

# INTEGRATED SAFEGUARDS DATA SHEET

## CONCEPT STAGE

**Report No.:** ISDSC8230

**Date ISDS Prepared/Updated:** 08-May-2014

**Date ISDS Approved/Disclosed:** 13-Jun-2014

### I. BASIC INFORMATION

#### A. Basic Project Data

<b>Country:</b>	Yemen, Republic of	<b>Project ID:</b>	P148747
<b>Project Name:</b>	Yemen Smallholder Agricultural Productivity Enhancement Project (SAPEP) (P148747)		
<b>Task Team Leader:</b>	Garry Charlier		
<b>Estimated Appraisal Date:</b>	20-Oct-2014	<b>Estimated Board Date:</b>	20-Mar-2015
<b>Managing Unit:</b>	MNSWA	<b>Lending Instrument:</b>	Investment Project Financing
<b>Sector(s):</b>	Crops (30%), Animal production (30%), Agricultural extension and research (20%), Irrigation and drainage (20%)		
<b>Theme(s):</b>	Rural services and infrastructure (80%), Rural non-farm income generation (20%)		
<b>Financing (In USD Million)</b>			
<b>Total Project Cost:</b>	41.30	<b>Total Bank Financing:</b>	0.00
<b>Financing Gap:</b>	0.00		
<b>Financing Source</b>			<b>Amount</b>
Borrower			5.30
Global Agriculture and Food Security Program			36.00
Total			41.30
<b>Environmental Category:</b>	B - Partial Assessment		
<b>Is this a Repeater project?</b>	No		

#### B. Project Objectives

The proposed development objective is to increase the adoption and use of productivity-enhancing crop and livestock practices by smallholders in targeted project areas.

#### C. Project Description

The proposed project, which will be implemented with a grant of US\$36 million from the Global Agriculture and Food Security Program (GAFSP) will consist of the following three components:

(I) Component 1: Community Sub-Projects and Investment Program (US\$30 million): The objective of this component is to support community sub-projects and investments that will protect land and water assets, thus contributing to crop and livestock productivity. Subprojects and investments will be selected and implemented through a community-based and participatory development approach. Proposals for subprojects will be developed by the target communities, with support from MAI branch offices, for approval by the project coordination unit within the central MAI office. Funding for approved projects will flow from the central MAI office to the branch offices, which will support the communities to implement the approved subprojects. Subprojects and investments will be undertaken in the following areas:

(a) Community land and water management: Community investments to be financed include small-scale infrastructure for soil and water management such as terraces, the construction of water-harvesting structures, and small-scale spate irrigation sub-projects. These activities will build on the successful water harvesting and small-scale spate improvement undertaken by MAI over the last fifteen years.

(b) Livestock production: Support under this activity would include promoting animal health by expanding the availability and reach of community animal health workers, improving livestock feeding through livestock extension and services, and supporting community-based activities such as bee-keeping.

(c) Community-based research and extension: The project would support community-based extension and research, with the aim of introducing or expanding improved rainfed staple and higher nutrition content and higher value crops. Investments under this activity would support village seed banks, demonstration plots, and production of agricultural inputs such as locally adapted seeds for higher-productivity cereals cultivation, building on the successful model of farmer-based seed improvement and management.

(d) Adding value to agriculture: This will include promoting community cooperatives and associations engaged in business development, horticulture marketing, selling improved livestock products, and other activities that improve the value of agricultural products.

(II) Component 2: Capacity Building and Institutional Strengthening (US\$4 million): The objective of this component is to support capacity building activities (through consultant services, goods, training, and non-consultant services) for communities, local and central government, and key stakeholders involved in service provision.

(a) Community organization, capacity building and participatory planning: The project would provide financing to support community mobilization and organization, community-based planning to prioritize investments, implementation and management. Building on mobilization initiatives that have been successful in a number of areas, the project will support training that will strengthen the overall capacity of producer groups and community-based organizations (cooperatives, community land and water management associations etc.) directly involved and supported in Component 1. In agricultural extension, nutrition themes will be enhanced and demand-side nutrition awareness will be targeted to women and households to complement household gardens and other measures to

diversify and improve diets.

(b) Capacity building and institutional strengthening for Government agencies, NGOs, and key stakeholders: Support under this activity will strengthen the capacity of services providers involved in implementing Component 1. It would include financing for consulting services and training that will: (i) strengthen capacity of Government agencies at central, regional and local levels for applied research, demonstration and training; (ii) develop capacity for community-based extension and farmer field schools; (iii) develop systems and capacity for multiplication and dissemination of improved landrace seeds; and (iv) develop capacity for public, NGO, academia and private services to provide support to community-based groups. At the national level, eligible activities would also build upon past successful livestock initiatives to strengthen national veterinary services (surveillance, diagnostic, vaccinations) and to establish a public-private partnership for veterinary services and inputs.

(III) Component 3: Program Administration, Monitoring and Evaluation (US\$2 million): This component would finance the provision of support to the Agricultural Improvement Program (AIP) of MAI as implementing agency of SAPEP through the provision goods, consultant services, training, non-consultant services, and incremental operating costs associated with the responsibility of coordination, administration and management of project implementation. This would include: (i) support to program management, coordination, and supervision, including fiduciary activities (procurement, financial management), environmental and social assessments, reporting and audits; (ii) strengthening MAI Branch Offices through training, equipment, technical assistance (including with deployment of male and female community mobilizers); (iii) monitoring and evaluation, including the cost of establishing and operating an M&E system for the project with adequate baseline and project impact assessment studies. Incremental costs to be financed include incremental contractual staff costs, office equipment and operations, operating cost of transport equipment and travel (domestic and international).

