



Resettlement Planning Document

Project Number: 40648-034
March 2016

IND: Infrastructure Development Investment Program for Tourism - Tranche 3

Sub Project : Eco – Tourism Development at Ropar and Harike Wetlands (civil work) (Package no. PB/IDIPT/T3/04/03)

Submitted by

Program Management Unit, Punjab Heritage and Tourism Board, Chandigarh

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Punjab Heritage and Tourism Promotion Board
Infrastructure Development Investment Programme for Tourism
(ADB Assisted Project)



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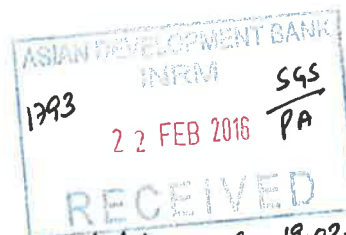
Subject: Submission of Due Diligence Report (DDR) of Package No. PB/IDIPT/T3/04/03 "Eco-Tourism Development at Ropar and Harike Wetlands (civil work)" for Loan 3223-IND

Social Safeguards Due Diligence Report (DDR) of Package Package No. PB/IDIPT/T3/04/03 "Eco-Tourism Development at Ropar and Harike Wetlands (civil work)" is enclosed with this letter.


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DUE DILIGENCE REPORT ON SOCIAL SAFEGUARDS



INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM (TRANCHE 3) STATE OF PUNJAB

ECO-TOURISM DEVELOPMENT AT ROPAR AND HARIKE WETLANDS (CIVIL WORK) (PACKAGE NO.: PB/IDIPT/T3/04/03)



DECEMBER 2015

Prepared by
The Government of Punjab for the Asian Development Bank

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ABBREVIATIONS

ADB	---	Asian Development Bank
AF	---	Affected Family
AP	---	Affected Person
BPL	---	Below Poverty Line
CDO	---	Community Development officer
COI	---	Corridor of Impact
CPRs	---	Community Property Resources
DF	---	Displaced Family
DSC	---	Design Supervision Consultant
EA	---	Executing Agency
GoI	---	Government of India
GoP	---	Government of Punjab
HH	---	Household
MP	---	Micro Plan
NGO	---	Non-Government Organization
PH	---	Physical Handicapped
PHTPB	---	Punjab Heritage and Tourism Development Board
PIU	---	Project Implementation Unit
PMU	---	Project Management Unit
R&R	---	Resettlement and Rehabilitation
ROW	---	Right of Way
RP	---	Resettlement Plan
SC	---	Schedule Caste
SDU	---	Social Development Unit
ST	---	Schedule Tribe
ToR	---	Terms of Reference

SOCIAL SAFEGUARD DUE DILIGENCE

1. INTRODUCTION

1. The Government of India has signed a loan with the Asian Development Bank (ADB) on 28-September-2015 for US \$ 123.51 million for tranche 3 of the Infrastructure Development Investment Program for Tourism (IDIPT) with an objective to continue upgrading tourism infrastructure and services in three states, Punjab, Uttarakhand and Himachal Pradesh. The loan is intended for development and conservation of places of tourist attractions as well as to improve basic tourism facilities, and to build the capacity of sector agencies and local communities in the three States. The third tranche loan is part of the \$250 million multi-tranche financing facility that ADB approved in 2010.
2. LEA Associates South Asia Private Limited, (LASA) in association with Simpson & Brown Architects (UK) and Addyman Archeology, UK (UK) has been retained as Project Management Consultant for Punjab Heritage and Tourism Promotion Board, Punjab, Project Management Unit (PMU) and the Project Implementation Units (PIUs) with an objective to ensure effective coordination and implementation of IDIPT. The Department of Tourism, Government of Punjab is the Executing Agency (EA) and Punjab Heritage and Tourism Promotion Board (PHTPB) is the Implementation Agency (IA) for the IDIPT for Punjab and the PMU has been set up at the PHTPB.
3. Shah Technical Consultants Private Limited in association with Grassroots Research and Consultancy (India) has also been engaged as Design Supervision Consultant (DSC) for Punjab Heritage and Tourism Promotion Board, Punjab.
4. This report presents the due diligence on Social Safeguards for one of the sub-projects of IDIPT entitled, 'Eco-Tourism Development at Ropar and Harike Wetlands (Civil Work)' taken up under Tranche-3.

2. DESCRIPTION OF SUB-PROJECT

5. This subproject is part of the both Eastern & Western Circuit. Interpretation Centre development and approach road from connecting Mata Gujri Gurudwara is falling of Eastern Circuit where as development of watch tower and basic amenities in Harike wetland is the part of Western Circuit. The Ropar & Tarn Taran districts are part of the Sikh Heritage Trail, Freedom Struggle Trail and Grand Trunk Trail which starts from Ambala in the state and ends at Attari / Wagah Border. (Source: As per Punjab Tourism Development Master Plan, 2008-2023; United Nations World Tourism Organization (UNWTO)). Thematically, these two districts are also part of Sutlej River Heritage route, Beas River Heritage Route and Freedom Heritage Trail. Ropar district is also part of Eco-Tourism Circuit as identified under report for Identification of Tourist Circuit India-Punjab, March 2012.
6. The following DDR focuses on protection and management of natural and cultural tourism assets in two districts of Punjab state, Ropar and Tarn Taran. The Ropar and Harike wetlands are in two different districts of Ropar and Tarn Taran respectively at a distance of one hundred eighty kilometres. Hence, the description is divided in two sections as below:
 - **Eco-tourism development at Ropar Wetlands-Infrastructure development including up gradation of Interpretation Centre, construction of Bird Watch Tower, creation of nature trails, visitor facilities like toilets, rain shelters, sitting benches etc.**
7. Ropar wetland is located adjoining to Ropar town. Ropar is district headquarter and located at an approximate distance of 42 Km from Chandigarh city. There are 12 villages along the wetland. The total

area of wetland is 1365 ha, of which 800 ha is under river and reservoir. The wetland is characterized as manmade fresh water riverine and lacustrine wetland. The town of Ropar is a Gateway to the tourists flowing to hill state of Himachal Pradesh. With development of tourist attractions, large number of tourists can be withdrawn to the Ropar Wetland. There is a need to enhance the natural site with provision of facilities (toilets, drinking water, rain shelters, sitting places, bird hides, and watch towers) to consequently enhance tourism related activities.

8. There is a small Interpretation Centre with an area of about 1200 sq ft, but it does not meet the standards of modern society and modern technology. The road connecting these villages is narrow and in poor condition which impedes vehicular movement and tourist flow. Due to bad condition of the road local villagers are facing commuting problems on regular basis. The route is important as it leads to historical Gurudwara Mata Gujri Ji. Thousands of visitors come to this Gurudwara during Fair (*Mela*) season. In view of the existing tourist flow and anticipated tourism flow it is essential to upgrade and provide enhanced connectivity.

- **Infrastructure Development for Promotion of Rural Tourism including last Mile Connectivity from skew bridge (Interpretation Centre) Ropar to Gurudwara Mata Gujri, Village Chak Dera via Village Bahadurpur and connectivity to River bank from both sides (5.5 km).**

9. The project area is located adjacent to the Ropar town at a distance of 3 km from town and lies along the banks of river Sutlej. These villages can be approached through narrow road. In order to promote the rural tourism, the Ropar District Rural Tourism Cluster is being established which will cover the villages of Katli, Bahadurpur, Malini etc. These villages are located near the Sutlej River with very rich biodiversity and lot of scenic places, which have potential to attract local and international tourists.

- **Eco-tourism Development at Harike Wildlife Sanctuary - Infrastructure development including construction of tourist reception complex, construction of bird watch tower creation of nature trails and boardwalks, visitor facilities like toilets, dustbins etc.**

10. Harike Wetland/Wildlife Sanctuary is one of the largest bird sanctuaries of North India which lies in south-west of Punjab. It spreads over three districts of Punjab state namely Kapurthala, Tarn Taran and Ferozepur covering a total area of 86 sq. km and is managed by wildlife division of Ferozepur. It is part of manmade reservoir created by impounding water below the confluence of Beas and Sutlej rivers at 150 Km south-west of Chandigarh city. The head-works control the flow of these river waters and throw back barraged into large lake called Harike Lake which touches three districts of Punjab State namely Kapurthala, Tarn Taran and Ferozepur. It came into existence in the year 1952 with the construction of barrage at a confluence of river Sutlej and Beas. The final notification of the wetland as a Sanctuary, as per provisions of the Wild Life Protection Act, 1972, came in the year 1999. As a wetland it gained status of international importance by the "Ramsar Convention"¹ in 1990. The National Committee on Wetlands, Mangroves and Coral Reefs of the Ministry of Environment & Forests, Government of India has also identified it as one of the special wetlands for conservation action and management

11. The proposed sub-project locations for works are presented below; Figure 1 presents the location of Ropar Wetland and Figure 2 presents Harike wetland development project area respectively.

¹ The Ramsar Convention is one of the oldest intergovernmental treaty that symbolize the commitments of its member countries to maintain the ecological character of their Wetlands of international importance and to plan for the "wise use" or sustainable use, of all of the wetlands in their territories. The convention was signed on February 2, 1971 in a place called Ramsar in Iran. Since then the day is celebrated as "World Wetlands Day". It marks the date of signing of the convention on wetlands.

