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L3173-VIE: INTEGRATED RURAL DEVELOPMENT SECTOR PROJECT IN THE CENTRAL PROVINCES - Additional Financing

Prepared by Ministry of Agriculture and Rural Development for the Asian Development Bank

CURRENCY EQUIVALENS

Currency unit: Vietnam Dong (VND) and US dollar (US\$)

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ABBREVIATIONS

ADB	Asian Development Bank
AHs	Affected Households
APs	Affected Persons
APMB	Agriculture Project Management Board
CARB	Compensation Assistance and Resettlement Board
CPC	Commune Peoples' Committee
CPMU	Central Project Management Unit
CSB	Commune Supervisory Board
DARD	Department of Agriculture and Rural Development
DLFDC	District Land Fund Development Center
DMS	Detailed Measurement Survey
AHs	Displaced Persons
DPC	District Peoples' Committee
DRCs	District Resettlement Committee
EM	Ethnic Minority
EMDP	Ethnic Minority Development Plan
GAP	Gender Action Plan
GoV	Government of Vietnam
HHs	Households
HIV-AIDS	Human Immuno Virus-Acute Immune Deficiency Syndrome
IOL	Inventory of Losses
IPP	Indigenous Peoples' Plan
IRDSPCP	Integrated Rural Development Sector Project in Central Provinces
IRMR	Internal Resettlement Monitoring Report
LIC	Loan Implementation Consultant
LURC	Land Use Registration Certificate
MARD	Ministry of Agriculture and Rural Development
N-T-P	Notice-to-Proceed
ODA	Official Development Assistance
O&M	Operation and Maintenance
PIB	Project Information Booklet
PPC	Provincial Peoples' Committee

PPMS	Project Performance Monitoring System
PPMU	Provincial Project Management Unit
REMDF	Resettlement and Ethnic Minority Development Framework
REMDP	Resettlement and Ethnic Minority Development Plan
RP	Resettlement Plan
ROW	Right-of-Way
SAH	Severely Affected Household
SES	Socio-Economic Survey
SP	Subproject
SPS	Safeguards Policy Statement
STI	Sexually-transmitted infection
VND	Vietnamese Dong
VWU	Vietnamese Women's Union
WUGs	Water Users Groups

GLOSSARIES

- Displaced person (DP)
 Means any person or persons, household, firm, private or public institution that are fully or partially, permanently or temporarily physically displaced (relocated, lost residential land, or lost shelter) and/or economically displaced (lost land, assets, access to assets, income sources or means of livelihood) due to (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas. AHs could be of three types: (i) persons with formal legal rights to land lost; (ii) persons who have claims to such lands that are recognized or recognizable under national laws; and (iii) persons who have neither formal legal rights nor recognized or recognizable claims to the lost land. In the case of a household, the term DP includes all members residing under one roof and operating as a single economic unit, who are adversely affected by a project or any of its components.
- Compensation
 Means payment in cash or in kind to replace losses of land, housing, income and other assets caused by the Project. All compensation is based on the principle of replacement cost, which is the method of valuing assets to replace the loss at current market rates, plus any transaction costs such as administrative charges, taxes, registration and titling costs.
- Cut-off date Means the date of project land acquisition announcement by competent agency. The AHs will be informed of the cut-off date for each project component, and any person who settled or assets created in the project area after the cut-off date will not be entitled to compensation and assistance under the project.
- Detailed Measurement Survey (DMS)
 Based on the approved detailed engineering design, this activity involves the finalization of subproject land acquisition and resettlement impacts, including final cost of resettlement. This is the process where all fixed assets (i.e., lands used for residence, commerce, agriculture, including ponds; dwelling units; stalls and shops; secondary structures, such as fences, tombs, wells; trees with commercial value; etc.) and sources of income and livelihood inside the Project right-ofway (project area) are identified, measured, their owners identified, their exact location pinpointed, and their replacement costs calculated. Additionally, the severity of impact to the affected assets and the severity of impact to the livelihood and productive capacity of AHs will be determined.
- Ethnic Minority (EM)
 Any ethnic group of Vietnam, except the dominant Kinh Group, who has the following characteristics at different levels living in the rural and remote area or having ancestor's territory in the project area and depending on natural resources of these area and territory, and having a social or cultural identity distinct from that of the dominant group (Kinh-Viet).
- A range of various activities including compensation, assistance in income restoration, shift in occupation, relocation... etc, provided to affected people based on the type and level of impact which aims to restore their socio-economic conditions.
- A program designed with various activities that aim to support affected persons to recover their income / livelihood to pre-project levels. The program is designed to address the specific needs of the affected

