



## **Government of Mozambique**

### **Cyclone Idai and Kenneth Emergency Recovery and Resilience Project (P171040)**

### **STAKEHOLDERS ENGAGEMENT PLAN (SEP)**

**27 June 2019**

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## **1. Project Context**

In March and April 2019, Mozambique was struck by two consecutive major cyclones with significant impacts on local population, business and core infrastructure. A total of 1.5 million people were affected, with damages and losses amounting to US\$ 2.79 billion, and an estimated US\$ 3.2 billion of total cost for recovery and reconstruction<sup>1</sup>. The first event, Cyclone Idai, affected more than 1.5 million people (5.4 percent of Mozambique population) causing 602 fatalities and 1,600 injuries. Cyclone Idai also had significant impacts in neighboring countries Malawi and Zimbabwe. The second event, Cyclone Kenneth, affected 250,000 people and caused 45 casualties. Both events destroyed and damaged houses, business and core infrastructure. Given the severity of the impacts of the two cyclones in Mozambique, the World Bank's proposed response combines the strategic use of IDA resources on multiple fronts to enable a response at scale, drawing from resources under ongoing projects where possible; activation of CERC/IRM components; and a proposed CRW allocation.

The impacts of Cyclones Idai and Kenneth are concentrated in the provinces of Sofala, Zambezia, Manica and Cabo Delgado. Cyclone Idai caused extraordinary levels of impact in Sofala, Zambezia and Manica. Six weeks after Cyclone Idai, Cyclone Kenneth made landfall in the fragile Province of Cabo Delgado. Idai's U-shaped path passed over Zambezia, Nampula and Tete provinces in the first week of March. It then came back through Sofala, Manica and Tete during the end of the second week making landfall near the city of Beira, in the Sofala province. The city, Mozambique's 5th largest with a population of over 530,000, was hit hard by both cyclone-level wind speeds (>175km/h) as well as torrential rainfall and storm surge. The cyclone caused massive destruction resulting from both flooding and very strong winds, resulting in severe economic and social impacts for affected populations. Six weeks after, cyclone Kenneth, a category 4 cyclone considered the strongest cyclone to ever hit the African continent, brought winds of up to 220 km/h causing massive destruction to homes and infrastructure in the districts of Ibo, Macomia and Quissanga. Kenneth slowly moved south towards the city of Pemba, population 200,000, causing major flooding in its path.

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<sup>1</sup> Government of Mozambique (2019) Post Disaster Need Assessment.

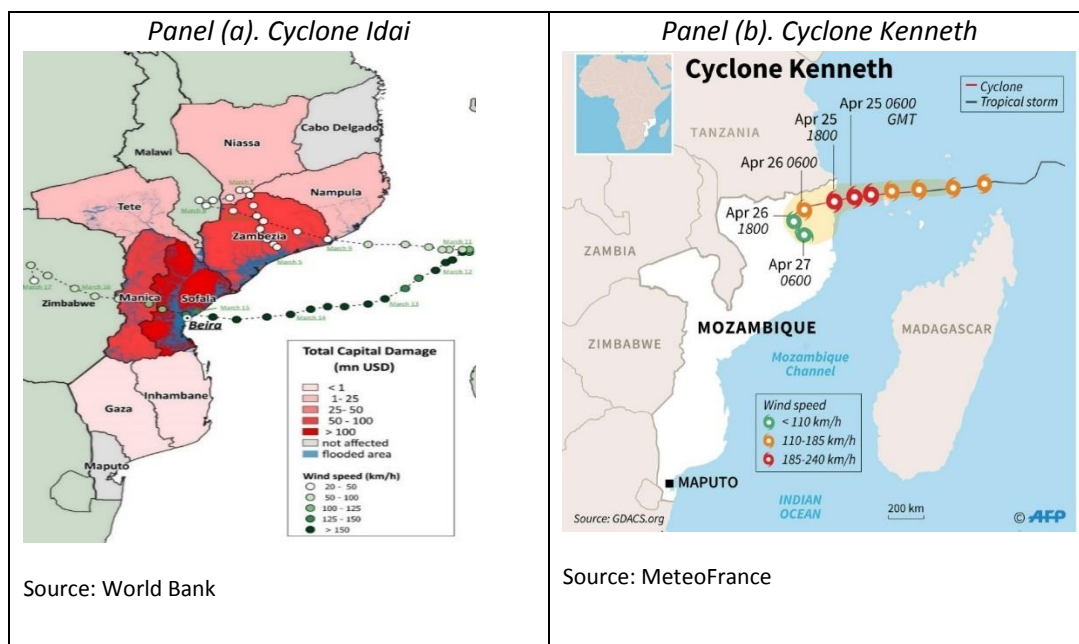


Figure 1. Cyclones Idai (a) and Kenneth (b)

A Post Disaster Need Assessment (PDNA) was conducted in April and May 2018 in partnership with Government and non-Government actors, quantifying the damages, losses and negative repercussions on poverty and economic growth. The post-disaster needs assessment was carried out in collaboration between the GoM, United Nations (UN), African Development Bank (AfDB), European Union (EU) and the World Bank, covering the entire affected areas, including areas affected by Cyclone Kenneth. In addition, the World Bank conducted a remote “GRADE” impact assessment and the Municipality of Beira conducted a city-wide assessment process with support from the Dutch Government and other international partners. The PDNA shows highest damages and losses in productive (US\$ 1,191 million), infrastructure (US\$ 797 million) and social (US\$ 693 million) sectors. The impacts of the recent events estimated in the PDNA are significant, including the increase on poverty rate, decrease on economic growth and increase in inflation, which could worsen the actual situation of food insecurity in the country. It is estimated that the poverty rate may rise to 79 percent in affected areas, up from 64 percent. Preliminary forecast on GDP point to a decrease in real GDP from 4.7 percent to 2.4 percent.

Due to the strategic importance of the Beira corridor for international trade, the damages to critical infrastructure and logistics in and around Beira are expected to have ripple effects on neighbouring countries, in addition to the negative effects on the local economy. The Beira corridor is a trading route for countries including Malawi, Zambia and Zimbabwe that links hinterland countries to the ocean through the port of Beira. Cyclone winds and floods destroyed or damaged critical infrastructure, such as roads and bridges, as well as buildings in Beira used to facilitate trade, such as warehouses. The capacity of the region to facilitate trade is expected to decrease as a consequence of Cyclone Idai. This may have long term impacts, as businesses search for alternative trading routes during the disruption. Some may not return when services have recovered. Local businesses have suffered major damages and losses. In addition to the direct costs associated with damages to facilities and equipment, local enterprises suffered from the indirect effects of infrastructure disruptions, as well as demand and supply shocks.

