



Integrated Safeguards Data Sheet

Appraisal Stage

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I. BASIC INFORMATION

1. BASIC PROJECT DATA

Project ID	Project Name
P166648	Strengthening DRM Capacity in ECCAS
Task Team Leader(s)	Country
Prashant Singh	Central Africa
Approval Date	Environmental Category
29-Jun-2018	B-Partial Assessment
Managing Unit	Is this a Repeater project?
GSU13	No

PROJECT FINANCING DATA (US\$, Millions)

SUMMARY

Total Project Cost	1.27
Total Financing	1.27
Financing Gap	0.00

DETAILS

Non-World Bank Group Financing

Trust Funds	1.27
Global Facility for Disaster Reduction and Recovery	1.27

2. PROJECT DEVELOPMENT OBJECTIVE

Project Development Objective

The development objective is to strengthen DRM coordination, planning and policy advisory, and technical capacity of the ECCAS and its member states. This would be achieved through regional collaboration, knowledge dissemination and capacity development of ECCAS and its member states.



3. PROJECT DESCRIPTION

1. Within the Result Area 2, the ECCAS project will be implemented through Bank executed and Recipient executed implementation. It will be implemented in two phases, the first phase is from the effectiveness of this Grant till December 31, 2019 and the second phase will be from 1st January 2019 to 31 December 2019. This Recipient-Executed grant complements an existing Bank-Executed project (P154341). The Bank-Executed project has the same components as the Recipient-Executed but implements different complementary activities under the same program. The total budget available for ECCAS activities is US\$ 5 million among which US\$ 2,205,000 has been identified for Recipient Execution at this stage. The current grant is proposed as the Phase I of the recipient-executed activities, totaling \$1.265 million. This Project Paper presents the comprehensive program outlook of proposed components comprising both Bank and Recipient execution for the sake of maintaining the overall design and objectives of the project which is implementing through concomitant operation of Bank and Recipient executed funds. However, the results framework focuses on the specific results that will be monitored and measured as part of the performance of this specific grant.
2. The following describes the five proposed components of the project with corresponding budget and the respective implementation as Recipient (including phase 1 for the present project) and Bank executed activities.
3. Component 1 - Regional Hazards/vulnerability identification and Risk mapping (RE US\$200,000 with no allocation in phase 1; BE US\$620,000). This component will first provide ECCAS with a regional hazards/vulnerability identification and risks mapping with a focus on major trans-boundary hazards. A geoportal "Water and Disaster risks" combining the ECCAS Water Information System and the regional risk information database will be developed as well as a regional Atlas. A pilot project will be further developed to address trans-boundary flood risks, including EWS and a Contingency Planning simulation.
 - a. Within the specific project phase 1 described in this project paper, no activities are foreseen under this component.
 - b. Intermediate Result Indicators under component 1 are:
 - A Database is developed and uploaded, and geo portal is operational, and atlas published
 - ECCAS Expert is trained on Geoportal and GIS
4. Component 2 - Capacity Building for Disaster Risk Reduction/Climate Change Adaptation (DRR/CCA) (RE US\$580,000 *including phase 1: US\$430,000*; BE US\$960,000): this component will mainly provide support to ECCAS in (i) reinforcing member states capacity building and institutional strengthening ensuring that member states capacity on DRM/CCA is enhanced and awareness is raised to improving DRM measures implementation, (ii) building regional practice research and capacity building collaboration with Academic/Technical centers of excellence, including internships for students, (iii) creating a DRM/CCA website page to strengthen communication on DRR on the ECCAS, develop communication tools, and (iv) supporting Gender mainstreaming of ECCAS Gender Action Plan in the DRR/CCA plan of Action.
 - a. Activities under phase 1 of this RE grant will focus on (1) reinforcing and building capacities on DRM/CCA in ECCAS member states by providing internships in ECCAS Secretariat for students at master level; (2) supporting Member states in capacity building and institutional strengthening; (3) supporting mainstreaming of Gender and other non-state consideration (vulnerable groups, youth, disabled and elderly) in DRR
 - b. Intermediate Result Indicators under component 2 are:
 - At least 3 students have done internships within ECCAS HQ
 - At least 8 countries have received support on office equipment and human capacity improvement and training for users
 - Training modules on DRM and CCA are developed and conducted for ECCAS Sct and MS
 - Communication tools and developed and disseminated, Social media communication campaigns are