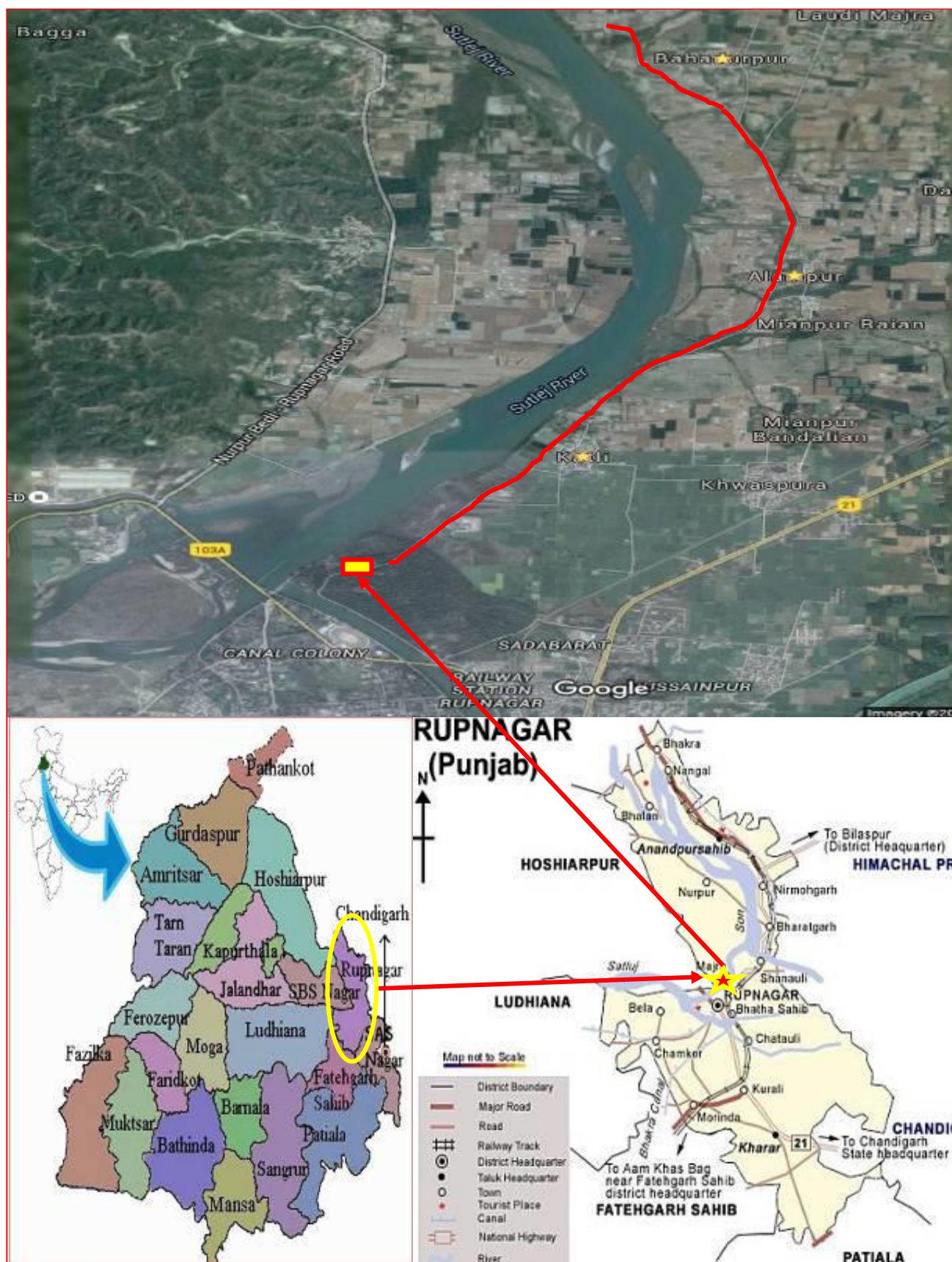


Figure 1: Project Location Ropar Wetland, Interpretation Center & Approach Road

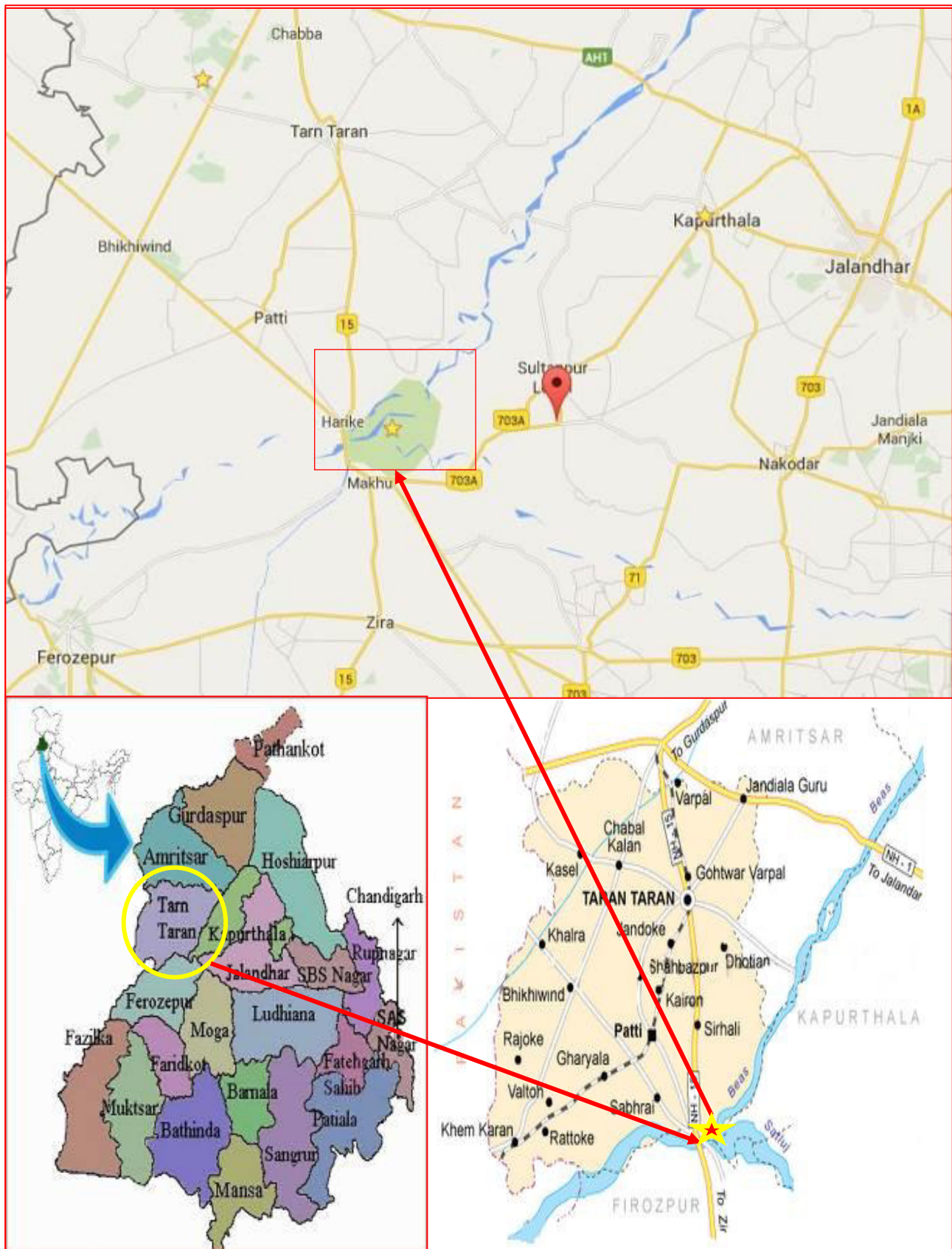


Figure 2: Project Location Harike Wetland Area

12. The proposed Harike and Ropar wetlands development including Interpretation Centre and strengthening of village connectivity road will have no impacts on private land or affecting any squatter or

encroacher. The sub-project components at both the sites of Harike and Ropar will create employment opportunity for more than 6000 persons (approximately labourers, material suppliers, tractor/dumper operators etc.) during construction phase whereas in operation phase, all the tourists, community and associated will be benefitted.

2.1.1 Resettlement Framework (RF)

13. A Resettlement Framework is in place for guidance in preparation of resettlement plans and overall safeguards management under IDIPT. The RF prepared for IDIPT tranche 1, has been modified to include the development with respect to new Land Acquisition Act, and for implementation of Tranche 3 sub-projects. The RF reflects the national and state level acts and policies with respect to land acquisition, Involuntary Resettlement, as well as ADB's Safeguards Policy Statement (SPS, 2009). This RF is applicable to all sub-projects under IDIPT and is subjected to review and updating ensuring relevance and consistency with applicable country/state legal framework and ADBs SPS, 2009. The following web link may be referred for Resettlement Framework Document. <http://www.adb.org/sites/default/files/project-document/148689/40648-034-rf-02.pdf>

2.1.2 Initial Environmental Examination Report (IEE)

14. An Initial Environmental Examination (IEE) Report is in place for the sub-projects under IDIPT, which classifies the proposed interventions, the subprojects as Environmental Category B as per the SPS, 2009 as no significant impacts are envisioned. Accordingly this IEE has been prepared to assess initial environmental/social impacts, if any and to provide mitigation and monitoring measures to ensure no significant impacts arises as a result of the sub-project. The following web link may be referred for IEE Report. <http://www.adb.org/sites/default/files/project-document/148714/40648-034-iec-07.pdf>

3. OBJECTIVE OF DUE DILIGENCE REPORT

15. The main objective of preparation of DDRs are to:
- Determine whether the subproject is free of Involuntary Resettlement impact such as land acquisition, displacement, adverse impact on income and livelihood, community properties or any other impacts.
 - Review the existing field circumstances of this subproject in accordance with ADB's Safeguard Policy Statement (SPS) 2009.

4. REQUIREMENT OF DUE DILIGENCE REPORT

16. The development of interpretation center and approach road including watch towers in this subproject in both the location does not involve the temporary or permanent land acquisition and resettlement impact because proposed activities are being implemented within the available land and Right of Way of line agency departments. Since there is no encroachment in wetland area and along the approach road development and no temporary or permanent land acquisition and resettlement is involved, therefore no expected impacts on private/public land, structures etc. and no negative impacts on livelihoods are envisaged. Hence no Resettlement Plan is needed and Due Diligence Report will fulfil the requirement of ADB's Safeguards Policy.

5. SCOPE OF DUE-DILIGENCE EXERCISE

17. The scope of Due Diligence Exercise covers the following activities while preparing the DDR:

- Review the system and procedures for project management, implementation and monitoring of the progress;
- Review measures taken to minimise land acquisition and other social impacts;
- Monitor the process if government land transfer involved, the process followed for making such land available to the project and the land - use of those government lands and description of any encumbrances;
- Gather the documentation regarding agreement reached with or consultation held to reach agreement, in case of purchase of land directly from the community / panchayat;
- Gather details of affected properties including private, government, community, religious etc;
- Verify R&R provisions made applicable to the project;
- Gather details of total affected land holders and details of any Scheduled Tribe (ST) families and non - titleholders affected, if any;
- Details of public consultation during planning and construction stage and how the outcome of consultations was integrated into the project design;
- Details of entitlement matrix or compensation and assistance details formulated for the Project Affected Families for loss of assets which should also include compensation criteria adopted for affected structured due to project facilities. Details of additional assistance provided to the affected ST families;
- Gather details of non-titleholders and R&R assistance provided, if any, to respective categories;
- Details of compensation paid for crop and other damages for the affected landholders, if applicable.
- Details of Grievance Redress Mechanism (GRM) followed for the project, mentioning if any grievances received from the local people and the action taken against those grievances;
- Details of any pending litigation cases related to land acquisition and compensation, if any;
- Details of institutional arrangement for social safeguard implementation;
- Details of the community consultation or development activities, if carried out by the contractor;
- Compliance with respect to Resettlement Framework (RF);
- Disclosure process followed for safeguard and other related documents;
- Gather any other information and data related to social impacts;
- Suggest suitable measures for identified gaps and shortcomings in mitigating the social impacts, if any, based on the due diligence exercise.

6. APPROACH AND METHODOLOGY

18. As part of the due diligence, the team visited the project area and carried out detailed interactions with implementing agency, contractors, and carried out consultation with communities. A review of the project DPR, design, drawings was also carried out. The Due Diligence Report has been prepared based on the scope of work of the consultancy service, and Safeguard Policy Statement of the Asian Development Bank, and the Resettlement Framework (RF) agreed for the IDIPT. The methods followed for the preparation of Due Diligence Report has been discussed in this section.

6.1 Activity 1: Review and Appraisal of Sub-Project Reports and Documents

19. The reports and relevant documents related to social safeguards available with the PMU have been reviewed and appraised. The documents included Loan Agreement - ADB and Punjab state government, Project Agreement - ADB and Punjab state government; sub - project appraisal report (SAR), Initial Environmental Examination (IEE) Report, Resettlement Framework, NOC's and Certificate and Undertaking issued from the line agency departments and Contract Documents etc.

6.2 Activity 2: Consultations With the PIU & Contractor

20. Two - stage consultation process has been conducted towards preparation of the due diligence report, (i) consultation with PIU officials prior to the site visit to appraise about the project, and (ii) consultation after the site visit to ascertain the compliance procedures adopted or planning to be adopted by the PIU/Contractor for various safeguard issues observed at the site.

6.3 Activity 3: Site Visit and On-Site Observations

21. Visits to the sub-project site have been an integral part of the preparation of Due Diligence Report. PMC social safeguards specialist has visited the project site on 6th November 2015 and had discussions with the Forest Specialist, Community Development officer at PIU Ropar, Site Engineer, Contractor's representatives, labourers, etc.

7. SOCIAL SAFEGUARD DUE DILIGENCE

7.1 Assessment/review of Social Issues

22. The sub-project work is under progress within the existing boundary. The construction work has been started in both the places along with approach road for which the Contract was formally signed on June 24th 2015 and LOA issued on 29th June 2015. Notice to Proceed (NTP) was issued on 6th August 2015 and contractor mobilized on 18th August 2015. The contract completion date is February 18th 2017 (18 months from start date).

23. The Tranche-3, sub-project is categorized as "C" for Involuntary Resettlement (IR) as well as Indigenous People Development as per ADB's Safeguard Policy Statement (SPS-2009). The proposed sub-project, being a wetland area development and small road connectivity project, will be executed within the existing RoW boundaries of respective interventions. No additional land will be required resulting no impact on land acquisition and Involuntary Resettlement. The approach road project will be executed within the existing road width (RoW) utilizing the unused / earthen shoulder's land which is already available within the boundary. Therefore, no action is required as far as preparation of Resettlement Plan (RP) is concerned. The construction work has been started in Interpretation Centre and road strengthen work will soon start, informed by contractor. Work permission has been acquired and NOCs / undertakings from line agencies have been obtained.

24. PHTPB has carried out Initial Environmental Examination for the project². The sites of sub-project components have been planned to be developed in the government-owned land (Forest Department and PWD, B&R). The project is expected to result in limited social risks and impacts which can be readily addressed through relatively simple and cost-effective mitigation measures. The local people have a positive perception about the project with respect to the access to Ropar and business development by increasing tourist/visitors, employment opportunities (both un-skilled and semi-skilled) which the project can provide to the local community.

² Initial Environmental Examination Report for Imperial Highway Heritage Conservation and Visitor Facility Development

Table 1: Existing approach roads



Existing approach road condition of Interpretation Centre (skew bridge) to Gurudwara Mata Gujri

7.2 Details of Land

25. During site visit for Due Diligence it has been observed that the project site is well demarcated and there are no squatters. The total area of Ropar wetland is 1365 ha, of which 800 ha is under river and reservoir whereas Harike wetland development area covers total area of 86 sq. km. The wetlands are characterized as manmade fresh water riverine and lacustrine wetland. Developments of Ropar wetland and Harike wetland will not affect any private land and squatter/encroacher. These villages offer diverse resources in form of local craft, rural life style along with natural scenic beauty. In order to promote these sites it is essential to equip these villages with good infrastructure facilities. Copies of NOCs & Undertakings are placed as Appendix-1.

7.3 Resettlement Impacts

26. There will not be any resettlement impact due to the sub-project. Encroachments of any form, squatting or any informal use of land of the approach road are not observed.

27. **Details of affected properties:** There will not be any impact on built-up structure of other properties.

28. **Impact on Trees:** About 43 trees likely to be cut for the strengthening approach road from skew bridge (now from Interpretation Centre) to Gurudwara Mata Gujri road. The principal approval and permission of removal of trees has been obtained from Forest Department. Removal of trees will take place soon for that PIU is in touch with forest Department. No tree will be impacted at Harike Wetland area due to project intervention.