The Government of Mozambique has been activated mechanisms to respond to the emergency with an extensive support of donors since the declaration of the state of emergency on March 19. And following the

landfall of Cyclone Idai, it launched a major rescue operation while providing humanitarian aid to affected population, including more than 150,000 people temporarily relocated to emergency shelters. The post-disaster response was carried out by the National Institute for Disaster Management (INGC), a Government agency, with support from the UN system and multi and bilateral organizations. In addition, the Government completed a Post-Disaster Needs Assessment supported by the EU, UN, AFDB and World Bank covering the entire affected areas, including areas affected by Cyclone Kenneth. The PDNA was coordinated by the Ministry of Public Works, housing and Water Resources and the outcomes were presented at the Donor Conference on June 1, 2019. To support the government response, pledges towards humanitarian and development needs add up to close to US\$214.3 million for Mozambique specific support. To fund the humanitarian relief effort in Mozambique, the UN launched a Flash Appeal for an amount of US\$282 million. The major share of the appeal amount was dedicated to food security and health to assist the 1.72 million people in need of immediate life-saving and life-sustaining assistance over the 3 months following the disaster. About US\$94.3 million was pledged by donors towards the appeal. The United States (US\$48.2 million) and United Kingdom (US\$5.6 million) made the largest country contributions to the pledge. US\$19 million was funded by the Central Emergency Response Fund (UN). In addition, the IMF approved emergency financial assistance of US\$120 million for budgetary support.

## **2. Project Description**

### **2.1. Project Objectives**

The Project Development Objective is to support the recovery of public and private infrastructure and strengthen climate resilience of the areas most affected by Cyclones Idai and Kenneth.

### **2.2. Project Components**

The proposed project addresses short- and medium-term reconstruction needs while strengthening long-term resilience of the affected areas. The proposed reconstruction interventions follow the priorities as identified in the Post-Disaster Needs Assessment which was developed by the GoM, World Bank, UN and EU, and presented at the Reconstruction Conference in Beira on June 1<sup>st</sup>, 2019. The project will focus on (1) the resilient reconstruction of housing and selected public infrastructure assets; (2) financial support to aid the recovery of the private sector; and (3) investments in the recovery and strengthening of climate resilience infrastructure.

The project will address recovery needs in the coastal provinces most affected by Cyclone Idai and Kenneth and will support climate resilience investments in the city of Beira. The coastal provinces of Sofala and Cabo Delgado have borne the brunt of Cyclone Idai and Kenneth, respectively. The project will prioritize recovery of housing and public infrastructure across these two provinces. Being one of the most important and fastest growing centers of economic activity in Mozambique, the city of Beira in the province of Sofala accounts for a large share of the economic impact of Cyclone Idai. Currently, the city has 530,000 inhabitants, while the population is expected to double in 10-15 years. The project will therefore prioritize investments in climate resilience infrastructure in this city.

### **Component 1 – Recovery and reconstruction of cyclone-affected areas (US\$80 million IDA)**

The activities to be financed under this component include (1) the repair and reconstruction of housing for selected vulnerable communities; (2) the repair and reconstruction of key public infrastructure; and (3)

technical assistance to enable the GoM and affected communities to apply Building Back Better (BBB) principles in the reconstruction phase.

### **Sub-component 1.1 – Housing (US\$42 million IDA)**

The sub-component will finance the reconstruction of approximately 5,000 hazard-resilient housing units for an estimated 25,000 cyclone-affected beneficiaries through a community-based reconstruction approach. The housing reconstruction activities will prioritize affected areas in Sofala and Cabo Delgado.

The targeting of activities under this sub-component will be tailored based on a housing reconstruction strategy that will be completed by the Reconstruction Office in the first months after project effectiveness. The strategy will be based on three complementary assessments: (1) a housing assessment that will identify the typologies of affected houses and the appropriate reconstruction options; (2) a social assessment of affected households that will cover the households' economic situation, composition, gender roles and reconstruction investments to date; and (3) a value chain analysis to assess the market conditions for the housing reconstruction and flag any possible labour or material limitations. The targeting and implementation of the housing reconstruction activities under this sub-component will inform operational modalities for the Government's wider reconstruction program that will address the needs identified in the Post-Disaster Needs Assessment.

The implementation arrangement, including the financing flows, will be based on the specifics of the reconstruction strategy. Likely, the construction will be implemented through a hybrid approach involving community-level construction, technical support from NGOs and UN organizations and a grant system through the Reconstruction Office. A technical support program will be established that will build capacities for Building Back Better approaches across government and non-government agencies. The technical assistance will include (1) the hiring of specialized consultants to assist the Government in the development of the required strategic assessments and their implementation; (2) the organization of tailored training programs for government and non-government agencies involved in the reconstruction; and (3) the establishment of community-level training programs to strengthen the reconstruction process.

### **Sub-component 1.2 – Public Infrastructure (US\$16 million IDA)**

This sub-component will finance the recovery and reconstruction of key public infrastructure damaged by Cyclones Idai and Kenneth, and the construction of new public resilience infrastructure. Specific activities financed may include the repair and reconstruction of markets, government buildings, public water and sanitation units; and the construction of multi-functional elevated flood evacuation sites and cyclone wind shelters.

A designated recovery strategy including a list of public infrastructure priorities will be developed in the first months after project effectiveness, based on the Post-Disaster Needs Assessment and further data collection. The strategy will inform the interventions to be financed under this sub-component.

### **Sub-component 1.3 - Private Sector Recovery (US\$22 million IDA)**

This component will target informal, micro, small, and medium sized firms impacted by the disaster. To support their recovery, the project would utilize a combination of interventions along the following lines:

- a) **Matching grant.** To help firms purchase equipment or materials and would also provide working capital to support recovery effort.

- b) **TA to firms.** Would be provided to firms both before and after firms make an application for a matching grant.
- c) **TA to participating financial institutions.** To help tailor emergency response products.
- d) **Credit line.** To provide temporary liquidity for working capital and investment financing during the emergency reconstruction phase.

In terms of sequencing, the TA could move relatively quickly and would support eligibility verification, preparation of business plans, and help develop the pipeline of applicants. The TA would support beneficiaries from matching grant application to implementation. It would be delivered by a private service provider. The credit line would rely on identification of a financial intermediary and would initially target micro-finance institutions but could also be eligible for small to medium-sized commercial banks. The matching grant would be administered along similar lines to the catalytic fund under the Growth Poles Project.