persons based on the socio-economic survey and consultations. Land acquisition Refers to the process whereby an individual, household, firm or private institution is compelled by a public agency to alienate all or part of the land it owns or possesses to the ownership and possession of that agency for public purposes in return for compensation at replacement costs Rehabilitation This refers to additional support provided to APs losing productive assets, incomes, employment or sources of living, to supplement payment of compensation for acquired assets, in order to achieve, at a minimum, full restoration of living standards and guality of life Relocation This is the physical relocation of an AP from her/his pre-project place of residence and/or business. Resettlement and Ethnic A plan for resettlement of an ethnic minority population, combining the **Minorities Development** resettlement plan with specific ethnic minority concerns and cultural sensitivity for the specific needs of the ethnic minority groups. Plan (REMDP) Replacement Cost The amount needed to replace an affected asset net of transaction costs such as administrative charges, taxes, registration and titling costs Replacement Cost This refers to the process involved in determining replacement costs of Survey affected assets based on empirical data Resettlement This includes all measures taken to mitigate any and all adverse impacts of a project on AP property and/or livelihoods, including compensation, relocation (where relevant), and rehabilitation as needed. Severely affected This refers to affected households who will (i) lose 10% or more of their total productive land and/or assets, (ii) have to relocate; and/or household (SAH) (iii) lose 10% or more of their total income sources due to the subproject. **Stakeholders** Individuals, groups, or institutions that have an interest or stake in the outcome of a project. The term also applies to those potentially affected by a project. Stakeholders include land users, country, regional and local governments, implementing agencies, project executing agencies, groups contracted to conduct project activities at various stages of the project, and other groups in the civil society which may have an interest in the project. These groups of people Vulnerable group are distinct who might suffer disproportionately or face the risk of being further marginalized by the effects of resettlement and specifically include: (i) female headed households with dependents, (ii) disabled household heads. (iii) households falling under the generally accepted indicator for poverty, (iv) children and the elderly households who have no other means of support, (v) landless, and (vi) ethnic minorities.

WEIGHTS AND MEASURES

km	-	kilometer
kg	-	kilogram
ha	-	hectare
m	-	meter

NOTE

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Contents

1.	Objectives of the project9
2.	Subprojects9
3.	Scope of impacts caused by project10
4.	Objectives of internal monitoring11
II. De	etailed resettlement implementation progress12
1.	Information dissemination and community consultation12
2.	Implementation process of DMS13
3.	Preparation and approval of compensation plan14
4.	Compensation and assistance payment14
5.	Process of resettlement and site clearance15
6.	Restoration of AHs' livelihoods and rehabilitation of AHs' lives15
7.	Assess degree of APs' satisfaction of compensation and resettlement16
8.	Conformity of resettlement implementation progress with civil works progress and next plan 16
III. P	ending issues17
1.	Outstanding issues17
2.	Solutions for the pending issues and responsibility of relevant agencies17
IV. C	Conclusion and recommendation17
1.	Conclusion17
2.	Recommendation17
ANN	EX:
Anne	ex 1: Updated progress of resettlement implementation as of 31/12/2016
Anne	x 2: Number of Affected Households as of 31/12/2016
Anne	x 3: Acquired Land Area as of 31/12/2016
Anne	x 4: Affected Houses/Structures and Trees/Crops as of 31/12/2016
Anne	x 5: Status of Compensation Payment as of 31/12/2016;
Anne	x 6: Status of Grievance Redress as of 31/12/2016;
Anne	x 7: Resettlement implementation progress, as of 31/12/2016;
Anne	x 8: Check List of Entitlements: Compensation and Allowances as of 31/12/2016.

