

PROCUREMENT PLAN (Textual Part)

Project information: INDIA, Uttarakhand Disaster Preparedness and Resilience Project (P179749)

Project Implementation agency: PMU, UGRIDP

Date of the Procurement Plan: January 2023

Period covered by this Procurement Plan (textual part): From January 2023 until publication of next version of the Procurement Plan (Textual Part).

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016, Revised November 2017, August 2018, and November 2020) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

Procurement for the project will be carried out in accordance with the World Bank’s Procurement Regulations for IPF Borrowers, July 2016, Revised November 2017, August 2018, and November 2020 and applicable to Investment Project Financing (IPF). The project will be subject to the World Bank’s Anticorruption Guidelines, dated October 15, 2006, as revised in January 2011 and July 2016.

The table below describes various procurement methods to be used for activities financed by the loan. The thresholds (**Error! Reference source not found.**) indicated will govern the procurement approach and methods;

Table 1: Procurement Approaches and Methods

| Procurement Approaches and Methods | Thresholds (US\$ equivalent) |
|---|------------------------------|
| Open international | For any value activity |
| Open national (Works – including turnkey, supply and installation of plant and equipment and PPP) | Up to 40 million |

| | |
|--|--|
| | |
| Open national (Goods, IT system, and Non-Consulting Services) | Up to 10 million |
| National request for quotation (Works, Goods, IT system and Non-Consulting Services) | Up to 100,000 |
| Direct selection | No threshold; For works, goods, non-consulting services and IT system procurement: According to paragraphs 6.8-6.10 of the Regulations; For consultants: According to paragraphs 7.13-7.15 of the Regulations |
| National Market Approach for Selection of Consultants | Up to 2 M |
| Prior Review Thresholds | |
| Works including Supply & Installation of Plant and Equipment | All contracts more than or equal to US\$ 10 million equivalent. |
| Goods, IT system and Non-consulting services | All contracts more than or equal to US\$2 million equivalent |
| Consultants | All contracts (i) more than or equal to US\$1 million equivalent for firms; and (ii) more than or equal to US\$300,000 equivalent for individuals. |
| Prior Review contracts irrespective of value | Irrespective of the contract value, the following procurement activities are subject to the Bank's procurement prior review: (a) procurement processes involving contract negotiations, as described in Section VI, paragraphs 6.34-36, of the Procurement Regulation for Borrowers (b) competitive dialogue; (c) sustainable procurement; (d) selection of probity assurance providers, as described in Section III, paragraph 3.3 of the Procurement Regulations for Borrowers; and (e) best and final offer. |

Domestic preference- The provision of domestic preference for goods will be applied in the evaluation of bids in accordance with Annex VI of the Regulations.

National Procurement Arrangements: National competition for the procurement of works, goods, IT system procurement and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3–5.5 of Section V of the Regulations and the following provisions:

1. Only the model bidding documents for National Competitive Procurement (NCP) agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding.
2. Invitations to bid shall be advertised on a widely used website or electronic portal with free open access at least 30 days prior to the deadline for the submission of bids, unless otherwise agreed in the approved procurement plan.
3. No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State.
4. Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder.
5. Government e-Marketplace (GeM) set-up by Ministry of Commerce, Government of India will be acceptable for procurement under Request for Quotations (RFQ) method.
6. At the Borrower's request, the Bank may agree to the Borrower's use, in whole or in part, of its electronic procurement system, provided that the Bank is satisfied with the adequacy of such system.
7. Procurement will be open to eligible firms from any country. This eligibility shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations.
8. The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals include a signed acceptance in the bid, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights.
9. The Borrower shall use an effective complaints mechanism for handling procurement related complaints in a timely manner.
10. Procurement Documents will include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety ("ESHS") risks and impacts.

Procurement of Works, Goods (Supply and Installation; and IT Equipment), Non-Consultancy Services and Consultancy Services - As per procurement plan approved in STEP.

Use of Government e-Marketplace will be allowed in lieu of RFQ as per following details:

1. Up to INR 50,000 in catalog mode (viz. any available item could be selected by Implementing Agency without further competition), provided selected Item/Supplier meeting the requisite quality, specification and delivery period.
2. Up to INR 3 Million from the Supplier having lowest price amongst at least three suppliers meeting the requisite quality, specification and delivery period. The tools for online bidding and online reverse auction available on GeM may be used by the Purchaser.
3. Up to INR equivalent of US\$ 100,000 from the supplier having lowest price and meeting the requisite quality, specification and delivery period after mandatorily obtaining bids from at least three suppliers, using online bidding or reverse auction tool provided on GeM.
4. While making use of GeM portal, the borrower shall make use of Special Terms and Conditions meant for World Bank financed projects.

