

INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

Report No.: ISDSC8610

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I. BASIC INFORMATION

A. Basic Project Data

Country:	Pacific Islands	Project ID:	P147839
Project Name:	Pacific Resilience Program (P147839)		
Task Team Leader(s):	Denis Jean-Jacques Jordy,Michael Bonte-Grapentin		
Estimated Appraisal Date:	16-Mar-2015	Estimated Board Date:	19-Jun-2015
Managing Unit:	GSURR	Lending Instrument:	Investment Project Financing
Sector(s):	Flood protection (60%), Public administration- Financial Sector (40%)		
Theme(s):	Natural disaster management (60%), Climate change (40%)		
Financing (In USD Million)			
Total Project Cost:	8.96	Total Bank Financing:	7.96
Financing Gap:	0.00		
Financing Source		Amount	
BORROWER/RECIPIENT		0.00	
International Development Association (IDA)		7.96	
Special Climate Change Fund		1.00	
Total		8.96	
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

B. Project Objectives

9. The objective of the regional program is to strengthen (i) disaster early warning and preparedness, and (ii) improve the post disaster response capacity of the participating countries.

C. Project Description

Component 1: Strengthening Early Warning and Preparedness

1. The objective of this component is to increase the resilience of the participating Phase I countries and the Pacific region as a whole to natural hazards such as cyclones, coastal/riverine flooding, volcanic eruptions, tsunamis and earthquakes by improving the quality of forecasting and warning services as well as disaster preparedness. This component has two sub-components: (i) Sub-component 1.1 - Investments in Early Warning and Preparedness in Phase I countries. Activities under this sub-component will be implemented at a national level; and (ii) Sub-component 1.2 - Regional TA to Support Impact Forecasting and Preparedness for Response. This sub-component will be regionally implemented by SPC.

Component 2: Mainstreaming Risk Reduction and Resilient Investments

2. The objective of this Component is to support a multi-sectoral planning process for integrating climate and disaster risk and resilience into development. This component is divided into two sub-components: (i) Sub-component 2.1 - Risk reduction and resilient investment planning and preparation. Activities under this sub-component will be nationally implemented; and (ii) Sub-component 2.2 - Regional tools and advisory services to support planning and preparation of investment. This sub-component will be regionally implemented by SPC.

Component 3: Disaster Risk Financing

3. The objective of this component is to strengthen the financial resilience of the participating PICs to disaster events by enabling them to secure access to immediate liquidity post disaster for low, medium and high risk events. Accordingly, this component will support the development and implementation of an integrated disaster risk financing strategy that provides an optimal combination of risk retention (for high frequency, low severity events) and risk transfer (for low frequency, high severity events) for participating countries (see Figure 1, Annex 2). This will include both national instruments and regional instruments, and will build on the PCRAFI pilot insurance scheme, which is reaching the third and final year and has previously been funded by Japan. This component is divided into two sub-components as follows: (i) Sub-component 3.1 - Disaster risk financing tools. Activities under this sub-component will be nationally implemented; and (ii) Sub-component 3.2 - Development of Mutual Insurance Fund. This sub-component will be regionally implemented by PIFS.

Component 4: Project and Program Management

4. The objective of this component is to provide efficient and effective implementation support to the Projects in each country, including staff, operating costs, monitoring and evaluation, and the cost of audits. It will also provide efficient regional coordination of the different country Projects and the implementation of activities that will be executed at the regional level as well as high level coordination of climate and disaster resilient projects and initiatives in the Pacific. This component includes the following sub-components: (i) Sub-component 4.1 - Project Management. Activities under this sub-component will be nationally implemented; and (ii) Sub-component 4.2 - Regional Program Management and Coordination. Activities under this sub-component will be implemented at a regional level by PIFS and SPC.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

5. The project is a regional project for the Pacific Region. Countries that will be participating in Phase I include Samoa, Tonga, Vanuatu and the Republic of Marshall Islands for Component 3.2.

The overall environmental impact of the Program is expected to be positive, and none of the eligible investments on the menu of options include activities that would generate significant risk or irreversible adverse environmental/social impacts. The main environmental and/or social impacts for eligible activities would be minor impacts from the construction and rehabilitation of small-scale infrastructure (e.g. buildings for national disaster management or meteorological offices). The scale and likelihood of adverse impacts arising from these activities is limited, and the types of mitigation activities are well-known and proven. An ESMF will ensure that any potential adverse environmental impacts that are generated as a result of the project are identified, and appropriate safeguard instruments are prepared to avoid, minimize, mitigate and, in such cases where there are residual impacts, offset there impacts.