29. **No title holder** is being affected or will be affected due to the project.

7.4 Impact on Scheduled Tribe / Indigenous Population

30. There will be no impact on Indigenous peoples (IPs). All the proposed construction activities will be done within the RoW. This sub-project is categorized as “C” for Indigenous Peoples. Rupnagar (Ropar) and Tarn Taran districts are not part of the notified Fifth Schedule Area³. Further, as mentioned in the

³ Fifth Schedule under Article 244(1) of Constitution defines “Scheduled Areas” as such areas as the President may by order declare to be Scheduled Areas after consultation with the Governor of that State. The criteria for declaring any area as a “Scheduled Area” under the Fifth Schedule are; (a)

Indigenous Peoples Planning Framework of ADB (July 2010) Scheduled tribes are in all States and Union Territories except in the States/Union Territories of Haryana, Punjab, Delhi, Pondicherry, and Chandigarh.

7.5 Gender Issues

31. The proposed project does not have any adverse impact on women. The project certainly has some benefits for women in terms of employment opportunities. RF suggest to carry out continuous consultation with women community in surrounding villages throughout project implementation to create awareness among the upcoming development activities and their livelihood opportunities that are likely to come up. This is with the objective to ensure participation in income generating and livelihood enhancing entities. However, the sub-project has not so far carried out any such consultations. In Tranche-3 Sub-project has the scope for promoting gender equality in form of employment in construction work and promotion of tourist guides and accommodation arrangements for tourists. Contractor has engaged 4 women workers at present in construction & other activity. Contractor has been informed to provide equal wages for equal works to both men and women.



Figure 3: Women Labor working in Construction

7.6 Wages and Labour Register

32. The Labour Register is being maintained in this Ropar site. Contractor has been advised to maintain disaggregated data on male/ female workers and wages given to them. Wages are being paid to the labourers by weekly or monthly. It is noted that no women is involved in skilled work. It has been informed that equal wages for equal work and minimum wage should be given as applicable in notifications of the Labour Commissioner from time to time.

7.7 Labour camp site

33. The due diligence related consultation has revealed that the some labourers were from local village area and stayed at their home and migratory labour which is from Bihar, UP and Madhya Pradesh are also staying at Ropar town. Hence no labour camp has been established at both the locations by contractor. Safety of labourers needs significant improvement with efficient use of personal protection equipment (PPE).

7.8 Public Consultation

7.8.1 Public Consultation conducted during Project/IEE Preparation

34. There is no physical or economic, temporary or permanent, IR impact. No person or community is being adversely affected by this sub-project. No land or asset acquisition is necessitated in this sub-project. So people and communities will not be physically or economically displaced due to the sub-project interventions. No Common Property Resource (CPR) will also be affected. Poor, Indigenous and other ethnic groups are not being adversely impacted.

35. During project preparation, consultations were held with the Department of Tourism, Punjab Heritage and Tourism Promotion Board (PHTPB), Department of Forest and Wildlife Preservation, Punjab,

preponderance of tribal population, (b) compactness and reasonable size of the area, (c) a viable administrative entity such as a district, block or Taluka, and (d) economic backwardness of the area as compared to the neighbouring areas.

Municipal Corporation Rupnagar, Punjab, State Council for Science and Technology, P.W.D. Department, Fisheries Department, Irrigation Department, NGOs and tourists on issues pertaining to the selection of subprojects and identification of key issues including addressing the current gaps in provision of basic services and improvement of tourist infrastructure. These consultations provide the necessary inputs in identification of the actual needs of the communities and the relevant stakeholders. Table 1 is presented with date wise public consultation conducted.

Table 2: Site Visit and Consultation Photographs during DDR

Sl. No.	Date of Site Visit	Date of Site	Participants & No.	Issues Discussed
1	December 2013	Chandigarh	Officials of Tourism Department and other line departments, Revenue Department, Forest Department, PWD etc.), 10	Finalization of project components, environment and social safeguard requirements
2	December 2013	Rupnagar	Officials of Forest department, Tourism Department and revenue department, 12	Proposed design elements, clearance requirements, environment and social policies of ADB.
3	December 2013	Rupnagar	Representatives of village Panchayats committee and Tourists/ NGO's, 7	Discussion on the project components, Infrastructure requirement. Land availability, environmental issues and other tourism issues
4	December 2013	Chandigarh	Officials of PWD, Dept / Irrigation Dept Forest Department/ Punjab & Pollution Control, 6 Board	Proposed design elements Land availability, NOC/ clearance requirements, environment and social policies of ADB.
5	December 2013	Chandigarh	Officials of Tourism Department and line departments, 11	Role of Environmental and Social safeguard and the necessity of IEE in the project implementation and methodology adopted

Source: IEE Report, October 2014

7.8.2 Public Consultation conducted during DDR Preparation

36. In addition during due diligence report preparation necessary consultations have been conducted with the labourers, supervisor of the site, PIU officials and civil contractor by social safeguards specialist, PMC on 5th November 2015. Forest Specialist and Community Development Officers were consulted about the implementation arrangement of social and environmental safeguards. CDO revealed that as the work has been started recently, though we are in regular contact with the Panchayat heads/Pradhan's of villages connecting the approach road and nearby areas. PPE and other facilities for labourers will be ensured and safety measures shall be implemented.

37. Forest Specialist, PIU Ropar inform that the in-principal approval of tree cutting and removal of the same has been obtained and Forest Department will remove the trees shortly. However, NOC and undertaking for working at Interpretation Centre and Harike wildlife sanctuary have already been obtained. The labour welfare and other safeguards shall be implemented throughout the project period as agreed in RF document and EMP/IEE. Regular training of the contractor and monitoring will improve the quality of safeguards implementation at site. Below some selected photographs are presented showing the discussion with labour group including women labour regarding welfare and rights. Contractor has also been advised for paying equal wages for equal works and for issuances of job card/id card for the labour working on site.

Table 3: Public Consultation Photographs during DDR Preparation

	
<p>Labourers consulted at Ropar Interpretation Centre</p>	

7.9 Project Organization Structure

38. The implementing agency PHTBP had already established two PIUs, one for each circuit (Eastern and Western) at Rupnagar (Ropar) and Amritsar respectively for the implementation and monitoring of subprojects. It comprises the personnel drawn from relevant line departments and outsourced, and each headed by a project manager and supported by Community Development Officer (CDO) for effective implementation of the RF and ensures the safeguard compliance provisions of the investment program. The PIUs are mainly responsible for:

- i. prioritizing and preparing subproject proposals;
- ii. providing day-to-day assistance, supervision and guidance to the design and supervision consultants (DSC);
- iii. conducting detailed assessments and surveys including public consultation and input from stakeholders;
- iv. preparing detailed designs, specifications, schedule of quantity, bidding documents, and related documentation;
- v. implementing civil works and related activities;
- vi. reporting to PMU;
- vii. preparing regular progress reports for the SLEC, the executing agency and ADB through PMU;
- viii. supervising construction, conducting quality control, approving progress payments to contractors; and
- ix. Maintaining records and accounts on an up-to-date basis and making these available to ADB, its missions, or auditors for inspection.

39. PM PIU is overall responsible for safeguards implementation and monthly reporting to PMU. Environment Safeguards Specialist, PMU further reporting to GoP and ADB as per agreed reporting schedule. Role and responsibilities are tabulated below as per approved Terms of References. Detailed project organisation structure as proposed in PAM is placed at Appendix-2

Table 4: Project Implementation Organizations - Roles and Responsibilities

Implementation Organization	Management Roles and Responsibilities
Environment Safeguards Specialist (ESS), PMU	<p>ESS is discharging the following duties:</p> <ul style="list-style-type: none"> • Review of IEE documents and contract clauses and ensure adequacy under ADB's EA guideline 2003 and the updated safeguard policy statement, 2009; • Ensure that the project design and specification adequately reflect the IEE • Monitor construction activities to ensure that appropriate control measures are effective and in compliance with the IEE and advise PIU for compliance with statutory requirement. • Develop training program for the PMU/PIU staff, the contractors and other involved in the project implementation, in collaboration with the Environmental Specialist of the PMC and DSC • Review and approve contractors implementation plan • Liaise with the Contractors and Consultant on the implementation of environmental measures proposed in IEE • Liaise with various government • Review the environmental performance of the project • Provide support and assistance to the government agencies and the ADB to supervise the implementation of IEE • Document the good practices in the project • Assist in preparation of SAR for T2 subproject including IEE • Monitor all design result framework of IDIPT project • Establish dialogue with the affected community • Provide support to PMU and design team in preparation of implementation of ADB resettlement plan if any • Overseeing the implementation of resettlement plans • Helping in issues related to health and overall welfare and development of the labour settlement involved in the project
Community Development Officer (CDO), PIU	<ul style="list-style-type: none"> • Support PMU and the design team in • Prepare ToRs and lead/ coordinate community mobilisation and related product development actions • Lead workshops and community consultations • Define sub projects elements and the costs • Coordinate base line study requirements with the DSC team; • Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework; and • Preparation of performance monitoring reports <p>Apart from the above duties the following tasks are defined for the CDO</p> <p>Responsibilities towards SIA</p> <ul style="list-style-type: none"> • Preparation of Rural Tourism circuit (route) plan and assess impact on the rural community in terms of physical, socio-economical, life-style, etc aspects • Awareness program for the key stakeholders including local community on importance of the wetland and need for it conversation and community based rural tourism potential in general • Monitor social impacts and undertake safeguards measures as necessary during implementation of IDIPT projects <p>Responsibilities towards Capacity Building Activities:-</p> <ul style="list-style-type: none"> • Training of local youth as nature guides and promote involvement in tourism development • Formation of SHGs for traditional activities, such as handicraft and local cuisine • Training of local communities for encourage home-stay based on standard guidelines • Conduct regular meeting with village level communities • Formation of village level tourism committees • Organising local events and festivals and promote community involvement <p>Responsibilities towards Capacity Building Activities:-</p> <ul style="list-style-type: none"> • Ensure women's participation in all consultative processes • Ensure equal job opportunities for female workers in the villages

Implementation Organization	Management Roles and Responsibilities
	<ul style="list-style-type: none"> • Systematic incorporation of the women's need in the preparation of all programmes • Providing the women workers with good health, safety, welfare, sanitation and working condition <p>Specific project tasks:</p> <ul style="list-style-type: none"> • To conduct participatory rural appraisal involving all the villages under Ropar Rural Tourism Project to identify basic needs of the village • Formation of T-2 subproject involving local community participation • Help in conducting the community-based activities, festivals and events in Eastern Circuit, such as Heritage walk, Holla Mohalla, Quila Raipur • Promotion of home stay and farm tourism in Eastern Circuit • Identify training needs based on specific programs and organise training programmes
Social Safeguards Specialist (SSS), DSC	<ul style="list-style-type: none"> • Support PMU and the design team in • Lead all baseline surveys required for preparation resettlement plans; • Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework; • Lead community consultation during design phase
Community Development Specialist (CDS), DSC	<ul style="list-style-type: none"> • Lead value chain analysis and lead community mobilization activities; • Lead workshops and community consultations; • Coordinate all activities of this component; • Supervise all activities under this component; • Implementation of ADB compliant resettlement plans if any based on the approved framework; • Oversee implementation of Resettlement Plans, if any; • Preparation of performance monitoring reports
Social Safeguards Specialist (SSS), PMC	<ul style="list-style-type: none"> • Support PMU and the design team in • Coordinate base line requirements with the DSC team; • Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework; • Oversee implementation of Resettlement Plans; and • Preparation of performance monitoring reports

Source: Officer Order issued on 19.11.2013 & 30.11.2013 by PMU and Contract Document of DSC & PMC,

7.10 Grievance Redress Mechanism (GRM)

40. It would be pertinent here to refer the Asian Development Bank's policy, which requires proper mechanisms for resolution of disputes that may arise from any aspect of the project and development process. The mechanisms should be "affordable and accessible," and third parties independent of the implementers should be available at the appropriate point in the process. The grievance procedure need to be simple, administered in the first instance at the local project level to facilitate access, flexibility and open to various proofs taking into account the need for speedy, just and fair resolution of any grievances.

41. During preparation of social due diligence exercise, the RF and IEE report have been reviewed and noted that it has proposed effective and interactive mechanism in which participation of the community is ensured through dialogues and frequent meetings with town/village heads, with respect to any grievances. However, due diligence has noted that the action plan for grievance redress mechanism implementation has been made.

42. Team Leader then has communicated the same to PMU regarding formation and functioning of GRC. E mail communication made by TL, PMC to PMU on grievance redress mechanism (as proposed in RF document) and necessary instructions from Additional Project Director to both the PIUs for taken early action have been issued. Accordingly, Project Manager PIU Ropar have been requested to Deputy Commissioner and Municipal Corporation for nomination of member and necessary approval of GRC. The

GRC structure proposed in RF documents and communications made from TL, PMC to PMU and onwards letter issued by PM Ropar to Deputy Commissioner and Municipal Corporation are placed in Appendix-3.