LIST OF TABLES

Table 1	Table Number of SP by province9
Table 3	Affected land area in the project provinces10
Table 4	Impacts on structures, trees and crops caused by the project11
Table 5	Information on information dissemination and community consultation12

Table 6	Implementation process of DMS	13
Table 7	Progress of preparation and approval of compensation plan	14
Table 8	Implementation status of compensation payment	14
Table 9	Process of resettlement and site clearance	15
Table 10	Implementation status of livelihood restoration and rehabilitation of AHs	16

I. General Information

1. Objectives of the project

1. Loan No. 3173 (SF) – Additional financing of the Integrated Rural Development Sector Project in Central Provinces (IRDSPCP) was approved by ADB on 20 October 2014. The Additional financing costs US\$ 92.5 million, US\$85 million through a loan from the ADB, and the remaining US\$7.5 million contributed by The Government. The Loan Agreement between ADB and the State's Bank of Vietnam was signed on 23 January, 2015, become effective from 22 June, 2015 and the Loan will be closed on 30 June, 2019. The main office of ADB in Vietnam has been responsible for management of the additional financing since November 2014.

2. The objectives of project is to improve the livelihoods and standards of living for the rural population in the Central Region. The objectives will be gained through improving the quality, expanding irrigation area, improving connectability among infrastructures, increasing agriculture production, creating more job opportunities, improving health and education condition and reducing damage caused by natural disaster for people living in the provinces participating in the project. The project comprises three components: (i) improving infrastructure in rural infrastructure in the Central Region; (ii) improved capacities of (a) national, provincial, district and commune staff in project management and supervision, and (b) farmers in agricultural production ; and (iii) improved project management skills for infrastructure development.

3. The Executing Agency (EA) for the IRDPCP is the Ministry of Agriculture and Rural Development (MARD), with responsibility delegated to the IRDPCP Central Project Management Unit (CPMU) to monitor the implementation of project. Agencies implementing subprojects are the PPCs of six provinces participating in the project. Central Project Management Unit (CPMU) – under Agriculture Project Management Board is established with responsibility for implementing the project at Central level. At the provincial level, PPMUs under MARD are established by PPCs and responsible for managing daily activities of the project.

2. Subprojects

4. ADB's mission in June 2012 conducted screening of subprojects under the additional financing. Five screening criterias are: (i) dealing with urgent need of proposed beneficiaries; (ii) limiting land acquisition and impact on resettlement and insignificant impacts on environment; (iii) simple design in an adjacent area is well prepared and presented easily to understand; (iv) capable of completion with a minimum of civil works contract packages; and (v) subproject cost greater than \$2 million and less than \$7 million equivalent. There have been 24 subprojects screened in six provinces (including Ha Tinh, Thua Thien Hue, Binh Dinh, Phu Yen, Ninh Thuan and Binh Thuan). These subprojects are expected to irrigate for 29,000 ha of agriculture land, about 115km of road on canals and 24.5 km of dike for preventing flood to improve road to important irrigation works, and improve accessibility to agricultural product market.

5. The project includes 24 subprojects in which 20 subprojects requiring land acquisition and 04 subprojects not requiring land acquisition are determined. The subprojects in provinces are as follows:

Province	Sub-project	Subproject with land acquisition
Ha Tinh	5	4
Thua Thien Hue	3	3
Binh Dinh	6	6
Phu Yen	4	4
Ninh Thuan	2	2
Binh Thuan	4	1
Total	24	20

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Table Number of SP by province

3. Scope of impacts caused by project

6. As of May 2016, implementation of the 20 subprojects requiring land acquistion in six provinces is expected to affect 4,121 households whose land is acquired in which 3,326 households are expected to have land permanently acquired (3,187 HHs are marginally affected and 139 HHs are severely affected) and 795 HHs are temporarily affected during the construction process. Number of affected HHs in each province is presented in Table 1 below:

	Perm	anently affected	Temporarily	Total	
Province	Total	Marginally affected HHs	Severely affected HHs	affected HHs (*)	number of affected HHs
Ha Tinh	286	257	29	0	286
Thua Thien Hue	324	324	0	0	324
Binh Dinh	913	895	18	795	1,708
Phu Yen	1,353	1,323	30	0	1,353
Ninh Thuan	320	258	62	0	320
Binh Thuan	130	130	0	0	130
Total	3,326	3,187	139	795	4,121

Table 2 Number of affected HHs in the project provinces

(*) Number of temporarily AH in Binh Dinh province are indentified at the time of preparing RP (SP of Upgrading Lai Giang dam's primary canal). Number of temporarily AHs of other SPs are determined during construction and their affected land and asset are restored by contractor before construction.

