



# Resettlement Planning Document

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Project Number: 40648-034  
March 2016

## IND: Infrastructure Development Investment Program for Tourism - Tranche 3

Sub Project : Imperial Highway Heritage conservation and Visitor Facility development, Lot 1:  
Conservation of Aam Khas Bagh, Lot 2: Conservation of Tombs and Rauza Sharif (PB/IDIPT/T3/03-  
12/02)

Submitted by

Program Management Unit, Punjab Heritage and Tourism Board, Chandigarh

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**Asian Development Bank**

**Punjab Heritage and Tourism Promotion Board**  
**Infrastructure Development Investment Programme for Tourism**  
(ADB Assisted Project)



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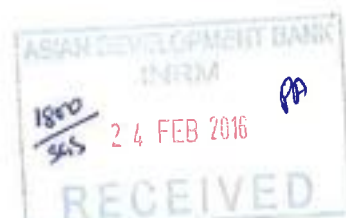
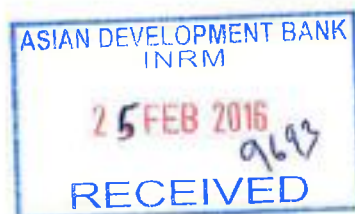
**Subject: Submission of Due Diligence Report (DDR) of Imperial Highway Heritage Conservation and Visitor Facility Development of Aam Khas Bagh, Fatehgarh Sahib (Lot 1) and Conservation of Tombs of Raffudin, Tomb of Alfsani, & Tomb of Bibi Taj and Rauza Sharif in Fatehgarh Sahib (Lot 2) (PB/IDIPT/T3/03-12/02)", for Loan 3223-IND**

Kindly find Social Safeguards Due Diligence Report (DDR) of Imperial Highway Heritage Conservation and Visitor Facility Development of Aam Khas Bagh, Fatehgarh Sahib (Lot 1) and Conservation of Tombs of Raffudin, Tomb of Alfsani, & Tomb of Bibi Taj and Rauza Sharif in Fatehgarh Sahib (Lot 2) (PB/IDIPT/T3/03-12/02)", enclosed with this letter for your approval.

*Handwritten signature*  
23.2.16  
Additional Project Director

CC:

1. PA to PD, IDIPT-PB
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**INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR  
TOURISM (TRANCHE 3) STATE OF PUNJAB**

**IMPERIAL HIGHWAY HERITAGE CONSERVATION AND VISITOR  
FACILITY DEVELOPMENT LOT 1: CONSERVATION OF AAM KHAS  
BAGH, LOT 2: CONSERVATION OF TOMBS AND RAUZA SHARIF  
(PACKAGE NO. PB/IDIPT/T3/03-12/02)**



**DECEMBER 2015**

**Prepared by  
The Government of Punjab for the Asian Development Bank**



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## ABBREVIATIONS

ADB	---	Asian Development Bank
AF	---	Affected Family
AP	---	Affected Person
BPL	---	Below Poverty Line
CDO	---	Community Development officer
COI	---	Corridor of Impact
CPRs	---	Community Property Resources
DF	---	Displaced Family
DSC	---	Design Supervision Consultant
EA	---	Executing Agency
GoI	---	Government of India
GoP	---	Government of Punjab
HH	---	Household
MP	---	Micro Plan
NGO	---	Non-Government Organization
PH	---	Physical Handicapped
PHTPB	---	Punjab Heritage and Tourism Development Board
PIU	---	Project Implementation Unit
PMU	---	Project Management Unit
R&R	---	Resettlement and Rehabilitation
ROW	---	Right of Way
RP	---	Resettlement Plan
SC	---	Schedule Caste
SDU	---	Social Development Unit
ST	---	Schedule Tribe
ToR	---	Terms of Reference

## SOCIAL SAFEGUARD DUE DILIGENCE

### 1. INTRODUCTION

1. The Government of India has signed a loan with the Asian Development Bank (ADB) on 28-September-2015 for US \$ 123.51 million for tranche 3 of the Infrastructure Development Investment Program for Tourism (IDIPT) with an objective to continue upgrading tourism infrastructure and services in three states, Punjab, Uttarakhand and Himachal Pradesh. The loan is intended for development and conservation of places of tourist attractions as well as to improve basic tourism facilities, and to build the capacity of sector agencies and local communities in the three States. The third tranche loan is part of the \$250 million multi-tranche financing facility that ADB approved in 2010.

2. LEA Associates South Asia Private Limited, (LASA) in association with Simpson & Brown Architects (UK) and Addyman Archeology, (UK) has been retained as Project Management Consultant for Punjab Heritage and Tourism Promotion Board, Punjab, Project Management Unit (PMU) and the Project Implementation Units (PIUs) with an objective to ensure effective coordination and implementation of IDIPT. The department of Tourism, Government of Punjab is the Executing Agency (EA) and Punjab Heritage and Tourism Promotion Board (PHTPB) is the implementation agency (IA) for the IDIPT for Punjab and the PMU has been set up at the PHTPB.

3. Shah Technical Consultants Private Limited in association with Grassroots Research and Consultancy (India) has also been engaged as Design Supervision Consultant (DSC) for Punjab Heritage and Tourism Promotion Board, Punjab.

4. This report presents the due diligence on Social Safeguards for one of the sub-projects of IDIPT, entitled, "Imperial Highway Heritage Conservation and Visitor Facility Development of Aam Khas Bagh, Fatehgarh Sahib (Lot 1) and Conservation of Tombs of Raffudin, Tomb of Alfsani, & Tomb of Bibi Taj and Rauza Sharif in Fatehgarh Sahib (Lot 2)" taken up under Tranche-3.

### 2. DESCRIPTION OF SUB-PROJECT

5. The Subproject falls in Eastern Circuit. The project aims to enhance the quality of natural and cultural tourist attractions and participation of the local communities and the private sector in tourism. The district is a part of the Sikh Heritage Trail and the Grand Trunk Trail which starts from Ambala to Wagah Border (Source: As per Punjab Tourism Development Master Plan, 2008-2023; UNWTO).

6. The subproject includes following components in two lots:

- Lot 1: Conservation of Aam Khas Bagh in Fatehgarh Sahi, Mehtabi Bagh - 1. Internal & External Face Wall, 2. Bastion 1, 2, 3 & 4, 3. Western & Eastern Gateways, 4. Northern Pavilion, 5. Enclosure 3 - Boundary Wall, 6. Enclosure 3 - Entrance Gate, 7. Enclosure 3 - Br. & Stub walls, 8. Naughara and 9. Sheesh Mahal
- Lot 2: Conservation of Tombs (Tomb of Raffudin, Tomb of Alfsani, Tomb of Bibi Taj) and Rauza Sharif in Fatehgarh Sahib



7. The Lot-1 and Lot-2 are located at Fatehgarh Sahib at a distance of two kilometres. Hence the description is divided in 2 sections in subsequent section.