43. However, No cases of litigation reported till the due diligence for the project.

7.11 Employment Potential

44. The proposed sub-project 'Eco-Tourism Development at Ropar and Harike Wetlands (Civil Work)' will create direct and indirect employment opportunities. During discussion with contractor's support engineer, it revealed that average 10-15 labour including skilled and unskilled will be required daily at least for entire project period thereby creating around 6000 man-days. Apart from direct employment for construction works, other types of employment like obtaining suppliers of construction materials, operators tractor/dumper, etc., during construction phase. During operation phase, indirect employment creation is envisaged for local shopkeepers, rural artisans, tourist guides, self-help groups etc.

7.12 Other Social Issues

45. The approach road from Interpretation Centre to Gurudwara Mata Gujri is narrow and single lane. Contractor has been advised to work in close consultation with Pradhan/Sarpanch and School Management to avoid any conflict of interests along the road. Heavy vehicle movement during school open/close time may be avoided and safety of children and villagers during construction must be ensured.

46. No other social issue noticed, though, during consultation with local people at Ropar with Pradhan/Sarpanch it was expressed that this sub-project is important and very much needed and all are pleased.

7.12.1 HIV/AIDS Awareness

47. Considering the possible presence of labourers from outside in the project area for construction work, EA/IA take proper care during construction to conduct information and education campaigns on the risks of HIV/AIDS and other health issues, targeting construction workers at project sites time to time. The civil works contractors require (i) disseminating information at worksites on risks of sexually transmitted diseases and (ii) HIV/AIDS awareness as part of health and safety measures for those employed during construction.

7.12.2 Child Labour

48. It has to be ensured by EA/IA that the civil contractors are not employing or using children for labour. Due diligence exercise does not find any child labour during the site visit.

7.13 Occupational Health & Safety

49. PIU with the help of DSC need to be monitor the implementation of the Occupation Health and Safety (OHS). The same have been included in the contract document of civil contract. Accordingly, the contractor need to ensure the safety of all workers, materials, plant and equipments belonging to him or to others working at the site are ensured through effective and practicable safety management systems. However, labourers working at the sub-projects are not wearing the safety vest, shoes etc. while working at construction site.

50. During the discussion held with contractor's representative, he assured to provide the facilities as per law. The OH&S part of contract from contractor's agreement need to be followed and is placed as Appendix-3 with the DDR, from the contract agreement of the contractor. The provision of OHS proposed in IEE report is presented in the box below. The implementation of the following should be closely monitored.

The Contractor shall, in accordance with the safety and health provisions specified in the EMP, provide workers with a safe and healthy working environment, in the work areas, through application of preventive and protective measures consistent with international good practice, as reflected in internationally recognized standards such as the ADB's Environment, Health and Safety Guidelines. The borrower/client will take steps to prevent accidents, injury, and disease arising from, associated with, or occurring during the course of work by-

- *Providing preventive and protective measures, including modification, substitution, or elimination of hazardous conditions or substances;*
- *Providing appropriate equipment to minimize risks and requiring and enforcing its use;*
- *Training workers and providing them with appropriate incentives to use and comply with health and safety procedures and protective equipment;*
- *Documenting and reporting occupational accidents, diseases, and incidents; and*
- *Having emergency prevention, preparedness, and response arrangements in place.*
- *Provide first aid facilities in all the work sites and workers camp and having qualified first aider to give first aid at the time of any accident.*
- *Contractor should provide safe drinking water, clean eating and resting areas and sufficient amenities at work site and workers camps.*
- *Contractor should have prepared emergency response plan with full details and methods of emergency response during any accident and shall have and display the emergency contract numbers at site.*
- *Contractor should follow all the applicable rules and regulations for workers safety*
- *Workers complaint registers must be available at site.*
- *Housekeeping conditions can be improved.*
- *Sign boards and contact numbers should be displayed at visible locations.*
- *All the emergency numbers should be displayed in Hindi script at the construction site.*
- *All safety rules at work and provision of adequate health and safety measures such as water, food, sanitation, and personal protective equipment, workers insurance and medical facilities should be made available.*
- *Accident and Grievances Register should be maintained at the construction sites.*
- *Dust bin should be made available for collecting the garbage at the construction sites.*
- *Provision of crèche can be done wherever found necessary.*

7.14 Disclosure Process Followed for Safeguard and Other Related Documents

51. As per RF, public consultation meeting need to be undertaken throughout the implementation stage. All the five principles of information dissemination, information solicitation, integration, coordination and engagement into dialogue need to be incorporated. IEE Report suggests disclosure safeguards documents at prominent places for public information. During site visits of due diligence, it is observed that there is no information or sign boards for public to inform regarding nature and duration of construction works and contact numbers to lodge complaints / grievances etc.

7.15 Social Safeguards Impact Categorization

52. Based on the above assessment the Tranche-3 sub-projects including this were categorized as “C” for Involuntary Resettlement (IR) as well as for Indigenous People Development as per ADB's Safeguard Policy Statement (SPS-2009). Table no. 2, below presents the Social Safeguards Impact Categorisation and the detailed screening forms for Resettlement Categorization and Indigenous People are placed at Appendix 5 and 6.

Table 5: Project Social Safeguards Impact Categorization

Name of Project	IDIPT, Punjab Loan No. No. 3223-IND		
Type of contract	Regular	Design Build	Combined
Safeguards Categorization	A	B	C
IR (Involuntary Resettlement Impact Categorization)	N.A.	N.A.	C

IP (Indigenous people Categorization)	N.A.	N.A.	C
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7.16 Compliance to social impacts mitigation during project planning, design and implementation stages

Table 6: Compliance to social impacts mitigation

Sl. No.	Social Safeguard Issues	Findings	Remarks
1.	Impact minimization measures	<ul style="list-style-type: none"> The selected sites are government land (Wetlands, wildlife & Forest, PWD B&R). Livelihood pattern of the any person/villagers is not affected due to the project. 	The project categorized as “C” for Involuntary Resettlement (IR) as well as Indigenous People Development as per ADB’s Safeguard Policy Statement (SPS-2009).
2.	Census and socio-economic survey	<ul style="list-style-type: none"> As no impact noticed, hence not carried out. 	
3.	Land acquisition	<ul style="list-style-type: none"> The proposed land is under line agency dept. and NOC/undertaking obtained from concerned department. 	No LA required
4.	Entitlements	<ul style="list-style-type: none"> ADB’s policy of IR shall be applicable, if any impact seen. 	
5.	Public consultation and disclosure	<ul style="list-style-type: none"> Public consultation has been carried during the project preparation stage 	Impacts on livelihood and people resettlement issues are not envisaged as part of the project. Hence disclosure document not required.
6.	Impact on tribal people	<ul style="list-style-type: none"> Impact on tribal people is not envisaged as part of the project. Ropar & Tarn Tarn districts are not part of the notified Fifth Schedule Area. 	-
7.	Resettlement sites	<ul style="list-style-type: none"> Resettlement site not envisaged. 	No impact/displacement
8.	Socio-economic rehabilitation measures	<ul style="list-style-type: none"> Not applicable 	
9.	Implementation arrangement	<ul style="list-style-type: none"> Social safeguard implementation is part of the contract of IDIPT. PMU along with the PIU personnel is the core group responsible for social safeguard implementation at the project site. PMU and PIU is assisting in overall implementation of social safeguards. 	

8. SUMMARY FINDINGS/ RECOMMENDATION

53. In this Sub-Project intervention at both the wetlands, full or partial, permanent or temporary, physical and economic displacements are absent. There will not be any adverse impact on people, livelihood, land or structure and common property resources are observed. Skew Bridge (Interpretation Centre) to Gurudwara Mata Gujri approach road widening work will be accommodated within the existing RoW.

54. This sub-project has been categorized as “C” for Involuntary Resettlement (IR) impact as well as Indigenous People Development (IP) as per the ADB’s Safeguard Policy Statement, 2009 (SPS). Screening questions form for IR & IP categorization is placed as Appendix-5 and Appendix-6.

55. It is concluded from this due diligence study that: the proposed infrastructure improvements will not change substantially the present land use; there will be no issues of land acquisition and resettlement related to this sub-project. Due to project intervention, there will be no loss of income of any person or any assets, either privately owned or publicly owned.

56. The key observations of the due diligence on the social impacts are summarised as follows:

- Skew Bridge (Interpretation Centre) to Gurudwara Mata Gujri Approach roads of last mile connectivity being widened in government land/RoW, which is in possession of Forest Department of Punjab government. The necessary permissions like No Objection Certificates (NOC) and Undertaking for not involvement of any private land or structure have been obtained. The project sites are clearly known, demarcated and no encroachment observed during due diligence site visits for both Ropar and Harike wetlands.
- PHTPB has carried out IEE of the project and had conducted public consultation during project preparation. The communities are aware of the project and have positively participated in the project activities;
- Safeguard implementation should follow the principles and methods suggested in the RF.
- Grievance Redress Committee (GRC) must be made functional which is yet to be formed and information regarding the same should be disseminated, in order to create awareness among public and other stakeholders to lodge any grievances.
- Labour Register at construction site should be properly maintained, with details of wages given to both men and women, PPEs should be provided to all the labourers, etc.
- Awareness on HIV/AIDS, safety and other health issues shall be provided. Contractor should arrange to provide necessary awareness on these aspects.
- No information regarding the proposed project is available on any site including GRM and safety signage, this need to be provided specially near at the schools and habitation along with approach road.
- Occupational health and safety measures are also need to be maintained for the workers.

57. The due diligence study concludes that the project does not involve any outstanding social safeguards issues. At the same time, PMU/PIU may urge the CSC / Contractors to address the issues identified during the due diligence exercise. CSC/Contractor should prepare a report for action taken for inclusion in periodic monitoring reports.

APPENDICES

No Objection Certificate and Undertaking for Operation and Maintenance of the Ropar Wetland

DATE : DECEMBER 18, 2013

NO OBJECTION CERTIFICATE & UNDERTAKINGS

I do hereby certify that the Department of Forests and Wildlife Preservation, Punjab has no objection in recommending the proposed project to be taken under IDIPT-PB for execution and development as per the guidelines laid by GOI and ADB for loan funded projects for the states of Punjab.

I hereby certify that, *Ropar Eco-Tourism Project*

- 1) The Proposed project Area/Building /Land (Ropar Wet Land Wildlife Sanctuary) is under the ownership of Punjab Forest Department and presently under the possession of Department of Forest and Wildlife Preservation .
- 2) The Proposed Project Area /Building/Land is free from all encumbrances (Legal/Circumstantial)
- 3) There is no Resettlement / Displacement / Rehabilitation of people involved in the above proposed project Area/Building /Land
- 4) The Proposed Project Area /Building/Land is not Partially / Fully part of any other project funded under State Govt/GOI/External funding schemes
- 5) This Department will taken operation and maintenance of the assets created as a result of the development /execution of the proposed project under the IDIPT.

R/S
DFO with
Ropar

Praveen Chahal
Chief Wildlife Warden
Head of the department
(Name of the department)
Punjab

[Signature]

Deputy Commissioner,
Rupnagar.

No Objection Certificate and Undertaking for Operation and Maintenance of the road from Skew Bridge to Gurudwara Mata Gujri ji and connecting to the villages.

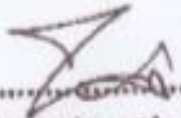
NO OBEJECTION CERTIFICATE

It is certified that there is no objection if the project *Widening and Strengthening of Link road from Skew bridge to Gurdwara Mata Gujri Ji via Fish Seed Farm Katli, Lodhimaajra Gate, Bhadurpur, ChakDheran* is proposed under ADB loan funded projects under IDIPT. at Ropar, Distt. Ropar, Punjab.

Place:

Date:

Signature.....


Department
Executive Engineer
Constn. Division PWD B & R
Roopnagar

(Official Stamp)

Counter Signed


Deputy Commissioner
(Official Stamp)

Deputy Commissioner,
Rupnagar.

CERTIFICATE AND UNDERTAKING

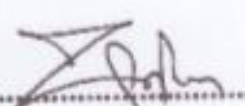
It is Certified that:-

1. The *Widening and Strengthening of Link road from Skew bridge to Gurdwara Mata Gujri Ji via Fish Seed Farm Katli, Lodhimajra Gate, Bhadurpur, ChakDheran* project is proposed and is under the ownership & possession of Public Works Department.
2. There is NO encroachment and NO resettlement/displacing /rehabilitation of people scheme involved in the above Proposed Project area/building/land.
3. The Proposed Project is not Partially/Fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.
4. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by Public Works Department.


5. Place:

Date:

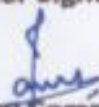
Signature.....


Executive Engineer
Constn. Division PWD B & R
Rupnagar

Department/Organization/Owner
(Official Stamp)


CPW (P)

Counter Signed


Deputy Commissioner
(Official Stamp)

Deputy Commissioner,
Rupnagar.

