

**Mongolia:
Ulaanbaatar Sustainable Urban Transport
Project (P174007)**

**Draft
ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

March 30, 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Mongolia (hereinafter the Borrower) will, and will cause Municipality of Ulaanbaatar (hereinafter the Project Implementing Entity), *to implement* the Ulaanbaatar Sustainable Urban Transport Project (the **Project**). The *International Bank for Reconstruction and Development (hereinafter the Bank)* has agreed to provide financing for the Project.
2. The Borrower will, and will cause the Project Implementing Entity, to implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Borrower will cause the Project Implementing Entity *to comply* with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Framework (ESMF), Resettlement Policy Framework (RPF), Environmental and Social Management Plans (ESMP), Resettlement Action Plans (RAP), Labor Management Procedure (LMP) and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. The Borrower will cause the Project Implementing Entity to be responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by other Ministry, agency or unit.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the *Bank* by the Project Implementing Entity as required by the ESCP and the conditions of the legal agreement, and the *Bank* will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the *Bank* and the *Borrower*, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Project Implementing Entity will agree to the changes with the *Bank* and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the *Bank* and the *Project Implementing Entity*. The Borrower and the Project Implementing Entity will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the *Borrower* shall, and shall cause the Project Implementing Entity to, provide additional funds, if needed, to implement actions and measures to address such risks and impacts relevant to the Project, which may include *environmental, health, and safety impacts, labor influx, gender-based violence, etc.*

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	<p><i>Six-monthly throughout Project implementation</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB)</i></p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p><i>Notify the Bank within 48 hours after learning of the incident/accident</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB)</i></p>
C	<p>RISK LEVEL</p> <p>Keep the Bank timely informed of the increase of environmental and social risks of subprojects and obtain the prior approval of the Bank at the risk screening stage in case any high-risk subproject is to be funded under the Project. Meanwhile, the Bank will upgrade the overall project risk level to “High” and the ESF requirements applicable to high-risk projects shall be implemented.</p>	<p>Once any High-risk activity is identified and to be funded by the Project</p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB)</i></p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain an organizational structure with qualified staff and resources to support management of E&S risks, including appointment of at least one dedicated E&S staff in the MUB PMO and recruitment of an experienced and competent E&S external consulting team for coordinating and supporting ESHS management relevant to the project.</p>	<p><i>An organizational structure including at least one dedicated E&S staff to be appointed within one (01) month of Project effectiveness, and the external E&S consulting team to be recruited and mobilized within ninety (90) days after Project effectiveness. The organizational structure, including the specialists, should be maintained throughout Project implementation.</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB)</i></p>
1.2	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Screen any proposed subproject in accordance with the Environmental and Social Management Framework (ESMF) prepared for the Project, and, thereafter, draft, adopt, and implement the subproject Environmental and Social Management Plan (ESMP), as required, in a manner acceptable to the Bank.</p>	<p><i>ESMFs should apply throughout Project implementation, and Environmental and Social (E&S) risk screening forms should be sent to the Bank for records and spot check. E&S documents/plans (e.g., ESIA/ESMP, etc.) to be prepared for subprojects following ESMF requirements before implementation of respective subproject activities. Once prepared, the ESMPs and other E&S plans should be implemented throughout Project implementation. For subprojects rated with Substantial (S) risks, subproject E&S documents/plans should be sent to the Bank for prior approval before launching the bidding process for the respective subproject.</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>
1.3	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts.</p>	<p><i>Prior to the preparation of procurement documents. Supervise contractors throughout Project implementation.</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>
ESS 2: LABOR AND WORKING CONDITIONS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Update, adopt, and implement the Labor Management Procedures (LMP) that have been developed for the Project and held as Annex 2 in the Project ESMF.</p>	<p><i>To be updated and implemented prior to bidding for contractors or consultants</i></p> <p><i>To be monitored on an ongoing basis and performance/issues documented in regular project reporting.</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	<p><i>The Labor related grievance mechanism is to be updated as part of the LMP updating process. Any grievances and related resolutions are to be documented in regular reporting.</i></p>	
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the prepared ESMF and the subproject ESMPs to be prepared during project implementation.</p>	<p><i>Throughout Project implementation</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</p> <p>Resource efficiency and pollution prevention and management measures will be covered under the ESMP to be prepared under action 1.2 above.</p>	<p><i>Same timeframe than for the preparation and implementation of the subproject ESMPs</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY:</p> <p>Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMPs to be developed under action 1.2 above.</p>	<p><i>Same timeframe than for the preparation and implementation of the subproject ESMPs</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>

