



Integrated Safeguards Data Sheet Identification / Concept Stage (ISDS)

Concept Stage | Date ISDS Prepared/Updated: 23-Apr-2018 | Report No: ISDSC24007



BASIC INFORMATION

A. Basic Project Data

Project ID	Project Name	Environmental Category	Country
P166648	Strengthening DRM Capacity in ECCAS	B - Partial Assessment	Central Africa
Team Leader(s)	Estimated Date of Approval	Managing Unit	Financing Instrument
Prashant Singh		GSU13	Investment Project Financing

Public Disclosure Copy

Financing (in USD Million)

SUMMARY

Total Project Cost	0.00
Total Financing	0.00
Financing Gap	0.00

DETAILS

B. Project Development Objective(s)

The development objective is to strengthen DRM coordination, planning and policy advisory capacity of the ECCAS through regional collaboration and knowledge dissemination capacity of ECCAS. The project will accelerate the effective implementation of a comprehensive disaster risk reduction and risk management framework in the ECCAS region.

C. Project Description

The project is part of the European Union (EU) Africa, Caribbean and Pacific (ACP) cooperation program “**Building Disaster Resilience to Natural Hazards in Sub-Saharan African Regions, Countries and Communities**”, so-called “Building Disaster Resilience in SSA” financed under the 10th European Development Fund (EDF). The overall objective of this program is to **strengthen the resilience of sub-Saharan African regions, countries and communities to the impacts of natural disasters**, including the potential impacts of climate change, to reduce poverty and promote sustainable development. To achieve this objective, five Result areas[1] were identified, to be implemented by several partners, including the African Development Bank (AfDB), African Union Commission (AUC), the United Nations International



Strategy for Disaster Reduction (UNISDR) and the Global Facility for Disaster Reduction and Recovery (GFDRR), managed by the World Bank.

Result area 2 – “R2 - African Regional Economic Communities (RECs) have disaster risk reduction (DRR) coordination, planning and policy advisory capacities operational to support their respective member states and regional and sub-regional programs” – is implemented by the GFDRR through an additional EU contribution of EUR 20 million to the ongoing “ACP-EU Natural Disaster Risk Reduction (NDRR) Single Donor Trust Fund (SDTF) in its regional/sub-regional component (Window 1), with a particular focus on sub-Saharan Africa.

The **specific objective** of Result 2 is to **strengthen and accelerate the effective implementation of an African comprehensive disaster risk reduction and risk management framework at regional level**. This will be achieved by providing targeted support to African RECs to strengthen their capacities for improved coordination, planning, policy advisory and knowledge dissemination to advance the regional Disaster Risk Management (DRM) agenda and better support their respective member states in taking informed decisions in building resilience to disasters. Activities are supporting and are aligned with the strategic approach articulated by the AUC’s Program of Action for the Implementation of the Sendai Framework for Disaster Risk Reduction 2015-2030 in Africa - PoA, the RECs strategies and the Sendai Framework for Disaster Risk Reduction. Special attention will be given to indigenous peoples and local communities who are especially vulnerable to, and disproportionately impacted by, climate change and natural disasters. They also play an important role in climate mitigation and adaptation. The role for traditional/local knowledge is also to be included in such strategies as stipulated in the Sendai Framework.

Result 2 is targeting four African RECs, namely the **Economic Community for Central African States (ECCAS)**; the **Economic Community of West African States (ECOWAS)**; the **Intergovernmental Authority on Development (IGAD)**; the **Southern African Development Community (SADC)**, as well as their key partners such as African universities and research centers, river basin authorities or technical organizations.

The ECCAS specific project will be implemented in two phases - the first phase is from the effectiveness of this Grant till December 31, 2019 and the second phase will be from 1st January 2019 to 31 December 2019. This Recipient-Executed grant complements an existing Bank-Executed project (P154341). The Bank-Executed project has the same components as the Recipient-Executed but implements different complementary activities under the same program. The total budget available for ECCAS activities is US\$ 5 million among which US\$ 2,205,000 will be Recipient Executed.

The following section describes the five components of the project with corresponding budget and the respective implementation as Recipient (including phase 1 for the present project) and Bank executed activities.

