

Resettlement Planning Document

Project Number: 40648-034 November 2015

IND: Infrastructure Development Investment Program for Tourism (IDIPT) - Tranche 3

Sub Project : Due Diligence Report of Conservation of cultural heritage and urban place making in Nainital

Submitted by

Program Management Unit, (IDIPT-Tourism), Government of Uttarakhand, Dehrdaun

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Date: 18 -11-2015

Τo,

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Sub: IDIPT UK Tranche-III: Submission of DDR of "Conservation of Cultural Heritage and urban place making in Nainital" sub-project (Package No: UK/IDIPT-III/BHT/01)

Dear Madam,

Please find herewith enclosed Involuntary Resettlement Due Diligence Report of "Conservation of Cultural Heritage and urban place making in Nainital" sub-project (Package No: UK/IDIPT-III/BHT/01) for your kind perusal and approval.

Encl: As above

Sincerely,

R.K.Josh

(Addl. Program Director)





Involuntary Resettlement Due Diligence Report

Document Stage: Due Diligence Report Loan No: 3223 IND Package No: UK/IDIPT-III/BHT/02 November 2015

INDIA: Infrastructure Development Investment Programme for Tourism, Uttarakhand,

SUB PROJECT:

Nainital Lake Precinct Revitalization, Enhancement and Urban Place making

Prepared by the Government of Uttarakhand for the Asian Development Bank

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ABBREVIATIONS

ADB AP APD	- -	Asian Development Bank Affected Person Additional Program Director					
BPL	-	Below Poverty Line					
CBO	-	Community Based Organization					
CLC	-	City Level Committee Common Resource Person					
CRP	-						
DSC	-	Design and Supervision Consultant					
DP	-	Displaced Person					
DPR	-	Detailed Project Report					
EA	-	Executing Agency					
EAC	-	Expert Appraisal Committee					
ESMC	-	Environment and Social Management Cell					
Gol	-	Government of India					
GoUK	-	Government of Uttarakhand					
GRC	-	Grievance Redress Committee					
KMVN	-	Kumaon Mandal Vikas Nigam					
IDIPT	-	Infrastructure Development Investment Program for Tourism					
IR	-	Involuntary Resettlement					
LAA	-	Land Acquisition Act					
LGC	-	Local Grievance Committee					
LSGD	-	Local Self Government Department					
MFF	-	Multi- Trench Financing Facility					
NGO	-	Non-Governmental Organization					
NOC	-	No Object Certificate					
PAF	-	Project Affected Family					
PAH	-	Project Affected Household					
PIU	-	Project Implementation Unit					
PMU	-	Project Management Unit					
RP	-	Resettlement Plan					
SC	-	Scheduled Castes					
SDS	-	Social Development Specialist					
SEAC	-	State Expert Appraisal Committee					
SHG	-	Self Help Group					
SLEC	-	State Level Empowering Committee					
SO	-	Safeguard Officer					
SPS	-	Safeguards Policy Statement					
ST	-	Scheduled Tribe					
UTDB	-	Uttarakhand Tourism Development Board					
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I. INTRODUCTION

A. Background:

- 1. The Infrastructure Development Investment Program for Tourism Financing Facility (the Facility) will develop and improve basic urban infrastructure and services in the four participating states of Himachal Pradesh, Punjab, Uttarakhand and Tamil Nadu to support the tourism sector as a key driver for economic growth. It will focus on: (i) strengthening connectivity to and among key tourist destinations; (ii)improving basic urban infrastructure and services, such as water supply, road and public transport, solid waste management and environmental improvement, at existing and emerging tourist destinations to ensure urban amenities and safety for the visitors and protect nature and culture-based attractions. Physical infrastructure investments will be accompanied by: (iii) capacity building programs for concerned sector agencies and local communities for better management of the tourist destinations and for more active participation in the tourism-related economic activities, respectively.
- 2. Physiographical Location: Nainital (29□24' latitude and 79□29' longitude at 1938m above sea level) the headquarters of Nainital Lake District and Kumaon region is located 34 km from Kathgodam, the terminal point of North Eastern Railways in Uttarakhand. Nainital acts as the gateway of the Kumaon hills. It has emerged as one of the major hill resorts of North India. It is situated in the lower Himalaya not very far from the plains. Nainital is situated in a valley of the Gagar range running east and west, which is bounded on the north by the peak of China, renamed as Naini peak that is continued by the Alma peak (presently known as Snow-View) and the Sher-Ka-Danda to the eastern extremity, where the ridge descends almost to the level of the Nainital lake. On the west is the rugged hill of Deopatha, and on the south Ayarpatha gradually diminishes towards the east. The geology of Nainital is extremely complex characterized by landslide scars and fans, and debris cover mostly associated with the Nainital Fault that separates the lake and watershed into two roughly equal parts.
- 3. Demography: Nainital supports a resident population of about 40,000 and about 4 lakh tourists annually within a small area of 11-12 sq km. Of this, about 5 sq km area that forms watershed of the lake is densely populated. The town is situated mainly on the slopes of the seven hills which are surrounding Nainital Lake.

Involuntary Resettlement Due Diligence Report Nainital Lake Precinct Revitalization, Enhancement and Urban Place making



Figure 1: Location Map of Nainital in Kumaon, Uttarakhand

- 4. The picturesque surroundings, together with the beauty of the lake, the majestic Himalayan view from the hill tops, its proximity to the plains and a healthy climate not unlike that of England led to the selection of Nainital as a health and recreational resort by the British in 1841 and later as an educational centre. With the turn of the century, it had emerged on the national tourist map satisfying the tourism needs of local national and as well as international tourists. Today, Nainital is one of the most popular tourist resorts of the country.
- 5. Nainital occupies a unique position amongst the numerous lakes of the Chhakatapargana of Kumaon. Chhakata in the Sanskrit language meaning Shat-Sheti-Khat or the region of 66 lakes, was known as the 'Westmoreland of Kumaon' by Englishmen. Westmoreland is the lake district of England. The charms, many and varied, compete favourably with both lake Windermore of England and Lake Lucerne of Switzerland.
- 6. Historical Background: The ancient name of Nainital is Tri-Rishi Sarovar, named after the three sages- Atri, Agastya and Pulaha. It is believed that they came here on a pilgrimage while going to Mansarovar, the holy lake in Tibet. On finding no water here for drinking, they dug a large hole and brought water from Mansarovar with their divine power. Thus the ancient Indians believed that a dip in the Nainilake earned the same religious merit equal to a holy dip in the Mansarovarlake. The place was considered so holy, that the snake god, nag Karkotak, blessed the area with the boon that within and outside Nainital, no one shall die of snake bite. It is not surprising that before the British occupied this place, the whole valley of Nainital was treated as a temple by the Indians and people entered the valley after bathing and removing their foot wear in respect. The second important religious reference to Nainital is as one of the 64 Shakti Peeths, i.e. centres of spiritual powers. These centres were created wherever parts of the body of Goddess Sati fell, when Lord Shiva was carrying her body in grief after intentionally insulted by Raja Dakshaprajapati, father of Sati.

