

**INTEGRATED SAFEGUARDS DATA SHEET
APPRAISAL STAGE**

Report No.: ISDSA13385

Date ISDS Prepared/Updated: 08-Oct-2015

Date ISDS Approved/Disclosed: 09-Oct-2015

I. BASIC INFORMATION

1. Basic Project Data

Country:	Philippines	Project ID:	P153744
Project Name:	Philippines Social Welfare Development and Reform Project II (P153744)		
Task Team Leader(s):	Pablo Ariel Acosta,Aleksandra Posarac		
Estimated Appraisal Date:	05-Oct-2015	Estimated Board Date:	17-Nov-2015
Managing Unit:	GSP02	Lending Instrument:	Investment Project Financing
Sector(s):	Other social services (60%), General education sector (20%), Health (20%)		
Theme(s):	Social Safety Nets/Social Assistance & Social Care Services (40%), Education for all (20%), Child health (20%), Other social protection and risk management (20%)		
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	450.00	Total Bank Financing:	450.00
Financing Gap:	0.00		
Financing Source			Amount
Borrower			0.00
International Bank for Reconstruction and Development			450.00
Total			450.00
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Development Objective(s)

The proposed PDO is to support DSWD to improve the use of health and education services of poor children.

3. Project Description

This project contributes to the financing of the DSWD's conditional cash transfer program and related MTEP over the next four years, as recently endorsed by the Development Budget Coordination Committee (DBCC) and whose total cost is projected at US\$ 5.84 billion. The amount of the proposed IBRD project is estimated at US\$ 450 million (7.7 percent of the total CCT cost in 2016-2019).

Component 1: Support to the CCT Program (IBRD Funding: US\$ 450 million; ADB Funding: US\$ 400 million; Government Counterpart Funding: US\$ 4.98 billion)

The objective of this component is to support the continuous delivery of the Pantawid Pamilyang Pilipino CCT Program to poor households with children. It will continue to contribute to the financing of the health and education grants given to the CCT beneficiaries nationwide over the period of four years, starting from the date of Project effectiveness. Financing would amount to about 7.7 percent of total cash grants in the CCT program. The beneficiary households – poor households with children 0-18, should continue to be selected using the national household targeting system - Listahanan - that follows a standardized targeting mechanism with rigorous quality control process.

Component 2. Strengthening of CCT Implementation and Monitoring and Evaluation (IBRD Funding: US\$ 0; ADB Funding: US\$ 0; Government Counterpart Funding: US\$ 5.2 million)

This component will allow DSWD to undertake necessary enhancements to the CCT Program. It will do so by allowing DSWD's National Project Management Office (NPMO-Pantawid) to enhance implementation of the CCT, through a strengthened capacity for monitoring, evaluation and data analysis, enhanced payment and GRS, streamlined and improved family and youth development sessions, and faster compliance verification and beneficiary data systems.

Specifically, the component will use own Government funds as planned in the above referenced MTEP to undertake institutional and capacity development and monitoring and impact evaluation activities, including: (a) strengthening policy analysis and monitoring and evaluation capacity, including conducting a CCT Program impact evaluation and annual CCT Program implementation spot checks; (b) training of central, regional and municipal staff to deliver the CCT Program; (c) developing content for, and strengthening capacity to deliver, strategic communications, including strengthening the beneficiary feedback mechanism; and (d) training for the development and delivery of family and youth development sessions.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The project will cover the poor and vulnerable households, which includes indigenous people. No negative social impact is expected from the project.

5. Environmental and Social Safeguards Specialists

Leonardo Jr. Batugal Paat (GENDR)

Maria Loreto Padua (GSURR)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	The project supports conditional cash transfer to poor households. The project does not support construction of physical infrastructure, civil and earth-moving works nor

