

INTEGRATED SAFEGUARDS DATA SHEET

APPRAISAL STAGE

Report No.: ISDSA13588

Date ISDS Prepared/Updated: 17-May-2015

Date ISDS Approved/Disclosed: 15-Apr-2015, 28-May-2015

I. BASIC INFORMATION

1. Basic Project Data

Country:	Pacific Islands	Project ID:	P147839
Project Name:	Pacific Resilience Program (P147839)		
Task Team Leader(s):	Denis Jean-Jacques Jordy, Michael Bonte-Grapentin		
Estimated Appraisal Date:	16-Mar-2015	Estimated Board Date:	19-Jun-2015
Managing Unit:	GSURR	Lending Instrument:	Investment Project Financing
Sector(s):	Flood protection (60%), Public administration- Financial Sector (40%)		
Theme(s):	Natural disaster management (60%), Climate change (40%)		
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	9.47	Total Bank Financing:	3.68
Financing Gap:	0.00		
Financing Source			Amount
BORROWER/RECIPIENT			0.00
IDA Grant			3.68
Strategic Climate Fund Grant			5.79
Total			9.47
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Development Objective(s)

The objective of the Program is to strengthen early warning, resilient investments and financial protection of participating countries.

3. Project Description

The Secretariat of the Pacific Community (SPC) is a regional intergovernmental organization whose membership includes both nations and territories in the Pacific Ocean and their metropolitan powers. SPC is a regional organization in the Pacific and is a key player in the resilience space, with the aim to: (i) develop the technical, professional, scientific, research, planning and management capability of Pacific Island people; and (ii) directly provide information and advice, to enable them to make informed decisions about their future development and well-being. Given the capacity constraints in the participating countries and the need to improve tools and data that can be used by all PICs in coming years, PREP will also provide support to regional organizations such as SPC.

SPC's specific role in PREP implementation is detailed below:

Component 1: Strengthening Early Warning and Preparedness

The objective of this component is to increase the resilience of participating countries and the Pacific region as a whole to natural hazards such as cyclones, coastal and riverine flooding, volcanic eruptions, tsunamis and earthquakes by improving the quality of forecasting and warning services as well as disaster preparedness. This component has two sub-components: (i) Investments in Early Warning and Preparedness (nationally implemented); and (ii) Regional TA to strengthen impact forecasting and preparedness for response (regionally implemented by SPC).

Sub-component 1.2 – Regional TA to strengthen impact forecasting and preparedness for response - will provide the Technical Assistance and advisory services required to support Early Warning and Preparedness activities under Component 1.1 for the participating countries. This will be done through regional technical assistance, development of a platform to provide access to the range of knowledge, training and education material as well as tools to strengthen capacity and improve understanding of early warning and preparedness in PICs. This component has the following three sub-components: (i) Sub-component 1.2.1: Impact Forecasting; (ii) Sub-component 1.2.2: Strengthening Preparedness for Response; and (iii) Sub-component 1.2.3: Post Disaster Recovery.

Component 2: Mainstreaming Risk Reduction and Resilient Investments

The objective of this Component is to support a multi-sectoral planning process for integrating climate and disaster risk and resilience into development and prepare investments for Phase II. This component is divided into two sub-components: (i) Risk reduction and resilient investment planning and preparation (which will be implemented at the national level); and (ii) Regional tools and advisory services to support planning and investment, which will be regionally implemented by SPC. Sub-component 2.1 – Regional tools and advisory services to support planning and investment - will: (i) support a multi-sectoral planning process for integrating climate and disaster risk and resilience into development; (ii) invest in data and tools to make risk information actionable, which will benefit the broader region, and (iii) support planning and preparation of national infrastructure and community resilient investments in participating countries to be funded and implemented in Phase II and/or by other Project Donors. The PREP regional activities will build on the PPCR supported activities administered by ADB and implemented by SPREP. The types of projects contemplated under this sub-component include:

- Feasibility studies for specific technologies (e.g. doppler radar for weather forecasting, mobile applications for real-time alerts);
- Feasibility studies for investment projects of priority sectors (e.g. public schools that serve as

emergency shelter during recovery); and

- Complete natural hazard risk profiles and vulnerability assessments including surveys and data compilation.

Component 3: Disaster Risk Financing

SPC will not be implementing Component 3 activities during Phase I of the Program.