4.2	<p>COMMUNITY HEALTH AND SAFETY: Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, behavior of Project workers, risks of labor influx, response to emergency situations, etc., and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Bank.</p>	<p><i>Same timeframe than for the preparation and implementation of the subproject ESMPs</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>
4.3	<p>GBV AND SEA RISKS: Risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA) will be managed through (i) a code of conduct (CoC) to be signed by each worker, and (ii) provision of induction which includes gender sensitivity, gender-based violence (GBV) and Sexual Exploitation and Abuse (SEA) elements.</p>	<p><i>Whenever new workers (irrespective of role or procurement arrangement) are included in the project.</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>
4.4	<p>GBV AND SEA RISKS DURING PROJECT IMPLEMENTATION: GBV/SEA actions and incidents (and anonymized details on the way they were addressed) to be monitored and reported as part of the project.</p>	<p><i>Included in regular project monitoring and reporting</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>RESETTLEMENT PLANS: Prepare, adopt, and implement resettlement plans (RAPs) in accordance with ESS 5 and consistent with the requirements of the Resettlement Policy Framework (RPF) that has been prepared for the Project, and thereafter adopt and implement the respective RAPs before carrying out the associated activities, in a manner acceptable to the Bank. Resettlement Action Plans (RAPs) to be prepared as part of the sub-project documentation. Implementation timing and budget considerations are to be included in sub-project feasibility and delivery plans.</p>	<p><i>Resettlement Action Plans (RAPs) to be submitted for the Bank's approval as part of subproject approval process.</i> <i>Once approved, they are to be implemented prior to commencing Project activities that involve land acquisition and resettlement.</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>

5.2	<p>GRIEVANCE MECHANISM</p> <p>The grievance mechanism (GM) to address resettlement related complaints is described in the RPF and SEP included in the Project ESMF.</p> <p>This mechanism is to be updated during RAP preparation to ensure specific issues are adequately addressed.</p>	<i>GM to be updated as part of each RAP.</i>	
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS: [Where biodiversity-related risks and impacts cannot be comprehensively covered as part of the ESMP]</p> <p>Identify biodiversity risks and impacts during the preparation of subproject ESIA/ESMPs and adopt and implement measures and actions to assess and manage such risks/impacts as required in the ESMPs to be developed under action 1.2 above.</p>	<i>Same timeframe than for the preparation and implementation of the subproject ESMPs</i>	<i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i>
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
ESS7 is not relevant to the Project.			
ESS 8: CULTURAL HERITAGE			
8.1	<p>CHANCE FINDS: Prepare, adopt, and implement the chance finds procedure described in the ESMP to be developed for the subproject.</p>	<i>Throughout Project implementation</i>	<i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i>
ESS 9: FINANCIAL INTERMEDIARIES			
ESS9 is not relevant to the Project.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Update, adopt, and implement Stakeholder Engagement Plan (SEP).</p>	<i>Throughout Project implementation</i>	<i>PMO of the Municipality of Ulaanbaatar (MUB)</i>
10.2	<p>PROJECT GRIEVANCE MECHANISM: Prepare, adopt, maintain and operate a grievance mechanism, as described in the SEP.</p>	<i>Throughout Project implementation</i>	<i>PMO of the Municipality of Ulaanbaatar (MUB)</i>
CAPACITY SUPPORT (TRAINING)			

CS1	<p>Training may be required for PMO staff, PIU staff, stakeholders, communities, Project workers on:</p> <ul style="list-style-type: none"> • World Bank’s Environmental and Social Framework policy • Environmental and Social Management Framework (ESMF) prepared for the Project and specific ESMPs to be developed for subprojects • stakeholder mapping and engagement • emergency preparedness and response • community health and safety. 	<p><i>After loan effectiveness and throughout project implementation</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB)</i></p>
CS2	<p>Training for Project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.</p>	<p><i>Throughout Project implementation</i></p>	<p><i>PMO of the Municipality of Ulaanbaatar (MUB) and Project Implementing Units</i></p>