NO OBJECTION CERTIFICATE

It is certified that there is no objection if the proposed project Infrastructure development
of rural tourism cluster e.g. sewerage system, solid waste disposal
(Name of the project)
accessibility to tourist sites etc on bank of River Satluj (4-5 villages)
including tourist facilities, Distt. Roopar.

is executed by PHTPB of the Tourism Department (Punjab) as per the guide lines of
 Govt. of India and ADB loan funded projects under IDIPT at Katli village

Distt. Roopar
(details of land/area/ building)

Place: KATLI

Date:

Signature

Department /owner

Amrit Kaur
 Sarpanch
 Gram Panchayat, Katli
 Block Roop Nagar (PB)

Counter Signed

[Signature]
 Deputy Commissioner

(Official Stamp)

Deputy Commissioner,
 Rupnagar.

No Objection Certificates and Undertaking for Operation and Maintenance of the Rural Tourism Villages-Village Katli

CERTIFICATE AND UNDERTAKING

It is certified that: -

1. The Katli village
(details of land/area/ building) Where
 the Infrastructure development of Rural tourism cluster eg sewerage
(name of the project)
system, solid waste disposal, accessibility to tourist sites etc
On bank of River Satluj (4-5 villages) including tourist facilities, Ropar
 Project is proposed, for execution by PHTPB of the Tourism Department (Punjab),
 is under the ownership of Sarpanch, village Katli and is
(Details of the owner)
 under the possession of Sarpanch, village Katli
(Details of possessor)

2. There is NO encroachment and NO resettlement/displacement/rehabilitation of people involved in the above Proposed Project area/building/land.

3. The proposed Project is not Partially/Fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.

4. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by Sarpanch, village Katli
(Name of the department/organization)

Place:

Signature

Date:

Department/Organisation/Owner
 (Official Stamp) Amrit Kaur

Sarpanch
Gram Parichyat katli
Block Roop Nagar (pb)

[Signature]
 Counter Signed

Deputy Commissioner
 (Official Stamp)

Deputy Commissioner,
 Rupnagar.

NO OBJECTION CERTIFICATE

It is certified that there is no objection if the proposed project Infrastructure development
of Rural Tourism cluster of sewerage system, solid waste disposal
(Name of the project)
accessibility to tourist sites etc. on bank of River Satluj (4-5 villages)
including tourist facilities, Drilling Repair
 is executed by PHTPB of the Tourism Department (Punjab) as per the guide lines of
 Govt. of India and ADB loan funded projects under IDIPT at Village Bahadurpur

Drilling - Repair

(details of land/area/ building)

Place: Rupnagar

Date: 3/7/2014

Signature ਮਨਜੀਤ ਸਿੰਘ

ਸਹਾਇਕ

Department /owner

ਸਟੇਸ਼ਨ ਮੈਨੇਜਰ,

ਬਾਹਦੁਰਪੁਰ/ਮਹਾਂਨਗਰ (ਵਿਲੇਜ)

(Official Stamp)

Counter Signed

ਮਨਜੀਤ ਸਿੰਘ
 Deputy Commissioner

(Official Stamp)

Deputy Commissioner,
 Rupnagar.

No Objection Certificate and Undertaking for Operation and Maintenance of the Rural Tourism Villages-Village Bahadurpur

CERTIFICATE AND UNDERTAKING

It is certified that: -

1. The village Bahadurpur
(details of land/area/ building)

Where the Infrastructure development of Rural Tourism cluster eg. sewerage system, solid waste disposal accessibility to tourist sites etc on bank of river Satluj (4-5 villages) including tourist facilities, Ropar.
(name of the project)

Project is proposed, for execution by PHTPB of the Tourism Department (Punjab),

is under the ownership of Sarpanch, village Bahadurpur and is
(Details of the owner)

under the possession of Sarpanch, village Bahadurpur
(Details of possessor)

2. There is NO encroachment and NO resettlement/displacement/rehabilitation of people involved in the above Proposed Project area/building/land.

3. The proposed Project is not Partially/Fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.

4. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by Sarpanch, village Bahadurpur
(Name of the department/organization)

Distt. - Ropar

Place: Ropar

Date: 3/7/2014

Signature: [Signature]
Department/Organisation/Owner
(Official Stamp)

[Signature]
Counter Signed
Deputy Commissioner
(Official Stamp)
Deputy Commissioner,
Rupnagar.

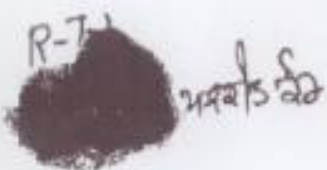
No Objection Certificates and Undertaking for Operation and Maintenance of the Rural Tourism Villages-Village Ranjitpura Bas

NO OBJECTION CERTIFICATE


It is certified that there is no objection if the proposed project Infrastructure development of Rural tourism cluster of sewerage system, solid waste disposal
(Name of the project)
accessibility to tourist sites etc on bank of River Satluj (4-villages)
including tourist facilities, Distt. Rupar

is executed by PHTPB of the Tourism Department (Punjab) as per the guide lines of Govt. of India and ADB loan funded projects under IDIPT at Ranjitpura Bas
village, Distt. Rupar
(details of land/area/ building)

.....

Place: _____ Signature: _____
Date: 4/7/2014 R-7 ਮੁਖੀ ਸਾ Department /owner
 (Official Stamp)

ਮੁਖੀ
ਗਰਮ ਪਰਿਵਾਰ
ਰਣਮੁਖੀ ੪ ਸ (ਰੁਪਰਾ) Counter Signed


Deputy Commissioner
(Official Stamp)

Deputy Commissioner
Rupnagar.

CERTIFICATE AND UNDERTAKING

It is certified that: -

1. The Ranjitpura bas Where
 (details of land/area/ building)
 the Infrastructure development & Rural tourism cluster of sewerage
 (name of the project)
system, solid waste disposal, accessibility to tourist sites etc on
bank of River Satluj (4-5 Villages) including tourist facilities, Distt. Ropar
 Project is proposed, for execution by PHTPB of the Tourism Department (Punjab),
 is under the ownership of Sarpanch, Ranjitpura bas and is
 (Details of the owner)
 under the possession of Sarpanch, Ranjitpura bas
 (Details of possessor)

2. There is NO encroachment and NO resettlement/displacement/rehabilitation of people involved in the above Proposed Project area/building/land.

3. The proposed Project is not Partially/Fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.

4. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by Sarpanch Ranjitpura bas
 (Name of the department/organization)

Place:

Date: 4/7/2014

ਸਰਪੰਚ
ਗਰਾਮ ਪੰਚਾਇਤ
ਫਟਨੀਤਪੁਰਾ ਬਸ (ਰੋਪੜ)

Signature

Department/Organisation/Owner
(Official Stamp)

Counter Signed
Deputy Commissioner
(Official Stamp)
Deputy Commissioner,
Rupnagar.

NO OBJECTION CERTIFICATE

It is certified that there is no objection if the proposed project Infrastructure development
of Rural tourism cluster e.g. sewerage system, solid waste disposal
(Name of the project)
accessibility to tourist sites etc on bank of river saraj (4-5 villages)
including tourist facilities, Roobar

is executed by PHTPB of the Tourism Department (Punjab) as per the guide lines of
Govt. of India and ADB loan funded projects under IDIPT at Tikka Japman
Distt. Roobar
(details of land/area/ building)

Place:

Signature

Dharan d
Department /owner

Date:

ਸਰਪੰਚ

ਗੁਰਮ ਪੰਚਾਇਤ

[Signature]
Deputy Commissioner,
Rupnagar,

No Objection Certificates and Undertaking for Operation and Maintenance of the Rural Tourism Villages-Village Tibba Taprian

It is certified that -

1. The Tibba Taprian, Dist. Ropar
(details of land/area/ building) Where
the Infrastructure development & Rural tourism cluster of sewerage
system, solid waste disposal, accessibility to tourist sites of
an bank of river Satlej (4-5 villages) including farm facilities, Ropar.
(name of the project)
Project is proposed, for execution by PHTPB of the Tourism Department (Punjab),
is under the ownership of Sarpanch, Tibba Taprian and is
(Details of the owner)
under the possession of Sarpanch, Tibba Taprian
(Details of possessor)

2. There is NO encroachment and NO resettlement/displacement/rehabilitation of people involved in the above Proposed Project area/building/land.
3. The proposed Project is not Partially/Fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.
4. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by Sarpanch, Tibba Taprian
(Name of the department/organization)

Place:

Signature

Date:

Department/Organisation/Owner

(Official Stamp)

Head Dharan
.....
.....

Counter Signed

Deputy Commissioner

(Official Stamp)

Deputy Commissioner,
Rupnagar,

No Objection Certificate and Undertaking for Operation and Maintenance of the Harike Wetland

DATE: MAY 23, 2013

NO OBJECTION CERTIFICATE & UNDERTAKINGS

I do hereby certify that the Department of Forests and Wildlife Preservation, Punjab has no objection in recommending the proposed project to be taken under IDIPT-PB, PHTPB for execution and development as per the guidelines laid by GoI and ADB for loan funded projects for the State of Punjab.


I hereby certify that;

- ☐ The Proposed Project Area/ Building/ Land (Harike Wildlife Sanctuary) is under the ownership of Punjab Forest Department and presently under the possession of Department of Forests and Wildlife Preservation.
- ☐ The Proposed Project Area/ Building/ Land is free from all encumbrances (Legal/Circumstantial).
- ☐ There is no Resettlement/ Displacement/ Rehabilitation of people involved in the above Proposed Project Area/ Building/ Land
- ☐ The Proposed Project Area/ Building/ Land is not Partially/ Fully part of any other project funded under State Govt/GoI/External funding schemes.
- ☐ This department will take operation and maintenance of the assets created as a result of the development/execution of the proposed project under the IDIPT.

ਗੁਪਤ/ਮੁਖੀ-1/4271 ਪਤਾ 30/9/14

Counter signed.

Add Deputy Commissioner,
Tarn Taran.


Head of the department
(Name of the department)

APPENDIX 2: PROJECT ORGANIZATION STRUCTURE (AS PROPOSED IN PAM)

The Project management flow chart showing the reporting lines and key organizations involved in implementation is shown in Figure s 1.

Project Implementation Organizations-Roles and Responsibilities

Implementation Organization	Management Roles and Responsibilities
Executing agency	Government of Punjab through Department of Tourism (DOT)
	<ul style="list-style-type: none"> ✓ Assume overall responsibility for the execution of the MFF and reporting; ✓ Engage adequate permanent or fixed-term staff to implement the MFF; ✓ Setup a state-level project management unit and project implementation units; ✓ Provide overall strategic guidance on technical supervision and project execution; and ✓ Ensure overall compliance with the loan covenants.
Implementing agency	Punjab Heritage and Tourism Promotion Board (PHTPB)
	<ul style="list-style-type: none"> ✓ Project planning and budgeting; ✓ Day-to-day assistance, supervision and guidance for the project implementation units and their consultants; ✓ Review subprojects for due diligence requirements and approve subproject proposals; ✓ Bidding, evaluation and contract award; ✓ Managing and disbursing funds; ✓ Review compliance with loan covenants, contract specifications, work plans and quality control; ✓ Consolidate and submit progress reports, finance and accounting / audit reports, and matters requiring higher-level decision to state level empowered committee (SLEC) and ADB
ADB	<ul style="list-style-type: none"> ✓ Loan processing activities and signing of loan agreement; ✓ Recruitment of implementation capacity development consultant; ✓ Procurement supervision; ✓ Disbursement; ✓ Project administration reviews and missions; ✓ Reviewing compliance with loan covenants; ✓ Change in project scope or implementation arrangements; and ✓ Reallocation of loan proceeds.
State-Level Empowered Committee (SLEC)	<ul style="list-style-type: none"> ✓ act as a policy making body; ✓ Provide overall advice and guidance to the state's EA and PMU; and ✓ Accord all approvals under the MFF.

State Level Project Empowered Committee.

State-Level Empowered Committee (SLEC) have been established in Punjab, chaired by Chief Secretary, Govt. of Punjab with Principal Secretary/Secretary of the Department of Tourism as member secretary and comprised of secretaries from relevant line departments as indicated in the Facility Administration Manual (FAM). The SLEC have been empowered to take all decisions on behalf of the State and will (i) act as a policy making body, (ii) provide overall advice and guidance to the State's executing agency and project management unit (PMU), and (iii) accord all approvals under the Project.

The members of SLEC for the Punjab are as follows: Chief Secretary (Chair); the Principal Secretary / Secretary of the Department of Tourism; the Principal Secretary / Secretary of Finance; Finance Commissioner, Department of Forests and Wildlife Preservation; Principal Secretary / Secretary, Public Works Department; Principal Secretary / Secretary, Department of Local Government; Secretary, Department of Rural Development and

Panchayat; Principal Secretary / Secretary, Irrigation; Director, Department of Cultural Affairs, Archives, Archaeology and Museum; Representative, Archaeological Survey of India; Principal Secretary / Secretary, Department of Fisheries; Director, Department of Tourism; and Principal Secretary/Secretary, Department of Housing and Urban Development.

