental Safeguards Specialist

Ross James Butler, Social Safeguards Specialist

6. Safeguard Policies That Might Apply

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	<p>The existing safeguards instruments for managing environmental and social impacts are an Environmental Management Plan and a Land Acquisition and Resettlement Framework. No new civil works are proposed under Component 1, and the engineering design activity is consistent with activities and impacts under the current project.</p> <p>The additional activities under Component 3 involve physical works, as well as one analytical activity. Component 3 activities are expected to be very minor and will be managed by the existing safeguard instruments and monitoring arrangements. Development of the LTCSS (to be included in the revised Component 3(b)) is the key activity under the additional financing which differs from past activities under the project. This strategy would not identify any specific types or locations of various potential physical interventions (other than those already covered by the project); though it may identify a generic list of the types of policy and physical interventions (and other activities which could potentially address the various vulnerabilities). As this will not identify any specific interventions, the activities will not be covered by the existing safeguards instruments. Instead, Terms of References for Government Staff and/or consultants undertaking this work will be reviewed and cleared by the Bank Safeguards team.</p> <p>A functional grievance redress mechanism is operating and there have been no grievances to date. Day to day issues are addressed by the PMU Community Engagement Team and relevant Implementing Agency.</p>
Natural Habitats OP/BP 4.04	No	This policy is not triggered for the project and the additional financing will not create specific impacts on natural habitats.
Forests OP/BP 4.36	No	This policy is not triggered for the project and the additional financing will not create specific impacts on forests.

Pest Management OP 4.09	No	This policy is not triggered for the project and the additional financing will not create specific impacts relating to pesticides. No use of pesticides is proposed.
Physical Cultural Resources OP/BP 4.11	No	This policy is not triggered for the project and the additional financing will not create specific impacts on physical cultural resources.
Indigenous Peoples OP/BP 4.10	No	The indigenous people's policy is not triggered for the project.
Involuntary Resettlement OP/BP 4.12	Yes	<p>OP 4.12 is triggered for the existing project. Because works are largely the same as activities and impacts under the current project, the management of these impacts will be via the existing safeguard instruments. No compulsory land acquisition has been used to date, and is not anticipated.</p> <p>No land acquisition to date and no land acquisition is expected for upcoming works, as rehabilitation only involves laying down of repaired or new pipes expected to take place along existing easements or thoroughfares. For upcoming works, some potential for impacts on minor non-land assets such as trees/fences, etc. These are being avoided as much as possible. Any losses will be compensated in accordance with the Land Acquisition and Resettlement Framework (LARF) and Abbreviated Resettlement Action Plan (ARAP) (prepared as needed).</p> <p>All non-land assets (productive trees) have been (and will continue to be) compensated and assistance provided by the contractor to relocate assets such as taro pits. Compensation was in accordance with Government rates. A World Bank review of compensation records confirms that all project affected people have been compensated.</p> <p>The PMU has appointed a senior social adviser (World Bank retiree) to ensure compliance with World Bank safeguard requirements.</p>
Safety of Dams OP/BP 4.37	No	This policy is not triggered for the project and the additional financing will not create specific impacts on dams or dam safety.
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

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1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:

The design activities proposed under Component 1 are largely consistent with activities and impacts under the current project and the civil works under Component 3 are readily managed by the existing safeguard instruments.

Project delivery to date has confirmed that impacts have been minor, reversible and readily managed via the existing safeguard instruments. The environmental impacts have been associated mostly with construction and include dust, noise, land and coastal erosion, safety, disruption of daily social and economic activities, etc. potential impacts related to sand mining and aggregate collection for infrastructure construction. The projects are small and the impacts are considered minor. The mitigation measures will be built into the project design and included as part of the technical specifications and the bid documents.