#### **D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

Under the GAFSP financing proposal, four governorates were selected for implementation of the project: Shabwa, Wadi-Hadramout (replacing Abyan on the initially-proposed list for security reasons), Hajja, and Sana'a. In the six-region federation that is proposed for Yemen, Shabwa, Wadi-Hadramout are now part of the new Hadramout region, Hajja is now part of the new Tehama region, and Sana'a is now part of the new Azal region. Final project sites will be selected at the District-level based on clear and transparent criteria including poverty levels, population density, opportunities, vulnerability to climate impacts, and implementation capacity.

#### **E. Borrowers Institutional Capacity for Safeguard Policies**

The Ministry of Agriculture and Irrigation (MAI) will implement the project. MAI will be responsible for project preparation, coordination, and implementation. To this end, MAI is planning to establish an Agriculture Improvement Program (AIP), similar to the National Irrigation Program (NIP), and supported by a Project Coordination Unit (AIP/PCU) with adequate staff (technical and subject specialists from the ministry) and administrative and fiduciary capacity (financial management, procurement, environmental and social safeguards, etc.) at both central and governorate levels. The AIP/PCU will be responsible for the day-to-day coordination and implementation of the proposed SAPEP project. At governorate level the AIP/PCU will implement the project activities through the Agricultural Offices or already existing Field Units (FUs) such as the ones established under NIP.

MAI has been the implementing agency for past and ongoing Bank-supported operations, including the Groundwater and Soil Conservation Project (GSCP), the Rainfed Agriculture and Livestock Project (RALP), and the Agro-biodiversity and Climate Adaptation Project (ACAP). Thus, MAI and its existing PCU has experience in supervising implementation of the Bank's environmental and social safeguards, however, their capacity on the application of safeguards policies will be further strengthened during implementation.

#### **F. Environmental and Social Safeguards Specialists on the Team**

Banu Setlur (MNSEE)

Chaogang Wang (MNSSU)

## **II. SAFEGUARD POLICIES THAT MIGHT APPLY**

<b>Safeguard Policies</b>	<b>Triggered?</b>	<b>Explanation (Optional)</b>
Environmental Assessment OP/ BP 4.01	Yes	Policy is triggered as the project will include small-scale infrastructure and activities for soil and water management such as terraces, construction of water harvesting structures (e.g. cisterns), and small-scale spate irrigation sub-projects. Activities will build on MAI's success in these areas over the last fifteen years. Since the locations of the sub-projects are not known at this stage, an Environmental and Social Management Framework (ESMF) including a checklist for the screening of sub-projects will be prepared and disclosed before project appraisal. Site/sub-project specific Environmental and Social Management Plan (ESMP) will be prepared during implementation and before implementation of sub-projects/ construction as required.
Natural Habitats OP/BP 4.04	No	Policy is not triggered as the project will not result in loss, conversion or degradation of natural habitats or critical natural habitats as defined by the policy.
Forests OP/BP 4.36	No	Policy does not apply as the project will not be implemented in any forested areas.
Pest Management OP 4.09	Yes	Policy is triggered as the project could include sub-projects relating to enhancement of agricultural productivity and introduction of high value crops, support seed banks and bee keeping. These activities could result in the use of pesticides and therefore OP4.09 is triggered, which will be covered within the scope of the ESMF. The ESMF will include a screening tool to identify subprojects that will need to prepare a simple integrated pest management plan

		(IPM). Such subprojects will prepare the IPM before they are approved for implementation. The Project will include relevant training at the field level such as in IPM, selecting disease free seeds, bee keeping, etc.
Physical Cultural Resources OP/BP 4.11	No	Policy is not triggered as the project will not be implemented in areas of cultural heritage sites. However, chance finds procedures will be included in EMP as is standard practice.
Indigenous Peoples OP/BP 4.10	No	Policy is not triggered as indigenous people as defined in the policy are not present in project areas.
Involuntary Resettlement OP/BP 4.12	No	Policy is not triggered. No involuntary resettlement is anticipated by the project. The project will not finance any activities which involve involuntary taking of land and involuntary restriction of legally designated parks and protected areas. Land for project activities will be free of squatters/encroachers. It is expected that lands needed for any subprojects will be in small scale and owned by the government. In case of private owned land, the project will manage to obtain the land through voluntary donation by local communities. The guidelines for safeguards screening, voluntary land donation and negative project list will be developed and included in the Operational Manual and the PAD, and a GRM for land donation will be put in place. The client will conduct due diligence to ensure that no involuntary settlements will take place. Verification of the voluntary nature of land donation will be obtained and reviewed by the task team.
Safety of Dams OP/BP 4.37	No	Policy is not triggered as the proposed community sub-projects will not include construction or rehabilitation of dams as defined by the policy.
Projects on International Waterways OP/BP 7.50	No	Policy is not triggered as the project will not undertake any activities in the catchment areas of international waterways and shared aquifers.
Projects in Disputed Areas OP/BP 7.60	No	Policy is not triggered as project activities will not be implemented in any disputed areas.

### III. SAFEGUARD PREPARATION PLAN

#### A. Tentative target date for preparing the PAD Stage ISDS: 10-Jun-2014

<sup>1</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

**B. Time frame for launching and completing the safeguard-related studies that may be needed.  
The specific studies and their timing<sup>1</sup> should be specified in the PAD-stage ISDS:**

An Environmental and Social Management Framework (ESMF) will be prepared and disclosed in-country and at the Bank's Infoshop prior to project appraisal.

**IV. APPROVALS**

Task Team Leader:	Name: Garry Charlier	
<b><i>Approved By:</i></b>		
Regional Safeguards Coordinator:	Name: Maged Mahmoud Hamed (RSA)	Date: 09-May-2014
Sector Manager:	Name: Steven N. Schonberger (SM)	Date: 13-Jun-2014