

**INTEGRATED SAFEGUARDS DATA SHEET**  
**APPRAISAL STAGE**

Report No.:ISDSA22013

**Date ISDS Prepared/Updated:** 03-May-2017

**Date ISDS Approved/Disclosed:** 20-Mar-2017

**I. BASIC INFORMATION**

**1. Basic Project Data**

<b>Country:</b>	Afghanistan	<b>Project ID:</b>	P147147
<b>Project Name:</b>	URBAN DEVELOPMENT SUPPORT PROJECT (P147147)		
<b>Task Team Leader(s):</b>	Sateh Chafic El-Arnaout, Hyoung Gun Wang		
<b>Estimated Appraisal Date:</b>	21-Mar-2017	<b>Estimated Board Date:</b>	13-Jun-2017
<b>Managing Unit:</b>	GSU12	<b>Financing Instrument:</b>	Investment Project Financing
<b>Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00(Rapid Response to Crises and Emergencies)?</b>			No
<b>Financing (In USD Million)</b>			
Total Project Cost:	20.00	Total Bank Financing:	20.00
Financing Gap:	0.00		
<b>Financing Source</b>			<b>Amount</b>
BORROWER/RECIPIENT			0.00
IDA Grant			20.00
Total			20.00
<b>Environmental Category:</b>	B - Partial Assessment		
<b>Is this a Repeater project?</b>	No		

**2. Project Development Objective(s)**

The Project Development Objectives are to (i) create an enabling policy framework and enhance urban policy making capacity in relevant agencies at the national level; and (ii) strengthen city planning, management and service delivery capacity in five Provincial Capital Cities (PCCs).

**3. Project Description**

The Project will provide technical assistance and training to national level urban policy makers, city level planners and technical personnel. It will help to develop an Urban Management Information System (UMIS) to support informed policy decision-making; capacity development through structured training on urban policy, land use planning, affordable housing policies and urban redevelopment, among other areas; specific urban diagnostic tools will be introduced at the local level, such as an Urban Audit, to diagnose deficiencies in current service delivery and to help develop strategies for responding. The project will also support participating municipalities in preparing strategic development plans to promote sustainability in future development. Each

city will have a Capital Investment Plan (CIP) prepared and priority investments will be developed into bankable, tender-ready projects through the Urban Development Support Project (UDSP)-supported feasibility and design studies under Component 4.

### Project Components

The Project design consists of five components to be implemented by the Ministry of Urban Development and Housing (MUDH) in close collaboration with the five participating municipalities of Herat, Jalalabad, Kandahar, Khost and Mazar-e-Sharif. This Project design builds on the premise that spatial planning, urban development and service provision require sound transversal management and strong vertical and horizontal coordination among various stakeholders concerned. This is essential in a context where major cities in Afghanistan are facing mounting socio-economic challenges coupled with tight fiscal constraints. The total estimated project cost is US\$20.00 million focusing on building the 4 Urban “I”s (i.e. Urban Information, Urban Institutions, Urban Integration and Urban Investments), as follows:

**Component 1- Urban Information: Building an Urban Management Information System (UMIS, US\$1.50 million).** This component will finance: (i) technical assistance to create the database and web architecture for the UMIS; (ii) design and implementation of surveys, and analysis of data gathered by municipalities; and (iii) annual data updates as well as maintenance of hardware and software of the UMIS.

**Component 2 – Urban Institutions: Institutional and Capacity Development (US\$4.50 million).** This component will finance: (i) implementation of an Urban Planning Functional Review of the urban planning functions and capacities of MUDH and other relevant agencies, as well as the planning functions and capacities under the participating PCCs, and the preparation of an Action Plan for technical assistance to be supported by UDSP over the course of its three year implementation period; (ii) technical assistance and advisory services for capacity development in MUDH and the Participating PCCs in select areas, including urban policies, procurement, contract management, financial management and internal audit, all in accordance with the functional review; (iii) preparation of a series of policy notes and strategy papers in four defined policy work streams as follows: (a) Planning and Land Use Management, (b) Urban Regeneration through public-private partnerships, (c) Affordable Housing, and (d) Municipal Finance; and (iv) provision of training through in-country structured training programs, as well as customized out-of-country group and individual training programs. This will include, inter alia, setting an urban policy agenda, urban redevelopment guidelines, zoning regulations, affordable housing policy, mechanisms that promote private-public partnerships in urban development, and municipal finance, as well as strategies for urban disaster risk management/resilience and in-country structured training programs and custom-designed out-of-country group and individualized training programs.

**Component 3 – Urban Integration: Strengthening Urban Planning at National and Local Levels (US\$5 million).** This component will integrate urban planning and development, supported by technical consultancies, goods, and information technology-related hardware and software, including training, for: (i) development of curriculum for urban planning practitioners; and (ii) preparation of local Strategic Development Plans (SDPs) at the five PCCs, taking into account an inventory of existing planning and land use conditions, land use efficiency and service provision. The component will include development of guidelines, manuals, tools and systems, including procurement of satellite imagery, for carrying out local planning functions. Each of the SDPs will be widely discussed with community groups through a series of workshops and will include a gender-based assessment of access to municipal services to identify specific gaps and options for addressing them in the SDP. This assessment and at least one priority project for women to be included in each participating city's SDP will be indicators monitored under UDSP.