E. Borrowers Institutional Capacity for Safeguard Policies

6. Country specific activities under all four components will be implemented by a designated entity within the relevant Ministries of Finance, and/or environmental ministries with inputs from the National Disaster Management Agencies, Meteorological and Hydrological Agencies, and the Ministries in charge of Works. These agencies have already been exposed to WB safeguards procedures through existing DRM/CCA projects and initiatives. However, there is relatively weak implementation capacity for some of the implementation agencies in the Phase I countries, in particular due to limited human resources. This risk will be mitigated through the participation of the Secretariat of the Pacific Community (SPC) in the Program. SPC has demonstrated capacity, and will provide real-time support to backstop participating countries where needed, including for Safeguard policies. The country level project leads/coordinators will also be supported by a Regional Project Management and Coordination Unit (PRMCU), and significant training and human development will be provided to relevant staff through various aspects of the Program. The World Bank will maintain a close dialogue with the regional coordinator and country level leads/coordinators and ensure regular and intensive implementation support/missions which provide support for Safeguards when needed.

7. In addition, prior to commencement of Project Implementation, the PREP will provide funds for social and environmental safeguards support and oversight as needed, including (if required) funds for a suitable qualified individual who will support the PMU for each participating Phase I country.

F. Environmental and Social Safeguards Specialists on the Team

Nicholas John Valentine (GSURR)

Ross James Butler (GSURR)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	This policy is triggered because sub-projects involving civil works (Component 1) and entry level investments in resilience such as retrofitting public buildings (Component 2) may generate negative environmental or social impacts. Similarly, future subprojects developed under Components 3 (i.e., the CERC) may have environmental and social interactions. An ESMF will be the key safeguard

		instrument and will contain guidance on preparation of environmental and social impact assessments and associated safeguards documents. To this end, an ESMF has been prepared in accordance with the PIC guidelines and templates. The ESMF will be disclosed locally and at the Infoshop prior to appraisal of the Program.
Natural Habitats OP/BP 4.04	Yes	Program activities are not expected to involve significant loss or degradation of natural habitats, however this policy has been triggered as a precaution since specific sites and activities are not yet known.
Forests OP/BP 4.36	Yes	The Program will not support any civil works that encroach or adversely impact upon forests. However this policy is triggered as a precaution because limited incidental forest clearing may occur during civil works.
Pest Management OP 4.09	No	The Program does not involve procurement or use of pesticides.
Physical Cultural Resources OP/BP 4.11	Yes	The Program is not expected to finance any civil works that could significantly affect Physical Cultural Resources (i.e. these subprojects will be screened out). However building refurbishment may include retrofitting of historical buildings, and civil works may involve chance finds of historically or culturally important resources.
Indigenous Peoples OP/BP 4.10	Yes	Of the 7 or more countries that have been identified for inclusion in Phase I and potential inclusion in Phase II (RMI, Samoa, Tonga and Vanuatu for Phase I and potentially Fiji, FSM, and the Solomon Islands for Phase II), only the Solomon Islands would ordinarily trigger OP 4.10. It is proposed to trigger OP 4.10 on a precautionary approach as it assists in informing SPC as the Regional Implementing Agency that IP issues may need to be managed during the course of project implementation. The ESSIP details the required approach to engage with IP on relevant subprojects.
Involuntary Resettlement OP/BP 4.12	Yes	Although it is expected that the majority of Program activities will be undertaken on state-owned or Crown land, there is potential for certain project activities (e.g. construction of monitoring stations, post-disaster reconstruction) to encroach on customary or private lands. A Resettlement Policy Framework (RPF) has been incorporated into the Program's ESMF.

Safety of Dams OP/BP 4.37	No	The Program does not involve dams.
Projects on International Waterways OP/BP 7.50	No	The Program does not involve international waterways.
Projects in Disputed Areas OP/BP 7.60	No	Any Program activities in areas that may be disputed will be ineligible.

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 03-Nov-2014

B. Time frame for launching and completing the safeguard-related studies that may be needed.

The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

The ESMF will be disclosed locally and via Infoshop during February 2015.

IV. APPROVALS

Task Team Leader(s):	Name: Denis Jean-Jacques Jordy,Michael Bonte-Grapentin	
<i>Approved By:</i>		
Safeguards Advisor:	Name:	Date:
Practice Manager/ Manager:	Name:	Date:

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.