Component 1: Regional Hazards/vulnerability identification and Risk mapping (RE US\$200,000 with no allocation in phase 1; BE US\$620,000)



This component will first provide ECCAS with a regional hazards/vulnerability identification and risks mapping with a focus on major trans-boundary hazards. A geoportal “Water and Disaster risks” combining the ECCAS Water Information System and the regional risk information database will be developed as well as a regional Atlas. A pilot project will be further developed to address trans-boundary flood risks, including EWS and a Contingency Planning simulation.

Intermediate Result Indicators:

- A Database is developed and uploaded, and geo portal is operational, and atlas published
- A Regional validation workshop has been organized
- At least one contingency plan for transboundary flood risks is developed
- ECCAS Expert is trained on Geoportal and GIS

Component 2: Capacity Building for Disaster Risk Reduction/Climate Change Adaptation (DRR/CCA) (RE US\$580,000 including phase 1: US\$430,000; BE US\$960,000)

This component will mainly provide support to ECCAS in (i) reinforcing member states capacity building and institutional strengthening ensuring that member states capacity on DRM/CCA is enhanced and awareness is raised to improving DRM measures implementation, (ii) building regional practice research and capacity building collaboration with Academic/Technical centers of excellence, including internships for students, (iii) creating a DRM/CCA website page to strengthen communication on DRR on the ECCAS, develop communication tools, and (iv) supporting Gender mainstreaming of ECCAS Gender Action Plan in the DRR/CCA plan of Action.

Intermediate Result Indicators:

- At least 3 students have done internships within ECCAS HQ
- At least 8 countries have received support on office equipment and human capacity improvement and training for users
- Training modules on DRM and CCA are developed and conducted for ECCAS Sct and MS
- Communication tools and developed and disseminated, Social media communication campaigns are developed
- A web site page for DRM/CCA is developed
- At least 5 ECCAS FP have been facilitated on knowledge exchange missions for national platform or coordination mechanisms
- Gender action plan mainstreamed in national strategies and plans

Component 3: Strengthening of Policy Dialogue and legislation on DRM (RE US\$425,000 including phase 1: US\$265,000; BE US\$650,000).

The component will include the review of national and regional policies and legislations on disaster risk reduction and climate change adaptation to promote regional harmonization and coordination at regional level. Workshops and seminars on sensitization of ECCAS Parliamentary Network for Disaster Resilience



(REPARC) will be organized to promote DRR in National legislations; an ECCAS Hydromet Forum will be organized; and Central Africa DRR Platform events will also be organized under this component.

Intermediate Result Indicators:

- An action plan for modernization of hydromet services at regional and national levels is developed
- At least 4 countries have their strategies drafted or aligned to SF
- REPARC is reinforced and DRM advocacy is made in MS national parliaments

Component 4: Enhance Regional Capacity for Preparedness, Response & Post Disaster Assessment and Recovery/Reconstruction Planning (RE US\$200,000 including phase 1: US\$100,000; BE US\$563,000)

The component will consist of enhancing ECCAS coordination capacities thru training national sectoral experts on assessment, planning and coordination of post-disaster needs and recovery framework, develop national contingency plans and undertake a study on ECCAS Regional and Member States' hydromet and flood/drought Early warning systems and services.

Intermediate Result Indicators:

- At least 4 PDNA/DRF trainings have been undertaken
- At least 2 countries have developed National contingency plans and validated it
- A detailed Regional Technical Report is produced on HydroMet EWS institutions and capacities and a clear set of recommendations for strengthening is provided

Component 5: Implementation technical and coordination capacity at the ECCAS Secretariat is strengthened (RE US\$802,000 including phase 1: US\$470,000)

- The component will include the general administrative costs of the Project Implementation Unit, staffing and equipment, including a Senior DRM Specialist, an Administrative and Financial Specialist, a Procurement Specialist, an Accountant, a DRM Junior expert and a Monitoring and Evaluation Specialist.

Intermediate Result Indicators:

- The PIU is set up and operational
- Trainings for Administrative and Financial Specialist and a Procurement Specialist have been undertaken

[1] R1 - Extended Programme of Action for the Implementation of the Africa Regional Strategy for Disaster Risk Reduction operational (PoA). To be implemented by the AUC and the UNISDR.