**Lot 1: Conservation / Revitalisation of Aam Khas Bagh, Interpretation Centres, Visitor Amenities, Conservation of Historic landscape**

8. Aam Khas Bagh is located in Fatehgarh Sahib District of Punjab. The town of Fatehgarh Sahib holds a significant place in the history of the state. It is also an important tourist place owing to the Gurudwara Fatehgarh Sahib which is the place of the martyrdom of Sahibzada Fateh Singh and Sahibzada Zorawar Singh (sons of Guru Gobind Singh Ji). Fatehgarh Sahib, meaning the 'Town of Victory', signifies the triumph and independence of the Sikhs from the tyrannical rule of the Muslims. This town is bounded by four gates, respectively dedicated to Dewan Todar Mal, Nawab Sher Muhammed Khan, Baba Banda Singh Bahadur and Baba Moti Ram Mehra. Major tourist places in Fatehgarh Sahib are Gurudwara Fatehgarh Sahib, Sanghol, Aam Khas Bagh, and Mata Chakreshvari Devi Jain Temple.

9. The project site called Bagh-i-Hafiz Rakhna locally known as Aam Khas Bagh is a Mughal Garden situated approximately at a distance of 52 km from Chandigarh city. The structure was built during the reign of Akbar and further developed by Shajahan and Jahangir. The garden complex was used as a Royal Sarai. There are a lot of historical references mentioning about this place being used by the Mughal kings/ royal family. There are several historical buildings inside the complex like Naughara, Sheesh Mahal, Hamam and Sarai Cells etc. Maulsari building is a later addition to this complex in west. The building was used as a hotel under PTDC. However, with suspension of PTDC by the Government, the building has been lying unused. The building now has been transferred to PHTPB and since it is in good condition, it is proposed to use it as an art and craft centre and TRC by carrying out some minor repairs and retrofitting.

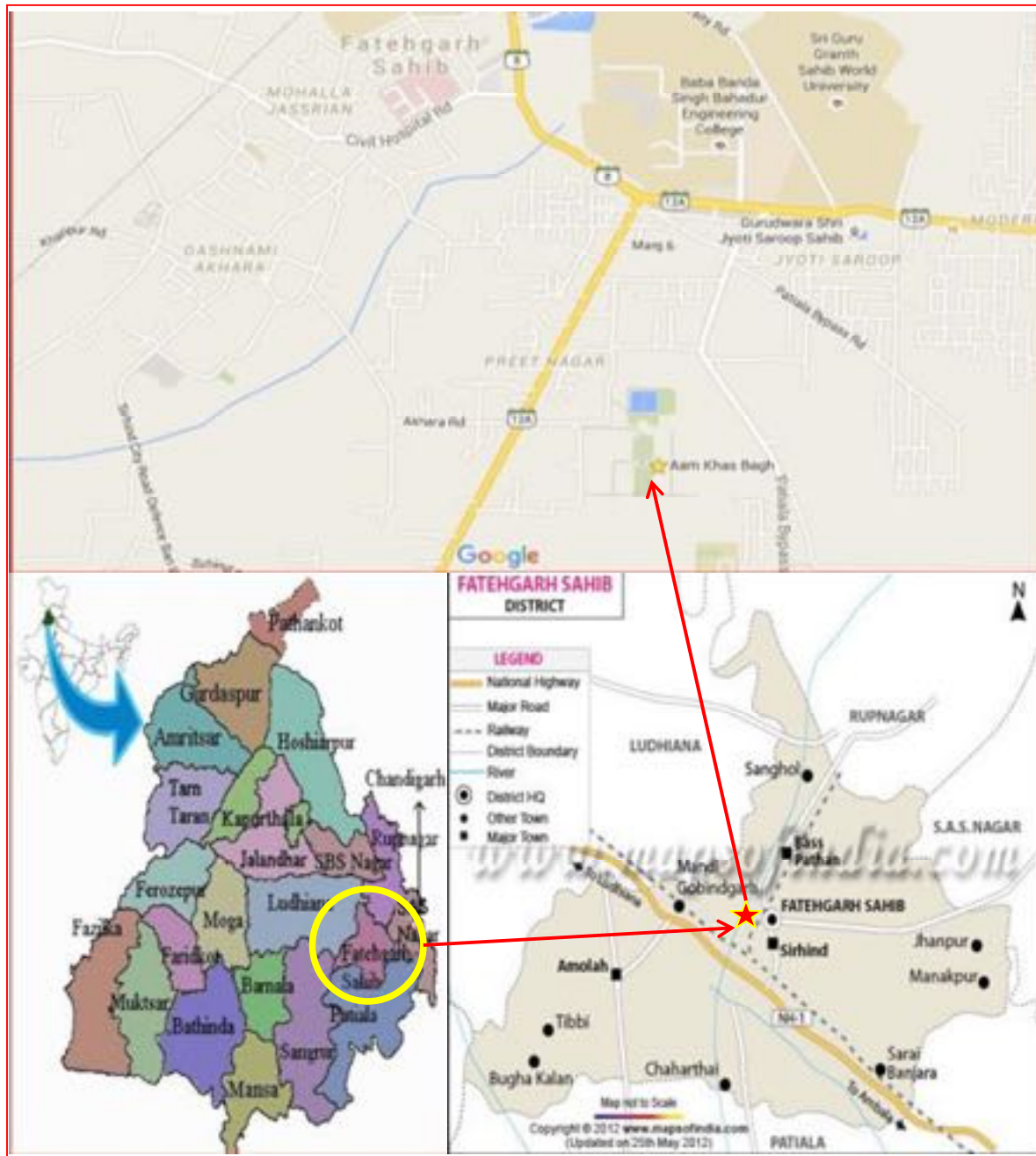


Figure 1: Project Location of Aam Khas Bagh

## Lot 2: Conservation of 3 Tombs (Tomb of Rafuddin, Tomb of Alfsani, Tomb of Bibi Taj) and Rauza Sharif in Fatehgarh Sahib

10. The selected tombs are all historic structures from the 14th to the 16th century and are the only surviving examples of their period. These tombs are constructed on a raised plinth, about 1-2 m higher than the surrounding area. The base is constructed in Basal stone while the structure itself is constructed in stone and nanakshahi / lakhori bricks. The core of these walls is built in mud



mortar with two outermost layers in lime mortar. These are single cell, square in plan, symmetrical structures. The centrally located openings provide access from each side. The roof of these dome placed on an octagonal drum. The Tomb of Bibi Taj is an exception it has minarets on all four corners along with the dome. The interior is beautifully decorated with niches and motifs.