Executing Agencies:

The Department of Tourism (DOT), Government of Punjab is the executing agencies of the Punjab. All necessary powers to: (i) assume overall responsibility for the execution of the tranche; (ii) engage adequate permanent or fixed-term staff to implement the tranche; (iii) setup a state-level PMU and project implementation units (PIUs); (iv) provide overall strategic guidance on technical supervision and project execution; and (v) ensure overall compliance with the loan covenants, will be delegated to the respective executing agencies. The Project Implementation flow chart showing the reporting lines and key agencies involved in implementation is shown in **Error! Reference source not found..**

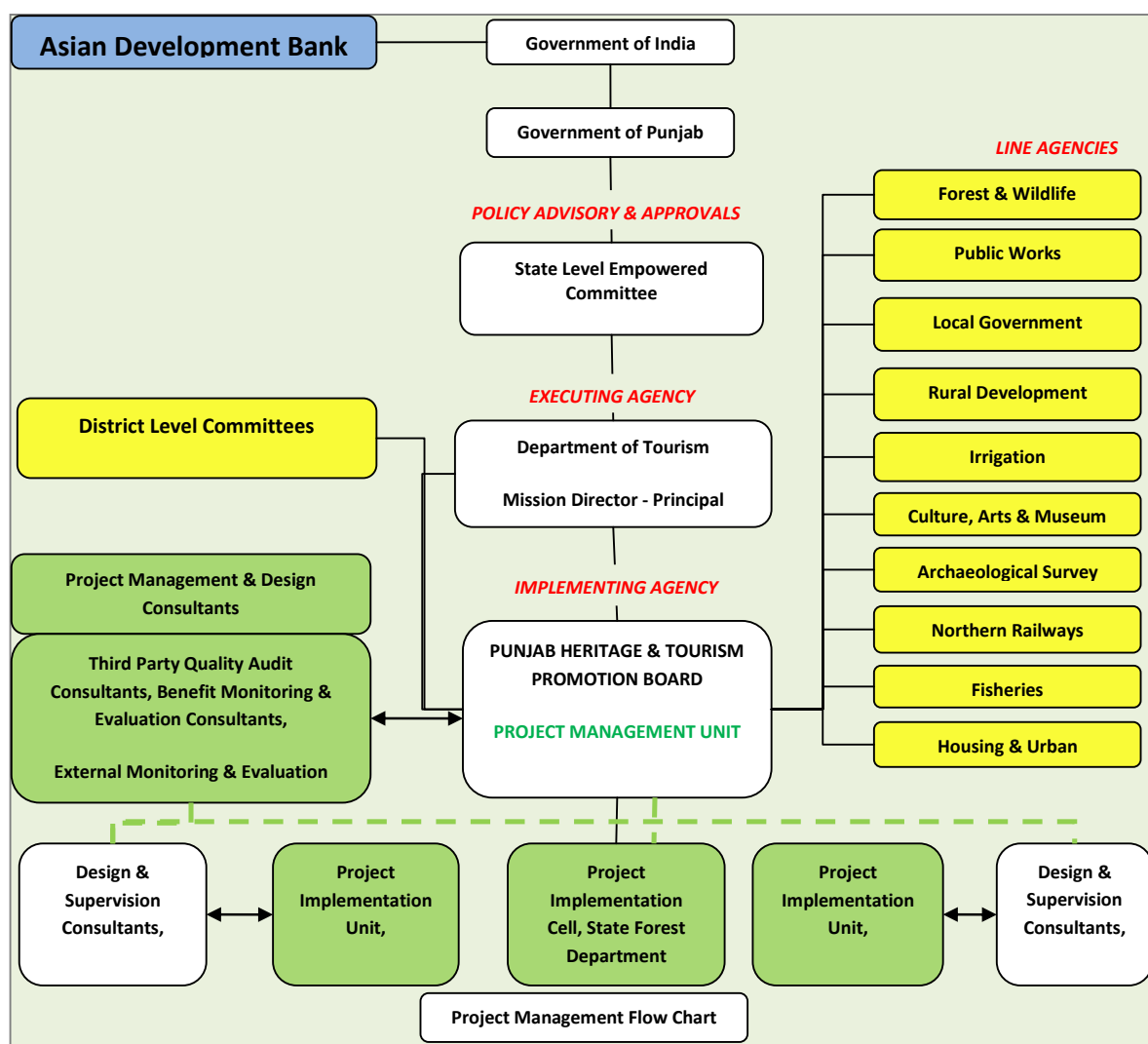
Implementing Agency:

The Punjab Heritage and Tourism Promotion Board (**PHTPB**) is the implementing agency in Punjab

Project Management Unit:

PHTPB have a PMU, headed by a full-time Program Director (PD), and consisting of personnel drawn from relevant line departments and market. The PMU are being supported by a team of consultants including Environmental safeguard specialist. The PMU is the nodal agency for overall management of all program activities and are responsible for: (i) project planning and budgeting; (ii) providing day-to-day assistance, supervision and guidance for the project implementation units (PIUs) and their consultants; (iii) reviewing subprojects to satisfy ADB's due diligence requirements and approving subproject proposals submitted by PIUs and line departments; (iv) bidding, evaluation and contract award; (v) managing and disbursing funds; (vi) reviewing compliance with loan covenants, contract specifications, work plans and quality control; (vii) consolidating and submitting progress reports, finance and accounting/audit reports, and matters requiring higher-level decision, to the SLEC and ADB.

The State shall ensure that (i) specific divisions in the Project management units is staffed for financial management; (ii) transparent procedures are established for financial transactions; (iii) the PMUs follow government rules and procedures for all expense and revenue items including cash and for proper and accurate maintenance of financial records; and (iv) a project website remains established to provide information on Project implementation including procurement.



Project Implementation Units:

The implementing agency had already established two PIUs, one for each circuit (Eastern and Western) at Rupnagar (Ropar) and Amritsar respectively for the implementation and monitoring of subprojects. It comprises the personnel drawn from relevant line departments and outsourced, and each headed by a project manager and supported by Community Development Officer (CDO) for effectively implementation of the RF and ensures the safeguard compliance provisions of the investment program. The PIUs are responsible for: (i) prioritizing and preparing subproject proposals; (ii) providing day-to-day assistance, supervision and guidance to the design and supervision consultants (DSC); (iii) conducting detailed assessments and surveys including public consultation and input from stakeholders; (iv) preparing detailed designs, specifications, schedule of quantity, bidding documents, and related documentation; (v) implementing civil works and related activities; (vi) reporting to PMU; (vii) preparing regular progress reports for the SLEC, the executing agency and ADB through PMU; (viii) supervising construction, conducting quality control, approving progress payments to contractors; and (ix) maintaining records and accounts on an up-to-date basis and making these available to ADB, its missions, or auditors for inspection.

APPENDIX 3: GRIEVANCE REDRESSAL MECHANISMS

The structure suggested of Grievance Redress Committee (GRC) in RF at PIU as follows. For all the IDIPT sub projects, the affected person/aggrieved party can give their grievance verbally to Site Engineer or in written to the Project Manager of PIU. Grievances of affected person will first be brought to the attention of the site Engineer PIU, who can resolve the issue at site level. If the matter is not solved within 7 days period at site, it will be brought to the Grievance Redress Committee (GRC) constituted for the purpose in PIU. This GRC shall discuss the issue in its monthly meeting and resolve the issues within one month of time after receiving the grievance. If the matter is not resolved by GRC at PIU level within stipulated time, it shall be referred to GRC at PMU level by Project Manager PIU. If the matter is still not addressed, the same may refer to Executive Committee / State Level Empowered Committee (SLEC). The grievance redress process flow chart is shown in Figure .

In each PIU, there shall be one GRC, which will include mentioned members;

Project Manager (PIU), Chairperson

- District Tourist Officer of Department of Tourism, Govt. Of Punjab,
- Community Development Officer of PIU,
- Nominated representative of Deputy Commissioner and
- Nominated representative of Municipal Commissioner.

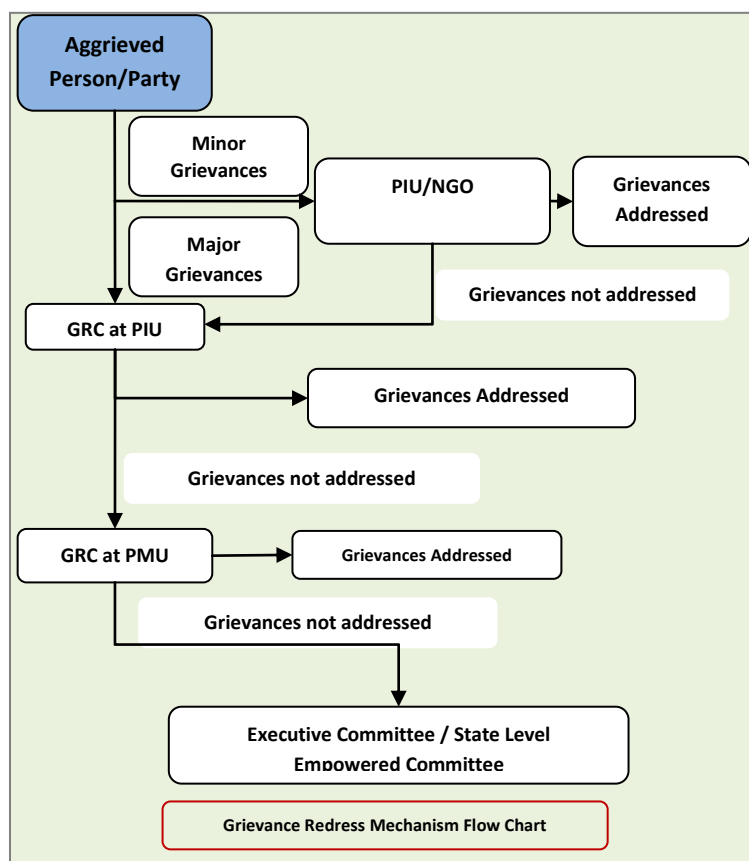
The committee required to meet at least once in every month. Agenda of meeting shall be circulated to all the members and affected persons/aggrieved party along with venue, date and time; informed in written at least 7 days in advance of meeting. The matters shall remain with GRC at PIU level for one month and if grievance is not resolved within this time period, at the matter shall be referred to GRC at PMU.

Grievance Redress Committee (GRC) at PMU. The matters not resolved by the GRC at PIU level within one month shall come under GRC at PMU. GRC at PMU will include:

- Additional Project Director (APD),
- Community Development Specialist, and
- Environment Safeguards Specialist of PMU.

The Committee shall be headed by APD of PMU. This committee shall look the matters, which are referred to and not resolved by GRC at PIU level.

GRC at PMU shall discuss the issue and try to resolve it and inform the PIU accordingly. If the matter is not resolved by the GRC at PMU level within one month of time, the aggrieved person/party can bring the matter to The SLEC. The PIU shall keep records of all grievances received including contact details of complainant, date of receiving the complaint, nature of grievance, agreed corrective actions and the date these were affected and final outcome.



COMMUNICATION FOR FORMATION of GRC

12/9/2015

Gmail - Grievance Redress Mechanism and Flow Chart.



Sangram Singh <sangram1980@gmail.com>

Grievance Redress Mechanism and Flow Chart.

2 messages

Team Leader, PMC <tlpmc.idipt@gmail.com>

Fri, Dec 4, 2015 at 5:39 PM

To: Project Manager <idiptpiuasr@yahoo.com>, pmadmn.phtpb@gmail.com, idipt ropar

<pmpir.phtpb@gmail.com>

Cc: basanta rajkumar <brk.phtpb@gmail.com>, "Lt Col DS Grewal, Retd DSC-TL" <dscidiptpunjab@stc.co.in>,

Reena Chadha <chadha.reena.phtpb@gmail.com>, K L Malhotra ji FCS <skilldevelopment.phtpb@gmail.com>,

dsc ropar <ropar.dsc@gmail.com>, Sangram Singh <sangram1980@gmail.com>, "Dr. K N Jayan"

<jkn@lasaindia.com>, Pushpanathan K <pushpanathan@lasaindia.com>, Himat Karia ji

<cepmc.idipt@gmail.com>, Arvind K Solanki Project Monitoring Specialist <pmspmc.idipt@gmail.com>,

Kawalnain Kaur <ar.pmc.idipt@gmail.com>, puneet inder <puneet_inder2000@yahoo.com>, Nitin Verma

<seecpmc.idipt@gmail.com>

Dear Misra Sahib,

1. Please refer the Aide memoire of ADB mission dated 2-4 No. 2015 in which ADB instructed as under;

Grievance Redress System: The Mission learnt that the Grievance Redress Committee (GRC) is not operational and the community is not aware of any such system. The Mission advised that the GRC is made operational at the earliest and the details shared with the community on priority. The numbers where people can contact in case of any grievance needs to be displayed at all sub-project sites.

2. A discussion was held today in PHTPB office in the chairmanship of ADD in which he instructed to form GRCs and other needful activities associated as per ADB rules.

3. I am attaching a self explanatory note regarding Grievance Redress Mechanism and Flow Chart. As instructed by APD in the meeting it is advised to act upon accordingly.