Component 4: Project and Program Management

The objective of this component is to provide efficient and effective implementation support to the Projects in each country, including staff, operating costs, monitoring and evaluation, and the cost of audits. It will also provide efficient regional coordination of the different country Projects and the implementation of activities that will be executed at the regional level as well as high level coordination of climate and disaster resilient projects and initiatives in the Pacific. This component will strengthen the capacity of SPC for the: (a) implementation of the Project; and (b) provision of implementation support to the Participating Countries, including establishment and maintenance of a Program Support Unit (PSU). The PSU will be established within SPC and will provide technical and fiduciary support for the implementation of regional activities as well as technical, fiduciary and monitoring and evaluation support for the implementation of country Projects. The PSU will undertake the procurement process on behalf of participating countries for joint procurement processes, as well as support day-to-day implementation and financial reporting as needed. The PSU will conduct frequent implementation support missions to each participating countries.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The SPC implemented components of PREP will provide technical and administrative assistance. There are no relevant salient physical characteristics as all activities will be administratively-based. All activities with potential safeguard implications will be nationally implemented under separate Project IDs. The SPC will provide technical and administrative assistance to the participating countries and in doing so will assist and guide individual countries to ensure that any planned activities achieve safeguards compliance.

5. Environmental and Social Safeguards Specialists

Nicholas John Valentine (GSURR)

Ross James Butler (GSURR)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	<p>TA activities including support for planning and preparation of national infrastructure and community resilient investments in participating countries to be funded and implemented in Phase II and/or by other Project Donors will need to ensure that World Bank safeguard compliance can be achieved.</p> <p>World Bank will review and clear Terms of References for the project to ensure they require diligent responses to safeguard issues.</p>

Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/BP 4.11	No	
Indigenous Peoples OP/BP 4.10	No	
Involuntary Resettlement OP/BP 4.12	No	
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:
The SPC will provide technical and administrative assistance to the participating countries and in doing so will assist and guide individual countries to ensure that any planned activities achieve safeguards compliance.
2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:
Indirect environmental or social impacts are not anticipated from the proposed SPC activities under PREP. Responsibility for safeguards is devolved to the participating countries who will be subject to the requirements of the program ESMF. The SPC TA will be designed (via WB approved ToRs) to assist and guide future activities to meet World Bank safeguards requirements.
3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.
N/A
4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.
Country-specific activities under all four components will be implemented by a designated entity within the relevant Ministries of Finance, with inputs from the National Disaster Management Agencies, Meteorological and Hydrological Agencies, and the Ministries incharge of Works. These agencies have already been exposed to WB safeguards procedures through existing DRM/CCA projects and initiatives. However, there is relatively weak implementation capacity for some of the implementation agencies in the Phase I countries, in particular due to limited human resources. This risk will be mitigated through the participation of the Secretariat of the Pacific

Community (SPC) in the Program. SPC has demonstrated capacity, and will provide real-time support to backstop participating countries where needed, including for Safeguard policies. The country level coordinators will also be supported by a Regional Project Management and Coordination Unit (PRMCU), and significant training and human development will be provided to relevant staff through various aspects of the Program. The World Bank will maintain a close dialogue with the regional coordinator and ensure implementation support for Safeguards when needed.

The SPC is a key player in the resilience space, with the aim to: (i) develop the technical, professional, scientific, research, planning and management capability of Pacific Island people; and (ii) directly provide information and advice, to enable them to make informed decisions about their future development and well-being. SPC has been mandated by the Leaders to coordinate the capacity development of PICs in DRM as a broad-based development issue cutting across all sectors, from food and water security, health, economic development and coastal zone management.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

The national-level Project Operations Manuals will outline a Consultation Plan and formal procedures to manage public consultation and community engagement across PREP activities in each participating country. The purpose of public consultation is to gather stakeholder input and feedback into subproject development and design, and the effectiveness of mitigation measures.

SPC will undertake consultation with key stakeholders in relation to its activities under the TA. It will also provide indirect technical and administrative support to the individual participating countries which is expected to extend to consultation and disclosure activities.

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	24-Feb-2015
Date of submission to InfoShop	02-Mar-2015
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	00000000
"In country" Disclosure	
Tonga	05-Mar-2015
<i>Comments:</i>	
Samoa	05-Mar-2015
<i>Comments:</i>	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP/GP 4.01 - Environment Assessment

Does the project require a stand-alone EA (including EMP) report?	Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] NA [<input type="checkbox"/>]
The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [<input type="checkbox"/>] No [<input type="checkbox"/>] NA [<input checked="" type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input type="checkbox"/>] No [<input type="checkbox"/>] NA [<input checked="" type="checkbox"/>]
All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]

III. APPROVALS

Task Team Leader(s):	Name: Denis Jean-Jacques Jordy, Michael Bonte-Grapentin	
<i>Approved By</i>		
Practice Manager/ Manager:	Name: Abhas Kumar Jha (PMGR)	Date: 28-May-2015