It is said that the left eye (Nain) of Sati fell here and gave rise to the name of local Goddess Naina and the lake as Nainital, the lake of Goddess Naina.

7 The British occupied Kumaon and Garhwal in 1815. After the British occupation, E. Gardener was appointed as the Commissioner of Kumaon Division on May 8, 1815 and G.W. Traill as his assistant. Later, he wa promoted as Commissioner of Kumaon and occupied this post until 1830. During his tenure as Commissioner, Traill visited Nainital but did not popularize his visit owing to the religious importance of Nainital. He had great admiration for the traditions of the hill people and he did his best to hide the existence of Nainital. Barron came to Nainital in 1841 and credited to be the first European to have visited Nainital. He popularized his Nainital visit through the newspaper 'Englishman' and 'Agra Ukhbar'.

The Nainital Lake Precinct is one of the main commercial and historically significant areas. It is highly visited by tourists with the lake being the central focus along with main market street and significant for its religious, institutional and heritage buildings. The area provides ample opportunities for boating/water based recreation, shopping, heritage appreciation, leisure walking, cultural events, sports etc. Nainital attracts a large number of local, domestic pilgrims as well as tourists which has the potential for developing several nature and culture based tourism products in Nainital which will cater to a wide range of visitors. The location map of project area is given in **Annexure I**.

7. The proposed sub-project would: bring in increased number of tourists due to the rich cultural and natural heritage of the place which would offer both cultural and recreational opportunities; the bio-diversity of the place also would offer a range of eco-tourism opportunities that can attract nature lovers and adventure travelers; its unique colonial history also attracts history lovers. It has the potential for being marketed as a nature and culture based tourism destination for high-end tourists; and provide new livelihood opportunities for local people and also give impetus to local and crafts of this region.

B. Present Status of Site:

- 8. Nainital Lake is a natural lake 1432 m long and 42 m wide with a water spread area of 48.76 h. Its maximum depth is 42 m. The lake had earlier very clear water and very little aquatic vegetation. The excessive discharge of domestic wastes from the settlements all around the lake as well as the increasing erosion on the hills due to deforestation and grazing gradually resulted in extreme eutrophication of the lake. To address this situation the Nainital Lake Region Special Area Development Authority (NLRSADA) is implementing centrally sponsored Nainital Lake Conservation and Management Project (NLCP) under the National Lake Conservation and Management Project. The quality of the lake water is expected to be improved after implementation of the NLCP. Under National lake conservation and management project, several works are under implementation at Nainital.
- 9. Naini Lake is the most important water body in Nainital both in terms of environment and tourist attraction. It is also receives storm water for large catchments. This lake is getting polluted due to urbanization and tourism activities. Discharge of untreated waste water,

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Nainital Lake Precinct Revitalization, Enhancement and Urban Place making disposal of solid waste and silt deposition are the major factors that cause pollution of the lake. The quantity of MSW generation for the city varies between peak and non-peak tourist periods due to variation in the number of tourist population. The major sources of MSW generations are domestic, hotels & restaurants, shops and commercial establishments, institutions, fruit and vegetable markets and construction rubbish. No primary collection exists in the present solid waste collection system. Solid waste is collected either through community bins/containers and open collection points or by street sweeping. Unfortunately, a significant part of the solid waste generated is disposed into open lands, streets, surface drains, hill slopes etc and sometimes burnt in open causing health hazards, public nuisance and degradation of environment and spoiling the aesthetics of the place. These aspects are however being addressed by Mission Butterfly" and they collect the solid waste on daily basis and dispose it off. The discharge of wastes etc are being tackled by local administration.

10. Cultural and Heritage Centre: Nainital is rich in important cultural and heritage resource. Nainital boasts of some of the most revered buildings such as Raj Bhavan, High Court, etc. Other heritage structures in the city include churches such as St. Johns Church and Union Methodist Church. However, the aggressive construction phase of the past decade permeated all aspects of life in Nainital. The cement-iron based development, with no effective design nor regulatory guidelines changed the character of this town. Repairs to old buildings, retaining walls and other elements changed the original building forms. Many houses in the old city areas are in dilapidated conditions and face the peril of breaking down. Many of the public institutions are housed in historic building but they have a poor state of conservation, repair and maintenance.

C. Need for selection of sub-project:

- 11. The rationale for the inclusion of this sub-project in the IDIPT program are as follows:
 - (i) Nainital as a historic and religious site attracts a large number of local, domestic pilgrims as well as tourists. The historic built heritage and scenic beauty of the place also brings in a substantial number of foreign tourists. Therefore Nainital caters to a wide range of visitors to the place.
 - (ii) Nainital is well connected to major towns like Almora and Pithoragarh which will bring in more number of tourists due to the rich cultural and natural heritage of the place which will offers both cultural and eco-tourism opportunities.
 - (iii) Nainital has a range of cultural and natural features including temples, local shrines, historic buildings, and nearby natural attractions etc which caters to people and them through recreation, religious rituals and adventure activities throughout the year. The bio-diversity of the place also offers a range of ecotourism opportunities that can attract nature lovers and adventure travellers.
 - (iv) Developing Nainital will further to help improve and provide new livelihood opportunities for local people and also give impetus to local and crafts.
- 12. The existing condition and the needs of Nainital which are determinant of its inclusion for

the proposed investments and confronting the development of an inclusive and sustainable pattern of tourism are summarized below:

- (i) The high potential of Nainital's cultural and natural tourism assets is constrained by limited and inadequate support infrastructure;
- (ii) There has been considerable negative impacts of unmanaged tourism development- especially infrastructure and tourist facility development- on its highly sensitive natural environment and built environment as well;
- (iii) Imbalance in the benefits of existing tourism in this region due to lack of mechanisms to promote other existing culture and nature tourism products in Nainital;
- (iv) Limited programs to promote greater local community participation in tourism
- development including awareness and capacity building, provision of access and connectivity, water, sanitation infrastructure, waste management, and renewable energy infrastructure;
- (vi) Inadequate investment in the past, and overall poor quality of tourist amenities, infrastructure and services;
- 13. Nainital will be unable to cope with higher tourism growth forecast for the state on a sustainable basis without significant interventions to prepare them for this. Therefore, this proposal for 'Nainital Lake Precinct Revitalization, Enhancement and Urban Place Making' under the Infrastructure Development Investment Program for Tourism (IDIPT) envisages an environmentally and culturally sustainable and socially inclusive tourism development in Uttarakhand. The expected impact of this sub- project is sustainable and inclusive tourism development, in priority, state tourism sub circuits divided into marketable cluster destinations that exhibit enhanced protection and management of key natural and cultural heritage tourism sites, improved market connectivity, enhanced destination and site environment and tourist support infrastructure, and enhanced capacities for sustainable destination and site development with extensive participation by the private sector and local communities.

D. Scope of this Report:

14. Physical works associated with this subproject will involve provision of:

C. Project components

1. The proposed subproject mainly comprises of:

Sub-Package 1: Promenade Development around Nainital Lake

✓ Promenade/Deck-walk Development along mall road (1.25 Km)

• Integrated side walk/ promenade and pedestrian movement development: The road below mall road and next to lake carries both pedestrian and rickshaws and remains packed all the time. This in inconvenient to both pedestrian traffic as well as rickshaw pullers. Segregation of traffic is planned by providing a cantilevered walkway from Tallital to Mallital where walkway does not exist. Nainital Lake Precinct Revitalization, Enhancement and Urban Place making Therefore proposal is to construct approximately 1.25 Km cantilevered walkway from Tallital to Mallital (astride **Central library**).

✓ Mechanical car parking

- Car parking area at,
 - B.D. Pandey: Plot Area 352.70 Sqm (Covered area 1410.78 Sqm) proposed 88 nos Cars
 - Nagarpalika: Plot Area 245.35 Sqm (Covered area 981.41 Sqm) proposed 58 nos Cars
 - Woodstock: Plot Area 535.69 Sqm (Covered area 2142.75 Sqm) proposed 116 no's Cars
- > Facilities to be provided: (Typical for all parking areas):
 - General Staff facilities.
 - Covered facility
 - D. G. Facility 100% power backup for emergency operation
 - Central control room for security and control of parking layout.
 - CCTV
 - Ticketing station or Access Control system
 - Operators room, Toilet
 - Fire Detection & Protection system
 - Outdoor illumination

✓ Development of Children's Park.

- Installation of Play Ground Equipment
- Provision for benches, weather shelters, railing and fencing
- Provision of Lighting
- Soft and hard Landscaping

Sub-Package 2: Enhancement of Nainital Lake Heritage Precinct

Restoration of Municipal Corporation Building, DSA Pavalian, New Club,

- □ Repair and Restoration of Building
- Augmentation/ up gradation of building services such as electrical, sewage, water supply, etc.
- Interior and Exterior Illumination
- Provision of Tourist Interpretation center as necessary
- DSA Pavalian- The main proposals include façade treatments with classical columns, extending the length of the roof on both flanks, relaying the roof and increasing the height of the ridge, adding an advertising screen on the ridge for

display of scores or advertising, site improvements, upgrading toilets and floors, and woodwork and paintwork, internal electrification and lights, highlighting the building.

• New Club- repair of the building roof downwards, restoration of all traditional elements, Up gradation of boundary wall, gates, site and Improvement of interiors including toilets, woodwork, electrical, ceilings, floors, walls

Development of Gandhi gram, Takula as a Tourist attraction

- Provision of entrance gate
- Trek improvement to the village (around 300mts)
- □ Interpretive and directional signage
- Renovation of Gandhi Mandir as tourist Interpretation Center and Mahila Ashram
- Provision of Tourist view Points (20ft x 20ft)
- Iandscaping of the area
- Provision of Home stays in the village

Façade improvement of Dam wall at Mallital

- Dismantling works existing poor quality railing along Lower Mall and along the lake side, dismantling existing poor quality paving
- Providing new design robust railing along Lower Mall from Tallital to Mallital and on outer side of Surface Pedestrian Promenade
- Providing 2.4m wide paved walkway using designed pavers
- Providing landscaped strip between walkway and Lower Mall
- Providing LED lights along lake edge in surface walk portion
- Renovating existing light pillars including replacing current ugly red box lights with classic design fixtures with LED lamps from Tallital to Mallital and also on Flats area

Façade restoration and Illumination of Government owned heritage buildings (Bishop Shaw School, Municipal Library, Catholic Church, Methodist church)

- Removal of inappropriate cladding and alterations (tile cladding or similar) from building facade.
- External Cleaning of Stone facade
- Reinstatement of lost elements or fabric to the façade of the building including stone work repairs, masonry re-pointing etc.
- Rendering and Rendering-Repair of building
- Repair of Wooden features, doors and other external joinery
- □ Repair of decorative iron works
- Re-painting of building Facade
- External illumination of Buildings
- External building forecourt development
- Provision of signage and street furniture

Provision of Public amenities and facilities at appropriate location in the Nainital Lake Area Precinct

Up-gradation of existing public toilets and construction of new toilets at 5 locations

□ Integrated Signage and Interpretation in the Lake Precinct

- Directional Signage to important destinations and visitor facilities
- Statutory signage or regulatory signs that convey information about local civic regulations and as traffic control devices.
- Interpretive signage on features of interest and educate visitors about those features, which can be natural, cultural, historical, or recreational.