4. @ Mr. Ashok nanda PM PIU is advised to follow and carry out the same mechanism for Grievance Redress system for sub projects under PIU Ropar.

With Best Regards,

Suneet Gupta

Team Leader,

Project Management Consultant

IDIPT, Punjab

Plot 3, Sector 38A, Chandigarh-160036, India

+91-172-4562321, +919198983666



Grievance Redressal Mechanism and Flow Chart.doc

125K

NECESSARY INSTRUCTIONS ISSUED FROM ADDITIONAL PROJECT DIRECTOR to PIUs

Punjab Heritage and Tourism Promotion Board
Infrastructure Development Investment Programme for Tourism (IDIPT)
(ADB Assisted Project)
Plot No. 03, Sector 38 A, Chandigarh, Ph. 0172-2625952, 5014495
Email: idipt.pb.office@gmail.com



To,

Project Manager,
PIU, IDIPT
Amritsar

No. PHTPB/IDIPT/2015/5881-86
Dated: 01/12/15

Subject: Sub: Loan 2676 IND, 3223 IND: Infrastructure Development Investment Programme for Tourism – Implementation of Grievance Redress Mechanism

As per the requirements of Asian Development Bank (ADB) all the projects under Infrastructure Development Investment Program for Tourism (IDIPT) are required to implement Grievance Redress Mechanism.

An action plan in this regard is enclosed herewith for your implementation and subsequent reporting vis-à-vis given timelines, formats for information without any further delay.

Addl. Project Director

CC:- CGM, PHTPB
Project Manager (Incharge) PIU
CDS, PMU
CDO, PIU, Amritsar
TL, DSC
TL, PMC

ok

End: As above

BNNH-X17A-2

**FORMAT FOR RECORDING THE PROCEEDINGS OF
GRIEVANCE REDRESS COMMITTEES (GRCs)**

1. Name of the complainant/s:
2. National identification number:
3. Address:
4. Date of the inquiry:
5. Time:
6. Whether complainant participated or not:
7. Grievance or issue (in summary):
8. Statement made by the complainant/s:
9. GRC recommendation:
10. Participants in the GRC:
11. Copies to:

GRIEVANCE REDRESS MECHANISM

For any complaint/ suggestion related to this project kindly register your complaint/ suggestion in the "Grievance Register" available in the Site office.

For further information please contact:

GRIEVANCE REDRESS CELL

Project Manager, Project Implementation Unit (PIU)

Address: Punjab Heritage and Tourism Promotion Board (PHTPB), Infrastructure Development Investment Program For Tourism (IDIPT), Admin Block, Surface Parking Near Gobindgarh Fort, Opp. Punjab Roadways workshop, Amritsar (Punjab)

Contact no.: Ph: _____

E mail: _____

PROPOSED GRIEVANCE REDRESS REGISTERING AND MONITORING FORM

Complainant Information (Person Reporting)

1. Name:
2. Address:
3. National ID:
4. Gender: ☐ Male ☐ Female
5. Telephone:
6. Email:
7. Fax:
8. Type of complainant:
 - ☐ Affected person/s
 - ☐ Intermediary (on behalf of the AP)
 - ☐ Civil organization
 - ☐ Service organization (e.g., local government institution)
 - ☐ Others (specify)
9. Registration (assigned):

Complaint Details

10. Mode of receiving the grievance:
 - ☐ Letter
 - ☐ Phone call
 - ☐ Fax
 - ☐ Email
 - ☐ Verbal complaint (walk-in)
 - ☐ Suggestion box
 - ☐ Others (specify)
11. Location of the problem/issue specified in the complaint:
District:
Divisional secretariat:
division:
12. Type of problem/grievance:
 - ☐ Trace

- ☐ Land acquisition
- ☐ Compensation
- ☐ Construction
- ☐ Resettlement site
- ☐ Others (specify)

13. Short description of the problem:

14. Short description of the factors causing the problem:

15. Person/agency responsible for causing the problem: ☐ Project implementing agency ☐ Affected parties ☐ Service delivery agencies ☐ Local political authority ☐ Civil organizations ☐ Funding agencies ☐ Others (specify):

16. Past action/s taken by the complainant (if any):

17. Details of the focal point that received the complaint:

Name of the person who received the complaint:

Position:

Name of the receiving office:

Date:

18. Actions taken by the Receiving Office

Action 1	Action 2	Action 3	Action 4
Short Description	Short Description	Short Description	Short Description
Name of Action Officer	Name of Action Officer	Name of Action Officer	Name of Action Officer
Office	Office	Office	Office
Date	Date	Date	Date

19. Final Resolution

--

Name of the person completing the form:

Signature:

Date:

ACTION PLAN FOR GRIEVANCE REDRESS C.
Infrastructure Development Investment Program For Tourism (IDIPT)

**ACTION PLAN FOR ESTABLISHMENT AND OPERATION OF GRIEVANCE REDRESS CELL
FOR ASIAN DEVELOPMENT BANK (ADB) ASSISTED PROJECTS UNDER
INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM (IDIPT) FOR
TRANCHE I AND TRANCHE III PROJECTS**

S. No.	Activities under GRC	To be implemented by	Last date for action	Report Action to
1.	Maintain a register at site office of each project / subproject under Tranche I and Tranche III for record of Grievances under Grievance Redress Mechanism (GRM). A format for the same is provided in the Annexure 1.	Project Manager, PIU/ Site Engineer (responsible for safeguards) / Community Development Officer	15 th Dec. 2015	Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS)
2.	Display boards should be maintained at all the project sites (in Punjabi and English) to inform all the stakeholders regarding the operation of GRIEVANCE REDRESS CELL under the subproject. The sample for the same is provided in the Annexure 2.	Project Manager, PIU/ Site Engineer (responsible for safeguards)	20 th Dec. 2015	Send photographs of the display boards to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
3.	A committee for GRIEVANCE REDRESS CELL should be formed both at the level of PIU, Ropar and PIU, Amritsar to be headed by Project Manager, PIUs. Members of the Committee are as following: i. Project Manager, PIU ii. District Tourist Officer, Department of Tourism (DoT) iii. Community Development Officer iv. Representative of DC v. Representative of MC	Project Manager, PIU/ Site Engineer (responsible for safeguards)	25 th Dec. 2015	Send copy of the Office Note for constitution of the Committee with signature of all the members to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
4.	After constituting the above committee letter should be sent to head office of both DC and MC, informing them about the	Project Manager, PIU	27 th Dec. 2015	Send copies of the letters sent and receipt of the letters to Addl.

ACTION PLAN FOR GRIEVANCE REDRESS CELL
Infrastructure Development Investment Program For Tourism (IDIPT)

	constitution of the above committee and that they will be required to send a representative when a meeting will be held for GRM.			Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
5.	A notice for monthly meeting should be sent to Chairman, MC and DC before the monthly meeting is conducted in PIU office or site office with information on time, venue and date of the meeting.	Project Manager, PIU	Every month	A copy of the notice should be sent to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
6.	Minutes of the monthly meeting should be recorded and signed by all the committee members, headed by Project Manager, PIU. Format for recording the proceedings of GRIEVANCE REDRESS CELL is attached as Annexure 3.	Project Manager, PIU	Every month	A copy of the MoM should be forwarded to all the members of the committee and Addl. Project Director.
7.	Printing of leaflets regarding information on GRIEVANCE REDRESS CELL, in Punjabi and English.	Environment Safeguard Specialist (ESS), PMU	Feb. 2015	2000 leaflets each will be sent to PIU Amritsar and PIU Ropar for distribution to all the stakeholders for awareness on Grievance Redress Cell.

LETTER ISSUED TO DEPUTY COMMISSIONER FOR FORMATION OF GRC BY PIU ROPAR

Punjab Heritage and Tourism Promotion Board
Infrastructure Development Investment Programme for Tourism (PB)
(ADB Assisted Project)
Project Implementation Unit – Ropar
320, Giani Zial Singh Nagar, Ropar, Ph – 1881-220345
Email: piu.ropar@gmail.com



To

The Deputy Commissioner,
Ropar

PA/ED/APD 2405

Dated: 14-12-15



No. PIU-Ropar/Tr -3/2015/

Dated:

Sub:- Implementation of Grievance Redress Mechanism.

Respected Madam,

It is to inform you that as per the requirement of Asian Development Bank (ADB) All the projects under Infrastructure Development Investment Program for Tourism (IDIPT) are required to implement Grievance Redress Mechanism in which following committee members are required for Redressal of the Grievances of the people.

- I. Project Manager, PIU
- II. District Tourist Officer, Department of Tourism (DoT)
- III. Community Development Officer
- IV. Representative of DC Office
- V. Representative of MC Office

As such you are requested to give the name of the Officer from your Department who can be nominated as member of the committee for Grievance Redress Cell.

[Signature]
Project Manager,
PIU – Ropar

No. PIU-Ropar/Tr -3/2015/205/416

Dated: 11/12/2015

Copy to : *[checkmark]* Additional Project Director, PHTPB, IDIPT, Chandigarh for Information w.r.t. Letter No. PHTPB/IDIPT/2015/5873-80 dated 10.12.2015.

[Signature]
Project Manager,
PIU – Ropar

[Handwritten signatures and initials]

LETTER ISSUED TO MUNICIPAL CORPORATION FOR FORMATION OF GRC BY PIU ROPAR

Punjab Heritage and Tourism Promotion Board
Infrastructure Development Investment Programme for Tourism (PB)
(ADB Assisted Project)
Project Implementation Unit – Ropar
320, Giani Zia Singh Nagar, Ropar, Ph – 1881-220345
Email: plu.ropar@gmail.com



To

PAVED/DPD 2406

The Municipal Corporation,
Ropar

Dated.....14-12-15.....



No. PIU-Ropar/Tr -3/2015/

Dated:

Sub:- Implementation of Grievance Redress Mechanism.

Respected Sir,

It is to inform you that as per the requirement of Asian Development Bank (ADB) All the projects under Infrastructure Development Investment Program for Tourism (IDIPT) are required to implement Grievance Redress Mechanism in which following committee members are required for Redressal of the Grievances of the people.

- I. Project Manager, PIU
- II. District Tourist Officer, Department of Tourism (DoT)
- III. Community Development Officer
- IV. Representative of DC Office
- V. Representative of MC Office

As such you are requested to give the name of the Officer from your Department who can be nominated as member of the committee for Grievance Redress Cell.


Project Manager,
PIU – Ropar

No. PIU-Ropar/Tr -3/2015/205/41P

Dated: 11/12/2015

Copy to : Additional Project Director, PHTPB, IDIPT, Chandigarh for Information w.r.t.
Letter No. PHTPB/IDIPT/2015/5873-80 dated 10.12.2015.


Project Manager,
PIU – Ropar



15.12.15

A

OCCUPATIONAL HEALTH AND SAFETY SUGGESTED IN CONTRACTOR'S AGREEMENT

0523

30

- Provide instructions on event of chance finds for archaeological and/or ethno-botanical resources. Work must be stopped immediately until such time chance finds are cleared by experts.

102. **Impact due to Waste Generation.** Demolished structures will be reused to the maximum extent possible. Construction activities will produce excavated soils, construction materials and solid wastes (such as removed concrete, wood, trees and plants, packaging materials, empty containers, oils, lubricants, and other similar items). These impacts are negative but short-term and reversible by mitigation measures. The contractor will need to adopt the following mitigation measures:

- Prepare and implement a waste management plan. Manage solid waste according to the following hierarchy: reuse, recycling and disposal. Include them in the waste management plan with designated/approved disposal areas.
- Coordinate with Local Municipal Authority for beneficial uses of excavated soils/silts/sediments or immediately dispose them to designated areas.
- Recover used oil and lubricants and reuse; or remove from the sites.
- Avoid stockpiling and remove all excavated soils, excess construction materials, and solid waste (removed concrete, wood, trees and plants, packaging materials, empty containers, oils, lubricants, and other similar items) immediately.
- Prohibit disposal of any material or wastes (including human waste) into drainage, nallah, or watercourse.

103. **Impacts on Occupational Health and Safety.** Residential accommodation for workers shall be proposed at least 500m from the wetlands/ water bodies and nearby settlements. Exposure to work-related chemical, physical, biological and social hazard is typically intermittent and of short duration, but it is likely to reoccur. Potential impacts are negative and long-term but reversible by mitigation measures. Overall, the contractor should comply with IFC EHS Guidelines on Occupational Health and Safety (source: <http://www1.ifc.org/wps/wcm/connect/9aef2680488559a983acd36a5515bb18/2%2BOccupational%2BHealth%2Band%2BSafety.pdf?MOD=AJPERES>) as below:

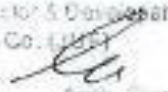
- Disallow worker exposure to noise level greater than 85 dBA for duration of more than 8 hours per day without hearing protection. The use of hearing protection shall be enforced actively.
- Develop comprehensive site-specific health and safety (H&S) plan. The overall objective is to provide guidance to contractors on establishing a management strategy and applying practices that are intended to eliminate, or reduce, fatalities, injuries and illnesses for workers performing activities and tasks associated with the project.
- Include in H&S plan measures such as: (i) type of hazards during excavation works; (ii) corresponding personal protective equipment for each identified hazard; (iii) H&S training for all site personnel; (iv) procedures to be followed for all site activities; and (v) documentation of work-related accidents.
- Provide H&S orientation training to all new workers to ensure that they are apprised of the rules of work at the site, personal protective protection and prevention of injury to fellow workers.
- Ensure that qualified first-aiders are available and first aid can be provided at all times. Equipped first-aid stations shall be easily accessible throughout the site as well as in construction camps.