**Component 4 – Urban Investments: Feasibility and Design Studies for Urban Infrastructure (US\$7 million).**

This Component will be implemented by MUDH in coordination with IDLG and the five participating PCCs to support the preparation of multi-year Capital Investment Plans (CIPs) linked to the SDPs and feasibility studies for both priority and catalytic projects in the five participating PCCs. Priority investments are "no regret" projects that can be implemented quickly that will be identified in consultation with local stakeholders. Catalytic investments will be identified under the SDPs and will help attract productive investments to the cities, aimed at supporting economic development and job creation.

UDSP will support sub-projects that protect and improve tangible urban built heritage and urban and city region eco-systems. All supportive infrastructure to the above will be part of the feasibility studies. This is of critical importance today given the formidable demographic, social and economic pressures being exerted on PCCs as a result of the massive and sudden influx of Afghan IDPs and returnees. As such, establishing a level-playing field for economic opportunities to both the host communities and the forcibly displaced population becomes a top urban policy priority. Only the detailed designs of priority investments would be financed under this component. These will be based on economic/financial analysis and alternative design considerations, with the aim of minimizing any potential social or environmental impacts and will pave the way for a follow-on performance-based "Cities Investment Program (CIP)" proposed for financing by the World Bank.

#### **4. Project location and Salient physical characteristics relevant to the safeguard analysis (if known)**

The Project will be focused on supporting national agencies based in Kabul and 5 participating municipalities of Herat, Jalalabad, Kandahar, Khost and Mazar-e-Sharif in regional provinces. No physical works will be financed under the project. Two areas identified where a focus on safeguards will be needed are the Project's support for land use planning, and for preparation of design and feasibility studies for priority infrastructure investments to improve service delivery. Both sets of activities will include in their TOR provisions for appropriate public consultations and for exploring and developing mitigation measures for any environmental or social safeguards risks that might emerge.

#### **5. Environmental and Social Safeguards Specialists on the Team**

Mohammad Arif Rasuli( GEN06 )

Mohammad Yasin Noori( GSU06 )

#### **6. Safeguard Policies That Might Apply**

<b>Safeguard Policies</b>	<b>Triggered?</b>	<b>Explanation (Optional)</b>
Environmental Assessment OP/BP 4.01	Yes	The Project is classified as Category B for environmental assessment purposes. Components 3 (Urban Planning) and 4 (Investment Feasibility and Design Studies) are foreseen to have potential downstream environmental and social impacts, for which an ESMF/RPF has been prepared as a guiding framework, including guidance on preparing ESMPs and RAPs as relevant.
Natural Habitats OP/BP 4.04	No	The Project is not foreseen to trigger this safeguard policy as it will be implemented in urban areas where natural habitats are not involved.
Forests OP/BP 4.36	No	The Project is not foreseen to trigger this safeguard policy as it will be implemented in urban areas where there are no forests.
Pest Management OP 4.09	No	The Project is not foreseen to trigger this safeguard

		policy as it does not involve pest management.
Physical Cultural Resources OP/BP 4.11	Yes	<p>This policy is triggered because the feasibility and design studies for critical infrastructure in the selected cities may cover the old city areas, which are rich in physical cultural resources. The ESMF includes screening provisions for evaluating potential impacts and provides specific guidance for the preparation of requisite cultural heritage management plans.</p> <p>The ESMF will also comprise guidelines for Chance Find Procedures according to national law.</p>
Indigenous Peoples OP/BP 4.10	No	This policy is not triggered as there are no Indigenous Peoples that meet the criteria of OP/BP 4.10 within the selected areas that could potentially benefit or be adversely affected by the Project's activities.
Involuntary Resettlement OP/BP 4.12	Yes	<p>This policy is triggered because the TA activities under components 3 &amp; 4 may result in downstream implications that could impact individuals and/or communities in different ways.</p> <p>Given that the precise details of all TA activities, particularly the preparation of feasibility and design studies for critical infrastructure under component 4, are unknown, an ESMF and Resettlement Policy Framework (RPF) were prepared to guide preparation of ESMPs and RAPs (where needed). Any policies that may be developed that might have a social impact will be assessed as part of the TORs of the Consultants. Participating municipalities will prepare and implement ESMPs and RAPs (where needed) as part of the Feasibility/Design Studies for critical infrastructure investments under Component 4.</p> <p>The ESMF contains the requisite comprehensive Terms of Reference (TORs) for the ESMPs and RAPs. ESMF consultations with stakeholders, including the participating municipalities were conducted on February 13, 2017 in Kabul and minutes from consultation are included in the final ESMF. TORs for ESMPs and RAPs will be disclosed and consulted on in the cities where feasibility studies are carried out that warrant the use of these safeguard instruments prior to implementing the feasibility studies. The ESMF and RPF were disclosed in-country on MUDH and at the participating municipalities' websites on March 18, 2017, and at the WB's external website prior to project appraisal.</p>

Safety of Dams OP/BP 4.37	No	This safeguard policy was not triggered, as Dams are not within the scope of the Project.
Projects on International Waterways OP/BP 7.50	No	This safeguard policy was not triggered, as the Project does not address any international waterways.
Projects in Disputed Areas OP/BP 7.60	No	This safeguard policy was not triggered, as there are no disputed areas within the Project scope.

