

PROCUREMENT PLAN

Basic Data

Project Name: North Eastern Region Capital Cities Development Investment Program – Tranche 3	
Project Number: 35290-043	Approval Number: 3337
Country: India	Executing Agency: Ministry of Urban Development, Urban Development Department, Urban Development and Poverty Alleviation Dept
Project Financing Amount: US\$ 114,000,000 ADB Financing: US\$ 80,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 34,000,000	Implementing Agency: N/A
	Project Closing Date: 22 June 2019
Date of First Procurement Plan: 27 November 2015	Date of this Procurement Plan: 26 April 2017, Version 1

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 3,000,000 and Above	Prior Review
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 2,999,999	Prior review for 1st set of NCB documents of each type; post-review for succeeding NCBs; prior review for the 1st technical bid evaluation reports and financial bid evaluation reports of the same contract, post review for succeeding reports.
Shopping for Goods	Up to US\$ 100,000	Post review, EA/IA will issue public request for quotations
International Competitive Bidding for Works	US\$ 40,000,000 and Above	Prior Review
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 39,999,999	Prior review for 1st set of NCB documents of each type; post-review for succeeding NCBs; prior review for the 1st technical bid evaluation reports and financial bid evaluation reports of the same contract, post review for succeeding reports.
Shopping for Works	Up to US\$ 100,000	Post review, EA/IA will issue public request for quotations

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	Prior Review
Fixed Budget Selection for Consulting Firm	Prior Review

Least-Cost Selection for Consulting Firm	Prior Review
Quality- and Cost-Based Selection for Consulting Firm	Prior Review
Quality-Based Selection for Consulting Firm	Prior Review

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
5. GV/SWM/AIZ/T-3/N CB-5	SWM-AIZ: Procurement of Vehicle for Transportation of Waste	1,040,000.00	NCB	Prior	1S2E	Q1 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Goods
7. AGT_SW M-NCB-S W-01	SWM-AGT: Procurement of Bins and Various SWM Vehicles	2,960,000.00	NCB	Prior	1S2E	Q1 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Goods
9. AGTxxx	SM-AGT: Septage Management Treatment Plant	2,080,000.00	NCB	Prior	1S2E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Small Works

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
DSMC/AG T/T3	DSMC for Agartala	530,000.00	QCBS	Prior	Q2 / 2016	BTP	Assignment: National Quality-Cost Ratio: 80:20 Advance Contracting: N
PMMC-T3 /MOUD/N ERCCDIP	PMMC for MOUD Tr 3	550,622.00	QCBS	Prior	Q4 / 2016	BTP	Assignment: National Quality-Cost Ratio: 80:20

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
1. SCADA/W S/AIZ/T-3/ NCB-8	WS-AIZ: Preparation of Water Management Plan including SCADA Installation	940,000.00	1	NCB	Prior	1S2E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Goods
2. AIZXX	SWM-AIZ: Procurement of SWM goods, including PPE	50,000.00	1	SHOPPING	Post		Q3 / 2015	Advanced Contracting: N
3. AGTXX	SWM-AGT: Septage Management Treatment Vehicle	250,000.00	1	NCB	Prior	1S2E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference

								Applicable: N Advanced Contracting: N Bidding Document: Goods
4. WWTP/SAN/AIZ/T-3/NCB-7	SM-AIZ: Pilot Package Aerate WWTP-Johkasou System	250,000.00	1	NCB	Prior	1S2E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
5. SWS/SAN/AIZ/T-3/N CB-9	SM-AIZ: Supply, Installation and Commissioning of SWS for Septage Management	200,000.00	1	NCB	Prior	1S2E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
8. BD/SAN/AI Z/T-3/NCB-3	SM-AIZ: Pilot Bio-Digester	3,660,000.00	1,589,083.00	NCB	Q3 / 2015	12-OCT-16	Superflow Engineering Corporation, 12-Jan-2017
6. SL&CP/SW M/AIZ/T3/NCB-4	SWM-AIZ: 1. Vermi-compost & RMC; and, 2. Sanitary Landfill & Closure of Existing Dump Site	4,690,000.00	3,710,132.00	NCB	Q3 / 2015	07-JUN-16	V Lalbiakzama, 01-Jul-2016
4. AGT/WS/NCB/15/01	WS-AGT: Augmentation and Rehabilitation of WSS of Agartala (CZ) Tripura	18,320,000.00	13,681,128.00	NCB	Q2 / 2015	08-JAN-16	Eco-Protection Engineers Pvt. Ltd in JV with A. V. Rambabu Infra Pvt. Ltd, 20-May-2016
3. A&TP/WS/AIZ/T3/NCB-6	WS-AIZ: 37 MLD Water Supply Augmentation & WTP	23,980,000.00	18,852,917.00	NCB	Q3 / 2015	03-NOV-16	Eco-Protection Engineers Pvt. Ltd in JV with A. V. Rambabu Infra Pvt. Ltd, 16-Dec-2016
1. P&SN/WS/AIZ/T3/NCB-1	WS-AIZ: Aizawl: R&E of Water Dist. Networks & Feeder Mains at Aizawl Mizoram	29,200,000.00	17,354,038.00	NCB	Q2 / 2015	16-NOV-15	Vishwa-Gayatri (VG) JV, 12-Jan-2016
2. WR/WS/AI Z/T3/NCB-2	WS-AIZ: Construction of Water Reservoirs at Aizawl Mizoram	2,110,000.00	1,448,264.00	NCB	Q2 / 2015	25-JAN-16	S. S. Construction (SSC), 05-Feb-2016

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
DSMC-T3/AZL/NERC CDIP	DSMC for Aizawl	2,430,000.00	2,344,236.00	QCBS	Q2 / 2015	31-MAR-16	GKW Consult GmbH, 19-Apr-2016