7. Currently, DMS, application of price and establishment of compensation plan have been being implemented in the project provinces. Thus, the summarized data in the table above only reflects number of affected HHs recorded until the reporting time.

8. As of the reporting time, total 727,096 m2 of land will be permanently acquired for construction of the subprojects. Agricultural land is expected to be acquired with the highest proportion in comparison with other types of land (accounting for 89% of the total acquired land area). Phu Yen is determined as the province with the most acquired land area out of the remaining provinces. Acquired residential land area only accounts for 2% of the total acquired land area (with 14,768 m2). Data on acquired land in the provinces is presented in the table below:

Table 3 Affected land area in the project provinces

		Permar	nently acq	uired land	l area (m²)		Tempo	Total tompora
Province	Agricult ural land	Reside ntial land	Aquacu Iture Iand	Forestr y land	Other land	Total	rarily affecte d land area (m ²)	tempora rily and permane ntly affected land area (m ²)
Ha Tinh	37,008	1,947	0	0	0	38,955	0	38,955
Thua	26,868	2,691	8,605	7,177	0	45,341	0	45,341

Thien Hue								
Binh Dinh	38,570	29,0	0	3,660	0	42,259	73,574	115,833
Phu Yen	431,365	9,692	1,518	1,660	0	444,235	0	444,235
Ninh Thuan	80,662	409	0	0	38,760	119,831	0	119,831
Binh Thuan	36,475	0	0	0	0	36,475	0	36,475
Total	650,948	14,768	10,123	12,497	38,760	727,096	73,574	800,670

9. Affected structures include houses, shops, factories, graves and other structures. The DMS result shows that total of 1,190 m2 of houses and 24,633 m2 of structures is affected by the project. Besides, there are 74 graves and 1,024m2 of tomb affected by the project.

10. Affected trees include annual and perennial types of tree in which number of affected annual trees is determined by m2; and number of affected perennial trees is determined by number of cut off trees. Implementation of the subprojects affects 332,664 m2 of rice and types of crops such as maize, potato, cassava, peanut, vegetable and grass. The implementation of subprojects in the provinces is expected to cut off 61,468 timber trees and fruit trees. Detailed impacts on structures, trees and crops are presented in the table below:

Province			Affected trees and rice/crops						
	House	Shop	Enterprise	Structures		ave	Other	Rice/crop	Trees
		-	-		Tomb	m2		(m2)	(tree)
Ha Tinh	-	-	-	448	-		-	-	540
Thua Thien Hue	-	-	-	-	-	1,024	-	7,237	-
Binh Dinh	60	-	-	5,022	74	-	-	180,689	35,742
Phu Yen	1,130	-	-	7,741	-	-	-	85,488	9,696
Ninh Thuan	-	-	-	11,045	-	-	-	58,897	15,127
Binh Thuan	-	-	-	377	-	-	-	353	363
Total	1,190	-	-	24,633	74	1,024	-	332,664	61,468

Table 4Impacts on structures, trees and crops caused by the project

4. Objectives of internal monitoring

11. The main purpose of the monitoring and evaluation program is to ensure that matters related to involuntary resettlement have been implemented in accordance with the policies and procedures of the RPs following ADB SPS 2009 and the uREMDF.

12. The general objectives of internal monitoring is to:(i) report on the status and assess the compliance with the agreed RP; (ii) confirm the availability/handover of land to the proposed subprojects; (iii) monitor contractors' compliance to policy framework's provisions related to temporary land acquisition during civil works; (iv) ensure that the standards of living of affected households is restored or improved; (v) monitor the implementation process; (vi) assess the sufficient implementation of compensation, resettlement measures and social development

assistance programs; (vii) identify problems or potential problems; and (viii) identify and implement quick response measures to mitigate emerging issues.

13. The report is established by CPMU to provide information and report to ADB on the implementation status of compensation and resettlement in the first six months of 2016 in the project provinces as of June 2016. However, there has been not any internal report established and submitted to ADB since the beginning of project so the report is considered as a summary report on the implementation status of compensation and resettlement.