R2 - African Regional Economic Communities (RECs) have DRR coordination, planning and policy advisory capacities operational to support their respective member states and regional and sub-regional programs. To be implemented by the GFDRR.

R3 - Core capacities of the specialized national and Regional Climate Centers (RCCs) are improved, to meet the needs of DRM agencies and socio-economic sectors for effective use of weather and climate services and Community-focused and real-time Early Warning Systems (EWS). To be implemented by the AfDB.

R4 - African countries have improved knowledge of risks through, the compilation of historical disaster related data to inform the assessment and modelling of future risks. To be implemented by the UNISDR.

R5 – Multi-risk financing strategies are developed at regional, national and local levels to help African countries make informed decisions and to mitigate the socio-economic, fiscal and financial impacts of disasters. To be implemented by the GFDRR.

Public Disclosure Copy

SAFEGUARDS

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The project will be implemented at and through the secretariat of the ECCAS based in Libreville, Gabon. However, the eleven ECCAS member states are beneficiaries, covering a population of around 181 million inhabitants. ECCAS covers an area of 6,667,421 sq. km (around 22% of Africa) and the dominant land cover is tropical rainforest. The key regional environmental challenges are land degradation, drought, climate change integration into sectoral policies, desertification and high-water demand pressure for multiple uses. Key regional social challenges relate to inclusion of the forest dependent communities in decision-making who live near, occupy or rely these forests for their material, social and physical well-being.

E. Borrower's Institutional Capacity for Safeguard Policies

ECCAS adopted in 2017 a General Policy on environment and natural resources management. The fight against desertification, drought, land degradation, and climate change are imbedded in this Policy. In 2009, three experts were hired: (i) Environmental economics and value of biodiversity expert; (ii) Forest Economic and sustainable management of forests expert; (iii) fisheries resources and marine ecosystems expert. The environmental expert is no longer in service. The Environmental economics and Biodiversity experts will oversee monitoring and managing environmental issues, including safeguards compliance. ECCAS has implemented a Bank financed project but the DRM Unit has no experience of Bank financed projects. The Bank-funded Comprehensive Africa Agriculture Development Program (CAADP) closed in December 2015. For both project development objective and overall implementation, it was rated "moderately satisfactory".



The design of the current project has benefited from the CAADP experience. ECCAS’s capacity to address and manage environmental and social safeguard related issues remains limited. To bridge this gap, the Project will establish a Project Implementation Unit (PIU) to support implementation of the Project, and will strengthen the capacity of ECCAS environmental economic and natural management experts to take care of safeguards and ecosystem services during project implementation. There will be an agreement with the client on the process to address environmental and social issues associated with implementation. This agreement will be in the form of an agreed TOR and will be ensure that review of policies, strategies and plan of actions and legislations: - captures the consideration of ecosystem services in the context of hazard and risk assessment; - considers relevant Strategic Environmental and Social Assessment (SESA) and Environmental and Social Impact Assessment (ESIA) as decision-making tools for mainstreaming DRM strategies and measures into policies, plans and projects; - considers the guiding principles (C & H) set out in the Sendai Framework, which underscores opportunities for environment and social inclusion in the context of disaster prevention or reduction; - involves adequate consultation with and engagement of key stakeholders; - properly mainstreams occupational safety, environmental and social safeguards considerations.

F. Environmental and Social Safeguards Specialists on the Team

Alexandra C. Bezeredi, Social Safeguards Specialist

Cyrille Valence Ngouana Kengne, Environmental Safeguards Specialist

G. Policies that might apply

Safeguard Policies Triggered by the Project	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	The project will not include any civil works. In addition, the pilot project that will be developed to transboundary flood risks, including EWS and a Contingency Planning simulation will no entail civil works. Studies on ECCAS Regional and Member States' hydromet and flood/drought Early warning systems and services, will not be used for implementing any physical works. The TA lead to the completion of technical or engineering designs or other outputs in preparation for the construction of physical infrastructure. However, the TA will undertake a review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework. This will be an opportunity to incorporate environmental



