

Social Monitoring Report

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Period: January-June 2015

IND: North-Eastern Region Capital Cities Development Investment Program (Agartala)

Prepared by the State Investment Program Management and Implementation Unit for the Asian Development Bank.

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GOVERNMENT OF TRIPURA

URBAN DEVELOPMENT DEPARTMENT

State Investment Programme Management & Implementation Unit (SIPMIU)
North East Region Capital City Development Investment Programme (NERCCDIP)



SEMI ANNUAL REPORT

ON

SOCIAL SAFEGUARD & GENDER ACTION PLAN

JANUARY TO JUNE - 2015

JULY – 2015



Design, Supervision & Management Consultancy (DSMC)

STUP Consultants P. Ltd.

As per ADB Safeguard Policy Statement (2009) and the *Operations Manual* section on safeguard policy (OM F1), borrowers/clients are required to establish and maintain procedures to monitor the status of implementation of safeguard plans and ensure progress is made toward the desired outcomes. For projects categorized as A or B in Involuntary Resettlement and/or Indigenous People, the Borrowers/clients are required to submit semiannual monitoring reports for ADB review.

The present awarded sub projects under Tranche I and II are categorized as Category C in respect to Involuntary Resettlement and/or Indigenous People and accordingly as per ADB policy no monitoring report is required, only filling up of checklists have been done.

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Semi Annual Social Safeguard Monitoring Report

1. Introduction and Project Background

The Government of India (GoI) reaffirmed its commitment to sectoral reforms by launching Jawaharlal Nehru National Urban Renewal Mission (JNNURM), that aims at improving existing poor infrastructure and quality of life in urban areas in India. The North Eastern Region Capital Cities Development Investment Program (NERCCDIP) carries the Mission statement further through a planned, systematic, yet flexible approach to meet the development needs in consonance with specific needs of the capital cities namely, Agartala, Aizawl, Gangtok, Kohima and Shillong in the North Eastern Region (NER) to bring those at par with the rest of the country

The components under the Investment Program in Agartala include

- development of urban infrastructure facilities in the sector for Water Supply
- Solid Waste Management systems

The Investment Program is being implemented in three tranches of funding; began in 2009.

2. Project Background

NERCCDIP is being implemented in Agartala Municipal Corporation (AMC) area for improvement of existing infrastructure, environmental quality and access to basic urban services for the residents of the city. It is being implemented over a six year period beginning in 2010, and is funded by a loan via Multi-tranche Financing Facility (MFF) of the Asian Development Bank (ADB).

ADB is committed to assist the integrated urban development initiatives of the GoI. 70% of the loan component will be borne by ADB and the GoI will share the balance 30 %.

The objectives of the subproject are to:

- provide potable water through effective treatment
- increase supply hours through effective storage
- generate adequate water through installation of new deep tube wells
- manage water in a better manner and control on NRW through installation of water meters

The entire project area has been broadly divided into three main water zones:

1. Central Zone- The area between Haora and Katakhal
2. South Zone-
 - a. Bardowali Division
 - b. Jogendranagar Division.

3. The institutional role and responsibilities is described in the table below

Table 1: Institutional Roles and Responsibilities

Activities	Agency Responsible
Subproject Initiation Stage	
Finalization of sites/alignments for subprojects	SIPMIU/DSMC
Disclosure of proposed land acquisition and subproject details by issuing public notice	SIPMIU/GoT
Meetings at community/household level with APs of land/property Formation of Valuation Committees	SIPMIU
RP Preparation Stage	
Conducting Census of all APs	SIPMIU/External agency
Conducting FGDs/meetings/workshops during SIA surveys	SIPMIU/DSMC
Computation of Replacement Values of land/properties proposed for acquisition and for associated assets	SIPMIU/GoT
Categorization of APs for finalizing entitlements	SIPMIU
Formulating compensation and rehabilitation measures conducting discussions/meetings/workshops with all APs and other stakeholders	SIPMIU
Fixing compensation for land/property with titleholders	SIPMIU/GoT
Finalizing entitlements and rehabilitation packages	SIPMIU
Disclosure of final entitlements and rehabilitation packages	SIPMIU
Approval of RP	IPCC/ADB
Sale Deed Execution and Payment Taking possession of land	SIPMIU
RP Implementation Stage	
Implementation of proposed rehabilitation measures	SS/RO
Consultations with APs during rehabilitation activities	SS/RO
Grievances Redress	SIPMIU/RO/GRC
Internal Monitoring	SIPMIU

ADB-Asian Development Bank, AP-Affected Person, DSMC-Design Supervision and Management Consultant, FGDs-Focus Group Discussion, GoT-Government of Tripura, GRC-Grievance Redressal Committee, IPCC-Investment Program Coordination Cell, RO-Resettlement Officer, RP- Resettlement Plan, SIA-Social Impact Assessment, SIPMIU-State-level Investment Program Management and Implementation Unit, SS-Social Safeguard Specialist

The work is ongoing and in mid way phase of Tranche-II is being implemented in Agartala and these focus on water supply improvements. The DPR for Tranche-III is already approved by the Ministry of Urban Development. The current report is the semi annual report on implementation of social safeguard activities for Tranche-II (January-June 2015), and is prepared in compliance with the Policies of ADB. The subproject components are as follows:

Table 2: Subproject components

Component	Tranche	Works
Water Supply	I	<ul style="list-style-type: none"> Replacement of existing 22nos. of Tubewells. (WS-01)
Water Supply	II	<ul style="list-style-type: none"> Supporting NRW Reduction Program through Procurement and Installation of Bulk (WS-03) Installation of 7 ground water treatment units (WS-02, 07, 08) Construction of 14 Reservoirs (WS-09) Laying of primary pipelines (60 Kms) and secondary pipelines (410 Kms) (WS10/1, 10/3)
Water Supply	III	<ul style="list-style-type: none"> Rehabilitation of WTP

Component	Tranche	Works
		a. College Tilla b. Bardwali
		• Intake Well a. Aralia b. Balurchar
		• Distribution Network- Central- 176 km
		• New Deep Tube Wells- 12
Solid Waste Management		• Procurement of equipments for primary and secondary collection of solid waste • Procurement of vehicles for transportation of waste
Septage Management		• Procurement of Equipment for collection & transportation. • Procurement and installation of Septage Treatment Plant

4. Social Safeguard Activities during the Period

4.1 Social Issues

In order to identify any social impact, due to the Project implementations, the SIPMIU and the DSM Consultant have carried out several site visits and consultative assessments in the project areas during the preparation of this document. Based on this and discussions held with the local residents in the Project locations, it has been confirmed that the implementation of the concerned Project does not have any negative social impact till date (Ref 5 public consultations in different sites).

Hence the subprojects under all the Tranches with respect to issues related to involuntary resettlement as well as IP are **Category C**.

However, public consultations shall be continued throughout the project implementation period to confirm that no social/resettlement issues remain addressed.

4.2 Scope of Work and Resettlement Impact

It has been assessed that no resettlement issue is involved for the works in these Tranches. The following situation was found at/around places.

- Impacts as assessed
 - No land acquisition is envisaged for water supply subproject.
 - The sites for all the components of the water supply subprojects of all the Tranches are either located on vacant government lands or along the existing Right of Way (RoW) of Government land.
 - No encroachment or any squatter has been found on the selected sites till date, therefore it does not involve any land acquisition and resettlement.

- The improvement work is being carried out within the RoW in road shoulders particularly in the side through which the present water supply lines passes through.
- Existing bituminous roads which are being dug for laying of pipelines are being restored with bituminous courses confirming with the existing pavement conditions. Wherever shoulder space is available, the pipeline will be laid along that width.
- The transect walk does not find presence of any IPs.

In brief the extent of negative impact is negligible in this subproject.

- Benefits as assessed-
 - It is expected that this subproject will benefit a population of 5,97,808 by 2026 and 8,22,267 by 2041.
 - An estimated 40,000 house connections will be provided- majority of those will be domestic with some commercial connections.
 - Construction of a few stand posts is proposed to cater to the slum areas and the areas with economically weaker section. Local people are being deployed at the sites for Project works.

4.3. The design principles incorporated other mitigation measures, including but not limited to the following:

- selection of alternative alignments to minimize impacts
- implementation of works in a phased manner to minimize the period of disruption
- provision of advance notice to the local people
- Provision of temporary access to people during the digging for laying pipelines.

It has been proposed that suitable mitigation measures will be considered if any emergent situation arises, so that during construction business establishments/residences can be left undisturbed. Access to the business setups/residences will be ensured during construction.

5. Status of RP Implementation

The resettlement monitoring review has been done periodically and it has found that there is no impact which required mitigation measures as the construction activities are being done either within the available RoW of city roads or along the open area

5.1 Scope of Construction and Social Impacts

This is a rehabilitation work of the existing water supply system. An attempt was made to observe the situation in the city along the supply systems and also probe into the resettlement issues in various locations by a reconnaissance visit and consecutive visits. The following situation was found at/around subproject sites:

Table 3: Status of Construction and Social Impact in Tranche-I Subprojects

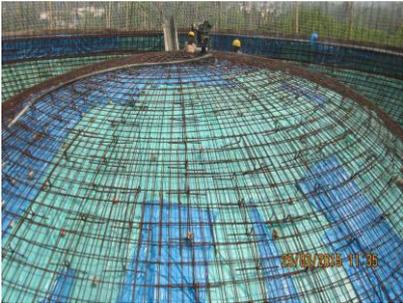
SN	Works	Achieved	Status of Works (% of completion)	Status of RP Implementation
1	Replacement of existing 22nos. of Tubewells. (WS-01) <ul style="list-style-type: none"> ✓ Lot – 1(6 TW with PH) ✓ Lot – 2 (8TW) ✓ Lot – 3 (8TW) ✓ Construction of pump house for 16 TWs) 	100% 100% 100% 100%	100% 100% 100% 98%	There is no requirement of land acquisition No temporary impact like access restriction, impact on livelihood etc.