## **Component 2 – Building Climate Resilience (US\$60 million IDA plus US\$60 million co-financing from DRIVE Program)**

The proposed project presents a holistic approach to recovery and reconstruction while reducing the vulnerability of the affected areas to climate-related hazards. This component will strengthen the resilience of the city of Beira to future climate hazards, by (1) repairing and significantly strengthening coastal protection; and (2) expanding the rehabilitated drainage system to reduce flooding in vulnerable parts of the city.

The geographical scope of this component is limited to vulnerable parts of Beira, due to the increasing priority of the city as a regional economic hub and the fact that Beira suffered the major share of the combined economic losses from the two cyclones.

### **Sub-component 2.1 – Coastal Resilience (US\$30 million IDA, plus US\$30 million co-financing)**

Beira's coastline is vulnerable to storm events and continuous erosion. The main flood protection system that protects the city from coastal hazards has been constructed more than 50 years ago and has deteriorated with limited maintenance since its construction. Cyclone Idai damaged this already weakened coastal protection infrastructure and caused over several million US\$ damage to coastal infrastructure. However, due to the fact that the landfall occurred at low tide, the city escaped widespread coastal flooding. Cyclone Idai was therefore a strong wake-up call for the repair and rehabilitation of the coastal protection system.

In the aftermath of Cyclone Idai, the Government with support from the Dutch Government conducted a comprehensive assessment of the vulnerabilities in the coastal protection system. The assessment quantified damages, losses and needs to the coastal protection system, and concluded that the coastal protection system urgently needs rehabilitation and strengthening to protect the city from coastal flooding. The total needs for repairs and upgrades to the coastal protection system are estimated at US\$90.9 million, which includes the repair and reconstruction of the existing groins, flood walls and dunes, and the rehabilitation of degraded beaches.

This sub-component will address key gaps in Beira's coastal protection system, by (1) repairing damage to the coastal protection and coastal road caused by Cyclone Idai; (2) rehabilitating and strengthening the groins, dunes and flood walls; and (3) conducting strategic sand nourishment to replenish the sand balance across the target area.



The works under this sub-component will be co-financed on a 50%/50% basis by the Government of the Netherlands through the DRIVE program. The DRIVE Program will finance 50% of the firm contract under this sub-component with a maximum of €30 million (US\$34 million). A Letter of Intent has been sent and a separate Grant Agreement will be signed between the Government of the Netherlands and the Government of Mozambique.

### **Sub-component 2.2 – Drainage Rehabilitation (US\$30 million IDA, plus US\$30 million co-financing)**

The drainage investments financed by the Bank under the Cities and Climate Change Program were effective in minimizing flooding during Cyclone Idai. Beira suffered flooding in January 2019 in areas without rehabilitated drainage infrastructure and saw additional flooding during Cyclone Idai in those areas not serviced by the rehabilitated drainage system.

This sub-component will repair cyclone-induced damages to the drainage works and implement Phase 2 of the drainage rehabilitation. Cyclone Idai caused minor damages to the current drainage system that will be repaired under this sub-component. The works completed to date only covered Phase 1 of the drainage rehabilitation needs of Beira. It was recognized in 2015, upon completion of the detailed design of the Phase 1 works, that this investment needed urgent follow-up with the study and implementation of Phase 2. This would focus on Chota and Estoril and deal with the rehabilitation of canals A1 and A3, including an additional retention basin and outlet to the eastern coast. AIAS has already commissioned a study to demonstrate the feasibility of these Phase 2 drainage works, prior to the availability of funding and prior to the passage of Cyclone Idai.

The works under this sub-component will be co-financed on a 50%/50% basis by the Government of the Netherlands through the DRIVE program. The DRIVE Program will finance 50% of the firm contract under this sub-component with a maximum of €30 million (US\$34 million). A Letter of Intent has been sent and a separate Grant Agreement will be signed between the Government of the Netherlands and the Government of Mozambique.

### **Component 3 – Project Implementation, Monitoring and Evaluation (US\$10 million)**

This Component will support the implementation of all project activities, through establishing and strengthening the capacity of the Reconstruction Office and AIAS (responsible for implementing Component 1 and 2, respectively) with respect to (a) technical capacity with respect to the implementation of reconstruction and resilience interventions, including coastal resilience and housing; (b) fiduciary (i.e. financial and procurement management); (b) environmental and social assessments; (c) preparation of Project reports; and (d) monitoring and evaluation.

This Component will support tailored training and capacity building activities related to the best practices in post-disaster reconstruction following the Building Back Better approach, in order to strengthen the implementation of all components.

### **Component 4 – Contingent Emergency Response Component – CERC (US\$0 million)**

This component will provide immediate response to an Eligible Crisis or Emergency, as needed. This would finance emergency works in the case of another disaster event by including a "zero-dollar" Contingency Emergency Response Component (CERC). This would help recover damage to infrastructure, ensure

business continuity, and enable early rehabilitation. In parallel, following an adverse event that causes a major disaster, the Government of Mozambique may request the Bank to channel resources from this component into an Immediate Response Mechanism (IRM). The IRM would enable the use of up to 5 percent of uncommitted funds from the overall IDA portfolio to respond to emergencies. This IRM has already been established for Mozambique and already activated twice, including in response to Cyclone Idai. Specific details around this component (including activation criteria, eligible expenditures, and specific implementation arrangements as well as required staffing for the Coordinating Authority) are defined in greater detail in the IRM Operations Manual

### **3. Legislative and Environmental and Social Standards Requirements**

Under the Mozambican Legislation, stakeholders' engagement is required from early stages of a project conception, either as part of environmental and social impact assessment or land use rights acquisition.

According to decree 54/2015 of 31<sup>st</sup> December – Regulation for Environmental Impact Assessment, public consultation process is a compulsory activity for all Category A+, A and B projects. The Ministerial Diploma on the Directive for Elaboration of Environmental Impact Studies (129/2006) and Public Participation General Directive (Diploma 130/2006) outlines when and how should the public consultation process be conducted as well as disclosure requirements of the documentation under consultation.

The EIA Regulations defines the public participation process as an activity which involves public audience and consultation. The public participation process implies delivery of information regarding projects to all directly and indirectly interested and affected parties, and an explanation of the project to enable participants to raise issues of concern for investigation in the ESIA.

The World Bank's Environment and Social Standard 10 sets out that a Borrower has to engage with stakeholders as an integral part of a Project's environmental and social assessment and project design and implementation. The nature, scope and frequency of the engagement should be proportional to the nature and scale of the Project. Consultations with stakeholders have to be meaningful and be based on stakeholder identification and analysis, plans on how to engage stakeholders, disclosure of information, actual consultations, as well as responses to stakeholder grievances, and reporting back to stakeholders.<sup>2</sup>

### **4. Previous Stakeholder Engagement Activities**

The Environmental and Social Commitment Plan –ECP and Stakeholder Engagement Plan (SEP) constitute the initial stage of the CERRP prior to the project appraisal. In order to incorporate stakeholders' views are taken into account in this phase a public consultation meeting was held on 27<sup>th</sup> June with key institutional and civil society stakeholders in Beira city, the most affected area, where both documents were presented. The beneficiaries' contributions, including concerns and suggestions on various aspects of the project, were collected in order to complete the SEP ESCP before the review and approval of the project by the World Bank. (see attendance sheet in Annex 1).