#### **Rauza Sharif**

11. The Rauza Sharif Complex has more than one building to restore and a few site development works that are proposed. Sheikh Ahmed Sirhindi is recorded to have erected a mosque near his residence in 1008/1599-1600. On the same site a larger mosque is recorded to have been built by Tarbiyat Khan in 1064/1636-37. Most probably, the older part of the mosque that we see today was built by Tarbiyat Khan. As it has always been a living mosque, additions and alterations were made to it from time to time.

12. An 'Urs' festival is organized on the 26, 27 & 28th of "Safar" every year, for which devotees from all over India participate staying at the Dargah Complex. The Urs festival has been held here for more than 300 years and is largely attended by Muslim nobility from Kabul and other devotees from Pakistan, Afghanistan, Indonesia, Bangladesh and other Muslim nations. Figure 2 is showing the location of Tombs and Rauza Sharif on map. The proposed sub-project location of Aam Khas Bag & Rauza Sharif is presented in Figure 1 & 2.

#### **2.1.1 Resettlement Framework (RF)**

13. A Resettlement Framework is in place for guidance in preparation of resettlement plans and overall safeguards management under IDIPT. The RF prepared for IDIPT tranche 1, has been modified to include the development with respect to new land acquisition Act, and for implementation of Tranche 3 sub-projects. The RF reflects the national and state level acts and policies with respect to land acquisition, involuntary resettlement, as well as ADB's Safeguards Policy statement (SPS, 2009). This RF is applicable to all sub-projects under IDIPT and is subjected to review and updating ensuring relevance and consistency with applicable country/state legal framework and ADBs SPS, 2009. The following web link may be referred for Resettlement Framework Document. <http://www.adb.org/sites/default/files/project-document/148689/40648-034-rf-02.pdf>

#### **2.1.2 Initial Environmental Examination Report (IEE)**

14. An Initial Environmental Examination (IEE) Report is in place for the sub-projects under IDIPT, which classifies the proposed interventions, the subprojects as Environmental Category B as per the SPS, 2009 as no significant impacts are envisioned. Accordingly this IEE has been prepared to assess initial environmental/social impacts, if any and to provide mitigation and monitoring measures to ensure no significant impacts arises as a result of the sub-project. The following web link may be referred for IEE Report. <http://www.adb.org/sites/default/files/project-document/148721/40648-034-iee-12.pdf>



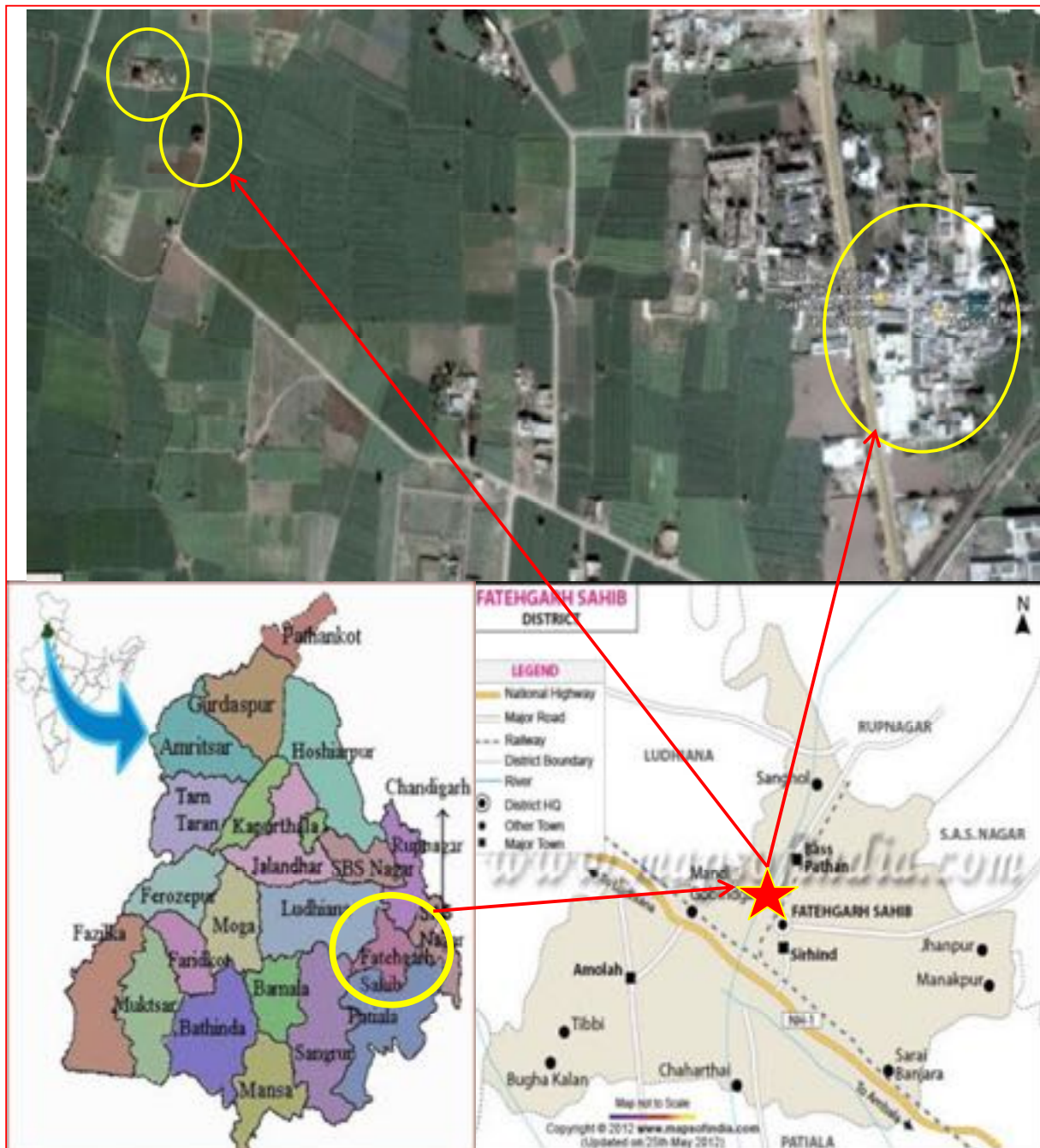


Figure 2: Project Location of Rauza Sharif and Tombs

### 3. OBJECTIVE OF DUE DILIGENCE REPORT

- Determine whether the subproject is free of involuntary resettlement impact such as land acquisition, displacement, adverse impact on income and livelihood, community properties or any other impacts.
- Review the existing field circumstances of this subproject in accordance with ADB's Safeguard Policy Statement (SPS) 2009.