Table 4: Status of Construction and Social Impact in Tranche-II Subprojects

SN	Works	Status of Works	SIA
1	Construction of 7 Groundwater Treatment Plants (GWTPs)- 65%		
	GWTP-1: Public Health Engineering (PHE) Complex, opposite Pragati School		No impact on any structure, land or livelihood
	GWTP-2: Area near Battala burial ground	Work not yet started	No impact on any structure, land or livelihood
	GWTP-3: Camper Bazar, Dindayal Ashram		No impact on any structure, land or livelihood

SN	Works	Status of Works	SIA
	GWTP-4: Public Health Engineering (PHE) Stores, Dukli		No impact on any structure, land or livelihood
	GWTP-5: Pratapgarh, Sadhu Tilla		No impact on any structure, land or livelihood
	GWTP-6: Area near AMC Zonal Office (Ward 35)		No impact on any structure, land or livelihood
	GWTP-7: Near Aralia-II DTW		No impact on any structure, land or livelihood
2	Construction of 14 New OHSRs		
	Lot 1: 7- 45% Lot 2: 19%		
	OHSR 01: Near/backside of Sadhu Tilla School- 1130 KL	Work is to be started	No impact on any structure, land or livelihood

SN	Works	Status of Works	SIA
	OHSR 02: Near AMC Zonal office (Ward 35)- 680 KL		No impact on any structure, land or livelihood
	OHSR 03: Salbagan Road- 450 KL		No impact on any structure, land or livelihood
	OHSR 04: Backside of Public Health Engineering (PHE) sub-div office Jogendranagar- 450 KL		Work not yet started. It has been assessed that there will be no impact on any structure, land or livelihood
	OHSR 05: Near Bankumari Bazaar/Nath Para- 450 KL		No impact on any structure, land or livelihood
	OHSR 06: Near Aralia II DTW- 450 KL		No impact on any structure, land or livelihood
	OHSR 07: Opposite Champamura Horticulture Office- 450 KL	Work not yet started	No impact on any structure, land or livelihood

SN	Works	Status of Works	SIA
	OHSR 08: Cold storage, Sripally near Badharghat- 1130 KL		No impact on any structure, land or livelihood
	OHSR 09: Near Sarbadharma Mission- 900 KL	Work not yet started	
	OHSR 10: Near Pranabananda School- 680 KL		No impact on any structure, land or livelihood
	OHSR 11: Near DTW at Rampur- 1130 KL, GWTP will be done		There is no such negative impact
	OHSR 12: Near Pragati School complex- 900 KL	Work not yet started	
	OHSR 13: Near Harijan Colony- 680 KL	Work not yet started	
	OHSR 14: Area near Battala burial ground- 1130 KL	Work not yet started	
3	Providing and Laying of Rising mains-48 %		
	Providing and laying of rising mains. It is in progress at Aralia, AMC,, Sadhutila, matripalli, Bardowali, Camper Bazar. Approx 25.5 km laid		No impact on any structure, land or livelihood

SN	Works	Status of Works	SIA
4	Providing and Laying of Distribution System (South Zone)- 70%		
	Providing and laying of 232.0 km Distribution System (South Zone). Work in progress		No impact on any structure, land or livelihood
5	Installation of bulk water meters- 84% completed.		
	Bulk meter procured and location identified time extension for 3 months given. 26 Electric magnetic Meter installed and 2 in progress.		
	Procurement and installation of bulk water meters on the existing line to assess discharge	Supply portion completed, work in progress	No impact on any structure, land or livelihood

The Subprojects will involve no physical displacement as the constructions will be done within the RoW or vacant Government land. The citizens are identified as the beneficiaries of the project. They are happy in welcoming the Project as currently the supplied water is not very satisfactory and adequate to them.

Table 5: Status of Construction and Social Impact in Tranche-III Subprojects

SN	Works	Status of Works (% of completion)*	SIA
1	Rehabilitation of WTP	DPR has been approved & Tendering in process.	No impact on any structure/land/livelihood
	(a) College Tilla (b) Bardwali		
2	Distribution Network- Central		No impact on any structure/land/livelihood
3	New Deep Tube Well		No impact on any structure/land/livelihood
4	Solid Waste Management:- (a) Procurement of equipments for primary and secondary collection of solid waste (b) Procurement of vehicles for transportation of waste	DPR has been approved & Bid Document under preparation.	
5	Septage Management:- (a) Procurement of Equipment for collection & transportation. (b) Procurement and installation of Septage Treatment Plant	DPR submitted for approval.	

Note- Reconnaissance visits have been made to the selected sites and it has been observed that there is no negative impact of these subprojects

6. Implementation of Resettlement Activities in the Tranches

Since there is no issue of land acquisition and/or resettlement the sub project does not entail any resettlement planning. Construction of 7 GWTUs and 14 OHSRs are designed to be built on vacant government/municipal land. Laying of primary pipelines (60 kms) and secondary pipelines (410 kms) also do not have any impact on land acquisition and resettlement impacts because it will be undertaken within sufficient and vacant road RoW.

7. Project Impact

The Investment Program is intended to optimize the socioeconomic development in Agartala Municipal area with an objective to improve the urban environment cum living conditions of citizens. The subprojects implementations will not create any major negative impact on community. The implementation of RPs integrating with various developmental schemes of governmental and non-governmental agencies will enhance the living standard of PAPs. Summary of negative social impacts in tranche sub projects is summarised below:

Table 6: Summary of Resettlement Impacts and Socioeconomic Details

Type of Impact	Number of APs
1. Permanent Loss	
Title Holder/Land Owner	Nil
Total Loss of land(sq.mts)	Nil
Loss of trees	Nil
Total land Holding in that Particular Plot (sq.mts)	Nil
Occupation	NA
Vulnerability	NA
Annual Income (INR)	NA
2. Temporary Loss	
A) Temporary Loss/ Disruption of Business(Nos)	Nil
B) Temporary Loss/ Disruption of Business Employees of the business enterprises(Nos)	Nil
3. Encroacher in ROW	
A) Encroacher in ROW(Nos)	Nil
Affected CPRs	Nil

Note- Joint site verification carried out by the concerned Specialists

8. Land Acquisition, and Rehabilitation in Solid Waste Management Subproject

Since the responsibility of this subproject has been transferred to the AMC, the DSMC is not required to design any safeguards measures.