Issues identified during the consultation with stakeholders present in the meeting included to make sure grievance redressing mechanism is well disseminated among the stakeholders, stakeholders' engagement during the project's environmental and social instruments preparation and project execution. The stakeholders

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<sup>2</sup> World Bank, Environmental and Social Framework. Setting Environmental and Social Standards for Investment Project Financing, August 2016.

were also interested to know the project implementation schedule and availability of funds for Idai recovery project.

## **5. Stakeholders Identification and Analysis**

### **5.1. Stakeholder identification and Analysis**

Tropical Cyclone IDAI made landfall on the night of 14 to 15 March near Beira City, Sofala Province, in central Mozambique. The Cyclone brought strong winds (180 – 220 km per hour) and heavy rain (more than 200 mm in 24 hours) across the provinces of Sofala, Manica, Zambezia, Tete and Inhambane, causing rivers to overflow with flood waters reportedly rising above 10 meters. IDAI also brought a large storm surge in the coastal city of Beira and surrounding areas of Sofala province. The port of Beira was paralyzed for a few days, and transportation, electricity and communications services were interrupted.

An estimated 3,000 sq. km of land and 715,378 hectares of cultivated land were flooded by IDAI. As of the end of April, 400,000 had been displaced, of which 160,927 were sheltering in 164 temporary accommodation centers across the four provinces. It is estimated that over 13.5 million people lived in the four provinces of Sofala, Manica, Zambezia and Tete, of which more than 1.5 million have been affected, over 1600 injured and more than 600 people died. An estimated 750,000 are in need of urgent assistance. About 53% of those in urgent need are women, 47% are men, 254,000 are children under 18 years of age, and 63,000 are over 60 years of age.

It Important to note is that these are ‘parties’ that have already been affected by disaster, and that will be beneficiaries of the Project, rather than being negatively affected by the Project. While not every affected party will also be a beneficiary, it is crucial to disseminate information and engage with all stakeholders on project modalities as well as on the selection criteria of beneficiaries in the affected areas. Furthermore, it is important that all processes of information disclosure and consultation are as inclusive as possible to ensure that all sections of the affected communities will benefit from the project, and women and vulnerable groups are not excluded.

In order to develop an effective SEP, it is necessary to determine who the stakeholders are and understand their needs and expectations for engagement, and their priorities and objectives in relation to the Project. This information is then used to tailor engagement to each type of stakeholder. As part of this process it is particularly important to identify individuals and groups who may find it more difficult to participate and those who may be differentially or disproportionately affected by the project because of their marginalized or vulnerable status.

It is also important to understand how each stakeholder may be affected – or perceives they may be affected – so that engagement can be tailored to inform them and understand their views and concerns in an appropriate manner.

Stakeholders have been and will continue to be identified on a continuing basis by identifying:

- Various stakeholder categories that may be affected by, or be interested in, the Project; and
- Specific individuals, groups, and organizations within each of these categories taking into account:
  - The expected Project area of impact, that is the geographical area over which it may cause impacts (both positive and negative) over its lifetime, and therefore the localities within which people and businesses could be affected;

- The nature of the impacts that could arise and therefore the types of national/local government entities, NGOs, academic and research institutions and other bodies who may have an interest in these issues.

In general, engagement is directly proportional to impact and influence, and as the extent of impact of a project on a stakeholder group increases, or the extent of influence of a particular stakeholder on a project increases, engagement with that particular stakeholder group should intensify and deepen in terms of the frequency and the intensity of the engagement method used. All engagement should proceed on the basis of what are culturally acceptable and appropriate methods for each of the different stakeholder groups targeted.

Table 1. Stakeholder's categorization

Stakeholders that may be affected, directly or indirectly, by the results of the implementation of CRRP	Stakeholders that may take part in implementation of the Project	Stakeholders with influence in the implementation of the project
General affected population, displaced families, vulnerable population and civil society in general	Post idai cyclone cabinet for Reconstruction	Central Government (Ministries of Planning and Finance, Agriculture, Land Environment and Rural Development, Health, Education, Transport and communication)
Private sector	Project Implementation unit and Project Coordination Units from different Ministries	Provincial Government of Sofala
Small commercial farmers, subsistence farmers;	Central Government (Ministry of Public works)	NGOs and Associations (national and provincial)
Public servants (health, education workers, public administration in general)	Provincial Government (Directorate of Public Works, Education, Health, social welfare, Agriculture, Transport)	International Organizations (UN Habitat, World Food Program, UNICEF, Water, World Health Organization)
Vulnerable groups (e.g. elderly people, disabled, children, child headed families, female-headed households, pregnant women)	Beira Municipality Council	Universities and Research Institutions
NGOs and Associations operating at local and Provincial levels	District Governments and Municipalities (Beira and Dondo Municipalities and governments of affected districts)	National Disaster Management Institute
Provincial and District Government and Municipal councils	Contractors and service providers	Media (local, national and International)
Small scale fisherman	NGOs (especially those working on Gender Based Violence, reconstruction process)	
Small Business	Providers of public services (Electricity of Mozambique, FIPAG, ANE)	

## 5.2. Disadvantaged / Vulnerable Individuals and Groups

The Social and Environmental Assessment will deliver more insights into what constitutes vulnerability, as well as the tools applied by different technical leads to identify disadvantaged and vulnerable groups and beneficiaries. General categories of vulnerable groups in Beira city are shown in table below:

Table 2. General Categories of Vulnerable groups

Disadvantaged / Vulnerable Group	Remarks
Women	Women may be mostly affected through the destruction of agricultural and water-related infrastructure, since they are very involved in agricultural productivity. Furthermore, as main caretakers of family members and given their reproductive health needs, women have particular needs in agricultural, water management, as well as access to health. However, women are traditionally excluded from political life and from communal decision-making processes, due to the patriarchal and patrilineal socio-political structures.
Women-Headed Households	While female-headed households existed prior to the cyclone, their number has increased following the disaster. Also, they are often marginalized from accessing emergency interventions due to lack of mobility, family responsibilities, risk of violence and lack of agency.
Children	Children are often detrimentally affected in disasters, including through the recent destruction of schools and health systems. Child-headed families face vulnerabilities due to lack of voice, agency, knowledge and access. They are also often faced with violence.
Children-Headed Households	The recent disaster has caused the emergence of children-headed households
Minority Ethnic Groups	The large majority of residents in the affected Districts are Ndau and Sena speakers, albeit different dialects. However, there may be members of some ethnic minorities residing in the project area, coming from other parts of the country, which may be excluded on a structural basis from decision-making and from selection process for beneficiary selection. This will need to be assessed in the social and environmental assessment, including potential language needs.
Disabled Persons	The disaster may have caused the number of disabled community members to rise. Disabled persons may face difficulties in participating in rebuilding activities, but may also face difficulties in physically accessing meetings for reconstruction and decision-making at the community level. Furthermore, infrastructure to be rebuilt should take their special needs into account, for example in view of access (e.g. to water sources). Furthermore, disabled persons may not be able to participate in works activities
Displaced People	The majority of persons and households that have been relocated as a result of the cyclone do live in private households and in camps.
People living with HIV	People living with HIV (PLHIV) are particularly vulnerable in crises due to breaks in their treatments, and damage to health facilities and medical supplies or absence of health personnel. Almost 8,000 pregnant women affected by the cyclone are HIV+. Therefore, their babies are at risk of being born with HIV.
Older People	A rapid needs assessment found out that almost half depend on family or friends to meet their basic needs, while 81% care for an average of five dependents. There are estimates that over 75% of affected older people in Sofala and Manica provinces require urgent assistance

## 5.3. Summary of Project Stakeholder Needs

The needs of stakeholders will be discussed during stakeholder consultations, and the list below will be updated after these discussions. Data related to stakeholder needs will be inserted in table below.

Table 3. Project Stakeholders matrix

Community	Stakeholder Group	Key Characteristics	Language needs	Preferred notification means (email, radio, phone, letter)	Specific needs (accessibility, large print, child care, daytime meetings etc.)	Position with regards to the project (support, neutral, opposition)	Level of influence (High, medium, low)	Appropriate engagement approach
Affected Districties/cities/municipalities	Public Sector workers	educated	Portuguese	Letters, Information material	N/A	Support	medium	advocacy meetings; coordination meetings, FGDs
	Self-employed people	skilled	Portuguese/Sena/ Ndau	Letters, Information material	N/A	Support	Low	Public consultations
	Subsistence farmers	Not literate	Sena/ Ndau	Community meetings, Radio, social media	N/A	Support	Low	Public consultations
	Private sector (small scale to medium scale business sector)	educated	Portuguese	Letters, Information material	N/A	Support	Medium	advocacy meetings; coordination meetings, FGDs
	Women groups	Vulnerable groups	Sena/Ndau	Community meetings with special groupings for women, Radio, social media	Inclusion into decision-making, day time meetings, child care, women-specific FGDs	Support	Low	Public consultations/FGDs
	Disabled persons	Vulnerable groups	Portuguese/Sena/Ndau	Community meetings, Radio, SMS, social media	Ensure physical accessibility to FGDs, community meetings, emphasize consultation means that do not rely on physical accessibility	Support	Low	Public consultations/FGDs
	Relocated households/displaced people	Vulnerable groups	Portuguese/Sena/Ndau	Community meetings, Radio, SMS, social media	Targeted FGDs with displaced Households,	Support	low	Public consultations/FGDs

Central and Provincial level	National Institute for Disaster Management	Potentially Influencing Party	Portuguese	Coordination Meetings, Letters, Information material Email	N/A	Support	high	Public consultations/FGDs
	Sector-specific implementation coordination committees	Potentially Influencing Party	Portuguese	Coordination Meetings, Letters, Information material Email	N/A	Support		Public consultations/FGDs
National. Provincial and district level	Humanitarian Inter-Agency clusters at national, provincial and district level	Potentially Influencing Party	Portuguese	Coordination Meetings, Email	N/A	Support	high	Advocacy meetings; coordination meetings, FGDs
Central and Provincial Level	World Bank, UN, WFP, WHO, FAO, UNICEF, UNHCR	technical leads	Portuguese/English	Coordination Meetings , Email	N/A	Support	high	advocacy meetings; coordination meetings, FGDs
	Government authorities at national, provincial and district levels, especially in regards to sectors (agriculture, health, education)	Interested parties	Portuguese	Coordination Meetings , Email	N/A	Support	high	advocacy meetings; coordination meetings, FGDs
Affected Districts/cities/municipalities	Local NGOs and Associations	Interested parties		Coordination Meetings, Email	N/A	Support	Medium	advocacy meetings; coordination meetings, FGDs
	Local companies offering construction work	Interested parties	Portuguese	FGDs, Email, telephone, social media	N/A	Support	Medium	advocacy meetings; coordination meetings, FGDs

Affected Districts/cities/municipalities	International NGOs	Interested parties	English /Portuguese	Coordination Meetings, FGDs, Email	N/A	Support	Medium	
	Religious institutions	Potentially Influencing Party	Portuguese	Email, telephone, social media, coordination meetings, FGDs		Support	High	advocacy meetings; coordination meetings, FGDs
	Media	Potentially Influencing Party	English /Portuguese	Coordination Meetings, Letters, Information material Email	N/A	Support	Midium	advocacy meetings; coordination meetings, FGDs



## 6. Stakeholder Engagement Program

### 6.1. Proposed Strategy for Information Disclosure

There are a variety of engagement techniques used to build relationships with stakeholders, gather information from stakeholders, consult with stakeholders, and disseminate project information to stakeholders.

When selecting an appropriate consultation technique, culturally appropriate consultation methods, and the purpose for engaging with a stakeholder group should be considered. The technique to be used in Beira city are described in the table below.

Table 4. Proposed consultation and disclosure techniques.

Engagement Technique	Appropriate application of the technique
Correspondences (Phone, Emails)	Distribute information to Government officials, NGOs, Local Government, and organisations/agencies Invite stakeholders to meetings and follow-up
One-on-one meetings	Seeking views and opinions Enable stakeholder to speak freely about sensitive issues including Gender Based Violence Build personal relationships Record meetings
Formal meetings	Present the Project information to a group of stakeholders Allow group to comment – opinions and views Build impersonal relation with high level stakeholders Disseminate technical information Record discussions
Public meetings	Present Project information to a large group of stakeholders, especially communities Allow the group to provide their views and opinions Build relationship with the communities, especially those impacted Distribute non-technical information Facilitate meetings with presentations, PowerPoint, posters etc. Record discussions, comments, questions.
Focus group meetings	Present Project information to a group of stakeholders Allow stakeholders to provide their views on targeted baseline information Build relationships with communities Record responses
Project website	Present project information and progress updates Disclose ESIA, ESMP and other relevant project documentation
Direct communication with affected crops/asset owners (Road component only)	Share information on timing of road clearance Agree options for addressing impacts on land or property and discussing compensation measures.
Project leaflet	Brief project information to provide regular update Site specific project information such as on GRM.
Media	National Television (TVM), National Radio (RM) can be used to disseminate information about the project especially on GRM

### 6.2. Proposed strategy for consultation and timing

It is anticipated that the four purposes of consultations and information dissemination in the CRRP are: (i) understanding of the needs of the affected populations; (ii) ensuring of coordination between all implementers and government and community authority structures; (iii) reception of feedback and comments as well as grievances from all stakeholders on project design and implementation; and (iv) provision of transparent and accountable mechanisms on all aspects of Project design and implementation. The feedback received will be instrumental in fine tuning project interventions.