The project will not convert or degrade any protected areas, natural habitats or established or proposed critical natural habitats. For water resources all activities will be carried out to improve the established operations. Coastal protection walls will be built in selected coastal areas to reduce future environmental damage from erosion and wave actions.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:

The highest impact work proposed under the AF are under Component 1, which is largely an extension of the work under the existing project. The new activities under Component 3 will have minor impacts and the safeguard instruments have been updated to reflect these changes. Town planning approval has been obtained for the construction works associated with the extension of the Office of the President's building, which sits on Government land. Construction related elements of the project EMP will be applied to the building, and monitoring will be undertaken in accordance with the project systems.

The new analytical work under Component 3 will be to better understand the population movements within and between the islands as well as in and out of the country and, based on this, be able to model – in a broad manner – where population and associated infrastructure vulnerabilities may be located over short and medium term time horizons.

As this work will not identify any specific interventions, the activities will not be covered by the existing safeguards instruments. Instead, Terms of References for Government Staff and/or consultants undertaking this work will be reviewed and cleared by the Bank Safeguards team.

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.

The project elements are identified using the principles of community driven development, which results in alternatives analysis being undertaken regularly in consultation with the community.

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.

The Environmental Management Plan, dated February 2011, sets out mitigation, enhancement, monitoring, and institutional measures, including capacity building through training, to eliminate any adverse environmental impacts of Project activities, offset them, or reduce them to acceptable levels, or enhance positive impacts. The Land Acquisition and Resettlement Framework (LARF), dated August 2005 (developed for the first phase of the Kiribati Adaptation Program), sets out mitigation, enhancement, monitoring, and institutional measures, including capacity building through training, to carry out acquisition of land and related assets under the Project, compensation, resettlement, and rehabilitation of Displaced Persons, as well as guidelines and procedures for the

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preparation of Land Acquisition and Resettlement Plans. The LARF was subsequently re-assessed during the preparation of KAP - Phase II and KAP - Phase III (the original Project for this Additional Financing) and deemed to be appropriate.

The project has been delivered effectively to date and the PMU has proven to be effective at managing safeguards risks during this time. The PMU has appointed a senior social adviser (World Bank retiree) to ensure compliance with World Bank safeguard requirements.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

The project has a citizen engagement team and the community is closely involved with all project phases during which alternatives are discussed and agreed.

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	14-Apr-2011
Date of submission to InfoShop	14-Apr-2011
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
"In country" Disclosure	
Kiribati	11-Feb-2011
<i>Comments:</i>	
Resettlement Action Plan/Framework/Policy Process	
Date of receipt by the Bank	14-Apr-2011
Date of submission to InfoShop	14-Apr-2011
"In country" Disclosure	
Kiribati	14-Apr-2011
<i>Comments:</i>	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why::	

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP/GP 4.01 - Environment Assessment					
Does the project require a stand-alone EA (including EMP) report?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	NA <input type="checkbox"/>
If yes, then did the Regional Environment Unit or Practice Manager (PM) review and approve the EA report?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	NA <input type="checkbox"/>
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	NA <input type="checkbox"/>
OP/BP 4.12 - Involuntary Resettlement					
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	NA <input type="checkbox"/>
If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	NA <input type="checkbox"/>

Is physical displacement/relocation expected?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	TBD	<input type="checkbox"/>
Is economic displacement expected? (loss of assets or access to assets that leads to loss of income sources or other means of livelihoods)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	TBD	<input type="checkbox"/>
2,000 Provide estimated number of people affected to date, or to be affected.				
The World Bank Policy on Disclosure of Information				
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
All Safeguard Policies				
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
Have costs related to safeguard policy measures been included in the project cost?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>

III. Approval

Task Team Leader(s):	Name: Artessa Saldivar-Sali	
<i>Approved By:</i>		
Safeguards Advisor:	Name: Surhid P. Gautam (SA)	Date: 24-Aug-2017
Practice Manager/Manager:	Name: Abhas Kumar Jha (PMGR)	Date: 24-Aug-2017