#### **4. REQUIREMENT OF DUE DILIGENCE REPORT**

15. The conservation/renovation of this subproject in both the lots done not envisage the temporary or permanent land acquisition and resettlement impact because all the components will be conserved or renovated within the available piece of land of intervention either in Aam Khas Bagh or Tombs. Since there is no encroachment in Lot 1 and Lot 2 of any permanent land acquisition and resettlement. Therefore no expected impacts on private/public land, structures etc. no negative impacts on livelihood are envisaged. Hence no Resettlement Plan is needed and Due Diligence Report will fulfil the requirement of ADB's Safeguards Policy.

#### **5. SCOPE OF DUE-DILIGENCE EXERCISE**

16. The scope of Due Diligence Exercise covers the following activities while preparing the DDR:

- Review the system and procedures for project management, implementation and monitoring of the progress;
- Review measures taken to minimise land acquisition and other social impacts;
- Monitor the process if government land transfer involved, the process followed for making such land available to the project and the land-use of those government lands and description of any encumbrances;
- Gather the documentation regarding agreement reached with or consultation held to reach agreement, in case of purchase of land directly from the community / panchayat;
- Gather details of affected properties including private, government, community, religious etc;
- Verify R&R provisions made applicable to the project;
- Gather details of total affected land holders and details of any Scheduled Tribe (ST) families and non-titleholders affected, if any;
- Details of public consultation during planning and construction stage and how the outcome of consultations was integrated into the project design;
- Details of entitlement matrix or compensation and assistance details formulated for the Project Affected Families for loss of assets which should also include compensation criteria adopted for affected structured due to project facilities. Details of additional assistance provided to the affected ST families;
- Gather details of non-titleholders and R&R assistance provided, if any, to respective categories;
- Details of compensation paid for crop and other damages for the affected landholders, if applicable.
- Details of grievance redress mechanism followed for the project, mentioning if any grievances received from the local people and the action taken against those grievances;
- Details of any pending litigation cases related to land acquisition and compensation, if any;
- Details of institutional arrangement for social safeguard implementation;
- Details of the Community consultation or development Activities, if carried out by the contractor;
- Compliance with respect to Resettlement Framework (RF);
- Disclosure process followed for safeguard and other related documents;
- Gather any other information and data related to social impacts;
- Suggest suitable measures for identified gaps and shortcomings in mitigating the social impacts, if any, based on the due diligence exercise.

## **6. APPROACH AND METHODOLOGY**

17. As part of the due diligence, the team visited the project area and carried out detailed interactions with implementing agency, contractors, and carried out consultation with communities. A review of the project DPR, design, drawings was also carried out. The Due Diligence Report has been prepared based on the scope of work of the consultancy service, and Safeguard Policy Statement of the Asian Development Bank, and the Resettlement Framework (RF) agreed for the IDIPT. The methods followed for the preparation of Due Diligence Report has been discussed in this section.

### **6.1 Activity 1: Review and Appraisal of Sub-Project Reports and Documents**

18. The reports and relevant documents related to social safeguards available with the PMU have been reviewed and appraised. The documents included Loan Agreement- ADB and Punjab state government, Project Agreement-ADB and Punjab state government; Sub-Project Appraisal Report (SAR), Initial Environmental Examination (IEE) Report, Resettlement Framework, NOC's and Certificate and Undertaking issued from the Punjab Ancient and Historical Monuments and Archaeological Department as well as other departments and Contract Documents etc.

### **6.2 Activity 2: Consultations With the PIU & Contractor**

19. Two-stage consultation process has been conducted towards preparation of the Due Diligence Report, (i) consultation with PIU officials prior to the site visit to appraise about the project, and (ii) consultation after the site visit to ascertain the compliance procedures adopted or planning to be adopted by the PIU/Contractor for various safeguard issues observed at the site.

### **6.3 Activity 3: Site Visit and On-Site Observations**

20. Visit to the sub-project site have been an integral part of the preparation of Due Diligence Report. PMC Social Safeguards Specialist has visited the project site on 5<sup>th</sup> November 2015 and had discussions with the Forest Specialist, Community Development officer at PIU Ropar, Site Engineer, Contractor's representatives, labourers, etc.

## **7. SOCIAL SAFEGUARD DUE DILIGENCE**

### **7.1 Assessment / review of Social Issues**

#### **7.1.1 Lot -1**

21. The project will be executed within the existing premises of Aam Khas Bag utilizing the unused land which is already available within the boundary. Other associated facilities such as 1. Internal & External Face Wall, 2. Bastion 1, 2, 3 & 4, 3. Western & Eastern Gateways, 4. Northern Pavilion, 5. Enclosure 3 - Boundary Wall, 6. Enclosure 3 - Entrance Gate, 7. Enclosure 3 - Boundary & Stub walls, 8. Naughara and 9. Sheesh Mahal etc. will also be executed within the boundary of Aam Khas Bagh.

#### **7.1.2 Lot -2**

22. The proposed sub-project is a conservation project, will be executed within the existing boundary of Rauza Sharif. The Tombs of Rafuddin, Alfassani and Bibi Taj will also be executed with the monument. Hence, no additional land will be required resulting no impact on land acquisition and involuntary resettlement.

23. The proposed sub-project both Lots will not have any land acquisition and resettlement impacts and the proposed improvements will take place within government owned land. Therefore, there was no affected household found. The local people have a positive perception about the project with respect to the business development by increasing tourist/visitors, employment opportunities (both un-skilled and semi-skilled) which the project can provide to the local community.

## **7.2 Details of Land**

### **7.2.1 Lot 1:**

24. Currently, the Aam Khas Bagh garden complex is a tourist attraction for the local and regional community. It is proposed to reuse it as an art and craft center in Eastern Circuit. Contractor has been using a part of the building for labour shelters and administration purpose. Mulsari building, located inside a significant historical complex has a floor area of 1600 sq m. The total area of Aam Khas Bagh is about 40 acres. The building is proposed for reuse as art and craft centre and Tourist Reception Centre. Although within historical complex it is away from the main historical structure and also has a separate vehicular access. It connects it to the Main Street/ road from west side of the historical complex thus, offering it to be a suitable spot for the proposed development.

25. The site has access from the two sides of the site, one from the main city road and the other from the side road approaching towards the Patiala bypass road. The Site is fenced throughout, even though some portion of boundary wall is damaged. The construction of the boundary wall has been included in existing civil contract.



**Inner view of Garden (Bagh)**



**View from water pool**



**View from Outer side of main gate**



**Boundary wall damaged backside of Garden**



Informatics board of Aam Khas Bagh



View of Pond inside the Aam Khas Bagh

## 7.2.2 Lot 2

26. **Rauza Sharif:** The main total structure of Rauza Sharif covers an area measuring 16.5 x 10.2m but at the most only the main sanctuary behind the veranda is original. The plan of the sanctuary comprises a single hall, measuring 14.4 x 4.2m, entered through three archways on the eastern side. The original facade which now lies concealed behind the veranda has three archways. The parapet of the building rises to a height of 6.2m. The skyline is formed by three domes, each placed on a 0.85m high octagonal drum. Each side of the octagonal drum measures 2.35m and that of the other ones, 2.15m. The rectangular hall has three mihrabs in the western wall.