Given the nature of the Project, specific project stages are not yet fully defined. Stakeholder engagement plans will be refined and adopted as the Project design evolves. However, a grievance redress mechanism (GRM) will be in place throughout the life cycle of the Project and will be set up in a way that all affected individuals and groups can report on project-related grievances or can provide comments and feedback. In addition, an ESCP and ESMF will be publicly disclosed.

Stakeholder consultations will take place formally at least twice during implementation of sub-projects (e.g. road repair) – (i) beginning of a sub-project through means mentioned above supplemented with location-specific baseline surveys (ii) end of project through Beneficiary Feedback Surveys. However, these are not the only times when consultation will take place as stakeholder consultations will be a continuous process.

Table 5. Proposed consultation Plan

Project Stage	List of information to be disclosed	Methods proposed	Timetable: locations / dates	Target Stakeholders	Responsibilities
Project Design	SEP ESCP	Stakeholder public Meetings/consultation	27 June 2018	Government institutions, local authorities, local NGOs, implementation partners, donors, and the public in general,	Ministry of Public Works, Housing and Water Resources
	<b>ESMF</b> - including description of GRM; Personnel Management Procedures (PMPs); Gender-Based Violence Action Plan, Community health and safety plan; Waste management plan, Archaeological chance finding management plan; Guidelines for FI to assess environmental and social issues for the beneficiaries. <b>RPF</b> Labor Management Plan	Public consultation meetings, FGDs; formal meetings, one on one Interviews, e-mail, website, Social media;	2 months after the project approval by the WB Board - venue and date to be confirmed by PIU. However public meetings will take place in Maputo and Beira,	Public in general, local authorities, local and international NGOs, implementation partners, donors,	Environmental and social safeguard team
Project Implementation	EIA/ ESMPs RAP for sub-projects	Community meetings, FGDs, Districts notice board, social media, radio, SMS, Website	To be defined by the PIU- Before commencement of activities in subprojects that require these specific instruments	Communities in affected area, general public, NGOs,	Environmental and social safeguard team
		Community notice board, radio,	To be defined by the PIU	Local NGOs and CSOs	Environmental and social safeguard team

### 6.3. Proposed Strategy to incorporate the View of Vulnerable Groups

All technical leads will ensure that women and vulnerable groups are participating in consultative processes and that their voices are not ignored. This may require specific meetings with vulnerable groups in addition to general community consultations. In general, women may be more outspoken in women-only consultation meetings than in general community meetings. This is particularly relevant, as societies in the affected areas are all patrilineal. Similarly, separate meetings may be held with young people or with minority groups. Further, it is important to rely on other consultation methods as well, which do not require physical participation in meetings, such as social media, radio broadcasting, to ensure that groups that cannot physically be present at meetings can participate. A social assessment will investigate further specific needs of vulnerable groups during ESMF preparation and the SEP will be updated as the results of the assessments are received.

In view of promoting gender equality, it is most important to engage women's groups on an ongoing basis throughout the lifetime of the project. Women voicing their concerns and contributing in the decision-making process on issues such as community infrastructure should be encouraged, especially in governmental or traditional committees predominantly consisting of men.

Technical leads are similarly encouraged to deploy female staff, in particular where staff interfaces with community members. GRMs will be designed in such a way that all groups identified as vulnerable (see below) have access to the information and can submit their grievances and receive feedback as prescribed..

### 6.4. Timelines

The Project is planned for a duration of 5 years. Information disclosure and consultations are especially relevant throughout the early stages of the Project, but also throughout the Project cycle. Activities under each sub-component will include further consultations prior to their commencement, to ensure a good selection of beneficiaries, transparency and accountability on project modalities, and allow community voices to form the basis for the concrete design of every intervention; consultations will continue throughout the project cycle.

### 6.5. Reviews of Comments

The different technical leads implementing different sub-components of the Project will gather all comments and inputs originating from community meetings, GRM outcomes, surveys and FGDs. The information gathered will be submitted to the Environmental and Social safeguard team and the PIU to ensure that the Project has general information on the perception of communities, and that it remains on target. It will be the responsibility of the PIU leader to ensure that comments and inputs are responded, and to keep open a feedback line to the communities. Training on environmental and social safeguards facilitated by WB will be provided soon after the Project becomes effective to ensure that all the staff from the different partners are equipped with the necessary skills.

This SEP provides the overarching guidelines for the rolling out of stakeholder engagements. While this SEP will be refined once the different communication and consultation modalities of the technical leads can be elaborated on, the guiding principles will remain in place. The PIU environmental and social safeguards teams will continue to monitor the capacity of the safeguards staff of the different technical leads, and recommend appropriate actions, e.g. refresher trainings.

### 6.6. Future Phases of Project

Since the Project will only be implemented for 5 years, there will be a six monthly feedback report for stakeholders. The implementation of SEP will also be monitored through monthly reports generated by PIU,

which will include GRM. Annual report will be produced and distributed to the stakeholders in the area of the project on Social performance of the Project, the implementation of the SEP, and general outcomes and handling of the GRM.

## **7. Resources and Responsibilities for Implementing Stakeholder Engagement Activities**

### **Resources**

Adequate budgetary resource will be dedicated to the implementation of the SEP. While there will be an overall SEP budget administered by the PIU, every Technical Lead will have dedicated budget resources to implement the SEP as part of the integral project costs for each activity.