9. Status of Contractor Social Safeguards Compliance

Contractors are maintaining compliance by following the social safeguards norms set by the Project. The only problem they are facing that the labourers, especially the women, are not comfortable with the use of safety gadgets. The workers are being counseled by the team members for using those. It is a slow process as behaviour change takes long time

Tranche 1-The following contractors were awarded

M/S Subhas Poddar, M/S Priyatosh Saha, Uttam Dey, Kabir Saha (tubewell)

M/S Utkarsh Tubes & Pipes Ltd (MSERW pipe)

Tranche II

ITD-ITD Cem JV-(GWTP)

Ramky Infrastructure- (OHT)

M/S Jaihind Projects Ltd (Bulk Meter)

Electro Steel (D I Pipe)

10. Validation and Verifications of APs

Validation and verification are done and it is being confirmed that there is no negative impact of the subproject till date. Since there is no impact there is no requirement for compensation and assistance.

11. Issues and Action Plan

Since the action plan has been revised in the year of December 2011 on the basis of the proposal for the work of Tranche-I and Tranche-II, there is no change from the proposed plan after sanction. If situation needs RP will be prepared and its implementations will be attended by social safeguard specialist of SIPMIU and DSMC.

12. Public Consultations

Rampur-

In this site GWTP and OHT are being constructed. Boundary wall construction is in progress and land development is being done there.



Venue- House of Mr Jhotan Biswas

Opinion

This is a very good project but he requested there should not be any stagnant water due to the work.

He expressed his opinion it is a very required project.

Venue- Swapna Biswas's house, participants were Payel Bhowmik, Radharani Biswas.

Opinion

They asked about the installation of water meter.

They opined that project is very much necessitated as water flow will be regular and iron free.

Sadhutila

Venue- shop of kalipada Roy, resident for quite a long time

Opinion

He expressed his opinion by saying that he is here for more over 50 years. He had faced water related problems in many ways. He felt this project will bring iron free fresh water , a blessing for all.

Bankumari Market

Venue shop of Shentu Majumdar, other participants were Janardan Chakraborty, Binod Bera

Opinion

They informed during laying of pipes there had been leakage in water pipes due to that the roads were muddy and they faced difficulty but they felt in the long run it will be beneficial for all.

Aralia

Venue House of Mistu Roy Sutradhar

Opinion

There had been a leakage in pipeline resulting inconvenience of public but it is a good project. The water will be iron free and clean. So far water is damaging for skin, hair, full of iron.

13. Due Diligence Findings

The proposed work is a rehabilitation work of the existing water supply systems. The new structures are being constructed within the existing RoW. It has been found that no additional land will be required for this subproject and there is no structure affected; hence there is neither any land acquisition issue nor any resettlement issue involved in it. Therefore it has been confirmed that there is no resettlement planning required for this.

14. Conclusions

The report confirms that the NERCCDIP water supply subproject under the Tranches does not have adverse social impacts associated with land acquisition and resettlement and it is expected that the project will benefit entire population of the city of Agartala after the completion of the Project. According to this information the Subproject comes under **Category C** of Resettlement Categorization. So no resettlement planning is required for this.

Photo's of Public Consultation



Public Consultation of Rampur



Public Consultation of Rampur



Bankumari Bazar



Public Consultation of Bankumari Bazar



Public Consultation of Sadhutilla



Public Consultation of Aralai

Annexure

Annex 1: Details of Public Consultations, Disclosure, Awareness campaigns

Please attach list of meetings etc held only during the last 6 months (January to June, 2014 only) in the table provided below:

SN	Date	Place	Participants	Issues Discussed	Opinion & Consensus
					A few informal consultations held in this period

Annex 2: Gender Action Plan

Please attach Gender Action Plan progress here in the format provided below:

Water Supply Project of NERUDP (Based On ADB Approved GAP and Agreed in Gender Workshop)

