

## INITIAL POVERTY AND SOCIAL ANALYSIS

Country:	Philippines	Project Title:	Improving National Roads for Inclusive Growth in Mindanao Project
Lending/Financing modality:	Project Loan	Department/Division:	SERD/SETC
<b>I. POVERTY IMPACT AND SOCIAL DIMENSIONS</b>			
<b>A. Links to the National Poverty Reduction Strategy and Country Partnership Strategy</b>			
The project will support the Philippines' poverty reduction strategy. The project also supports the key objective of ADB's strategy for the Philippines of helping the country achieve high, inclusive, and sustainable growth.			
<b>B. Targeting Classification</b>			
<input checked="" type="checkbox"/> General Intervention <input type="checkbox"/> Individual or Household (TI-H) <input type="checkbox"/> Geographic (TI-G) <input type="checkbox"/> Non-Income MDGs (TI-M1, M2, etc.)			
<b>C. Poverty and Social Analysis</b>			
<p>1. Key issues and potential beneficiaries. Of the five provinces initially identified by DPWH for possible funding, Sarangani (Region XII) has the highest poverty incidence at 52% in 2009, followed by Maguindanao (ARMM Region) at 50%. Sultan Kudarat has a poverty incidence of 45.5%, while South Cotabato and Davao Del Sur have incidences of 29.6% and 24.5%, respectively. In terms of human development index (HDI) ranking in 2009, Maguindanao is at the bottom (HDI Rank 78), while South Cotabato is the highest of the five provinces (HDI rank 22).</p> <p>2. Impact channels and expected systemic changes. The communities from the rural and remote areas, especially the indigenous peoples, poor, and other vulnerable groups) will benefit from the improved roads — reduced travel time; improved trading of goods; and easier access to markets, schools, and health facilities within and outside their districts. Employment opportunities during construction are envisaged which will also supplement the incomes of local communities.</p> <p>3. Focus of (and resources allocated in) the PPTA or due diligence. Social safeguards and gender and social development specialists will be part of the PPTA team to carry out due diligence and assessment of social safeguards impacts, and to prepare the required social and safeguards planning documents in cooperation with local government units, communities, and civil society organizations to ensure benefits are maximized by the potential beneficiaries and that adverse impacts are minimized.</p> <p>4. Specific analysis for policy-based lending. N/A</p>			
<b>II. GENDER AND DEVELOPMENT</b>			
<p>1. What are the key gender issues in the sector/subsector that are likely to be relevant to this project or program? Women's participation in decision-making may be limited in the proposed project area and, therefore, a culturally-sensitive and participatory approach allowing women to be consulted will maximize benefits for women in terms of identifying potential livelihood opportunities and access to services.</p> <p>2. Does the proposed project or program have the potential to make a contribution to the promotion of gender equity and/or empowerment of women by providing women's access to and use of opportunities, services, resources, assets, and participation in decision making?  <input type="checkbox"/> Yes    <input type="checkbox"/> No    <input checked="" type="checkbox"/> TBD Please explain. To be determined during the PPTA stage.</p> <p>3. Could the proposed project have an adverse impact on women and/or girls or widen gender inequality?  <input checked="" type="checkbox"/> Yes    <input type="checkbox"/> No    Please explain. There are possible adverse impacts caused by land acquisition and resettlement. Impacts on houses, land, and sources of livelihood from farms will affect women's livelihood/source of income and they may become more vulnerable if their landholdings are no longer sufficient to meet households' needs.</p> <p>4. Indicate the intended gender mainstreaming category:  <input type="checkbox"/> GEN (gender equity theme)    <input type="checkbox"/> EGM (effective gender mainstreaming)  <input checked="" type="checkbox"/> SGE (some gender elements)    <input type="checkbox"/> NGE (no gender elements)       </p>			
<b>III. PARTICIPATION AND EMPOWERMENT</b>			
<p>1. Who are the main stakeholders of the project, including beneficiaries and negatively affected people? Identify how they will participate in the project design. Local communities, local government units, businesses, commuters, women and children, indigenous peoples, and other vulnerable groups. A consultation and participation plan will be designed and implemented during the PPTA.</p> <p>2. How can the project contribute (in a systemic way) to engaging and empowering stakeholders and beneficiaries, particularly, the poor, vulnerable and excluded groups? What issues in the project design require participation of the poor and excluded? The communities, including women and other vulnerable groups, will be involved in the consultation meetings and will be encouraged to participate actively in the project design. A consultation and participation plan will be developed in consultation with stakeholders.</p>			

