

Republic of Indonesia
Ministry of Environment and Forestry

The Global Environment Facility (GEF) Financed Project
Strengthening of Social Forestry in Indonesia (SSF)
[P165742]

[Draft]

ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)

January 2020



ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of Indonesia will implement the Strengthening of Social Forestry in Indonesia Project (the **Project**), with the involvement of the Ministry of Environment and Forestry, Directorate General of Social Forestry and Environmental Partnership (DGSF). The Global Environment Facility (GEF) and the International Bank for Reconstruction and Development (hereinafter the Bank) have agreed to provide financing for the Project.
2. The Government of Indonesia will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Government of Indonesia will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Framework (ESMF), Environmental and Social Management Plans (ESMP), Resettlement Action Plans (RAP), Indigenous Peoples Plans (IPPs), Labour Management Plans (LMP) and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. The Government of Indonesia is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Government of Indonesia as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and the Government of Indonesia, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Government of Indonesia will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Government of Indonesia. The Government of Indonesia (DGSF) will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Government of Indonesia shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include indigenous peoples' specific needs, for example for materials, facilitation or technical assistance in local languages; support for conflict resolution; additional training to address issues of participation (domination by elites, exclusion of women or minorities may occur despite mitigations planned, and therefore new or alternative strategies may be required), biodiversity management plan, for example the plan to address the risks of disturbance to the forests, additional capacity building to enhance biodiversity awareness, facilitation and conflict mediation skills.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	<p>Six-monthly throughout Project implementation period</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project including workplace accidents which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including forest fires, community conflict related to project implementation and other incidents and accidents relevant to the operation. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Notify the Bank within 48 hours after learning of the project related and/or workplace incident or accident (eg. fatality, medical treatment injury, lost time incident)</p> <p>A report would be provided within a timeframe acceptable to the Bank, as requested.</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>In contracts for works using the Bank's standard procurement documents, contractors are required to provide monthly monitoring reports (including grievance management) to the Project Management Unit at the national and sub-national levels. Such monthly reports would be submitted to the Bank by the Government of Indonesia upon request.</p>	<p>Monthly reports would be submitted to the Bank by the Government of Indonesia upon request.</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain an organizational structure with qualified staff and resources to support management of E&S risks, including the recruitment of full-time safeguards specialist (one environmental and one social) within the PIU.</p> <p>The organizational structure, including the specialists, should be maintained throughout project implementation.</p>	<p>An organizational structure including the two (2) safeguards specialists (one environmental and one social) will be established prior to commencement of project activities. The organizational structure, including the specialists, should be maintained throughout Project implementation.</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT</p> <p>Finalize a project-level ESMF which establish requirements for screening and/or guide sub-project activities which may require specific environmental and social assessment or management plans. The project-level ESMF will have specific requirements on the need for management plans at project and sub-project levels.</p>	<p>February 2020</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>
1.3	<p>PERMIT, CONSENTS AND AUTHORIZATIONS</p> <p>Prior to commencement of physical activities onsite for sub-project implementation, prepare, adopt, and implement, Environmental and Social Assessment, ESA (UKL-UPL or SPPL) for each sub-project in accordance with the screening criteria as per Environmental and Social Management Framework (ESMF) that has been prepared for the Project, in a manner acceptable to the Bank. The ESA main outcomes and mitigation measures will be reflected in the respective ESMFs.</p> <p>Part of each sub-project preparation phase, prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.</p>	<p>Prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.4	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Based on the ESA process, draft, adopt, and implement the subproject Environmental and Social Management Plan (ESMP), as required, in a manner acceptable to the Bank.</p> <p>The ESMP could be derived from Environmental Management Procedures and/or Environmental Code of Practices (ECOP) and/or (UKL-UPL or SPPL) for project requiring this study by GOI regulation.</p> <p>Submit to the Bank the proposed terms of reference, work plans or other documents defining the scope and outputs of technical assistance activities under the project, in form and substance satisfactory to the Bank to ensure that their scope and output are consistent with ESF.</p>	<p>Part of each subproject preparation phase, prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>
1.5	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors and subcontractors. Thereafter ensure that the contractors and subcontractors comply with the ESHS specifications of their respective contracts.</p> <p>Prior to the preparation of procurement documents. Supervise contractors throughout sub-project implementation.</p>	<p>Prior to the preparation of procurement documents.</p> <p>Supervise contractors throughout Project implementation.</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Develop and implement labor management procedures (LMP), with clear information for contractors and communities regarding minimum payments in line with local laws, no child labor, workplace safety requirements and grievance mechanism for project workers.</p>	<p>Finalize and submit LMP to the Bank prior to engagement of project workers.</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, and operate a grievance mechanism for Project workers to refine what has been included in the ESMF, as described in the LMP and consistent with ESS2. Site specific grievance mechanisms and records of disseminating grievance mechanism to affected groups are to be recorded.</p>	<p>GRM to be operational prior to engaging Project affected groups, any workers and others, to be maintained throughout the Project implementation period.</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the ESMP.</p> <p>Prior to the commencement of each sub-project physical activity.</p>	Part of each sub-project preparation phase, prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.	Project Implementation Unit (Forest Management Units, FMUs)
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>MANAGEMENT OF WASTES AND HAZARDOUS MATERIALS Develop and implement measures for wastes generation minimization and wastes and hazardous materials management resulting from agroforestry and construction activities (if applicable) under the ESMP in action #1.3 above. Implement Environmental Code of Practices (ECOP) to manage wastes and hazardous materials.</p> <p>Include assessing the nature and degree of associated risks in the procurement of any pesticide in ESIA/UKL-UPL, taking into account the proposed use and the intended users and the measures to be covered under the ESMP in action #1.3 above.</p>	Part of each sub-project preparation phase, prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.	Project Implementation Unit (Forest Management Units, FMUs)
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMPs to be developed under action #1.3 above.</p> <p>Prior to the commencement of each sub-project physical activity.</p>	Part of each sub-project preparation phase, prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.	Project Implementation Unit (Forest Management Units, FMUs)
4.2	<p>COMMUNITY HEALTH AND SAFETY Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities related to use of pesticides, life and fire safety, and emergency response and include these measures in the ESMPs under action #1.3 above, in a manner acceptable to the Bank.</p>	Part of each sub-project preparation phase, prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.	Project Implementation Unit (Forest Management Units, FMUs)

ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>RESETTLEMENT PLANS</p> <p>For each project site, assess the nature and degree of expected land acquisition and involuntary resettlement under the Project. The focus is on access restrictions and livelihood impacts arising from social forestry licensing on a site-specific basis. This includes documenting in detail the affected persons with a census and consultative process for defining agreed mitigations.</p> <p>Prepare, adopt, and implement resettlement action plans (RAPs) in accordance with ESS 5 and consistent with the requirements of the Land Acquisition and Resettlement Policy Framework/ Process Framework (LARPF/PF) that has been prepared for the Project, and thereafter adopt and implement the respective RAPs before carrying out the associated activities, in a manner acceptable to the Bank.</p>	<p>RAPs to be submitted for Bank’s approval prior to commencing Project activities that involve land acquisition and resettlement.</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>
5.2	<p>GRIEVANCE MECHANISM</p> <p>Develop and implement the arrangements for the grievance mechanism for resettlement that are described in the LARPF/PF, RAPs and SEP.</p>	<p>Prior to commencement of resettlement activities</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Align <i>Peta Indikatif dan Areal Perhutanan Sosial</i> (PIAPS) with Village Spatial Planning through meaningful public consultation with stakeholders at the regional level, with adequate timeline and verified participants, to prevent poor planning in zoning activities.</p>	<p>During village spatial planning process.</p> <p>Part of each sub-project preparation phase, prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>

6.2	<p>BIODIVERSITY MITIGATION MEASURES</p> <p>Develop and implement measures and actions to assess and manage risks and impacts on biodiversity including identification of different types of habitat and develop facilities and infrastructures with the interest in the protection of natural habitats and biodiversity (biodiversity friendly infrastructures). These are to be covered within the ESMP for each sub-project under action #1.3 above.</p>		
<p>ESS 7: INDIGENOUS PEOPLES</p>			
7.1	<p>INDIGENOUS PEOPLES PLAN</p> <p>Prepare, adopt, and implement Indigenous Peoples Plans (IPPs) for each site where there are impacted indigenous peoples, consistent with the requirements of the Indigenous Peoples Planning Framework (IPPF) and ESS7, in a manner acceptable to the Bank.</p>	<p>Submit the respective IPP that includes a focused approach to Free Prior Informed Consent (FPIC) for the Bank’s approval prior to the carrying out of any activity that requires the preparation of an IPP. Once approved, implement the IPP throughout Project implementation.</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>
7.2	<p>GRIEVANCE MECHANISM:</p> <p>Prepare, adopt, and implement the arrangements for the grievance mechanism for indigenous people, as required under the IPPF and further describe such arrangements in the respective IPPs and submit progress reports to PMU and World Bank.</p>	<p>Same with timeframe for action #7.1.</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>
<p>ESS 8: CULTURAL HERITAGE</p>			
8.1	<p>CHANCE FINDS</p> <p>Prepare, adopt, and implement the chance finds procedure described in the ESMF developed for the Project under action #1.3 above.</p>	<p>Part of each sub-project preparation phase, prior to the commencement of each sub-project physical activities and to be performed throughout the project implementation.</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>
<p>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</p>			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Review on an annual basis the Stakeholder Engagement Plan (SEP) prepared during preparation; update SEP as needed and ensure each project site has an active engagement process with documentation with regards to SEP implementation.</p>	<p>Annual review and SEP implementation throughout the life of the project.</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>

10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Prepare, adopt, maintain and operate the arrangements for the grievance mechanism, per ESMF and SEP and any specific procedures for sub-groups such as Indigenous Peoples. Ensure records of grievances are maintained, analysed and reported.</p>	<p>Operation of GRM by the start of Project activities. The GRM aims to be sustainable and used even after project completion by MOEF.</p>	<p>Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).</p>
CAPACITY SUPPORT (TRAINING)			
CS1	<p>Training will be required for PMU and PIU staff, facilitators, stakeholders, communities, project workers on:</p> <ul style="list-style-type: none"> • stakeholder mapping and engagement • environmental and social screening and assessment • screening and assessment of specific aspects of biodiversity • conflict prevention, mediation and resolution • indigenous peoples’ specific needs • community health and safety 	<p>Training is done on an annual basis (or more often as required) prior to commencement of project activities at PMU office and provincial/district office for PMU and PIU staff and facilitators.</p>	<p>Project Management Unit at national level and sub-national levels</p>
CS2	<p>Training for Project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.</p>	<p>Before commencement of physical work by project workers.</p>	<p>PPK/PIU Staff/Facilitators</p>