Output	Activities Planned	Activities conducted during the period under report	Remarks
Sustainable and increased access to better urban services for Water supply, Sewerage, & Solid waste management.	Conduct gender sensitization seminar in the city to highlight gender issues in water supply, sanitation, solid waste and drainage, and the need for women's involvement in the implementation, O&M of these services.	A seminar had been organized on 17 th &18 th February, 2012 where 40% were women participants.	It was highly successful and well appreciated.
Understanding needs of women for provision of water supply point/stand posts, timings of water supply, need to conserve water, need to be proactive regarding reporting/repairs of leaks, wastages etc.	Conduct FGDs in all income groups (Upper, middle and lower class) to understand their needs & concerns vis a vis, household connections	The following number of meetings was conducted. 10 with middle income groups, 15 in slum areas & 10 with SHGs of Agartala in 3 zones, South, East and Central. Total participants were 673, out of which 182 were males (27%) and 491 were females (73%). The meetings were evenly distributed all over the city.	It was seen that majority of the participants were not aware of the project. With these FGDs they came to know about the ongoing project. In most of the cases councilors or their representatives were present. It had been beneficial for all.
Creating awareness regarding conservation of water from women's perspective through use of media	Prepare IEC material and develop mass media campaigns about the project, conservation of water, repair of leaks etc. with special emphasis on, how women can contribute towards reduction of water wastage and help in conservation	It will be done in later stage.	
Creating awareness regarding conservation of water and good sanitation habits through school children (with active participation of girl students)	Conduct activities in Schools such as slogan competition, painting competition, skits to increase awareness and spread of the message to the parents. (minimum 50% participation of girls)	13 sit & draw and 13 slogan competitions were organized. Total sit & draw participants were 572, out of which 55% were girls, 45% were boys. For slogan competition, 205 guardians participated out of which 73% were females.	The participants were enthusiastic and opined they have become much more aware due to these programs.
To reinforce the messages of water use and its conservation through various activities	To undertake outdoor activities as a reminder medium by way of cycle rallies (10), thematic street theatres (10) workshops (5) seminars (1) etc. (minimum 50%	It was decided the rally will be conducted on 15 th September. There will be 10 points where the rallies will be started and then they will meet in a certain point. Street theatres will	By these activities more people will be aware.

Output	Activities Planned	Activities conducted during the period under report	Remarks
	participation of girls and women).	be conducted on 12 th , 13 th and 14 th September.	
Provision of water services to FHH (Female Headed Household) on priority. Prepare a disaggregated data base	Survey of FHHs and preparation of list for giving priority to FHH in the NERUDP project	After the consultation with the ADB safeguard specialist it will be decided.	
MIS on provision of water connections to FHH	1. Provision of water connections and meters to female headed households with priority to poor FHHs in the city 2. uploading data on website	4 th QTR, 2014	100% FHH will have water connection and water meter
Improving repairs and maintenance and improving compliance with payment of user charges through people's participation.	Conduct five public consultation meetings with women groups , and five with mix groups of women and men (target: 50% women) on timing and duration of water supply, water tariff and method of collection, responsibility of repair and maintenance	It had been discussed during the FGDs, the participants agreed to pay.	
Equal employment opportunities	Tender conditions have been incorporated in the contract agreement of the contractor for emphasis on employment for women with equal pay.	It will be seen during construction.	The muster roll will be checked.
MIS regarding employment of women in the sewerage project	Employment of women in the construction of pipelines, plants, etc., with implementation of 'same pay for same work – notices to be displayed up at-least 6 locations publicizing equal pay for equal work policy. (e.g. work site, at the notice board of ULB/UDD, SIPMIU office and local employment exchange)	It will be seen	
Any other (please mention)			

Annex 3: Social Safeguard Monitoring Checklist

1: SOCIAL SAFEGUARDS MONITORING CHECKLIST¹

Project Data			
Name of Project & Subproject:	Replacement of 6 Tube Wells including Pump House in Lot-I		
Project Disbursement Status (%):			
Type of contract:	<input type="checkbox"/> Regular <input checked="" type="checkbox"/>	<input type="checkbox"/> Design-Build	<input type="checkbox"/> Combined
Safeguards Categorization	C	C	C
IR	C	C	C
IP	C	C	C

A. Design and Engineering Status

Item	Status (Y/N)	Follow up required (Y/N)	Type of Required Action
Final detailed engineering design of the subproject completed	Yes	Yes	<input type="checkbox"/> Updated draft IR/IP <input type="checkbox"/> New RP/IPP preparation
Changes in project design/ scope (occurred or envisaged)	No	No	<input type="checkbox"/> Project re-categorization (IR/IP) <input type="checkbox"/> IR/IP Impact assessment <input type="checkbox"/> New RP/IPP preparation

B. Institutional Status Readiness

No	Item	Status(Ready/ Not ready/NA)	Follow up required(Y/N)	Completion Deadline
1	Mobilization of civil works contractor	Yes , the contractors had been awarded work	Yes	Work completed
2	Mobilization of Project Supervision Consultant	Yes	Yes	
3	EA's Safeguards Unit with designated staff	Yes	Yes	
4	Recruitment of External Monitoring Agency	NA	No	

C. Safeguards Requirements

No	Item	Status(Ready/ Not ready/NA)	Follow up required(Y/N)	Completion Deadline
1	Safeguards monitoring included in the project progress report	Included	Y	
2	Final/ updated RP/IPP ² prepared and submitted	NA	N	
3	Project's Grievance Redress Mechanism established	Established, but there is no grievance as such	Y	
4	Recruitment of third independent party for negotiated land acquisition	NA	N	
5	Project's ESMS (for FI) established	Ready	Y	

¹ The checklist is to provide a snapshot/ guidance on the policy compliance of the project components/subprojects that have social safeguards issues. Not intended to replace the semiannual social safeguards monitoring report.

² Detail checklist for RP/IPP supervision provided in section D.