27. **Tombs:** The tombs are part of funerary landscape of Fatehgarh Sahib. There are many tombs in this area, located on outskirts of the main habitation. The structure stands on a mound, nearly a meter high than the surrounding agricultural fields. The selected tombs Bibi Taj, Alf Sani & Rafuddin and Rauza Sharif are some of the oldest and good examples of architecture from that period. The area of Bibi Taj tomb is about 27762 sq. m (6.85 acre) and Rafuddin tomb is about 18562 sqm (4.6 acre).



Rauza Sharif



Tomb of Bibi Taj





Tomb of Alf Sani



Tombs of Rafuddin

28. The sub-project improvements have been undertaken within the government owned land. The land belongs to Department of Cultural Affairs, Archaeology & Museums Department. At the same time, the execution of sub-project is the responsibility of Department of Tourism, for which No Objection Certificate (NOC) and undertaking for execution of work has been issued dated 28/8/2014 for Aam Khas Bagh and 27/9/2014 for the tombs of Rafuddin and Alf Sani respectively from Director, Cultural Affairs, Archaeology & Museums, Punjab, Chandigarh (the owner of the land). Copy of NOC & Undertaking is placed as Appendix-1.

29. The sub-project will be executed within the existing boundaries of proposed interventions. The construction work has been started, for which the Contract was formally signed on 29th September 2015 and contract completion date is 29th April 2018 (18 months from start date). Notice to proceed has been issued on October 10, 2015, however contractor has not been mobilised till the due diligence visit on 5th Nov 2015

### 7.3 Resettlement Impacts

30. There will not be any resettlement impact due to the sub-project.

- **Details of affected properties:** There will not be any impact on built-up structure of other properties.
- **Impact on Trees:** No trees have been affected due to this sub-project except some temporary plants and shrubs growing inside & nearby the tombs.
- **No title holder** is being affected or will be affected due to the project.

### 7.4 Impact on Scheduled Tribe / Indigenous Population

31. There will be no impact on Indigenous Peoples (IPs). All the proposed construction activities will be done within the boundary of Aam Khas Bagh, Tombs and Rauza Sharif. This sub-project is categorized as "C" for Indigenous Peoples. Fatehgarh Sahib District as such is not part of the notified Fifth Schedule Area<sup>1</sup>. Further, as mentioned in the Indigenous Peoples Planning Framework of ADB (July 2010) Scheduled Tribes are in all States and Union Territories except in the States/Union Territories of Haryana, Punjab, Delhi, Pondicherry, and Chandigarh.

<sup>1</sup> Fifth Schedule under Article 244(1) of Constitution defines "Scheduled Areas" as such areas as the President may by order declare to be Scheduled Areas after consultation with the Governor of that State. The criteria for declaring any area as a "Scheduled Area" under the Fifth Schedule are; (a) preponderance of tribal population, (b) compactness and reasonable size of the area, (c) a viable administrative entity such as a district, block or Taluka, and (d) economic backwardness of the area as compared to the neighbouring areas.



## **7.5 Gender Issues**

32. The proposed project does not have any adverse impact on women. The project certainly has benefits for women (figure 3) in terms of employment opportunities. RF suggest to carry out continuous consultation with women community in surrounding villages throughout project implementation to create awareness among the upcoming development activities and their livelihood opportunities that are likely to come up. This is with the objective to ensure participation in income generating and livelihood enhancing entities.

33. In Tranche-3, sub-project has the scope for promoting gender equality in form of employment in construction work. PMC Social Safeguards Specialist has had a discussion with the women workers engaged in construction works. As observed, toilet and bathrooms facilities are available within the complex (earlier used for restrooms/restaurant purpose) for men and women separately.

34. Presently 10 women labourers are working at the Aam Khas Bagh subproject site; this may increase after the festival of Diwali, as informed by the site-supervisor during PMC DDR visit. Conservation work at Rauza Sharif and tombs has not yet started.

## **7.6 Wages and Labour Register**

35. Contractor has been advised to maintain the proper labour register / ID cards/ job cards in which the name of men as well as women labourers are to be mentioned, wages given to respective labourers shall be mentioned gender wise. Contractor has been informed that minimum wages should be given to all the labourers and the principle of equal wages for equal work should be followed for both genders. There are no women involved in skilled work.

## **7.7 Labour camp site**

36. The due diligence related consultation has revealed that the mostly migrant labourers from Bihar, Uttar Pradesh and Madhya Pradesh are involved in construction work. Labour shelters have been constructed in the premises of the building. It is in good condition and sufficient rooms and toilets are available. Mosquito net are also provided to the labourers. The contractor has been advised to follow all guidelines as per contract agreement.

37. Safety of labourers needs to be improved with personal protection equipment (PPEs). Apathy in using of PPE by the labour has been noticed. Sufficient PPE equipment for labourers and other working personal at site should be maintained.

## **7.8 Public Consultation**

### **7.8.1 Public Consultation conducted during Project/IEE Preparation**

38. There is no physical or economic, temporary or permanent, IR impact. No person or community is being adversely affected by this sub-project. No land or asset acquisition is necessitated in this sub-project. No people and communities will be physically or economically displaced due to the sub-project interventions. No common property resource (CPR) will be affected. Poor, indigenous and other ethnic groups are not being adversely impacted. Public consultations were conducted by the PMU safeguards team during project and IEE report preparation with an objective to disclose the draft IEE reports and also to assess the possible impacts of the project, if any. Table 1 is presented the date wise public consultation conducted.



**Table 1: Stakeholder Consultation during Project Preparation**

Sl. No.	Date of Site Visit	Place	Participants	Issues Discussed
1	December 2013	Chandigarh	Officials of Tourism Department and other stakeholders	Finalization of project components, environment and social safeguard requirements
2	December 2013	Fatehgarh Sahib	Officials of forest, department, tourism, department and Pollution control board	Proposed design elements, clearance requirements, environment and social policies of ADB
3	December 2013	Chandigarh	Officials of PWD	Proposed design element, NOC/ clearance requirements, environment and social policies of ADB.
4	December 2013	Chandigarh	Officials of Tourism Department/Fatehgarh Sahib Municipal Corporation	Role of Environmental and Social safeguard and the necessity of IEE in the project implementation and methodology adopted

Source: IEE Report, October 2014

### **7.8.2 Public Consultation conducted during DDR Preparation**

39. In addition during Due Diligence Report preparation necessary consultations have been conducted with the labourers, supervisors at the site, PIU officials and civil contractor by Social Safeguards Specialist, PMC on 5th November 2015. During consultation with contractors, it has been revealed that the subproject site is well fenced with permanent boundary wall and no encroachment is there. However, due to festival season civil work is slow due to less availability of labour. While discussion with labourers and women workers at site, it was revealed that shelters have been provided by the contractor within the Aam Khas Bagh.