II. Detailed resettlement implementation progress

1. Information dissemination and community consultation

14. Information dissemination and community consultation activity is an implementation process during the project's implementation process. As of the reporting time, at least two times of information dissemination and community consultation have been organized in the project provinces. The information has been disseminated including:

- i) Detailed design of subproject's policies, those eligible for compensation and resettlement assistance.
- ii) The DMS, sub-project impact, eligibility particularly on the cut-off dates for this sub-project, grievance redress mechanism;
- iii) Mechanisms of payment, and grievance redress.
- iv) Consulting on the replacement cost, compensation payments and other entitlements of AHs as well as the additional assistance and allowances to the severely affected households, affected vulnerable group;
- v) Gender and vulnerable group issues;
- vi) Implementation schedule.

15. The first information dissemination and community consultation time was organized by LIC during FS period in coordination with PPMUs to implement in Binh Thuan province in 2013, in Ha Tinh in 2016 and other provinces in 2014. The latest information dissemination and community consultation time was carried out in 2016, after approval detailed design and construction drawing design of subprojects by competent authorities, and information dissemination consultation meetings was conducted with communal authority and representatives of affected HHs in affected communes. Details are in the table below:

Provinces	Times of information dissemination and community consultation		
	The first time	The second time	
Ha Tinh	1/2016	5/2016	
Thua Thien Hue	3/2014	1/2016	
Binh Dinh	4/2014	2 - 8/2015	
Phu Yen	5 and 6/2014	5/2016	
Ninh Thuan	8/2014	3/2016	
Binh Thuan	7/2013	01/2016	

Table 5 Information on information dissemination and community consultation

16. For all public consultation meeting, the local authorities, communities and potentially affected households agreed on implementing of the subproject. All attendants were aware of project benefits and they will active participate during subproject implementation. The main feetbacks from AHs is below:

- i) All of the AHs participating in the meetings agree with compensation policy of the project and agree with compensation unit price issued by the PPC;
- ii) Vulnerable AHs agree to receive compensation and assistance in cash in accordance with the project's policy;
- iii) The participants in the meetings propose that it needs to have strict coordination of PPMU and contractor with local authority to ensure security as well as environment during the construction process;
- iv) The AHs propose that PPMU should require contractor to restore the site to its original status after construction;
- v) Representatives of the AHs propose to be employed by contractor to do suitable work during the construction process.

17. In addition, during the project implementation process, localities have conducted information dissemination and community consultation through different ways such as community meetings, delivery of leaflet, pasting notices at CPCs/village and through local loudspeaker.

2. Implementation process of DMS

18. As of the reporting time, 17 out of 20¹ subprojects requiring resettlement have completed DMS for affected HHs. Nine (09) subprojects completed DMS in 2015 and other eight (08) subprojects completed DMS in 2016. DMS data of subprojects in the project provinces is presented in the table below:

Provinces	Number of subprojects completed DMS in 2015	Number of subprojects completed DMS in 2016	Number of subprojects not completed DMS	Number of subprojects requiring land acquisition
Ha Tinh	1	3	0	4
Thua Thien Hue	0	2	1	3
Binh Dinh	5	1	0	6
Phu Yen	1	1	2	4
Ninh Thuan	2	0	0	2
Binh Thuan	0	1	0	1
Total	9	8	3	20

Table 6	Implementation process of DMS
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19. By the monitoring time, three subprojects have not completed DMS. It is expected that the three subprojects will be completed DMS in the third quarter, 2016.

¹ Out of the 20 subprojects requiring land acquisition, two subprojects (one in Binh Dinh province and one in Ha Tinh province) conducted compensation payment by fund source of other project. Thus, total number of subprojects under L3173 requiring to prepare RP/uRP/REMDP/uREMPD is 18.

3. Preparation and approval of compensation plan

20. By the reporting time, 9/20 subprojects have approved compensation plans in which five subprojects have approved compensation plans in 2016 and 04 subprojects have approved compensation plans in 2015. Data on status of preparation and approval of compensation plan is presented in the table below:

Provinces	Number of subprojects not approved compensation plan yet	Number of subprojects approved compensation plan	Number of subprojects requiring land acquisition
Ha Tinh	3	1	4
Thua Thien Hue	3	0	3
Binh Dinh	1	5	6
Phu Yen	3	1	4
Ninh Thuan	0	2	2
Binh Thuan	1	0	1
Total	11	9	20

Table 7	Progress of preparation and approval of compensation plan

21. Although there are 09 subprojects approved compensation plans, the approved compensation plans of these subprojects are not the final compensation plans because most of subprojects were established and approved before RP/ REMDP is approved by ADB. The reason of this situation was that: To kept up annual disbursement schedule of PPC, the PPMU and DLFDC did established compensation plans when the budget of PPC has been available to be disbursed. Thus, these plans approved before RP/REMDPs are approved by ADB..