Reclamation of Public and Open Spaces in the Lake Precinct

- Removal and demolition of obsolete, unclaimed, damaged street furniture and structures
- Provision of Shed for Rickshaw parking near Mallital Rickshaw stand (Rickshaw Stand at northern end of Mall Road below Alps Hotel) (Demolition of existing wall (1.2m) from rickshaw stand on G B Pant road and construction of parking sheds for 30 rickshaws (30m in length)
- Provisions for integrated stone paved pedestrian access and pathways with adequate plantation
- Provision of street furniture (fencing work, tree guard, bollards, benches, waste bins) at appropriate locations
- Provision of weather shelters at adequate locations
- Provision of heritage type railing and integrated lake edge lighting along the lake edge.

Improvement of Heritage Trekking Trails

Nature Trail 1 - Land's End & Tiffin Top & Nature Trail 2 - Naina Peak

- 1. Trail 1- Tiffin Top Lands End Trail: Length 4.5 Km From Ayar Jungle Camp to Lands End + 1.17 Kms from LandsEnd to Barapatthar
- 2. Trail 2 Tanki Naina Peak: Length 3 Km starting at Tanki.
 - Repair of damaged pathways
 - Rough stone paving of steep, slushy stretches
 - Provision of railings at outer sides in vulnerable stretches
 - Wayside furniture at pause points
 - Rain shelters at viewing points
 - Camping sites
 - Provision of portable toilets at appropriate points [where water pipeline already available]
 - Signage's [informative and regulatory]
- 15. No objection certificate from Nagar palika, Nainital, Irrigation department and other stakeholders are attached as **Annexure III.**

E. Requirement of Due-Diligence Report:

16. As there is no involuntary resettlement involved in this sub-project, therefore preparation of RP is not required as it is category C w.r.t IR impact. , Hence, this brief due-diligence

Nainital Lake Precinct Revitalization, Enhancement and Urban Place making report has been prepared for documentary formality only. This report summarizes the project impact and outcome with copies of relevant maps and legal documents.

F. Project Impact and Outcome:

- 17. The project is not likely to have any adverse social impacts on the project area and instead will have beneficial impacts through the development of facilities which would enhance the attraction of this tourist destination.. The project initiatives will encourage tourist populations to these destinations. The project will also build the capacity of primary and secondary stakeholders by training on environmental/social and livelihood aspect.
- 18. It is expected that the proposed sub-project would enhance contribution of the tourism sector to sustainable and inclusive economic growth, thus increasing 50% local employment in tourism sector in the Nainital district of Uttarakhand; and 30% increase in aggregate contribution of tourism to the gross domestic product of Uttarakhand. The proposed sub-project would substantially increase: volume of domestic tourists by 40%; international tourists by 40%; and the average length of stay of tourists by 30% in the Nainital sub-region and/or in Nainital district of Uttarakhand.
- 19. The proposed sub-project would: (i) enhance quality of natural and cultural attractions in Nainital District; (ii) harness greater participation by local communities in tourism-related economic activities; (iii) improve basic urban infrastructure and services at tourist destinations and attractions; and strengthen capacity of sector agencies and local communities for planning, development, management, and marketing of tourist/pilgrims destinations and attractions.
- 20. The subproject specifically proposes to:
 - (i) Improve and upgrade quality of cultural and natural attraction of the town.
 - (ii) Improve access; enhanced experience quality; enhanced interpretation; upgraded visitor amenities and facilities in and around the Nainital Lake Precinct.
 - (iii) Improve basic tourist and pilgrim facilities and amenities in areas of cultural/historical/archaeological significance.
 - (iv) Improve and upgrade basic tourist facilities related to recreational activities. e) Address the issue of vehicular parking facilities for in and around Nainital.
 - (v) Improve environmental protection measures for the Nainital Lake and its water shed.
 - (vi) Improve basic environmental services like solid waste and waste water management.
 - (vii) Improve tourist/visitor way-side amenities along the Nainital Lake Precinct.
 - (viii) Improve access to places of tourist attractions through improved signage.
 - (ix) Improve the pedestrian environment connecting key heritage sites in the town.
 - (x) Assist in establishing community-based initiatives in Nainital for sustainable management and operation of tourism products and assets

- (xi) Train around individuals from the local community and government department on heritage management and tourism-related skills in Nainital.
- (xii) Develop a range of master plans and management plans for environmental protection, development guidelines, cultural preservation, and pilgrim management
- (xiii) Assist in increasing the institutional/organizational capacity of the sector agencies in management of nature and culture based tourism product.
- 21. Project location map and Layout map are shown in **Annexure I& II.** Photo illustration of project location is depicted in **Annexure VI.**
- 22. The subproject is expected to increase the tourist inflow, generate employment opportunities for young people and for people interested in surrounding villages. As tourism activities increase, there will be more opportunities for development of retail trade, restaurant and entertainment options, transport services and this will generate more income. It provides opportunities for the residents of nearby villages to interact with other people, lifestyles and cultures. Overall, improving basic infrastructure and services will definitely attract people and provides a rich experience for tourists, economic benefits to the local people and project area.

II. SCOPE OF LAND ACQUISITION AND RESETTLEMENT

23. The subproject will not entail any permanent land acquisition and resettlement. Efforts have been made by the engineering team to minimize the resettlement impact by careful design as all the implementation activities under the subproject will be confined to the existing place. Most of the works will be undertaken within the within government land or public buildings like churches for which NOC has been received. NOC is captioned in **Annexure III**. As per design it will not result in permanent impacts to structures (suchas residential and commercial), and common properties. No social impacts during implementation can be perceived. Therefore, it is proposed that works should be carried out phase wise, so that disturbance could be avoided. The proposed project does not have any adverse impact on women and/or girls or to widen gender inequality. The subproject will not have any physical or economic displacement. Details on each components and its impact is given in Table1.