40. Apart from consultation at Aam Khas Bagh, detailed consultation with warden/care taker of Rauza Sharif has been conducted at Rauza Sharif. NoCs/ undertakings have been provided by the line agency departments for the implementation of the works (Appendix 1).

41. Near the tombs some farmers/labourers were also consulted and issues related to tombs development were discussed.

42. PIU Ropar has been consulted on the issue and advised to get the land boundary of the tombs marked, prior to the mobilisation of contractor at site.



**Figure 3: Public Consultation during DDR Preparation**



**Discussion with the security guard at Aam Khas Bagh**



**Consultation with site Supervisor**



**Warden of Rauza Sharif showing Mazar and discussing  
the related issues**



**Rauza Sharif**

## **7.9 Project Organization Structure**

43. The implementing agency PHTBP had already established two PIUs, one for each circuit (Eastern and Western) at Rupnagar (Ropar) and Amritsar respectively for the implementation and monitoring of subprojects. It comprises the personnel drawn from relevant line departments and outsourced, and each headed by a Project Manager and supported by Community Development Officer (CDO) for effective implementation of the RF. This ensures compliance of all the safeguards as per the ADB guidelines. The PIUs are mainly responsible for:

- i. Prioritizing and preparing subproject proposals;
- ii. Providing day-to-day assistance, supervision and guidance to the design and supervision consultants (DSC);
- iii. Conducting detailed assessments and surveys including public consultation and input from stakeholders;
- iv. Preparing detailed designs, specifications, schedule of quantity, bidding documents, and related documentation;
- v. Implementing civil works and related activities;
- vi. Reporting to PMU;
- vii. Preparing regular progress reports for the SLEC, the executing agency and ADB through PMU;
- viii. Supervising construction, conducting quality control, approving progress payments to contractors; and
- ix. Maintaining records and accounts on an up-to-date basis and making these available to ADB, its missions, or auditors for inspection.

44. In present organizational setup two PIUs are operating at Amritsar and Ropar headed by Project Manager (PM) and assisted by Community Development Specialist (CDO) and Forest



Specialist for smooth functioning and monitoring of safeguards activities. PM PIU is overall responsible for safeguards implementation and reporting monthly to PMU. Environment Safeguards Specialist, PMU is further reporting to GoP and ADB as per agreed reporting schedule. Role and responsibilities are tabulated below as per approved Terms of References. Detailed project organisation structure as proposed in PAM is placed at Appendix-2

### Project Implementation Organizations – Roles and Responsibilities

Implementation Organization	Management Roles and Responsibilities
<b>Environment Safeguards Specialist (ESS), PMU</b>	<p>ESS is discharging the following duties:</p> <ul style="list-style-type: none"> <li>Review of IEE documents and contract clauses and ensure adequacy under ADB's EA guideline 2003 and the updated safeguard policy statement, 2009;</li> <li>Ensure that the project design and specification adequately reflect the IEE</li> <li>Monitor construction activities to ensure that appropriate control measures are effective and in compliance with the IEE and advise PIU for compliance with statutory requirement.</li> <li>Develop training program for the PMU/PIU staff, the contractors and other involved in the project implementation, in collaboration with the Environmental Specialist of the PMC and DSC</li> <li>Review and approve contractors implementation plan</li> <li>Liaise with the Contractors and Consultant on the implementation of environmental measures proposed in IEE</li> <li>Liaise with various government</li> <li>Review the environmental performance of the project</li> <li>Provide support and assistance to the government agencies and the ADB to supervise the implementation of IEE</li> <li>Document the good practices in the project</li> <li>Assist in preparation of SAR for T2 subproject including IEE</li> <li>Monitor all design result framework of IDIPT project</li> <li>Establish dialogue with the affected community</li> <li>Provide support to PMU and design team in preparation of implementation of ADB resettlement plan if any</li> <li>Overseeing the implementation of resettlement plans</li> <li>Helping in issues related to health and overall welfare and development of the labour settlement involved in the project</li> </ul>
<b>Community Development Officer (CDO), PIU</b>	<p>Support PMU and the design team in</p> <ul style="list-style-type: none"> <li>Prepare ToRs and lead/ coordinate community mobilisation and related product development actions</li> <li>Lead workshops and community consultations</li> <li>Define sub projects elements and the costs</li> <li>Coordinate base line study requirements with the DSC team;</li> <li>Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework; and</li> <li>Preparation of performance monitoring reports</li> </ul> <p>Apart from the above duties the following tasks are defined for the CDO</p> <p><b>Responsibilities towards SIA</b></p> <ul style="list-style-type: none"> <li>Preparation of Rural Tourism circuit (route) plan and assess impact on the rural community in terms of physical, socio-economical, life-style, etc aspects</li> <li>Awareness program for the key stakeholders including local community on importance of the wetland and need for it conservation and community based rural tourism potential in general</li> <li>Monitor social impacts and undertake safeguards measures as</li> </ul>



Implementation Organization	Management Roles and Responsibilities
	<p>necessary during implementation of IDIPT projects</p> <p>Responsibilities towards Capacity Building Activities:-</p> <ul style="list-style-type: none"> <li>• Training of local youth as nature guides and promote involvement in tourism development</li> <li>• Formation of SHGs for traditional activities, such as handicraft and local cuisine</li> <li>• Training of local communities for encourage home-stay based on standard guidelines</li> <li>• Conduct regular meeting with village level communities</li> <li>• Formation of village level tourism committees</li> <li>• Organising local events and festivals and promote community involvement</li> </ul> <p>Responsibilities towards Capacity Building Activities:-</p> <ul style="list-style-type: none"> <li>• Ensure women's participation in all consultative processes</li> <li>• Ensure equal job opportunities for female workers in the villages</li> <li>• Systematic incorporation of the women's need in the preparation of all programmes</li> <li>• Providing the women workers with good health, safety, welfare, sanitation and working condition</li> </ul> <p><b>Specific project tasks:</b></p> <ul style="list-style-type: none"> <li>• To conduct participatory rural appraisal involving all the villages under Ropar Rural Tourism Project to identify basic needs of the village</li> <li>• Formation of T-2 subproject involving local community participation</li> <li>• Help in conducting the community-based activities, festivals and events in Eastern Circuit, such as Heritage walk, Holla Mohalla, Quila Raipur</li> <li>• Promotion of homestay and farm tourism in Eastern Circuit</li> <li>• Identify training needs based on specific programs and organise training programmes</li> </ul>
<b>Social Safeguards Specialist (SSS), DSC</b>	<p>Support PMU and the design team in</p> <ul style="list-style-type: none"> <li>• Lead all baseline surveys required for preparation resettlement plans;</li> <li>• Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework;</li> <li>• Lead community consultation during design phase</li> </ul>
<b>Community Development Specialist (CDS), DSC</b>	<ul style="list-style-type: none"> <li>• Lead value chain analysis and lead community mobilization activities;</li> <li>• Lead workshops and community consultations;</li> <li>• Coordinate all activities of this component;</li> <li>• Supervise all activities under this component;</li> <li>• Implementation of ADB compliant resettlement plans if any based on the approved framework;</li> <li>• Oversee implementation of Resettlement Plans, if any;</li> <li>• Preparation of performance monitoring reports</li> </ul>
<b>Social Safeguards Specialist (SSS), PMC</b>	<p>Support PMU and the design team in</p> <ul style="list-style-type: none"> <li>• Coordinate base line requirements with the DSC team;</li> <li>• Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework;</li> <li>• Oversee implementation of Resettlement Plans; and</li> <li>• Preparation of performance monitoring reports</li> </ul>