22. To ensure entitlement of affected HHs, the compensation plans are prepared basing on entitlement matrix which is separately determined in RP/uRP and REMDP/uREMDP for each subproject.

4. Compensation and assistance payment

23. Up to now, total number of affected HHs receiving compensation and assistance is 1,415 HHs with compensation amount of VND 18,527,795,661. Detailed compensation and assistance payment progress in the provinces is presented in the table below:

Provinces	Number of HHs receiving compensation	Compensation and assistance amount paid to affected HHs	Remarks
Ha Tinh	44	2,088,285,608	Partially paid to AHs
Thua Thien Hue	0	0	
Binh Dinh	1,041	7,492,106,556	Partially paid to AHs
Phu Yen	204	2,266,339,497	Partially paid to AHs

 Table 8
 Implementation status of compensation payment

Ninh Thuan	126	6,681,064,000	Partially paid to AHs
Binh Thuan	0	0	
Total	1,415	18,527,795,661	

24. By the reporting time, six subprojects have conducted compensation and assistance payment, including one subproject in Ha Tinh province, three subprojects in Binh Dinh province, one subproject in Phu Yen province and one subproject in Ninh Thuan province. However, out of the subprojects implemented compensation and assistance payment, none of the subprojects completes full compensation and assistance payment to affected HHs.

5. Process of resettlement and site clearance

25. By the reporting time, 03 subprojects has been completed on site clearance, in which 02 subproject in Binh Dinh Province and 01 in Ninh Thuan province. No AH in the project had to be relocated.

Provinces	Number of subprojects	Number of subprojects requiring land acquisition	Number of subprojects completed on site clearance
Ha Tinh	5	4	0
Thua Thien Hue	3	3	0
Binh Dinh	6	6	02
Phu Yen	4	4	0
Ninh Thuan	2	2	01
Binh Thuan	4	1	0
Total	24	20	03

Table 9	Process of resettlement and site clearance
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6. Restoration of AHs' livelihoods and rehabilitation of AHs' lives

26. Besides compensation for affected land and property, the project has adopted many policies to support affected households to ensure the progress of relief work as well as the interests of the people and help them to restore stable life.

27. At the time of the report, 1,415 AHs in 04 project provinces received compensation payment with total amount of VND18,527,795,661, of whom 650 AHs were paid assistance amount for their livelihood stabilizationand income restoration (assistance for job changing, stabilizing life and vulnerable HHs) with total value of VND 10,432,238,580. See details in the table 8 as below:

Table 10 Implementation status of livelihood restoration and rehabilitation of AHs

Provinces	Number of HHs receiving assistances	Assistance amount paid to affected HHs
Ha Tinh	44	1,347,290,748
Thua Thien Hue	0	0
Binh Dinh	378	3,854,377,380
Phu Yen	120	1,535,108,452
Ninh Thuan	108	3,695,462,000
Binh Thuan	0	0
Total	650	10,432,238,580

28. According to the project policies, SAH and AHs of the vulnerable group, apart from receiving compensation for affected assets, get assistance amount to stabilize their life and restore their livelihood. In case, AHs wish to take part in job trainings after their land is acquired, the project will create favorable conditions and support SAHs to send their application letters in the vocational training centers of the district and province. However, at the time of report, DMS shows that AHs decided to receive assistance amount for their life stabilization in cash and find new jobs by themselves instead of attending vocational training courses would be arranged by the subproject.

7. Assess degree of APs' satisfaction of compensation and resettlement

29. At least 02 public consultations were conducted for each SP bu CPMU/LIC/PPMU and others by local authorities. In those public consultation, information related to project implementation including impact scale, impact level, compensation plan and compensation price and public information booklet were delivered to AHs. Besides, a grievance procedures and grievance redress scheme was established on compensation in the project. In the stage of preparing and updating RP/REMDP a grievance scheme of compensation and resettlement was prepared and delivered to AHs in project communes.