developed

- A web site page for DRM/CCA is developed

5. Component 3 - Strengthening of Policy Dialogue and legislation on DRM (RE US\$425,000 *including phase 1: US\$265,000*; BE US\$650,000): this component will include the review of national and regional policies and legislations on disaster risk reduction and climate change adaptation to promote regional harmonization and coordination at regional level. Workshops and seminars on sensitization of ECCAS Parliamentary Network for Disaster Resilience (REPARC) will be organized to promote DRR in National legislations; an ECCAS Hydromet Forum will be organized; and Central Africa DRR Platform events will also be organized under this component.
 - a. Activities under phase 1 of this RE grant will focus on (1) supporting ECCAS DRM Unit participation to UNFCCC CoP-24 and 25, including presenting their DRM/CCA strategy and liaising with their member states; (2) providing support to the organization of the 7th and 8th Central Africa DRR Platform; (3) recruiting a Consultant firm to develop and align national strategies and plan of actions to Sendai Framework for DRR and review of national/regional policies and legislations on DRR/CCA; and (4) strengthening the ECCAS Parliamentary Network for Disaster Resilience (REPARC) and organizing a sensitization seminar/workshop on DRR/CCA International and regional frameworks and stakes.
 - b. Intermediate Result Indicators under component 3 are:
 - An action plan for modernization of hydromet services at regional and national levels is developed
 - At least 4 countries have their strategies drafted or aligned to SF
 - REPARC is reinforced and DRM advocacy is made in MS national parliaments
6. Component 4 - Enhance Regional Capacity for Preparedness, Response & Post Disaster Assessment and Recovery/Reconstruction Planning (RE US\$200,000 *including phase 1: US\$100,000*; BE US\$563,000): this component will consist of enhancing ECCAS coordination capacities thru training national sectoral experts on assessment, planning and coordination of post-disaster needs and recovery framework, develop national contingency plans and undertake a study on ECCAS Regional and Member States' hydromet and flood/drought Early warning systems and services.
 - a. Activities under phase 1 of this RE grant will focus on training of national sectoral experts on post-disaster assessment, planning and coordination of needs and recovery framework.
 - b. Intermediate Result Indicators under component 4 are:
 - At least 4 PDNA/DRF trainings have been undertaken
 - A detailed Regional Technical Report is produced on HydroMet EWS institutions and capacities and a clear set of recommendations for strengthening is provided
7. Component 5 - Implementation technical and coordination capacity at the ECCAS Secretariat is strengthened (RE US\$802,000 *including phase 1: US\$470,000*): this component will include the general administrative costs of the Project Implementation Unit, staffing and equipment, including a Senior DRM Specialist, an Administrative and Financial Specialist, a Procurement Specialist, an Accountant, a DRM Junior expert and a Monitoring and Evaluation Specialist.
 - a. This component will be entirely implemented under the RE grant for the PIU as describe above and will include training activities in particular for administrative, financial and a procurement Specialists.
 - b. Intermediate Result Indicators under component 5 are:
 - The PIU is set up and operational

4. PROJECT LOCATION AND SALIENT PHYSICAL CHARACTERISTICS RELEVANT TO THE SAFEGUARD ANALYSIS (IF



KNOWN)

The project will be implemented at and through the secretariat of the ECCAS based in Libreville, Gabon. However, the eleven ECCAS member states are beneficiaries, covering a population of around 181 million inhabitants. ECCAS covers an area of 6,667,421 sq. km (around 22% of Africa) and the dominant land cover is tropical rainforest. The key regional environmental challenges are land degradation, drought, climate change integration into sectoral policies, desertification and high-water demand pressure for multiple uses. Key regional social challenges relate to inclusion of the forest dependent communities in decision-making who live near, occupy or rely these forests for their material, social and physical well-being.

5. ENVIRONMENTAL AND SOCIAL SAFEGUARDS SPECIALISTS ON THE TEAM

Alexandra C. Bezeredi, Social Safeguards Specialist

Cyrille Valence Ngouana Kengne, Environmental Safeguards Specialist

6. SAFEGUARD POLICIES TRIGGERED

Safeguard Policies	Triggered	Explanation
Environmental Assessment OP/BP 4.01	Yes	The project will not include any civil works. In addition, the pilot project that will be developed to transboundary flood risks, including EWS and a Contingency Planning simulation will not entail civil works. Studies on ECCAS Regional and Member States' hydromet and flood/drought Early warning systems and services, will not be used for implementing any physical works. The TA lead to the completion of technical or engineering designs or other outputs in preparation for the construction of physical infrastructure. However, the TA will undertake a review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework. This will be an opportunity to incorporate environmental and social considerations into the proposed review of national policies, strategies and plan of actions and legislations on DRR/CCA to align them with Sendai Framework. Because of the above explanation. Reviewed policies, strategies and legislations are likely to have environmental and social impacts when implemented through future DRR/CCA programs or projects. The OP/BP 4.01 is then triggered. The Project is classified as Category B. However, the project will follow the "Interim Guidelines on the Application of Safeguard Policies



to Technical Assistance (TA) Activities in Bank-Financed Projects and Trust Funds Administered by the Bank, dated January 2014. Particularly on Bank financed activities that may fall under the Interim Guidelines' Type 1 (Strengthening client capacity) and Type 2 (Assisting in formulation of policies, programs, plans, strategies or legal frameworks etc.), respectively. on May 15, 2018, the Bank and the Borrower reached an agreement/agreed ToR on the need and process to incorporate environmental and social considerations into the review of national policies, strategies and plan of actions and legislations on DRR/CCA to align them with Sendai Framework.