## 7.10 Grievance Redressal Mechanism

45. It would be pertinent here to refer the Asian Development Bank's policy, which requires proper mechanisms for resolution of disputes that may arise from any aspect of the project and development process. The mechanisms should be "affordable and accessible," and third parties



independent of the implementers should be available at the appropriate point in the process. The grievance address mechanism needs to be simple, administered in the first instance at the local project level to facilitate access and flexibility to various stakeholders, taking into account the need for speedy, just and fair resolution of any grievances.

46. During preparation of social due diligence exercise, the RF and IEE reports have been reviewed and noted that it has proposed effective and interactive mechanism in which participation of the community is ensured through dialogues and frequent meetings with town/village heads, with respect to any grievances.

47. Team Leader, PMC has communicated to PMU regarding formation and functioning of GRC. Email communication made by TL, PMC to PMU on grievance redress mechanism (as proposed in RF document) and necessary instructions from Additional Project Director to both the PIUs for taken early action have been issued. Accordingly Project Manager PIU Ropar have been requested to Deputy Commissioner and Municipal Corporation for nomination of member and necessary approval of GRC. The GRC structure proposed in RF documents and communications made from TL, PMC to PMU and onwards letter issued by PM Ropar to Deputy Commissioner and Municipal Corporation are placed in Appendix-3. PM PIU advised that the similar requests shall be made to Fatehgarh Sahib for the formation of GRC.

48. No case of litigation reported during the due diligence for the project.

### **7.11 Employment Potential**

49. The proposed sub-project 'Imperial Highway Heritage Conservation and Visitor Facility Development, Lot 1: Conservation of Aam Khas Bagh, Lot 2: Conservation of Tombs and Rauza Sharif (PB/IDIPT/T3/03-12/02)' will create direct and indirect employment opportunities. During discussion with supervisor, it revealed that approx. 30-35 labour including skilled and unskilled will be required daily at least entire project period thereby creating more than 12000 man-days. Apart from direct employment for construction works, other types of employment obtain for suppliers of construction materials, operators tractor/dumper, etc., during construction phase. During operation phase, indirect employment creation is envisaged for local shopkeepers, rural artisans etc.

### **7.12 Other Social Issues**

50. During consultation with local people it was expressed that this sub-project is important and very much needed and all are pleased.

#### **7.12.1 HIV/AIDS Awareness**

51. Considering the employment of migrant labourers in the project area for construction work, EA/IA should take proper care during construction to conduct information and education campaigns on the risks of HIV/AIDS and other health issues, targeting construction workers at campsites time to time. The civil works contractor require (i) to disseminate information at worksites on risks of sexually transmitted diseases and (ii) HIV/AIDS awareness as part of health and safety measures for those employed during construction.

#### **7.12.2 Child Labour**

52. It has to be ensured by EA/IA that the civil contractors are not employing or using children for labour. Due diligence exercise has not noticed any child labour during the site visit.



### 7.13 Occupational Health & Safety

53. PIU with the help of DSC needs to monitor the implementation of the Occupational Health and Safety (OHS) guidelines. The same has been included in the contract agreement of civil contract. Accordingly, the contractor should ensure the safety of all workers, materials, plant and equipments belonging to him or to others working at the site through effective and practicable safety management systems. The provision of OHS proposed in IEE report are presented in the box below:

54. During the discussion held with contractor's representative, he assured to provide the facilities as per law. The OH&S part of contract from contractor's agreement needs to be followed (Appendix-4), from the contract agreement of the contractor and summarised here in below box. The implementation of the following should be closely monitored.

*The Contractor shall, in accordance with the safety and health provisions specified in the EMP, provide workers with a safe and healthy working environment, in the work areas, through application of preventive and protective measures consistent with international good practice, as reflected in internationally recognized standards such as the ADB's Environment, Health and Safety Guidelines. The borrower/client will take steps to prevent accidents, injury, and disease arising from, associated with, or occurring during the course of work by-*

- *Providing preventive and protective measures, including modification, substitution, or elimination of hazardous conditions or substances;*
- *Providing appropriate equipment to minimize risks and requiring and enforcing its use;*
- *Training workers and providing them with appropriate incentives to use and comply with health and safety procedures and protective equipment;*
- *Documenting and reporting occupational accidents, diseases, and incidents; and*
- *Having emergency prevention, preparedness, and response arrangements in place.*
- *Provide first aid facilities in all the work sites and workers camp and having qualified first aider to give first aid at the time of any accident.*
- *Contractor should provide safe drinking water, clean eating and resting areas and sufficient amenities at work site and workers camps.*
- *Contractor should have prepared emergency response plan with full details and methods of emergency response during any accident and shall have and display the emergency contract numbers at site.*
- *Contractor should follow all the applicable rules and regulations for workers safety*
- *Workers complaint registers must be available at site.*
- *Housekeeping conditions can be improved.*
- *Sign boards and contact numbers should be displayed at visible locations.*
- *All the emergency numbers should be displayed in Hindi script at the construction site.*
- *All safety rules at work and provision of adequate health and safety measures such as water, food, sanitation, and personal protective equipment, workers insurance and medical facilities should be made available.*
- *Accident and Grievances Register should be maintained at the construction sites.*
- *Dust bin should be made available for collecting the garbage at the construction sites.*
- *Provision of crèche can be done wherever found necessary.*

### 7.14 Disclosure Process Followed for Safeguard and Other Related Documents

55. As per RF, public consultation meetings need to be undertaken throughout the implementation stage. All the five principles of information dissemination, information solicitation, integration, coordination and engagement into dialogue need to be incorporated. IEE Report suggests disclosure safeguard documents at prominent places for public information. During site visit of due diligence, it is observed that there is no information or sign boards for public to inform



regarding nature and duration of construction works and contact numbers to lodge complaints / grievances.