		<p>and social considerations into the proposed review of national policies, strategies and plan of actions and legislations on DRR/CCA to align them with Sendai Framework.</p> <p>Because of the above explanation. Reviewed policies, strategies and legislations are likely to have environmental and social impacts when implemented through future DRR/CCA programs or projects. The OP/BP 4.01 is then triggered. The Project is classified as Category is B. However, the project will follow the “Interim Guidelines on the Application of Safeguard Policies to Technical Assistance (TA) Activities in Bank-Financed Projects and Trust Funds Administered by the Bank, dated January 2014. Particularly on Bank financed activities that may fall under the Interim Guidelines' Type 1 (Strengthening client capacity) and Type 2 (Assisting in formulation of policies, programs, plans, strategies or legal frameworks etc.), respectively.</p> <p>By appraisal, there will be an agreement/agreed ToR with the client on the need and process to incorporate environmental and social considerations into the review of national policies, strategies and plan of actions and legislations on DRR/CCA to align them with Sendai Framework</p>
Natural Habitats OP/BP 4.04	No	Review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework, will not lead to any detrimental effects on protected areas.
Forests OP/BP 4.36	No	Review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework, will not be used to promote commercial forest management activities and reforestation activities.
Pest Management OP 4.09	No	Not applicable.



Physical Cultural Resources OP/BP 4.11	No	Not applicable
Indigenous Peoples OP/BP 4.10	No	The project will not include any activities in areas where Indigenous Peoples are present, per the requirements of OP 4.10. The Review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework, will not lead to any adverse impacts on Indigenous Peoples and traditional local communities.
Involuntary Resettlement OP/BP 4.12	No	Not applicable
Safety of Dams OP/BP 4.37	No	Not applicable
Projects on International Waterways OP/BP 7.50	No	Although the project will contribute to address regional DRM challenges, it will not involve any works or studies, which impact international waterways in the sense of OP/BP 7.50
Projects in Disputed Areas OP/BP 7.60	No	Not applicable

H. Safeguard Preparation Plan

Appraisal stage ISDS required? Yes

a) Tentative target date for disclosing the appraisal stage ISDS

26-Apr-2018

b) Time frame for launching and completing the safeguard-related studies that may be needed.

ECCAS has already implemented a number of World Bank financed projects in the previous years but the DRM Unit has not yet managed a World Bank financed project. ECCAS’s capacity to address and manage environmental and social safeguard related issues remains limited. To bridge this gap, the project will establish a small Project Implementation Unit (PIU) to support the implementation of the project, and will strengthen the capacity of ECCAS environmental economic and natural management experts to take care of safeguard and ecosystem service relevant issues during project preparation and implementation. There will be an agreement with the client on the need and process to address environmental and social issues associated with the results of the TA. Such an agreement will take the form of an agreed TOR and will be set to make sure that review of national policies, strategies and plan of actions and legislations:

- Strengthening of the capacity of ECCAS environmental economic and natural management experts to take care of safeguards and ecosystem service relevant issues during project preparation and implementation. This training will be delivered during the establishment of Project Implementation Unit (PIU).
- By appraisal, there should be an agreement/agreed ToR with the client on the need and process to incorporate environmental and social considerations into the proposed review of national policies, strategies and plan of actions and legislations on DRR/CCA to align them with Sendai Framework. A stakeholder consultation and strategic



communications plan will also be prepared and approved prior to appraisal. • The above-mentioned ToR will be set to make sure that review of national policies, strategies and plan of actions and legislations: o captures the consideration of ecosystem services in the context of hazard and risk assessment; o considers if relevant Strategic Environmental and Social Assessment (SESA) and Environmental and Social Impact Assessment (ESIA) as decision-making tools for mainstreaming hazard and disaster risk reduction strategies and measures into policies, plans and projects; o considers the guiding Principles (C & H) set out in the Sendai Framework, which underscores opportunities for Environment in the context of disaster prevention or reduction; o involves adequate consultation and engagement of key stakeholders; o properly mainstreams occupational safety and safeguards considerations.

APPROVALS

Team Leader(s):	Prashant Singh
-----------------	----------------

Approved By

Safeguards Advisor:	Maman-Sani Issa	23-Apr-2018
Practice Manager/Manager:	Bernice K. Van Bronkhorst	23-Apr-2018

Public Disclosure Copy

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) by the Bank and (ii) in country by the Borrower/Recipient, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.