SI No	Name of the Components/Works	Impact on Land acquisition and resettlement		Remarks
		Permanent	Temporary	
	Promenade Development around Nainital Lake a) Promenade / Deck-walk Development along mall	No		The proposed intervention types do not demand any land. The existing land under consideration is owned by Nagar Palika/

Table 1: Subproject Components and its impact on Land acquisition and resettlement

Involuntary Resettlement Due Diligence Report

		,	
road (1.25 Kms) b) Mechanical Parking c) Development of Children's Park			Parishad and PWD. Hence permission from these competent authorities is mandated. Nagar Palika /Parihsad has given its NOC while conditional NOC has been received from PWD.
 Enhancement of Lake Heritage Precinct a) Restoration of Municipal Corporation Building, DSA Stadium and New Club b) Development of Gandhi gram, Takula as a Tourist attraction c) Façade improvement of Dam wall at Mallital d) Façade restoration and Illumination of Government and private owned heritage buildings (Bishop Shaw School, St. John's Church, St. Nicholas Church, Municipal Library, Lake Church) e) Provision of Public amenities and facilities at appropriate location in the Nainital Lake Area Precinct f) Integrated Signage and Interpretation in the Lake Precinct g) Reclamation of Public and Open Spaces in the Lake Precinct h) Improvement of Heritage Trekking trails 	No	No	The heritage walk/trail is proposed from Tallital bus station to Mallital via Collect orate, St. Josephs College, Governor House, Degree College, Ayarpatta region etc. All the land belongs to Revenue Department / Forest Department for which NOCs are mandated from the competent authorities. The illumination and improvements of the facades of heritage buildings along the trail will entail NOCs from respective building owners (government or private) which is on-going All the other works proposed under this sub package come under the ownership of Nagar Palika and PWD. The Land of Takula Village is Gram Panchayat land and forest department from which NOC is mandated. The proposed façade restoration of the buildings namely St. John's, St. Nicholas, Bishop Shaw school and Lake Church are private only for which the NOCs from concerned private owners are mandated. The location of Public toilets that need improvement have been decided based on stakeholder consultation which are given as under 1. Near Central Hotel, Mall Road Near Baarah Pathar (Rock Climbing Area) Near National Hotel, Naya Bazaar, Haldwani Road 2. Near Mohan Co., Mall Road 3. Near Rickshaw Stand, Tallital 4. Near Toota Pahad. All the areas are under the jurisdiction of Nagar Palika for which they have given the NOCs.

24. As per baseline survey conducted, there is no physical or economic, temporary or permanent, IR impact. No person or community is being adversely affected by this sub-project. Rather, the tourists and local community who visit this spot will be benefited by this sub-project. No land or asset acquisition is necessitated in this sub-project. So people and communities will not be physically or economically displaced due to the sub-project interventions. No CPR will also be affected. Poor, indigenous and other ethnic groups are not being adversely impacted.

III. INDIGENOUS PEOPLES

25. There will be no impact on Indigenous peoples (IPs) as the project is mainly intervening in government owned land. All the proposed construction activities will be done within the government land and public owned building like churches. This is categorized as "C" for Indigenous Peoples. No Indigenous peoples Plan (IPP) will be needed for this sub-project.

IV. GENDER ISSUES

26. The project will not have any such impact on women except some positive potential employment scope. However, as a part of social due diligence, focused group discussions were carried out among the women group in the surrounding villages of the projects it to create awareness among the upcoming development activities and their livelihood opportunities that are likely to come up.

V. PUBLIC CONSULTATIONS

The RP was prepared in consultation with stakeholders. Meetings and individual interviews were held involving stakeholders. Consultations have been held with the District Administration, Department of Tourism, KMVN, LDA, Nagarpalika, Hotel Owners, villagers of Gandhi Gram Takula and tourists on project orientation, issues pertaining to conservation and management of lake ecosystem, land acquisition problems and addressing the current gaps in provision of basic services and improvement of tourist infrastructure. Public consultation with primary and secondary stakeholders has been conducted to understanding the local issues and public views regarding the possible impact. The issues like, awareness and extent of the project and development components, benefits of project for the tourist as well as community, labour availability in the project area or requirement of outside labour involvement, local disturbances due to project construction work, necessity of tree felling etc. at project sites, water logging and drainage problem if any, drinking water problem, forest and sensitive are a nearby the project site etc. During subproject preparation, consultations were held with the official representatives of the line agencies and local community. None of the community members opposed the sub-project. Summary of consultation records are provided in Annexure IV and V.

27. In this Sub-Project intervention, full or partial, permanent or temporary, physical and economic displacements are conspicuously absent. There are no Project Displaced Persons (DPs); no land or structure is impacted, no common property resources are affected. Even nobody's livelihood either temporarily or permanently is likely to be affected. This subproject has been categorized as "C" for Involuntary Resettlement (IR) impacts per the ADB's Safeguard Policy Statement, 2009 (SPS).

VII. OTHER SOCIAL MEASURES AND RECOMMENDATIONS

- 28. Although there is no land acquisition and resettlement impact in this sub-project, even then the contractor shall ensure that the construction staff shall adhere to the following code of conduct while undertaking construction activities.
 - □ Regularly remove trash from Nainital lake on scheduled clean-up days;
 - Entire area will be declared as plastic free, smoking free and silence zone and sign boards for the purpose should be displayed at work site
 - Swimming, bathing and washing clothes by the workers in the reservoir will be strictly prohibited, Cutting and collection of fuel wood by the construction workers from the forest should be strictly prohibited
 - Prior to commencement of site activities and mobilization on ground, the Contractor will prepare and get approved by the Engineer, circulation plan during construction for safe passage of tourists/pilgrims during construction stage, including development of alternative access routes, traffic regulations, signage,etc.,during construction. The Contractor with support of the PIU will carry out dissemination of these information and circulation plan at key entry points to the respective destinations. Restrict way leave width and avoid unnecessary vegetation disturbance/clearing. Replanting trees.

VIII. PRE - FEASIBILTY FINDINGS

29. The technical pre-feasibility of all sub-packages proposed under this sub-project is summarized in the following table.