2. RP/IPP Monitoring Checklist

Item No.	Safeguards Related Requirements	Status (Ready/Not ready/ NA)	Completion Deadline	Progress to Date/ Remarks
1.0	MANAGEMENT			
	Institutional and Financing Arrangements			
1.1	Financing and budget : (i) safeguard office and staff; (ii) RP/IPP cost	Ready/NA		
1.2	Establish Safeguard Unit: (i) resettlement offices (ii) resettlement staff as in RP (iii) safeguards' database (iv) facilities (v) safeguards training	Ready/NA		
	Updating of DD/RP/IPP based on Detailed Design			
1.3	Update DD/RP/IPP: (i) revise based on DMS (ii) revise implementation schedule (iii) revise budget	Ready		
1.4	Compensation rates: (i) approved by Government ; (ii) Adequate as per RP/RF; (iii) APs informed.	NA		
	Disclosure and Grievance Redress Arrangements			
1.5	Disclose final/updated RP/IPP: (i) to the APs in local language; (ii) in ADB website; (iii) in EA's website	Ready		
1.6	Disclose project activities to affected communities: (i) put up a project sign boards; (ii) distribution of project leaflets and GRM to affected communities	Ready		
1.7	Grievance redress committees: (i) clear terms of reference; (ii) appointment decree; (iii) publicly disclosed among APs.	Ready		
1.8	Grievance redress records: (i) list and numbers of grievances received; (ii) numbers of resolved issues; (iii) numbers of cases under GRC review; (iv) outstanding cases (filed to court)	NA		
	Monitoring and Reporting Arrangements			
1.9	Set up internal monitoring system : (i) assessment on capacity and staff requirements; (ii) criteria of safeguards assessment ; (iii) reporting mechanism	Ready		
1.10	Appoint external monitoring agency (as relevant): (i) recruitment schedule; (ii) TOR; (iv) baseline survey (v) reporting mechanism (vi) action taken by management on reports.	NA		
1.11	Reporting: (i) frequency; (ii) timeliness; (iii) identified issues; (iv) action taken and/recommendation.	Ready		
2.0	PR/IPP IMPLEMENTATION			
	Consultation			
2.1	Consultation: (i) responsibility; (ii) stakeholders Identified; (iii) consultation and process; (iv) documentation	Ready		
	Mapping and Assets Inventory			
2.2	AP Identity cards & affected assets records: (i) issuance and distribution of AP ID cards, (ii) detail measurements survey of affected assets and documentation	NA		
2.3	Land acquisition activities: (i) maps of affected and relocation sites; (ii) acquisition & relocation schedule; (iii) coordination with the asset's acquiring, transfer and registration bodies	NA		
2.4	Common property/ public assets: (i) final inventory; (ii) restoration plan and schedule; (iii) funding sources	NA		
	Compensation, Relocation and Rehabilitation			
2.5	Payment of compensation and entitlements (as stated in the RP): (i) schedule of payment; (ii) records/ receipts of payment	NA		
2.6	Relocation of process (relocation site readiness): (i) housing & associated facilities established; (ii) transfer of new land/plot/ house ownerships completed	NA		
2.7	Provision of (a) income restoration, (b) additional assistance for vulnerable APs, (c) training, etc. (as stated in the RP): (i) per type of activities; (ii) schedule of implementation	NA		
	Monitoring and Reporting			
2.8	Submission of the reports: (i) semiannual monitoring reports; (ii) external monitoring and evaluation reports (as required); (iii) completion report	Ready		

Item No.	Safeguards Related Requirements	Status (Ready/Not ready/ NA)	Completion Deadline	Progress to Date/ Remarks
2.9	Transfer of acquired assets to the acquiring agency	NA		

A. Safeguards Loan Covenants

Not applicable for IR impacts and RP implementation

Overall Status of Compliance		
<input type="checkbox"/> <u>Not complied</u> – Redressed action & monitoring required	<input type="checkbox"/> <u>Complied</u> with further monitoring	<input type="checkbox"/> <u>Fully complied</u> – no further action

Annex 4: Summary of IR and IP Check List

Name of the Work	Has involuntary resettlement (IR) impact, a resettlement plan (or corrective action plan) is required	Has No IR impact, no resettlement plan is required	Issues if any	Has Indigenous People (IP) impact, an indigenous people plan (IPP) (or specific IP action plan) is required	Has No IP impact, no IPP/specific action plan is required.	Issues if any
Replacement of 22 Deep Tube Wells – Lot 1, 2 and 3 (AGT/WS01(R)/NCB/11/1/Lot1, Lot 2 & Lot 3) 22 locations in Agartala city	X	√	NA	X	√	NA
Procurement of Ground Water Treatment Plants Design, Construction, Supply, Installation, Commissioning Including Mechanical & Electrical Equipments and Operation for One Year in Agartala. (AGT/WS 02,07,08/ICB/11/8).	X	√	NA	X	√	NA
Design Supply and Construction of 14 Nos. of Over Head Service Reservoir (OHSR), (AGT/WS09/ICB/11/7, Lot -1 & 2)	X	√	NA	X	√	NA
Providing, Installation, Testing and Commissioning of Bulk Flow Water Meter in Agartala water Supply Project, Agartala city (AGT/WS03/M/NCB/11/6)	X	√	NA	X	√	NA
Supply and Laying of Water Supply Distribution Pipelines and allied works in Agartala city (South) {[Jogendranagar] (AGT/WS10-3/ICB/11/9, Lot-1), [Bardowali] (AGT/WS10-3/ICB/11/9, Lot-2): South part of Agartala city –105.3 km & 126.2 km=Total231.5 km	X	√	NA	X	√	NA
Procurement of DI Pipes for Laying of Rising Mains in AgartalaCity(AGT/WS03/M/NCB/11/6)	X	√	NA	X	√	NA
Laying of new Primary Mains in various parts of Agartala (AGT/WS10-2(R)/NCB/12/2). 58.7 km rising mains	X	√	NA	X	√	NA
Rehabilitation of WTP	X	√	NA	X	√	NA