For Brightway Contractor & Engineer
P.K. Verma & Co. (Pvt.)



Auth. Sign

For Brightway Contractor & Engineer
P.K. Verma & Co. (Pvt.)





0324

31

- Provide medical insurance coverage for workers.
- Secure construction zone from unauthorized intrusion and accident risks.
- Provide supplies of potable drinking water.
- Provide clean eating areas where workers are not exposed to hazardous or noxious substances.
- Provide visitor orientation if visitors to the site can gain access to areas where hazardous conditions or substances may be present. Ensure also that visitor/s do not enter hazard areas unescorted.
- Ensure the visibility of workers through the use of high visibility vests when working in or walking through heavy equipment operating areas.
- Ensure moving equipment is outfitted with audible back-up alarms.
- Mark and provide sign boards in the construction zone and areas for storage and disposal. Signage shall be in accordance with international standards and be well known to, and easily understood by workers, visitors, and the general public as appropriate.

104. **Impacts on Socio-Economic Activities.** Manpower will be required during the 24 months construction phase. This can help generate contractual employment and increase in local revenue. Thus potential impact is positive and long-term. As per preliminary design, land acquisition and closure of roads are not required. However, minor negative impacts are anticipated, these impacts are negative and moderate but short-term and temporary. The contractor will need to adopt the following mitigation measures:

- Leave space for access in the project area.
- Consult the local people and institutions regarding operating hours and factoring this in to work schedules.
- Provide sign boards for pedestrians to inform nature and duration of construction works and contact numbers for concerns/complaints.
- Employ at least 50% of the labor force, or to the maximum extent, local persons within the 2-km immediate area if manpower is available.

105. **Summary of Mitigation Measures during Construction.** Table 13 provides summary of mitigation measures that needs to be considered by the contractor during construction phase.

Table 13: Summary of Mitigation Measures during Construction Phase

Potential Impact	Mitigation Measures
Erosion hazards	<ul style="list-style-type: none"> • Save topsoil removed during excavation and use to reclaim disturbed areas, as soon as it is possible to do so. • Use dust abatement such as water spraying to minimize windblown erosion. • Provide temporary stabilization of disturbed/excavated areas that are not actively under construction. • Apply erosion controls (e.g., silt traps) along the drainage leading to the water bodies. • Maintain vegetative cover surrounding the project area to prevent erosion and periodically monitor to assess erosion. • Clean and maintain catch basins, drainage ditches, and culverts regularly. • Conduct routine site inspections to assess the effectiveness of and the maintenance requirements for erosion and sediment control systems
Impacts on water quality	<ul style="list-style-type: none"> • Schedule civil works during non-monsoon season, to the maximum extent possible.



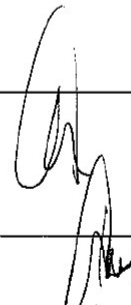
For Highway & Transport Projects (H/TPT)

For Highway Contractor & Developers
 P.K. Sharma & Co. (PVT.)
 Add. Project Director

For Highway Contractor & Developers
 P.K. Sharma & Co. (PVT.)
 Auth. Sign.

INVOLUNTARY RESETTLEMENT CATEGORISATION FORM

Date: 30.11.2015

Project Data	
Country/Project No./Project Title	: INDIA/ LOAN No: 3223-IND/INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM-PUNJAB
Subproject title	: ECO-TOURISM DEVELOPMENT AT ROPAR AND HARIKE WETLANDS (CIVIL WORK) (PACKAGE NO.: PB/IDIPT/T3/04/03)
Project Executing Agency	: GOVERNMENT OF PUNJAB THROUGH DEPARTMENT OF TOURISM (DOT)
Project Implementing Agency	: PUNJAB HERITAGE AND TOURISM PROMOTION BOARD (PHTPB)
Modality	: MULTITRANCHE FINANCING FACILITY (MFF)
<input type="checkbox"/> Project Loan <input type="checkbox"/> Program Loan <input type="checkbox"/> Financial Intermediary <input type="checkbox"/> General Corporate Finance <input type="checkbox"/> Sector Loan <input checked="" type="checkbox"/> MFF <input type="checkbox"/> Emergency Assistance <input type="checkbox"/> Grant <input type="checkbox"/> Other financing modalities:	
IP Impact categorization	<input type="checkbox"/> New <input type="checkbox"/> Re-categorization <input checked="" type="checkbox"/> Previous Category
<input type="checkbox"/> Category A: Significant IR impact	(200+ persons (not households!) are physically displaced from residence or lose more than 10% of productive (income generating) assets)
<input type="checkbox"/> Category B: Non-significant IR impact	
<input checked="" type="checkbox"/> Category C: No IR impact	
Prepared by:	
Social Development Specialist (Name, title, signature)	
Date:  REENA CHADHA ESS, PMU 08.02.16	 K.L. Malhotra FCS, PMU
For Project Implementing Agency (Name, title, signature)	
Date: 08.02.16	 BASANTA RAJKUMAR APD
For Project Executing Agency (Name, title, signature)	
Date: 08.02.16	

- Please attach subproject details, such SAR, DPR or a brief summary of subproject activities proposed

Approved
Infrastructure
Investment Program
Punjab

Involuntary Resettlement Impact Categorization Checklist

PROBABLE INVOLUNTARY RESETTLEMENT EFFECTS	YES	NO	NOT KNOWN	REMARKS
Involuntary Acquisition of Land				
1. Will there be land acquisition?		√		
2. Is the site for land acquisition known?				Not applicable
3. Is the ownership status and current usage of land to be acquired known?				Not applicable
4. Will easement be utilized within an existing Right of Way (ROW)?	√			Applicable for approaches road from Skew bridge to Gurudwara Mata Gujari
5. Will there be loss of shelter and residential land due to land acquisition?				Not applicable
6. Will there be loss of agricultural and other productive assets due to land acquisition?				Not applicable
7. Will there be losses of crops, trees, and fixed assets due to land acquisition?				Not applicable
8. Will there be loss of businesses or enterprises due to land acquisition?				Not applicable
9. Will there be loss of income sources and means of livelihoods due to land acquisition?				Not applicable
Involuntary restrictions on land use or on access to legally designated parks and protected areas				
10. Will people lose access to natural resources, communal facilities and services?		√		
11. If land use is changed, will it have an adverse impact on social and economic activities?		√		
12. Will access to land and resources owned communally or by the state be restricted?		√		
Information on Displaced Persons				
Any estimate of the likely number of persons that will be displaced by the Project? [] No [] Yes				
Not Applicable				
If yes, approximately how many? _____				
Are any of them poor, female-heads of households, or vulnerable to poverty risks? [] No [] Yes				
Not Applicable				
Are any displaced persons from indigenous or ethnic minority groups [] No [] Yes				
Not Applicable				

Note: The project team may attach additional information on the project, as necessary.

INDIGENOUS PEOPLE CATEGORISATION FORM

Date: 30.11.2015

Project Data	
Country/Project No./Project Title	INDIA/ LOAN No: 3223-IND/INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM-PUNJAB
Subproject title	ECO-TOURISM DEVELOPMENT AT ROPAR AND HARIKE WETLANDS (CIVIL WORK) (PACKAGE NO.: PB/IDIPT/T3/04/03)
Project Executing Agency	GOVERNMENT OF PUNJAB THROUGH DEPARTMENT OF TOURISM (DOT)
Project Implementing Agency	PUNJAB HERITAGE AND TOURISM PROMOTION BOARD (PHTPB)
Modality	MULTITRANCHE FINANCING FACILITY (MFF)
<input type="checkbox"/> Project Loan <input type="checkbox"/> Program Loan <input type="checkbox"/> Financial Intermediary <input type="checkbox"/> General Corporate Finance <input type="checkbox"/> Sector Loan <input checked="" type="checkbox"/> MFF <input type="checkbox"/> Emergency Assistance <input type="checkbox"/> Grant <input type="checkbox"/> Other financing modalities:	
IP Impact categorization <input type="checkbox"/> New <input type="checkbox"/> Re-categorization <input checked="" type="checkbox"/> Previous Category	
<input type="checkbox"/> Category A: Significant IP impact	
<input type="checkbox"/> Category B: Non-significant IP impact	
<input checked="" type="checkbox"/> Category C: No IP impact	
Subproject requires the broad community support of affected Indigenous Peoples communities <input type="checkbox"/> Yes <input type="checkbox"/> No	
Prepared by:	
Social Development Specialist (Name, title, signature)	K.L. Mallotra FCS, PMU
Date: 08.02.16	REENA CHADHA ESS, PMU
For Project Implementing Agency (Name, title, signature)	BASANTA RATKUMAR APD
Date: 08.02.16	
For Project Executing Agency (Name, title, signature)	
Date: 08.02.16	

- Please attach subproject details, such SAR, DPR or a brief summary of the subproject activities proposed

Project Director
Infrastructure Development
Investment Programme for Tourism
Punjab

KEY CONCERNS (Please provide elaborations on the Remarks column)	YES	NO	NOT KNOWN	Remarks
A. Indigenous Peoples Identification				
1. Are there socio-cultural groups present in or use the project area who may be considered as "tribes" (hill tribes, scheduled tribes, tribal peoples), "minorities" (ethnic or national minorities), or "indigenous communities" in the project area?		✓		Ropar district where subproject exists, as such is not part of the notified Fifth Schedule area ¹ .
2. Are there national or local laws or policies as well as anthropological researches/studies that consider these groups present in or using the project area as belonging to "ethnic minorities", scheduled tribes, tribal peoples, national minorities, or cultural communities?				Not applicable
3. Do such groups self-identify as being part of a distinct social and cultural group?				Not applicable
4. Do such groups maintain collective attachments to distinct habitats or ancestral territories and/or to the natural resources in these habitats and territories?				Not applicable
5. Do such groups maintain cultural, economic, social, and political institutions distinct from the dominant society and culture?				Not applicable
6. Do such groups speak a distinct language or dialect?				Not applicable
7. Has such groups been historically, socially and economically marginalized, disempowered, excluded, and/or discriminated against?				Not applicable
8. Are such groups represented as "Indigenous Peoples" or as "ethnic minorities" or "scheduled tribes" or "tribal populations" in any formal decision-making bodies at the national or local levels?				Not applicable
B. Identification of Potential Impacts				Not applicable
9. Will the project directly or indirectly benefit or target Indigenous Peoples?				Not applicable
10. Will the project directly or indirectly affect Indigenous Peoples' traditional socio-cultural and belief practices? (e.g. child-rearing, health, education, arts, and governance)				Not applicable
11. Will the project affect the livelihood systems of Indigenous Peoples? (e.g., food production system, natural resource management, crafts and trade, employment status)				Not applicable
12. Will the project be in an area (land or territory) occupied, owned, or used by Indigenous Peoples, and/or claimed as ancestral domain?				Not applicable
C. Identification of Special Requirements <i>Will the project activities include:</i>				Not applicable
13. Commercial development of the cultural resources and knowledge of Indigenous Peoples?				Not applicable
14. Physical displacement from traditional or customary lands?				Not applicable

¹ Fifth Schedule under Article 244(1) of Constitution defines "Scheduled Areas" as such areas as the President may by order declare to be Scheduled Areas after consultation with the Governor of that State. The criteria for declaring any area as a "Scheduled Area" under the Fifth Schedule are: (a) preponderance of tribal population, (b) compactness and reasonable size of the area, (c) a viable administrative entity such as a district, block or Taluka, and (d) economic backwardness of the area as compared to the neighbouring areas.

KEY CONCERNS (Please provide elaborations on the Remarks column)	YES	NO	NOT KNOWN	Remarks
15. Commercial development of natural resources (such as minerals, hydrocarbons, forests, water, hunting or fishing grounds) within customary lands under use that would impact the livelihoods or the cultural, ceremonial, spiritual uses that define the identity and community of Indigenous Peoples?				Not applicable
16. Establishing legal recognition of rights to lands and territories that are traditionally owned or customarily used, occupied or claimed by indigenous peoples?				Not applicable
17. Acquisition of lands that are traditionally owned or customarily used occupied or claimed by indigenous peoples?				Not applicable

D. Anticipated project impacts on Indigenous Peoples

Project component / Activity / output	Anticipated positive effect	Anticipated negative effect
LIST ALL PROJECT COMPONENTS / ACTIVITIES / OUTPUTS HERE	INDICATE EFFECTS TO IPS OR PUT N/A AS NECESSARY	
	Not applicable	Not applicable

Note: The project team may attach additional information on the project, as necessary.