Sub-Package Description	Pre-Feasibility
Development around Nainital Lake	Land Availability: The proposed intervention types do not demand any availability of land. The existing land under consideration is owned by Nagar Palika/ Parishad and PWD. Hence permission from these competent authorities is mandated. Nagar Palika/Parishad has given its NOC while

Table 2Technical Pre-Feasibility

	a)Promenade /	conditional NOC has been received from PWD.
	Deck-walk Development along mall road (1.25 Kms) b)Mechanical Parking	Stakeholder Willingness: All the stakeholders i.e., District Administration, LDA, Nagar palika/ Parishad, PWD, Boat Owner's Association, Hotel Owners Association etc for this proposed sub package were consulted and they were of the view that the sub package would enhance tourism experience, increase the tourist inflow and Tourism activities at Nainital.
	c)Development of Children's Park	Assurances: The District Administration of Nainital, and other project stakeholders mentioned above have preliminarily assured UTDB that these interventions can be approved on conditional NOCs. The Commissioner, Kumaon has also assured his full cooperation and making available all the remaining NOCs from concerned departments.
		R and R & Environmental Impacts: The sub package will not entail any permanent land acquisition and resettlement as the development of the deck walk and its landscaping is going to be done on the lake shore which belongs to the Nagar PalikaParishad. The project is not likely to have any adverse environmental impact on the project area as it will adopt environmentally sensitive approach and interventions to build the natural environment.
		Technical Suitability: The intervention proposes the deck walk development and its surrounding landscaping which includes development of deck walk along the mall road, development of children's park and floating pontoon. It also entails the provision for reconfiguration of boating jetties. The PWD was of the view that the interventions may be only taken up after due consultation with geological expert.
2.	Enhancement of	Land Availability: The heritage walk/trail is proposed from
	Lake Heritage	Tallital bus station to Mallital via collectorate, St. Josephs
	Precinct	College, Governor Hosue, Degree College, Ayarpatta region etc.
	 i) Development of Heritage Walk j) Restoration of Municipal Corporation Building, DSA Stadium and New Club k) Development of 	All the land belongs to Revenue Department / Forest Department for which NOCs are mandated from the competent authorities. The illumination and improvements of the facades of heritage buildings along the trail will entail NOCs from respective building owners either government or private. All the other works proposed under this sub package come under the ownership of Nagar Palika and PWD. The Land of Takula Village is Gram Panchayat land and forest department from which NOC is mandated. The proposed façade restoration of the buildings namely St. John's, St. Nicholas, Bishop shaw school and Lake Church are privately only for which the NOC from cancerned

Gandhi gram, Takula as a	private owners are mandated.
Tourist attraction I) Façade improvement of Dam wall at Mallital	 The location of Public toilets that need improvement have been decided based on stakeholder consultation which are given as under 1. Near Central Hotel, Mall Road 2. Near Baarah Pathar (Rock Climbing Area) 3. Near National Hotel, Naya Bazaar, Haldwani Road
m)Façade restoration and Illumination of Government and private owned	 4. Near Mohan Co., Mall Road 5. Near Rickshaw Stand, Tallital 6. Near Toota Pahad. All the areas are under the jurisdiction of Nagar Palika for which they have given the NOC.
Library, Lake Church) n) Provision of Public amenities	Stakeholder Willingness: All the stakeholders i.e., District Administration, LDA, Nagar palika Parishad, Forest Department, Revenue Department, PWD, Gram Panchayat, Villagers of Takula etc. for this proposed sub package were consulted and they were of the view that the sub package would facilitate the lake conservation and Tourism activities at Nainital. All the stakeholders of the private owned heritage buildings were consulted and they have agreed in principle to provide NOC to UTDB for façade restoration
and facilities at appropriate location in the Nainital Lake Area Precinct o) Integrated Signage and	Assurances: The District Administration of Nainital, and other project stakeholders mentioned above have preliminarily assured UTDB that these interventions can be approved on conditional NOCs. The Commissioner, Kumaon has also assured his full cooperation and making available all the remaining NOCs from concerned departments.
 p) Reclamation of Public and Open Spaces in the Lake Precinct q) Improvement of 	R and R & Environmental Impacts: The scale of interventions and their area of applicability are non-consequential to the existing situations and do not trigger any Resettlement or Rehabilitation of PAPs. The project is not likely to have any adverse environmental impact on the project area as it will adopt environmentally sensitive approach and interventions to build the natural environment.
Trekking trails	Technical Suitability: The intervention proposes improvement of Heritage walk, façade improvement, illumination and signage establishment and Landscaping. The heritage trail is already proposed on existing used routes but not frequently used by tourists due to lack of defined connectivity, amenities and facilities. The proposed interventions under these roads mainly involves grading, leveling, restoration, landscaping, drainage improvement, provision for tourist services, signage etc which

	1	
		will be implemented without altering the natural characteristics and ecology of the place. The proposed public amenities are on existing deteriorated structures and new locations which will entail minor demolition and earthwork activity. The proposed facilities will be designed in eco-friendly manner
3.	Mallital Area Landscaping and Environmental Upgradation a) Landscaping and	Land Availability: The proposed intervention types do not demand any availability of land. The existing land under consideration is owned by Nagar Palika/ Parishad and PWD. Hence permission from these competent authorities is mandated. Nagar Palika/Parihsad has given its NOC while conditional NOC has been received from PWD.
	Environmental Improvement around Municipal Corporation Building	Stakeholder Willingness: All the stakeholders i.e., District Administration, LDA, Nagar palika/ Parishad, PWD, Boat Owner's Association, Hotel Owners Association etc for this proposed sub package were consulted and they were of the view that the sub package would enhance tourism experience, increase the tourist inflow and Tourism activities at Nainital.
		Assurances: The District Administration of Nainital, and other project stakeholders mentioned above have preliminarily assured UTDB that these interventions can be approved on conditional NOCs. The Commissioner, Kumaon has also assured his full cooperation and making available all the remaining NOCs from concerned departments. RR & Environmental Impacts: The sub package will not entail any permanent land acquisition and resettlement as the landscape improvement will be done in the existing Nagar Palika premises which belongs to the Nagar Palika Parishad. The project is not likely to have any adverse environmental impact on the project area as it will adopt environmentally sensitive approach and interventions to build the natural environment.
		Technical Suitability: The intervention proposes Landscaping activities in and around Municipal corporation building which require vegetation works, lawn improvements and provision of public amenities which will have civil works. The proposed interventions are mostly of the upgradation nature for which civil works, landscaping works, retrofitting and reuse works are envisage. Hence the proposed sites are suitable for implementation of the sub package components.