## II. Key Safeguard Policy Issues and Their Management

### A. Summary of Key Safeguard Issues

#### **1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:**

The Project is fully devoted to providing critical training and technical assistance to targeted national agencies and local governments. It involves no physical works and has no footprint. However, it involves developing strategic development plans and land use plans at the city level, which will have a bearing on future physical works. As such, all planning elements of the project will be subjected to public consultations, as specific stages and tasks in the consultant TORs are implemented. In keeping with international good urban planning practices. This provision will be assured through the World Bank team's review of draft TORs and monitoring of consultant service deliverables to ensure compliance with the TORs.

#### **2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:**

Physical planning is likely to have downstream impacts on areas that will benefit from prepared infrastructure investments, as well as proposed new land uses. These will be the focus of the planned community consultations under the project. Land use planning carried out under the project will need to be formally reviewed, presented and adopted by the local councils in consultation with their communities.

#### **3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.**

The project is designed to set the stage for an ambitious investment program over time. Investments were explicitly left out of the project design to ensure simplicity of project design and a concerted focus on planning and preparing high priority investment projects that will require performance-based measures to access financing at a later stage by local governments.

#### **4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.**

MUDH has experience in implementing World Bank investment projects and the Bank's guidelines on social and environmental safeguards were reviewed at the launch workshop for the Project Preparation Grant. The Borrower intends to hire a dedicated Environmental and Social Safeguards Specialist (ESSS) at MUDH to follow up on all social and environmental safeguards issues. The ESSS will be providing inputs to the quarterly UDSP progress report, which will be reviewed and monitored by the World Bank team. MUDH will ensure inclusion in the TORs of consulting firms preparing feasibility and design studies provisions for preparing ESMPs and RAPs in the event that relevant social and environmental safeguards are triggered. Because there are no physical works to be financed under the project, the Project design ensures that implementation risks are limited to the planning phase only.

#### **5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.**

The main stakeholders for the Project are MUDH, participating municipalities and the residents of the five participating PCCs. Specific project design measures adopted to ensure consultation include formal staged

public consultations for all key phases of the land use planning elements of the project. In addition, MUDH, with support from the team ESSS, will set up a Grievance Redress Mechanism, so that any community that wishes to voice its views about the Project may do so through a transparent and clear mechanism. All TORs for feasibility and design studies will include a specific task to review potential adverse social or environmental impacts and appropriate mitigation measures for potential investment projects.

### ***B. Disclosure Requirements***

<b>Environmental Assessment/Audit/Management Plan/Other</b>	
Date of receipt by the Bank	13-Feb-2017
Date of submission to InfoShop	17-Mar-2017
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
"In country" Disclosure	
Afghanistan	20-Mar-2017
<i>Comments:</i>	
<b>Resettlement Action Plan/Framework/Policy Process</b>	
Date of receipt by the Bank	13-Feb-2017
Date of submission to InfoShop	17-Mar-2017
"In country" Disclosure	
Afghanistan	20-Mar-2017
<i>Comments:</i>	
<b>If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.</b>	
<b>If in-country disclosure of any of the above documents is not expected, please explain why::</b>	

### ***C. Compliance Monitoring Indicators at the Corporate Level***

<b>OP/BP/GP 4.01 - Environment Assessment</b>						
Does the project require a stand-alone EA (including EMP) report?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	NA	<input type="checkbox"/>
If yes, then did the Regional Environment Unit or Practice Manager (PM) review and approve the EA report?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	NA	<input type="checkbox"/>
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	NA	<input type="checkbox"/>
<b>OP/BP 4.11 - Physical Cultural Resources</b>						
Does the EA include adequate measures related to cultural property?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	NA	<input checked="" type="checkbox"/>
Does the credit/loan incorporate mechanisms to mitigate the potential adverse impacts on cultural property?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	NA	<input type="checkbox"/>
<b>OP/BP 4.12 - Involuntary Resettlement</b>						
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	NA	<input type="checkbox"/>
If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	NA	<input type="checkbox"/>
Is physical displacement/relocation expected?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	TBD	<input type="checkbox"/>

Is economic displacement expected? (loss of assets or access to assets that leads to loss of income sources or other means of livelihoods)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	TBD	<input type="checkbox"/>
<b>The World Bank Policy on Disclosure of Information</b>				
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
<b>All Safeguard Policies</b>				
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
Have costs related to safeguard policy measures been included in the project cost?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	NA	<input type="checkbox"/>

### III. Approval

Task Team Leader(s):	Name:Sateh Chafic El-Arnaout,Hyoung Gun Wang	
<i>Approved By:</i>		
Practice Manager/Manager:	Name: Peter D. Ellis (PMGR)	Date: 03-May-2017