		<p>expected to directly promote activities that may have adverse effects on the environment. Thus, the project has no direct environmental impact. However, OP 4.01 is triggered on account of the need to assess the social impacts of the project on the beneficiaries and other major stakeholders, including the Indigenous Peoples (OP 4.10). A social assessment is included in the PAD (Annex 6), which also included the assessment of the IP safeguards instrument (i.e., IPPF) for the previous CCT project.</p> <p>The project will not support any civil works.</p>
Natural Habitats OP/BP 4.04	No	The project will not support physical infrastructure and activities that may have direct or induced impacts to habitats and the biodiversity.
Forests OP/BP 4.36	No	There will be no construction of physical infrastructure nor any other activities that are expected to lead to or promote any encroachment of forest or conversion of land uses.
Pest Management OP 4.09	No	The project will not finance the purchase of pesticides nor promote the use of pesticides.
Physical Cultural Resources OP/BP 4.11	No	The project will not support any civil nor earth-moving works. There is no foreseeable impact on Physical Cultural Resources.
Indigenous Peoples OP/BP 4.10	Yes	Indigenous communities or households remain to be among the poorest and disadvantaged areas particularly in terms of human development outcomes. Thus, while the specific target households are yet to be selected/ updated it is likely that some of them will be indigenous peoples. An updated IPPF has been prepared by DSWD based on a summative report on the results of the review of the implementation of the IPPF and various IP consultations during the SWDRP implementation since 2010. The IPPF has been cleared by NCIP on September 4, 2015. DSWD disclosed the IPPF in its website (www.dswd.gov.ph) on September 8, 2015, and the Bank has disclosed the IPPF in Infoshop on September 13, 2015.
Involuntary Resettlement OP/BP 4.12	No	The project will not finance civil works thus no land acquisition nor involuntary resettlement is expected.
Safety of Dams OP/BP 4.37	No	The project will not finance dams.
Projects on International Waterways OP/BP 7.50	No	The project is not situated in international waterways.
Projects in Disputed Areas OP/BP 7.60	No	Not applicable

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

<p>1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:</p>
<p>The project will not support any physical investments or civil works, hence any environmental impacts is not expected. The project, however, is likely to benefit directly the indigenous peoples (IPs) through the conditional cash transfer (CCT) program, which targets poor areas where IPs may be present.</p>
<p>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</p>
<p>The long-term positive impact on IP is improved human development conditions particularly resulting from improved access to basic education and health services.</p>
<p>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</p>
<p>Not applicable.</p>
<p>4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.</p>
<p>DSWD has prepared an enhanced Indigenous Peoples Policy Framework (IPPF) which defines the mechanism for identifying or screening the grantees in indigenous communities; various strategies to ensure that the program elements are all IP-sensitive; various mechanisms for representation/participation of IPs at all levels of operations from national to local levels and the monitoring of the IPPF implementation. In most part, the enhanced IPPF drew lessons drawn from almost 7 years of implementing the previous IPPF for the SWDRP which is the predecessor project.</p> <p>DSWD is familiar with the Bank's safeguard policies since it is currently implementing World Bank other projects for which safeguards policies/framework have been formulated and implemented satisfactorily.</p>
<p>5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.</p>
<p>Consultations with IPs regarding Pantawid Pamilya were done regularly through annual focused group discussions with IP grantees and through the regular meetings of the program Advisory Committees at all levels (from national to municipal) wherein the National Commission on Indigenous People (NCIP) is represented or a regular member. The IPPF has been cleared by NCIP on September 4, 2015. DSWD disclosed the IPPF in its website (www.dswd.gov.ph) on September 8, 2015, and the Bank has disclosed the IPPF in Infoshop on September 13, 2015.</p>

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	08-Sep-2015
Date of submission to InfoShop	13-Sep-2015
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	00000000

"In country" Disclosure	
<i>Comments:</i>	
Indigenous Peoples Development Plan/Framework	
Date of receipt by the Bank	08-Sep-2015
Date of submission to InfoShop	13-Sep-2015
"In country" Disclosure	
Philippines	08-Sep-2015
<i>Comments:</i> IPPF disclosed in DSWD website (www.dswd.gov.ph)	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP/GP 4.01 - Environment Assessment	
Does the project require a stand-alone EA (including EMP) report?	Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] NA [<input type="checkbox"/>]
OP/BP 4.10 - Indigenous Peoples	
Has a separate Indigenous Peoples Plan/Planning Framework (as appropriate) been prepared in consultation with affected Indigenous Peoples?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit or Practice Manager?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] NA [<input type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]

Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
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III. APPROVALS

Task Team Leader(s):	Name: Pablo Ariel Acosta,Aleksandra Posarac	
<i>Approved By</i>		
Practice Manager/ Manager:	Name: Jehan Arulpragasam (PMGR)	Date: 09-Oct-2015