Intake well	X	√	NA	X	√	NA
Distribution Network – Central	X	√	NA	X	√	NA
New Deep Tube Well- 14 (8 'R' and 6 'N')	X	√	NA	X	√	NA

Annex 5: Summary of Policy and Legal Framework (Only relevant portions)

Policy and Legal Framework

The policy framework and entitlements for the program as well as for this subproject are based on following national laws:

- The Land Acquisition Act, 1894 (amended in 1984), and the National Resettlement and Rehabilitation Policy (NRRP), 2007,
- State laws and regulations: The Tripura State Land Acquisition (LA) Act 1894,
- ADB's Safeguard Policy Statement, 2009; and the agreed Resettlement Framework (RF).

Based on these, the core involuntary resettlement principles applicable are:

- i. land acquisition, and other involuntary resettlement impacts will be avoided or minimized exploring all viable alternative subproject designs
- ii. where unavoidable, time-bound Resettlement Plans will be prepared and APs will be assisted in improving or at least regaining their pre-program standard of living
- iii. consultation with APs on compensation, disclosure of resettlement information to APs, and participation of affected persons in planning and implementing subprojects will be ensured
- iv. vulnerable groups will be provided special assistance
- v. payment of compensation to APs including non-titled persons (e.g., informal dwellers/squatters, and encroachers) for acquired assets at replacement rates
- vi. payment of compensation and resettlement assistance prior to the contractor taking physical acquisition of the land and prior to the commencement of any construction activities
- vii. provision of income restoration and rehabilitation and
- viii. establishment of appropriate grievance redress mechanisms

The RP outlines the objectives, policy principles and procedures for land acquisition, compensation and other assistance measures for Affected Persons (APs). The Executing Agency (EA) and the Implementing Agency (IA) will have to endorse the Resettlement Plan prior to Project Appraisal. The RPs shall be disclosed to the affected persons (APs) and submitted to ADB for review and approval prior to commencement of any civil works. Compensation and other assistances will have to be paid to APs prior to any physical or economic displacement of affected households.

Remarks: Not applicable as no land acquisition is required.

Annex 6: Land Record- To Be Acquired

Not applicable as no land acquisition is involved

Annex 7: List of Affected Persons

Not applicable as no IR impacts identified during monitoring activities (January-June 2014)

Annex 8: Resettlement Budget and Financial Plan (WS Subprojects)

There is no resettlement issue in any of the Tranchesso no budget provision is required

Annex 9: Documentary Proofs

(Any letters for land acquisition etc to be attached here)

Not Applicable.

Public Awareness Programme

(Gender Action Plan)

Project Number: 35290-01

**North Eastern Region Capital Cities Development
Investment Program (NERCCDIP) ADB Loan No. 2528-IND
& 2834-IND, Agartala, Tripura**

January to June – 2015

Prepared by

**STATE INVESTMENT PROGRAMME MANAGEMENT AND
IMPLEMENTATION UNIT (SIPMIU), Agartala, Government of
Tripura, Urban Development Department**

**GENDER ACTION PLAN UNDER ADB ASSISTED PROJECTS OF NERCCDIP (January-June , 2015)
(Tranche 2)**

	Activities	Targets	Monitoring indicator	Result indicators	Time	Present status/remark	
Outcome							
	Sustainable and increased access to better urban services in five program cities ¹	Conduct of 1 gender sensitization seminar for each program city that highlights gender issues in water supply, sanitation, solid waste and drainage, and the need for women's involvement in the implementation, O&M of these services	<ul style="list-style-type: none"> • Municipal council officials (10/program city) • Women's NGOs (5/program city) including self-help groups • 20 community members (50% women), including from scheduled castes (SCs) and scheduled tribes (STs) • State Investment Program Management and Implementation Unit (SIPMIU) staff of each state executing agency 	Training report, list of participants, photographs, video	At least 50% seminar participants understood about the gender issues and a need for involvement OF women.	Q3 of Yr 1	A Gender sensitization seminar had been organized with SIPMU officials, PMC, DSC and AMC councilors including women councilor and NGO,s.
Outputs							
(i)	Improved water supply and infrastructure	<p>i. Conduct PCM with women living in poor, middle and upper income areas to understand their view on water supply point/stand posts, timings for water supply and water tariff.</p> <p>ii. Create awareness among women through mass media /IC material for need to conserve water, need to be proactive regarding reporting/repairs of leaks, wastages etc.</p> <p>iii.Prepare list of to poor</p>	<p>Targets</p> <p>Women from all wards representing poor, middle and upper income groups</p> <p>ii.All the female headed</p>	<p>PCM reports and photographs</p> <p>i.Records and photographs of mass media and IC materials, ii.A mini survey</p> <p>List of FHH and</p>	<p>i.Views of women from poor, middle and upper income areas are captured and incorporated in water supply planning</p> <p>ii. IC material is used and at least 25 % women are aware about importance and techniques of conservation of water</p>	Q4 of Yr 3	<p>10 FGDs had been organized with self help group members at different locations</p> <p>This is under progress 40000 new connections will be provided included female headed house</p>