30. It is concluded from this due diligence study that the proposed infrastructure improvements will not change substantially the present land use; there will be no issues of land acquisition and resettlement related to the subproject. All development works will be done on government land or on public land for which NOC has been received. Due to project intervention, there will be no loss of income of any person or any assets, either privately owned or publicly owned. However, in case any claims or complaints are submitted during the construction period, an effective and efficient Grievance Redress Mechanism has been formed which will enhance provision of timely and sensible hearings and facilitate solutions.

ANNEXURE I: LOCATION MAP





ANNEXURE II: LAYOUT MAP

Involuntary Resettlement Due Diligence Report Nainital Lake Precinct Revitalization, Enhancement and Urban Place making

> ANNEXURE III: NOCs (a) Nagar Palika, Nainital

कार्यालय नगरपालिका परिषद, नैनीताल ।

पत्रांक : 4407/20-10

दिनांक : 12 - 12 - 20/3

सेवा में,

प्रोजक्ट मैनेजर, पी०आई०यू० भीमताल नैनीताल।

विषय : मालरोड नैनीताल में सौन्दर्यकरण करने तथा म्युजिकल फाउण्टेन बनाने हेतु अनापत्ति दिये जाने के संबंध में।

महोदय,

उक्त विषय के सम्बन्ध में आपके द्वारा प्रस्तावित पयर्टन विकास योजना के अन्तर्गत मालरोड के सौन्दर्यकरण एवं म्युजिकल फाउण्टेन बनाने में पालिका को कोई आपत्ति नहीं है।

रेवद्ध-ममीताल।

(b) Nanital Lake Authority

कार्यालय, नैनीताल झील परिक्षेत्र विशोष क्षेत्र विकास प्राधिकरण,नैनीताल पत्र संख्याः 3162 /नैविप्रा/2013-14 दिनांक-24/03/14

सेवा में,

परियोजना प्रबन्धक, पी0आई0यू0, इन्फ्रास्ट्रकचर डवलपमैट इनवेस्टमैट प्रोग्राम फॉर टूरिज्म, नियर आकाश रिजॉर्ट, ग्राम-आणू, विकास भवन रोड, भीमताल-248003, जिला-नैनीताल।

विषय: नैनीताल में ए.डी.बी. सहायतित पर्यटन विभाग आई.डी.आई.पी.टी. द्वारा प्रस्तावित कार्यों को कराने हेतु अनापत्ति प्रमाण-पत्र सम्बन्धित।

महोदय,

उपर्युक्त विषयक अपने पत्रांक संख्या-189Gen Corp./PIU-Bhimtal/197/1-A/2013-14 दिनांक 25.02.2014 का संदर्भ ग्रहण करें। उक्त के सम्बन्ध में अवगत कराना है कि नैनीताल झील का स्वामित्व प्राधिकरण का नहीं है। अत: कार्य हेतु अनापत्ति के सम्बन्ध में सम्बन्धित विभाग से सम्पर्क करने का कष्ट करें।

नैनीताल झील परिक्षेत्र विशेष क्षेत्र विकास प्राधिकरण, नैनीताल

(c) Public Works Department



कार्यालय अधिशासी अभियन्ता प्रान्तीय खण्ड , लो०नि०वि० नैनीताल

Phone/Fax - 05942- 235572/233549

E_Mail:- eepdpwdntl@gmail.com

दिनांवः - ०१.5.2014

पत्रांक :---सेवा में,

867/62

नैनीताल में ए०डी०बी० सहायतित पर्यटन विमाग आई०डी०आई०पी०टी० द्वारा प्रस्तावित विषय :--कार्यों को कराने हेतु अनापत्ति प्रमाण – पत्र सम्बन्धित।

आपका पत्रांक 208/Corp/PIU - Bhimtal/ 216/ 1-4/ 2013-14 दिं० 07.03.14 सन्दर्भ :--

महोदय.

उपरोक्त विषयक सन्दर्भि पत्र के कम में परियोजना कार्यों की महत्वता को देखते हुए निम्न लिखित प्रतिबन्धों के अधीन कार्य कराने की सहमति प्रदान की जाती है।

केन्टीलिवर डालकर पैदल वाक वे का कार्य करने से पहले किसी प्रतिष्ठित संस्थान से 1. Geological राय प्राप्त कर ली जाय। तभी कोई खुदाई का कार्य किया जाय।

लो०नि०वि० से सम्बन्धित संरचनाओं पर कार्य करने से पूर्व पुनः दिखा लिया जाए एवं विभाग 2 की सहमति के अनुसार कार्य किया जाय।

किसी भी पूर्व निर्मित संरचना को क्षतिग्रस्त न किया जाय। 3.

डॉट पर कार्य करने से पूर्व प्रतिष्ठित संस्थान के योग्य स्ट्रक्चरल इन्जीनियर की राय के 4 अनुसार विभाग से सहमति प्राप्त कर कार्य किया जाय।

कार्य से निकले मलुवे का निस्तारण नगर पालिका क्षेत्र से बाहर किया जाय एवं कार्य के समय 5. यातायात की व्यवस्था का उचित प्रकार संचालन किया जाय।

अधिशासी अंगियन्ता प्राण्ख0 ,लोवनिवतिव नैनीतालै

पत्रांक :--प्रतिलिपि :--

दिनांक :--

अपर कार्यकम निदेशक (आईं०डी०आईं०पी०टी०) देहरादून को सूचनार्थ प्रेषित। 1.

अधीक्षण अभियन्ता महोदय, द्वितीय वृत्त, लोठनिठविठ, नैनीताल को सूचनार्थ प्रेषित। 2

(d) The New Club

प्रेषक	THE	NEW	CLUB	
	न्यू क्लबन्धिक	aMaini Tal	263001	दिनांक- 21.08.2014
Ref				Date
		545		

परियोजना प्रबंधक पी०अ:ई०यू० भीमताल आई०डी०आई०पी०टी०

महोदय,

आपके पत्रांक 355 Gen corp/PIU Bhimtal/363/18/2014-15 दिनांक 19.08.2014 के संबंध में न्यू क्लब की ऐतिहासिक महत्ता को समझते हुए इस कार्य के जीर्णोद्धार पी0आई0यू0 भीमताल द्वारा ए0डी0बी0 सहायतित परियोजनओं के अन्तर्गत कराये जाने में क्लब को कोई आपत्ति नहीं है।

भवदीय

सचिव

न्यू क्लब, नैनीताल

New Club, Nainital.