PROCUREMENT PLAN India : Uttarakhand Disaster Preparedness and Resilience Project

General Information
Country: India **Bank's Approval Date of the Original Procurement Plan:** 2023-01-24
Revised Plan Date(s): (comma delineated, leave blank 2024-02-09)
Project ID: P179749 **GPN Date:**
Project Name: Uttarakhand Disaster Preparedness and Resilience Project
Loan / Credit No.: /
Executing Agency: PMU-UGRIDP

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | High SEA/SH Risk | Procurement Document Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion |
|---|-------------------|-----------|-------------|------------------|-----------------|-----------------------------|------------------------|------------------|------------------------------------|-------------------------|----------------------|----------------------|-----------------------------------|--------|------------------------------------|--------|--|------------|--|------------|--------------------------|--------|---|------------|--|--------|-----------------|------------|---------------------|
| | | | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 01/BR/RFBI/UGRIDP/2023 / Construction of 50 M Span Steel Truss Motor Bridge in Uttarkashi - Lambgaon- Ghansali- Tiwara Motor Road KM-98 near Hanuman Temple in District Tehri | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 968,292.00 | 0.00 | Under Implementation | | | | | 2023-03-15 | 2023-04-18 | 2023-03-20 | 2023-05-06 | | | 2023-05-01 | 2023-06-05 | 2023-07-14 | | 2023-08-18 | 2024-11-10 | |
| 02/BR/RFBI/UGRIDP/2023 / Construction of 30 M Span Steel Girder Pedestrian Bridge over Charbag over Lwani Gadara at Sihwani Tok in District Chamoli. | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 310,976.00 | 0.00 | Under Implementation | | | | | 2023-03-15 | 2023-04-18 | 2023-03-20 | 2023-05-06 | | | 2023-05-01 | 2023-06-05 | 2023-07-14 | | 2023-08-18 | 2024-11-10 | |
| 03/BR/RFBI/UGRIDP/2023 / Construction of 24 M span Steel Girder Motor Bridge in Km-2 of Jajwarpur to Gwad Dungi Jaspur Motor Road in District Chamoli. | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 523,171.00 | 0.00 | Canceled | | | | | 2023-03-15 | | 2023-03-20 | | | | 2023-05-01 | | 2023-07-14 | | 2023-08-18 | 2024-08-12 | |
| 04/BR/RFBI/UGRIDP/2023 / Construction of 90 M Span Steel Arch Pedestrian Bridge over Kel river at Supligad in District Chamoli. | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 925,610.00 | 0.00 | Canceled | | | | | 2023-03-15 | | 2023-03-20 | | | | 2023-05-01 | | 2023-07-14 | | 2023-08-18 | 2025-02-08 | |
| 05/BR/RFBI/UGRIDP/2023 / Construction of 60M Span 1.5 Lane Steel Truss Bridge over Jmba River at Km-01 of Sersha-Golpha-Bona Motor Road in District Pithoragarh. | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 837,805.00 | 0.00 | Under Implementation | | | | | 2023-03-15 | 2023-04-18 | 2023-03-20 | 2023-05-06 | | | 2023-05-01 | 2023-06-05 | 2023-07-14 | | 2023-08-18 | 2025-02-08 | |
| 06/BR/RFBI/UGRIDP/2023 / Construction of 4 No. Intermediate Lane RCC Bridge & Steel truss in km 3, 7, 11 & 14 at Nandprayag Chat Motor Road in Karanprayag District Chamoli. | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 1,059,756.00 | 0.00 | Under Implementation | | | | | 2023-03-15 | 2023-04-18 | 2023-03-20 | 2023-05-06 | | | 2023-05-01 | 2023-06-05 | 2023-07-14 | | 2023-08-18 | 2025-02-08 | |
| 07/BR/RFBI/UGRIDP/2023 / Construction of 84 M Span Motor Bridge over Pinder River at Kullari to Surau Motor Road & its approach in District Chamoli (EPC Mode). | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 1,971,951.00 | 0.00 | Under Implementation | | | | | 2023-03-15 | 2023-06-15 | 2023-03-20 | | | | 2023-05-01 | 2023-08-17 | 2023-07-14 | | 2023-08-18 | 2025-02-08 | |
| 08/BR/RFBI/UGRIDP/2023 / Construction of 150 M Span Motor Bridge over Ratmau River in Daluwala - Lalwala-Dhanau Motor Road in District Haridwar (EPC Mode). | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 1,967,073.00 | 0.00 | Under Implementation | | | | | 2023-03-15 | 2023-06-15 | 2023-03-20 | | | | 2023-05-01 | 2023-08-17 | 2023-07-14 | | 2023-08-18 | 2025-02-08 | |
| 09/BR/RFBI/UGRIDP/2023 / Construction of 3 Nos. [24/48/48 M] Span motor bridges & their approaches in Km-2 of Ujjawarpur to Gwad Dungi Jaspur Motor Road, over Meeng Gadera in Km-1 of Gadhani Motor Road and in Km-2 of Gairain to village Dewellit: Motor Road District Chamoli-EPC | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 1,692,500.00 | 0.00 | Under Implementation | | | | | 2023-08-01 | 2023-06-15 | 2023-08-06 | | | | 2023-09-17 | 2023-08-17 | 2023-11-30 | | 2024-01-04 | 2025-06-27 | |
| 10/BR/RFBI/UGRIDP/2023 / Construction of 2 Nos. (80/90 M) Span Pedestrian Bridges & their approaches over Kel river at Supligad, Block- Deval and on Pathani Garlot Bridge Road, Block- Narayanbagar District Chamoli - EPC | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 1,662,500.00 | 0.00 | Canceled | | | | | 2023-08-01 | 2023-06-15 | 2023-08-06 | | | | 2023-09-17 | 2023-08-17 | 2023-11-30 | | 2024-01-04 | 2025-06-27 | |
| 11/BR/RFBI/UGRIDP/2023 / Construction of 65M Span single lane Steel Truss Pedestrian Bridge at Gandaithali village to Uchholgoth village Block- Champawat, District Champawat. | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 402,500.00 | 0.00 | Under Implementation | | | | | 2023-08-01 | 2023-05-25 | 2023-08-06 | 2023-06-21 | | | 2023-09-17 | 2023-07-04 | 2023-11-30 | | 2024-01-04 | 2024-12-29 | |
| 12/BR/RFBI/UGRIDP/2023 / Construction of 75M Span single lane Steel Truss Pedestrian Bridge at gram panchayat Chauramehta to Gurudwara, Block- Pati District Champawat. | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 821,250.00 | 0.00 | Under Implementation | | | | | 2023-08-01 | 2023-05-24 | 2023-08-06 | 2023-06-21 | | | 2023-09-17 | 2023-07-04 | 2023-11-30 | | 2024-01-04 | 2025-03-29 | |
| 13/BR/RFBI/UGRIDP/2023 / Construction of 120M span double lane R.C.C. Prestress concrete bridge over Sher Nala in Km-82 of Ramnagar - Kaldhungi - Haldwan - Kathgodam - Chorgalia - Sitarganj - Bijli Motor Road, Block- Haldwani District Nainital. | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 1,241,250.00 | 0.00 | Under Implementation | | | | | 2023-08-01 | 2023-05-25 | 2023-08-06 | 2023-06-21 | | | 2023-09-17 | 2023-07-04 | 2023-11-30 | | 2024-01-04 | 2025-06-27 | |
| 14/BR/RFBI/UGRIDP/2023 / Construction of 90m Span Pedestrian Bridge & its approach road over Kotigad near Tikochi Market, Block- Mori District Uttarkashi - EPC | / | | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | | Other (with field for explanation) | 1,301,250.00 | 0.00 | Under Implementation | | | | | 2023-08-01 | 2023-06-15 | 2023-08-06 | | | | 2023-09-17 | 2023-08-17 | 2023-11-30 | | 2024-01-04 | 2025-06-27 | |