<p>3. What are the key, active, and relevant civil society organizations in the project area? What is the level of civil society organization participation in the project design?  <input checked="" type="checkbox"/> Information generation and sharing <input checked="" type="checkbox"/> Consultation <input type="checkbox"/> Collaboration <input type="checkbox"/> Partnership            To be determined during the PPTA. CSOs, if found in the project area, will be involved in project preparation.</p>
<p>4. Are there issues during project design for which participation of the poor and excluded is important? What are they and how shall they be addressed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No The PPTA will identify strategies to ensure that the poor and excluded are heard and that their needs and concerns are incorporated into the project design. The consultation and participation plan will be developed and implemented during the PPTA.</p>
<p><b>IV. SOCIAL SAFEGUARDS</b></p>
<p><b>A. Involuntary Resettlement Category</b> <input checked="" type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> FI</p>
<p>1. Does the project have the potential to involve involuntary land acquisition resulting in physical and economic displacement? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Road widening will result in physical and economic displacement as houses, crops, trees of affected households, and shops may be affected. The Davao-Digos-General Santos road section traverses a densely populated urban area and widening will require clearance of government land, and acquisition of private land, and will affect houses and business establishments. The Alabel-Little Baguio, Columbio-Datu Paglas, and Lake Sebu-Maitum road sections will affect houses and crops such as maize, banana trees, rubber and rice, within the proposed 20 meter right-of-way. Only the Jose Abad Santos-Glan road section seems to fall under Category B for Involuntary Resettlement. In addition to the proposed widening, there are ongoing road improvements on a number of sections of the proposed roads which will require due diligence.</p>
<p>2. What action plan is required to address involuntary resettlement as part of the PPTA or due diligence process?  <input checked="" type="checkbox"/> Resettlement plan <input type="checkbox"/> Resettlement framework <input type="checkbox"/> Social impact matrix  <input type="checkbox"/> Environmental and social management system arrangement <input type="checkbox"/> None</p>
<p><b>B. Indigenous Peoples Category</b> <input checked="" type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> FI</p>
<p>1. Does the proposed project have the potential to directly or indirectly affect the dignity, human rights, livelihood systems, or culture of indigenous peoples? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>2. Does it affect the territories or natural and cultural resources indigenous peoples own, use, occupy, or claim, as their ancestral domain? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No All proposed roads are existing (2-lanes, mostly asphalt roads). The Lake Sebu- Maitum road is part of the ancestral domain claim/title (CADC/CADT) of the T'boli's ethnic group, and B'laan and T'boli ethnic groups are found in the Columbio-Datu Paglas road. On Alabel-Little Baguio section, it was reported that land in the area is under the CADC of the B'laan ethnic group.</p>
<p>3. Will the project require broad community support of affected indigenous communities? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Due to physical relocation from traditional or customary lands. Although the majority of the households found during the reconnaissance mission will be able to move back on remaining land, the PPTA will confirm if there are households which may need to relocate outside their traditional or customary lands.</p>
<p>4. What action plan is required to address risks to indigenous peoples as part of the PPTA or due diligence process?  <input checked="" type="checkbox"/> Indigenous peoples plan <input type="checkbox"/> Indigenous peoples planning framework <input type="checkbox"/> Social Impact matrix  <input type="checkbox"/> Environmental and social management system arrangement <input type="checkbox"/> None</p>
<p><b>V. OTHER SOCIAL ISSUES AND RISKS</b></p>
<p>1. What other social issues and risks should be considered in the project design?  <input checked="" type="checkbox"/> Creating decent jobs and employment M <input checked="" type="checkbox"/> Adhering to core labor standards M <input type="checkbox"/> Labor retrenchment  <input checked="" type="checkbox"/> Spread of communicable diseases, including HIV/AIDS TBD <input checked="" type="checkbox"/> Increase in human trafficking TBD <input type="checkbox"/>  <input type="checkbox"/> Affordability <input type="checkbox"/> Increase in unplanned migration <input type="checkbox"/> Increase in vulnerability to natural disasters <input type="checkbox"/> Creating political instability <input type="checkbox"/> Creating internal social conflicts <input type="checkbox"/> Others, please specify _____</p>
<p>2. How are these additional social issues and risks going to be addressed in the project design? Through the conduct of poverty and social analysis.</p>
<p><b>VI. PPTA OR DUE DILIGENCE RESOURCE REQUIREMENT</b></p>
<p>1. Do the terms of reference for the PPTA (or other due diligence) contain key information needed to be gathered during PPTA or due diligence process to better analyze (i) poverty and social impact; (ii) gender impact, (iii) participation dimensions; (iv) social safeguards; and (vi) other social risks. Are the relevant specialists identified?  <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>2. What resources (e.g., consultants, survey budget, and workshop) are allocated for conducting poverty, social and/or gender analysis, and participation plan during the PPTA or due diligence? Social safeguards and social development and gender consultants will be engaged during the PPTA; and the budget for workshops, capacity building, consultation and participation activities, surveys, and due diligence work will be properly allocated.</p>