30. By the reporting time, it has not recorded any complaint on the implementation of compensation and assistance payment of the project. The local people in general are satisfied with the result of the lost inventory, compensation price assistance and resettlement policies of the Project.

8. Conformity of resettlement implementation progress with civil works progress and next plan

31. According to the project requirements, compensation is only made when RP/uRP or REMDP/uREMDP is approved by ADB and construction only commences when compensation, assistance payment is implemented. However, at the time of report, 04 SPs: 01 in Ninh Thuan, 02 SPs in Binh Dinh and01 SP in Phu Yen paid compensation in advance before RP/REMDP is approved by ADB. ADB required to prepare DDR for those SPs and at present DDRs are being prepared by LIC.

32. In addition, 02 SPs in Ninh Thuan got NOL from ADB on construction in advance for some works which have no land acquisition or no impacts on local people's assets before REDMP was

approved. For works having land acquisition and affected assets, the construction can only start when compensation payment as in required in REMDP is approved by ADB.

33. At the reporting time, compensation and site clearance activities have just been begun. No AHs was recorded had to be relocated in the project, thus there is not resettlement activities to be done at this time.

III. Pending issues

1. Outstanding issues

34. Most of the project provinces conducted DMS basing on the basic design of 2015. However, during the project implementation process, the drawing design has been changed so since the beginning of 2016, detailed drawing designs of subprojects have been approved by PPCs. It means that the PPMUs and DLFDCs have to re-implement DMS basing on the approved detailed drawing designs which causes significant delay on the implementation progress of project.

35. At the beginning stage of the project, the PPMUs faced much difficulty in implementing compensation, assistance and resettlement in accordance with regulations of ADB because fund allocation of province for site clearance and construction progress depend on water cut off schedule. Thus, it causes delay on the implementation progress of project due to adjustment and supplementation of some procedures to be suitable for regulations of the project on compensation and resettlement.

36. In some provinces (such as Binh Dinh, Ninh Thuan and Phu Yen), it has conducted compensation and assistance payment to affected HHs in some subprojects before final RP/ REMDP is approved.

2. Solutions for the pending issues and responsibility of relevant agencies

37. The PPMUs need to actively cooperate with Units implementing compensation in completing/updating DMS for affected assets of HHs in accordance with the approved detailed technical design; and frequently cooperate with LIC to establish RP to submit to ADB for approval.

38. The PPMUs also needs to frequently report to CPMU and LIC on the arising issues during the implementation process. In addition, the PPMUs should proactively cooperate with CPMU and LIC in implementation of compensation, assistance and resettlement.

IV. Conclusion and recommendation

1. Conclusion

39. At the beginning stage of the project, the project provinces have not fully complied with regulations of the project on resettlement so it has been supplemented and adjusted procedure and process on implementing compensation and resettlement many times. However, up to now, the project provinces have proactively cooperated with stakeholders to ensure that compensation, assistance and resettlement activity will be fully complied with regulations mentioned in uREMDF of the project.

40. In general, affected HHs agree and support implementation of the SPs in locality. For HHs received compensation and assistance amount, none of them has complaint about the compensation payment.

2. Recommendation

41. PPMUs need to ensure full compliance with process and procedure of the project on implementation of compensation, assistance and resettlement. The PPMUs need to strictly

cooperate with DLFDCs and CPCs to ensure that compensation procedures will be complied in accordance with regulations in uREMDF of the project.

42. The PPMUs needs to cooperate with authority at district and commune levels to fully disseminate information on compensation and assistance policy of the project; and publicly post the uREMDF and approved RPs/uRPs/REMDPs/uREMDPs at the office of affected communes. Besides, it needs to fully deliver project operational manual to each affected HH. It needs to ensure that opinions and comments of people in the implementation process of compensation and assistance plan will be recorded and feedback.

43. CPMU needs to review staffs in charge of safeguard policy in each province; and organize training on process of conducting site clearance for staffs in charge of safeguard policy at provincial level to ensure the work contents.

44. It needs to ensure strict coordination among CPMU, LIC and PPMUs to find out and resolve any arising issue relating to compensation and resettlement during the project implementation process.

Annexes:(8 annexes in the attached excel files)