#### **7.1. Management Functions and Responsibilities**

In this section the proposed organizational structure and management functions for the stakeholder engagement function at CRRP are described. The overall responsibility for the implementation of the SEP lies with the PIU Leader, and it will be overseen by the Environment and Social team, who is part of the PIU staffing table. The safeguard team will maintain a stakeholder database for the overall project and will lead a commitment register. On the other side the Technical Leads will implement the SEP at the community level and will report on their activities to the PIU Environment and Social team monthly. The PIU Environment and Social Officer will perform the following tasks:

- Develop, implement and monitor all stakeholder engagement strategies/plans for the Project/ESIA;
- Oversee all stakeholder engagement related activities for the Project;
- Manage the grievance mechanism;
- Interact with related and complementary support activities that require *ad hoc* or intensive stakeholder engagement (community development and land acquisition/resettlement planning and implementation);
- Liaise with technical leads to ensure that stakeholder engagement requirements/protocols are understood; and
- Proactively identify stakeholders, project risks and opportunities and inform the PIU leader to ensure that the necessary planning can be done to either mitigate risk or exploit opportunities;
- Develop and conduct baseline surveys and Beneficiary Feedback Surveys for sub-project

Each Technical Lead will identify dedicated staff responsible for the implementation of the SEP within the organization. Selected staff must have ample qualifications to implement the SEP, as stipulated by the terms of reference for the position. The reporting lines between community liaison staff and senior management will be defined by each Technical Lead organizational structure. Technical Leads will also commit to communicate the stakeholder engagement strategies for their respective sub-components internally. Technical Leads who will need to contract local companies for construction work will submit plans to the Environment and Social team at the PIU for verification.

## 8. Grievance Redress Mechanisms

This grievance mechanism sets out the following steps to be taken to resolve grievances, the role of different staff members involved and timeframes to reach a decision on grievances. The types of grievances stakeholders may raise include, but are not limited to:

- Negative impacts on communities, which may include, but not be limited to financial loss, physical harm and nuisance from construction or operational activities;
- Health and safety risks;
- Negative impacts on the environment; and
- Unacceptable behavior by staff or employees including Gender Based Violence

It is critical that stakeholders understand that all grievances lodged, regardless of the project phase or activity being implemented, will follow one single mechanism.

### 8.1. Grievance Redress Process

A grievance redress mechanism (GRM) is presented below to uphold the project's social and environmental safeguards performance. The purpose of the GRM is to record and address any complaints that may arise during the implementation phase of the project and/or any future operational issues that have the potential to be designed out during implementation phase. The GRM is designed to address concerns and complaints promptly and transparently with no impacts (cost, discrimination) for any reports made by project affected people (PAPs). The GRM works within existing legal and cultural frameworks, providing an additional opportunity to resolve grievances at the local, project level.

The key objectives of the GRM are:

- Record, categorize and prioritize the grievances;
- Settle the grievances via consultation with all stakeholders (and inform those stakeholders of the solutions)
- Forward any unresolved cases to the relevant authority.

As the GRM works within existing legal and cultural frameworks, it is recognized that the GRM will comprise community level, project level and Mozambican judiciary level redress mechanisms. The details of each of those components are described as follows.

### 8.2. Community Level Grievance Redress Mechanism

Local communities have existing traditional and cultural grievance redress mechanisms. It is expected that some disputes at the community level may be resolved using these mechanisms, without the involvement of the contractor(s), and or Government representatives at local and national level. This is primarily concerned with the extended family members. Local chiefs/leaders will be involved in resolving any land tenure issues.

However, regarding disputes that include differences between households over land, or boundaries, even on issues triggered indirectly by the Project, the mechanism will involve the municipality Officer, landowner(s) concerned.

It is expected that any land dispute issues pertaining to the Project would be resolved at this level given the nature of land ownership and the significant authority vested under the Municipality Council.

Where issues caused by the project are raised and resolved through these existing community level grievance redress mechanisms, it is important that a mechanism for reporting them to the Project is established. Hence, the Project records all complaints/outcomes.

A local level GRM Committee should be set-up with the Contractor, PIU staff based at the site/or from a regional office and local representatives. This Committee should be authorised to deal with site level grievances and ensure that they are resolved quickly. When they are not resolved at this stage, the Aggrieved Party should be advised to present their complaint to the Project Level GRM.

### 8.3. Project Level Grievance Redress Mechanism

Many project related grievances are minor and site-specific. Often, they revolve around nuisances generated during construction such as noise, dust, vibration, workers disputes etc. Often, they can be resolved easily on site. Other grievances are more difficult especially when it's about land boundaries, or misunderstandings between affected households and the Contractor regarding access arrangements. Most of these cannot be resolved immediately and on site. A GRM Committee should be formed at PIU level including members of PIU, Social Safeguards Specialist and other representatives to resolve disputes that cannot be addressed at the local level.

### 8.4. Judiciary Level Grievance Redress Mechanism

The project level process will not impede affected persons access to the legal system. At any time, the complainant may take the matter to the appropriate legal or judicial authority as per the laws of the Republic of Mozambique.

Table 6. Grievance Redress Process at Project Level.

Stage	Process	Duration
1	The Aggrieved Party (AP) will take his/her grievance to Construction Site Supervisor (CSS) who will endeavour to resolve it immediately. Where AP is not satisfied, the CSS will refer the AP to the Local Level GRM Committee.  For complaints that were satisfactorily resolved by the CSS, he/she will inform the PCP and the PCP will log the grievance and the actions that were taken.	Anytime
2	On receipt of the complaint, the Project Level GRM Committee will endeavour to resolve it immediately. If unsuccessful, he/she then notifies PIU level GRM Committee	Immediately after logging grievance
3	If the matter remains unresolved, or complainant is not satisfied with the outcome at the project level, the PIU leader, should be informed and a third party should be appointed for intermediation.	1 month
4	If it remains unresolved or the complainant is dissatisfied with the outcome proposed by the NSC, he/she is free to refer the matter to the district/municipal authorities.	Anytime

5	If the issue remains unresolved, then the ultimate step will be for the Courts to deliberate. Any such decisions are final.	Anytime
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Most importantly, all cases filed need to be logged and monitored. The PIU Safeguards team will put in place regular reports on the types of grievances, and how they were addressed, which will be analysed and shared with the PIU leader, Technical Leads and the World Bank through the quarterly report.

## 9. Monitoring and Reporting

### 9.1. Involvement of Stakeholders in Monitoring Activities

Monitoring and evaluation of the stakeholder process is considered vital to ensure project is able to respond to identified issues and alter the schedule and nature of engagement activities to make them more effective. Adherence to the following characteristics/commitments/activities will assist in achieving successful engagement:

- Sufficient resources to undertake the engagement; which must be identified in the ESMF and RPF;
- Inclusivity (inclusion of key groups) of interactions with stakeholders;
- Promotion of stakeholder involvement;
- Sense of trust in CERRP shown by all stakeholders;
- Clearly defined approaches; and
- Transparency in all activities.

Monitoring of the stakeholder engagement process allows the efficacy of the process to be evaluated. Specifically, by identifying key performance indicators that reflect the objectives of the SEP and the specific actions and timings, it is possible to both monitor and evaluate the process undertaken.