¹ The five program cities are **Agartala** of Tripura, **Aizawl** of Mizoram, **Shillong** of Meghalaya, **Kohima** of Nagaland, and **Gangtok** of Sikkim.

	female-headed households (FHHs) and provide connection and meter to them	households, residing in areas covered under the project	record of distribution of water meter to them	iii.100% poor FHH received water connection and free meter		hold.
	<p>i. Employment of women in the construction of pipelines, plants, etc., with implementation of 'same pay for work of equal value'</p> <p>ii. Put up notices at least 6 locations publicizing equal pay for equal work policy. (e.g. work site, at the notice board of ULB/UDD, SIPMIU office and local employment exchange)</p> <p>iii. Incorporate in tender condition of the contractor for emphasis on employment for women with equal pay for tranche 3 work.</p>	Marginal and, other women workers who work as labourers on the site	<p>i. Signed contract of the contractor indicating that, it is mandatory to employ women for equal pay.</p> <p>ii. Copy of notice for equal pay for equal work to women and men.</p> <p>iii the muster roll of the contractor</p>	At least 20% women are employed in the project. All women workers are getting equal pay for equal work	During construction	30% of the construction labors were women
(ii) Improved sanitation infrastructure	Prioritize connections to sewerage system of poor female-headed households in each program city	ii. All the female headed households, residing in areas covered under the project	List of FHH who got sewerage connection under the project	100% FHH connected to sewerage system	At project onset	Not included in scope of work
	Employment of women in construction of sewage facilities, while implementing the Equal-pay-for-work-of-equal-value policy	Marginal and, other women workers who work as labourers on the site	Similar monitoring indicators as used for water supply	At least 20% women are employed in the project. All women workers are getting equal pay for equal work	At project onset	
(iii) Improved solid waste management systems	Prioritize FHHs in the provision of household bins	All the female headed households, residing in areas covered under the project	<p>i. List of FHHs who received household bins</p> <p>ii. mini survey</p>	100% FHHs received household bins	At project onset	This is done by AMC
	Conduct of public consultations among community members (target: 50% women)	Women and men community members	i. Report of public consultation with photographs	Trash collection in segregated manner (biodegradable and non biodegradable) is	At project onset	It has been decided 20 hoardings will be displayed at 20

	including SCs and STs, and NGOs on the manner and schedule of trash collection		ii. Action points and follow ups	done in all the wards.		different places. The hoardings are prepared from the selected paintings drawn by school children along with the slogans written by their parents/guardians . It is under process
	Conduct an exploratory study on possible livelihood opportunities from available recyclable materials and the market for possible products from these recycled materials	i.. rag pickers and women from lower and middle income group ii.. Local and regional market for marketing of the products	Study report is prepared	i.The study report contains all objectives and action points. li.Understanding about livelihood opportunity increased among project staff	At project onset	An Entrepreneurship development training of women on coir (fibrous husk of coconut).had been organized on ward 21 office premises.
	Conduct of training on alternative livelihoods for rag picker and poor women using recyclable materials (e.g., papier mache, etc.)	Rag pickers and Women from lower and middle income community members	i.Training report with photographs ii.mini survey of trainees	Rag pickers and poor women are using recyclable materials for alternative livelihood	At project onset	To be decided by SIPMU
(iv) Strengthened project implementation capacity of executing agency	Conduct of demographic survey of program city, showing gender differential access to urban services, income / poverty levels, among other such indicators, specifically, between male-headed and female-headed households and among SCs and STs	Women community members	Survey report and tables of analyzed data	SIPMIU and DSMC staff members have knowledge of gender differential access to urban services, income. Poverty levels	At project onset	It will be conducted
	Instituting geographic and sex-disaggregated project management information system	Stakeholders of the project	Assessment of project management information system	Project management information system in place with sex disaggregated data	At project onset	To be decided by SIPMU
	Conduct of on-the-job capacity-building activities	SIPMIU staff	Assessment of gender sensitivity level of	Gender inclusion is observed in all the	At project	To be done by

	for SIPMIU on gender-sensitive recruitment, management, procurement and contract management, project and performance monitoring, safeguard compliance, land acquisition, and preparation of feasibilities studies and detailed designs		SIPMIU staff, whether the staff members are following gender equity component in all their project procedures	project procedures	onset	SIPMU
(v) Strengthened local government for sustainable service delivery	Constituting new ULBs in Gangtok and Aizawl with at least 33% women officials; Reconstitution of Shillong ULB with at least 33% women officials in place ²	All residents of the municipalities in each program city that will be reached by the Project	ULB record of men and women officials	ULB have atleast 33% women officials	At project onset	To be conducted
	Training of municipal staff, at least 33% of whom are women, on O&M of services, financial management, and regulation enforcement	Men and women municipal staff	Men and women municipal staff	Municipal staff is trained on O&M, financial management and regulation enforcement of which 33% are women	At project onset	To be done

² The 33% quota is in compliance with the 74th Amendment of the Constitution which requires 33% of seats in local municipal bodies be reserved for women.