ENGLISH TRANSCRIPTS OF NOCS (a) Municipal Council, Nainital

Τo,

Project Manager

PIU Bhimtal

Sub: No Objection Certificate for Beautification of Mall Road, Nainital.

Sir,

With reference to the above subject, for your proposed Tourist Development Plan, the Nagar Palika have **No objection** for beautification works of Mall Road in Nainital.

Executive Officer

Municipal Council, Nainital

(b) Nanital Lake Development Authority

Τo,

Project Manager

PIU

IDIPT

Bhimtal

Sub: ADB funded project under the Department of Tourism in Nainital- NOC for related activities

Sir,

With reference of your letter No, 189/Gen Corp/PIU-Bhimtal/197/1-A/2013-14 dated 25.02.2014, this is to inform you in relation to the ownership and authority of Nainital Lake, it is not under our jurisdiction. So in terms of clearance for works, you are requested to contact the concerned department.

Secretary

Nainital Lake Development Authority

(c) Public Works Department

To,

Project Manager

PIU

IDIPT

Bhimtal

Sub :ADB funded project under the Department of Tourism in Nainital - proposed actions related to NOC

Sir,

In reference to the captioned subject, consent is given below. In order of importance, they are as follows:.

1 For the cantilever walkway along the Lake , the feedback from a reputed institution is required for the geological condition of the area . Geological Survey might be required too. Only after satisfactory result, any excavation should be carried out for this work.

2. For the structures belonging to PWD, prior consent of the Department is required for working on any specific structure,

3. Any damage caused to any existing structure is not allowed.

4. The opinion of any qualified structural engineer from reputed institute must be obtained and thereafter consent from the State Department to be obtained.

5. During construction and post construction period, disposal of the work related debris must be undertaken outside the municipality area and during construction work, effective traffic management should be arranged to cause minimal problem to public and tourists.

Executive Engineer

PWD

Nainital

(c) The New Club

The NEW CLUB

То,
Project Manager
PIU
IDIPT
Bhimtal

Sir,

With reference of your letter No, 355/Gen Corp/PIU-Bhimtal/363/18/2014-15 dated 19.08.2014, this is to inform you that this is "**No objection Certificate**" (NOC) of the works to be undertaken for New club to re-establish the worn out structure. We understand the importance of this work as part of heritage preservation by the ADB-funded projects.

Secretary

New Club

ANNEXURE IV: LIST OF PUBLIC CONSULTATIONS

- Site Visit on 11th and 12th January 2014
- Site Visit on 24th to 26th January 2014
- Stakeholder meeting on 25th January 2014 with Nagar Palika, GM-KMVN, Irrigation Department
- Stakeholder Meeting on 26th January 2014 with Boat Association, PWD, Prof Ajay Rawat (Retd)
- ADB Consultants site Visit on 29th and 30th January 2014
- ADB consultants meeting with Stakeholders in the office of Nagar Palika (Attendees: Nagar Palika, LDA, PWD, Jal Sansthan, Irrigation Department
- Site Visit on 6th August 2014 and Meeting with Dr. B. S. Kotalia, Geologist
- Joint Site Visit on 23rd August 2014 by DSC and PMC
- Joint visit of PMU, DSC and PIU on 3 and 4th Nov 2015.

Involuntary Resettlement Due Diligence Report Nainital Lake Precinct Revitalization, Enhancement and Urban Place making

A meeting held at DSA office mallited NIL following Persons were Pousil. Dt. 17-08-2014 AJAY SAH- HONY Gen. Secretory THENIGS D.S.A. N.T.L & . Gange Prasad Sch (100000) Dhiraj Bisht HONY. Jaint Secretary The N.T.G. & D.S.A (NITH) Dist - Dhiraj Bisht HONY. Jaint Secretary The N.T.G. & D.S.A (NITH) Dist - JA20162888 - JA20162888 - J.C. BERRY DY, Director Tourism Nemitel 9720294334 glu Deroneta Lat 2015 S. D.S.A. 9917244127 7. V. Arun Dev, Project Manager, Dsc Blimtel, 9568714510 8. Ghanshyam hal Sel, Ex Hong Generalley 358 Shided Standaded (9. R. K. Dosl: ADD. Project Di-10. Barbaut Sugh Project Manager Pris- Shinted Bot. 11. the Shaanon PIN Bhintel

ANNEXURE V: PHOTOGRAPHS OF STAKEHOLDER CONSULTATIONS

Joint Site Visit on 23rd August 2014 by DSC and PMC



ANNEXURE VI: PHOTOGRAPHS OF PROPOSED PRJECT SITE



NainitalLake

TheFlats-ParadeGround



ThandiSadak

Historic Mall Road



Lake Edge Mallital Area

Lake Edge Tallital Area

Involuntary Resettlement Due Diligence Report Nainital Lake Precinct Revitalization, Enhancement and Urban Place making



Side Walk Mall Road Lake Edge



Naina Devi Temple



St. John's Church



St. Nicholas Church



Unregulated Site Development, Nainital

Environmental Pollution



Involuntary Resettlement Due Diligence Report Nainital Lake Precinct Revitalization, Enhancement and Urban Place making Poor Environmental Conditions along the Lake Edge Solid Waste Disposal into Lake



Inadequate Facilities: Boat Jetties

Unaesthetic Built Environment



Inadequate Disposal of Solid Waste

Inappropriate Utilization of Open Spaces along the Lake Edge



Wild Life: Nainital Zoo

Nainital Attractions: Mountains and Forests

Involuntary Resettlement Due Diligence Report Nainital Lake Precinct Revitalization, Enhancement and Urban Place making



Nainital Attraction: Lake

Heritage Buildings: St. John's Church



Heritage Buildings: St. Nicholas Church

Traditional Communities living in Nainital



Gandhi Gram Takula Village

Mallital Rickshaw Stand

Involuntary Resettlement Due Diligence Report Nainital Lake Precinct Revitalization, Enhancement and Urban Place making



Naini Devi Fair

Gandhi Ashram, Takula Village