The main monitoring responsibilities will be with the PIU, as the administrator of the GRM, and overall project-related environmental and social monitoring and implementer of the SEP. The GRM will be a distinct mechanism that will allow stakeholders, at the community level in particular, to provide feedback on project impacts and mitigation programs. The ESMF will lay out environmental and social risks mitigation measures, with a dedicated E&S monitoring and reporting plan.

A Third-Party Monitor (TPM) will be engaged by PIU on a competitive basis to provide independent operational review of project implementation, as well as verification of all project results. This will include assessing adherence at all implementation levels to the procedures set out in the Project Operations Manual and other relevant project documents, and in verifying outputs of all project activities. The scope and methodology of the TPM will be agreed with the World Bank, and quarterly monitoring reports will be shared.

### 9.2. Reporting Back to Stakeholder Groups

Results of stakeholder engagements will be regularly reported back to the affected communities, as well as the relevant local authorities. The main means for reporting will be through social media and radio, because of their broad reach and accessibility by a variety of social groups. Furthermore, specific stakeholder engagement activity results, as conducted by Technical Leads, will be reported to the PIU. Meanwhile, the



responsibility of reporting results back to the stakeholders will be with the Technical Leads within their respective sub-components.

## ANNEX 1: Public consultation attendance register

### CYCLONE IDAI AND KENNETH EMERGENCY RESPONSE AND RESILIENCE PROJECT (CERRP) PUBLIC CONSULTATION

Beira City, Mozambique Hotel.

Date: 27th June 2019

time: 09:30 to 12:00 min





REUNIÃO DE CONSULTA PÚBLICA PLANO DE ENGAJAMENTO DAS PARTES INTERESSADAS E AFECCTADAS  
(SEP) E PLANO DE COMPROMISSO AMBIENTAL E SOCIAL (ESCP) DO PROJECTO DE RECUPERAÇÃO  
RESILIENTE DE EMERGÊNCIA (CERRP) DAS INFRAESTRUTURAS AFECTADAS PELO CICLONE IDAI E KENNETH

27 de Junho 2019

LISTA DE PARTICIPANTES À REUNIÃO DE CONSULTA PÚBLICA

	Nome	Instituição	Contacto
1.	JOSE A. MIRANDA	WING KONG	84758196
2.	Páscua Luís	Dir. Prov. Combates	846170803
3.	Custódio Judiz	GENESIS	822205860
4.	Amiton César	Univ. Zambeze	842745077
5.	Matatius Amendo Salvador	DP Justiça	843558908
6.	Alfredo da Silva	DP T.C-S	844411799
7.	Jorge Malungwe	TOP TV	845927815
8.	Elvis Mawo	Univ. Uamungo	846209767
9.	Macario Afonso	TOP TV	844346868
10.	Abel Mandala	Univ. Uamungo	846220933
11.	Joaquim Mungumba	CMB	843024959
12.	Fernando Ribeiro	DRAP - Inf. e Rel.	8461390823
13.	Acácio Colarinho Navaia	IG-Trabalho	847066764
14.	Domingos Chambo	Univ. Uamungo	841504660
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16.	HASSANE AZECHANDÉ	AIAS	841846318
17.	Silvia H. Pereira	DPOPHHS	826871790
18.	Bernardete K. Mungwe	DPOPHHS	822985090

Administração de Infraestruturas de Água e Saneamento

19.	Cesar Bronze	e fm	823109067
20.	Zuneca Tangata Paulo	A. Amacaja	858258330
21.	Claudio Guanku	UNIZAMBEZE	827644325 843118145
22.	Jaime Fernandes	DBEDH Sojale	841972450
23.	BISHNU GOTAME	Save the children	868730000
24.	LUCAS VASCO JOÃO	DEI ESTP	848405905
25.	MAURIZIO BOLZON	IGREJA CATÓLICA	869187453
26.	LEOPOLDO ROSSI	" "	860533761
27.	Yaciluis Passagan	DPGCAS	848850849
28.	José Onésimo Cheneche	SASB - CAB	844576180
29.	Alberto J. Simango	EMB	825350060
30.	José Roberto Vasquez	Lexus	744535300
31.	Yaciluis Cabonice Alberto	Vida Nova.ca	840574871
32.	Raul Amador Bure	DPHAR-FP	844361280
33.	Helder Pichem	DPHAR	84574392
34.	André Carlos J.V.	SCA, LSA	846141205
35.	Simeão Sitas	WHO	846723999
36.	Martinho Aguiar	ATMECON	825467345
37.	António Silvestre Chamigane	DPcultura	847212998
38.	Helena L. Lopez	DPICS	828348042
39.	Simão Dora Castigano	DPICS	825937651
40.	Higino de Sousa Soares	DI PREMES	829220640
41.	Albino Lourenço	ISCED	825011150
42.	Moses Chemo	CAB	847003090
43.	Bonicia Faiane	R.M	844516059
44.	Eduardo Maguimbe	DPMAIP/S	840551369
45.	José Domingos Mestue	C.M.B.	823860924

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46.	Rildo Rafael	U. Licungo	825787670
47.	Bernardo Melo	G. HAMMER. L.	842860186
48.	Amir Sultany	T.P.F. CONSULT.	846838110
49.	Raulo Roberto Cardeli	ORF	841975769
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REUNIÃO DE CONSULTA PÚBLICA PLANO DE ENGAJAMENTO DAS PARTES INTERESSADAS E AFECCTADAS (SEP) E PLANO DE COMPROMISSO AMBIENTAL E SOCIAL (ESCP) DO PROJECTO DE RECUPERAÇÃO RESILIENTE DE EMERGÊNCIA (CERRP) DAS INFRAESTRUTURAS AFECTADAS PELO CICLONE IDAI E KENNETH

27 de Junho 2019

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Administração de Infraestruturas de Água e Saneamento



Public Consultation Meeting Engagement Plan of Interested and Affected Parties (SEP) and the Environmental and Social Commitment Plan (ESCP)

19.	Cesar Bronze	e fm	823109067
20.	Zuneca Tangata Paulo	A. Anacapa	858258330
21.	Claudio Guanku	UNIZAMBEZE	827644325 843118145
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31.	Ynculo Gabonice Alberto	Vida Nova.ca	840374871
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42.	Moses Clow	CAB	847003090
43.	Bonicio Faime	R.M	844516059
44.	Eduardo Maguimbe	APMAIP/S	840551369
45.	Jose Domingo Marques	C.M.B.	823860924

Administração de Infraestruturas de Água e Saneamento

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47.	Bernardo Melo	G. HAMMER. Lda	842860186
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