| INDIVIDUAL CONSULTANTS | | | | | | | | | | | | | | | | | | | |
|---|-------------------|-----------|-------------|---------------------------------|-----------------|---------------|-------------------------|----------------------|------------------------|--------------------|------------|--|------------|---------------------------|------------|-----------------|--------|---------------------|--------|
| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identify/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| 02/IC/PMU/UDRP-AF/2022-23 / Hiring of individual consultant (Bridge Design) for readiness of UGRIDP | / | | Post | Individual Consultant Selection | Limited | | 4,400.00 | 0.00 | Pending Implementation | 2023-03-16 | | 2023-03-21 | | 2023-03-31 | | 2023-05-05 | | 2023-08-09 | |
| 03/IC/UDRPAF/2022-23 / Hiring of Individual Consultant (Estimation) for readiness of UGRIDP | / | | Post | Individual Consultant Selection | Limited | | 4,400.00 | 0.00 | Under Implementation | 2023-03-16 | 2023-04-01 | 2023-03-21 | 2023-04-06 | 2023-03-31 | 2023-04-28 | 2023-05-05 | | 2023-08-09 | |
| 05/IC/PMU/UDRP-AF/2023 / Selection of Individual Consultant (Forest Fire Suppression and Management Expert) for readiness of UGRIDP | / | | Post | Individual Consultant Selection | Limited | | 5,250.00 | 0.00 | Under Implementation | 2023-05-31 | 2023-07-07 | 2023-06-05 | 2023-09-07 | 2023-06-10 | | 2023-06-20 | | 2023-09-30 | |
| 04/IC/PMU/UDRP-AF/2023 / Selection of individual consultant - Disaster management Specialist | / | | Post | Individual Consultant Selection | Limited | | 15,000.00 | 0.00 | Under Implementation | 2023-06-20 | 2023-06-30 | 2023-06-25 | | 2023-07-16 | | 2023-08-20 | | 2023-09-30 | |
| 05/IC/PMU/UPREPARE/2023 / Hiring of Social Expert for UDRP-AF and U-PREPARE | / | | Post | Individual Consultant Selection | Limited | | 12,000.00 | 0.00 | Under Implementation | 2023-09-13 | 2023-09-21 | 2023-09-18 | | 2023-09-21 | | 2023-10-01 | | 2024-09-30 | |