Natural Habitats OP/BP 4.04	No	Review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework, will not lead to any detrimental effects on protected areas.
Forests OP/BP 4.36	No	Review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework, will not be used to promote commercial forest management activities and reforestation activities
Pest Management OP 4.09	No	Not applicable.
Physical Cultural Resources OP/BP 4.11	No	Not applicable.
Indigenous Peoples OP/BP 4.10	No	The project will not include any activities in areas where Indigenous Peoples are present, per the requirements of OP 4.10. The Review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework, will not lead to any adverse impacts on Indigenous Peoples and traditional local communities.
Involuntary Resettlement OP/BP 4.12	No	Not applicable
Safety of Dams OP/BP 4.37	No	Not applicable
Projects on International Waterways OP/BP 7.50	No	Although the project will contribute to address regional DRM challenges, it will not involve any works or studies, which impact international waterways in the sense of OP/BP 7.50
Projects in Disputed Areas OP/BP 7.60	No	Not applicable

II. KEY SAFEGUARD POLICY ISSUES AND THEIR MANAGEMENT



A. SUMMARY OF KEY SAFEGUARD ISSUES

1. Describe any safeguard issues and impacts associated with the Restructured project. Identify and describe any potential large scale, significant and/or irreversible impacts.

The project will not include any civil works. However, the TA will undertake a review of national policies, strategies and plan of actions and legislations on DRR/CCA to align with Sendai Framework. Reviewed policies, strategies and plan of actions are likely to have environmental and social impacts when implemented through future interventions.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area.

TA will have more diffuse and induced potential positive indirect impacts, often playing out over a longer term if the TA effectively incorporates environmental and social considerations into the proposed review of national policies, strategies and plan of actions and legislations on DRR/CCA to align them with Sendai Framework.

3. Describe any potential alternatives (if relevant) considered to help avoid or minimize adverse impacts.

The OP/BP 4.01 was triggered. The Project is classified as Category is B. The project follows the “Interim Guidelines on the Application of Safeguard Policies to Technical Assistance (TA) Activities in Bank-Financed Projects and Trust Funds Administered by the Bank, dated January 2014. To mitigate the above mentioned potential impacts, the Borrower and the Bank reached on May 15, 2018 an agreement (agreed ToR) on the need and process to incorporate environmental and social considerations into the review of national policies, strategies and plan of actions and legislations on DRR/CCA to align them with Sendai Framework.

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.

ECCAS adopted in 2017 a General Policy on environment and natural resources management. The fight against desertification, drought, land degradation, and climate change are imbedded in this Policy. In 2009, three experts were hired: (i) Environmental economics and value of biodiversity expert; (ii) Forest Economic and sustainable management of forests expert; (iii) fisheries resources and marine ecosystems expert. The environmental expert is no longer in service. The Environmental economics and Biodiversity experts will oversee monitoring and managing environmental issues, including safeguards compliance. ECCAS has implemented a Bank financed project but the DRM Unit has no experience of Bank financed projects. ECCAS’s capacity to address and manage environmental and social safeguard related issues remains limited. To bridge this gap, the Project will establish a Project Implementation Unit (PIU) to support implementation of the Project, and will strengthen the capacity of ECCAS environmental economic and natural management experts to take care of safeguards and ecosystem services during project implementation.

5. Identify the key stakeholders and describe the mechanism for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

B. DISCLOSURE REQUIREMENTS



Environmental Assessment/Audit/Management Plan/Other

Date of receipt by the Bank

15-May-2018

Date of submission for disclosure

15-May-2018

For Category 'A' projects, date of distributing the Executive Summary of the EA to the Executive Directors

"In country" Disclosure

C. COMPLIANCE MONITORING INDICATORS AT THE CORPORATE LEVEL

OP/BP/GP 4.01 - Environment Assessment

Does the project require a stand-alone EA (including EMP) report?

NA

The World Bank Policy on Disclosure of Information

Have relevant safeguard policies documents been sent to the World Bank for disclosure?

No

Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?

No

All Safeguard Policies

Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?

Yes

Have costs related to safeguard policy measures been included in the project cost?

Yes

Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?

Yes

Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?

Yes



III. APPROVALS

Task Team Leader(s)	Prashant Singh	
Approved By		
Safeguards Advisor		
Practice Manager/Manager	Bernice K. Van Bronkhorst	18-Jun-2018

Note to Task Teams: End of system generated content