### 7.15 Social Safeguards Impact Categorization

56. Based on the above assessment of Tranche-3 sub-projects, categorized as “C” for involuntary resettlement (IR) as well as Indigenous people development as per ADB's Safeguard Policy Statement (SPS-2009). Table no. 2, below presents the social safeguards impact categorisation and the detailed screening forms for resettlement categorization and indigenous people are placed at Appendix 5 & 6.

**Table 2: Project Social Safeguards Impact Categorization**

Name of Project Type of contract	IDIPT, Punjab Loan No. No. 3223– IND		
	Regular	Design Build	Combined
<b>Safeguards Categorization</b>	<b>A</b>	<b>B</b>	<b>C</b>
IR (Involuntary Resettlement Impact Categorization)	N.A.	N.A.	C
IP (Indigenous people Categorization)	N.A.	N.A.	C

### 7.16 Compliance to Social Impacts Mitigation during Project Planning, Design and Implementation Stages

**Table 3: Compliance to Social Impacts Mitigation**

Sl. No.	Social Safeguard Issues	Findings	Remarks
1.	Resettlement Impacts	<ul style="list-style-type: none"> <li>The selected site is government land (Department of Cultural Affairs Archaeology &amp; Museum). Livelihood pattern of any person/villager is not affected due to the project.</li> </ul>	The project is categorized as “C” for involuntary resettlement (IR) as well as Indigenous People Development as per ADB's Safeguard Policy Statement (SPS-2009).
2.	Census and socio-economic survey	<ul style="list-style-type: none"> <li>As no impact noticed, hence not carried out.</li> </ul>	
3.	Land acquisition	<ul style="list-style-type: none"> <li>The proposed land is government land and NOC/undertaking obtained from concerned department.</li> </ul>	No LA required
4.	Entitlements	<ul style="list-style-type: none"> <li>ADB's policy of IR shall be applicable, if any impact seen.</li> </ul>	
5.	Public consultation and disclosure	<ul style="list-style-type: none"> <li>Public consultation has been carried during the project preparation stage</li> </ul>	
6.	Impact on tribal people	<ul style="list-style-type: none"> <li>Impact on tribal people is not envisaged as part of the project. Fatehgarh Sahib district as such are not part of the notified Fifth Schedule Area.</li> </ul>	-
7.	Resettlement sites	<ul style="list-style-type: none"> <li>Not applicable</li> </ul>	
8.	Socio-economic rehabilitation measures	<ul style="list-style-type: none"> <li>Not applicable</li> </ul>	
9.	Implementation arrangement	<ul style="list-style-type: none"> <li>Social safeguard implementation is part of the contract of IDIPT. PMU along with the PIU personnel is the core group responsible for social safeguard implementation at the project site. PMU and PIU is assisting in overall implementation of social safeguards.</li> </ul>	
10.	Grievance Redressal	<ul style="list-style-type: none"> <li>GRM implementation action plan has been communicated to all for implementation.</li> </ul>	TL, PMC has written an email regarding formation and



Sl. No.	Social Safeguard Issues	Findings	Remarks
	Mechanism		functioning of GRC as suggested in RF/IEE document.

## 8. SUMMARY FINDINGS/ RECOMMENDATION

57. In this Sub-Project intervention, full or partial, permanent or temporary, physical and economic displacements are absent. There will not be any adverse impact on people, livelihood, land or structure and common property resources are observed.

58. This sub-project has been categorized as “C” for Involuntary Resettlement (IR) impact as well as Indigenous people development (IP) as per the ADB’s Safeguard Policy Statement, 2009 (SPS). Screening questions form for IR & IP categorization is placed as **Appendix-5 and Appendix-6**.

59. It is concluded from this due diligence study that the proposed infrastructure improvements will not change substantially the present land use; there will be no issues of land acquisition and resettlement related to this sub-project. All facilities will be built on vacant government land. Due to project intervention, there will be no loss of income of any person or any assets, either privately owned or publicly owned.

60. The key observations of the due diligence on the social impacts are summarised as follows:

- All the sites in this package are located on government land which is in possession of Department of Cultural Affairs Archaeology & Museum. The necessary permissions such as No Objection Certificates (NOC) and Undertaking have been obtained. The project site at Aam Khas Bagh and Rauza Sharif is fenced and no encroachment observed during due diligence site visit.
- PHTPB has carried out IEE of the project and had conducted public consultation during project preparation. The communities are aware of the project and have positively participated in the project activities;
- Safeguard implementation should follow the principles and methods suggested in the RF.
- Grievance redress committee should be made functional and information regarding the same should be disseminated, in order to create awareness among public and other stakeholders to lodge any grievances. PM, PIU Ropar may take necessary action in this regard. Similar to Ropar, Deputy Commissioner and Municipal Corporation Fatehgarh (Sirhind) may be contacted for early formation of GRC at Fatehgarh Sahib.
- Labour Register at construction site should be properly maintained, with details of wages given to both men and women, PPEs should be provided to respective labourers, etc. Minimum wages as applicable in Punjab should also be paid to all the labourers.
- Awareness on HIV/AIDS and other health issues should be provided.
- Use of firewood for cooking food in construction camp site should be restricted and use of gas or kerosene should be encouraged.
- Information and safety signage at main entry gate needs to be provided.
- Occupational health and safety measures are not being implemented satisfactorily.

61. The due diligence study concludes that the project does not involve any outstanding social safeguards issues. At the same time, PMU/PIU may urge the CSC / Contractors to address the



issues identified during the due diligence exercise. CSC/Contractor should prepare an action taken report for inclusion in periodic monitoring reports.

# APPENDICES

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**APPENDIX 1: NOC & UNDERTAKING FROM DIRECTORATE CULTURAL AFFAIRS  
ARCHAEOLOGY & MUSEUM, PUNJAB, CHANDIGARH**

Aam Khas Bagh

**NO OBJECTION CERTIFICATE**

It is certified that there is no objection if the proposed project *Management and reuse*  
*plan of Aam Khas Bagh including Conservation of boundary wall and Providing visit*  
*facilities at arts and Crafts Centre (Maulana)*  
(Name of the project)  
is executed by PHTPB of the Tourism Department (Punjab) as per the guide lines of  
Govt. of India and ADB loan funded projects under IDIPT at *Aam Khas Bagh*  
*Distt Fatehgarh Sahib, Punjab*  
(details of land/area/ building)

Place: *Chandigarh*

Date: *28/8/14*

Signature

*msb*

Department /owner

Director,  
Cultural Affairs Archaeology &  
Museum, Punjab, Chandigarh  
(Official Stamp)

Counter Signed

*msb*  
Deputy Commissioner  
Fatehgarh Sahib  
Deputy Commissioner

(Official Stamp)

**CERTIFICATE AND UNDERTAKING**

It is certified that: -

1. The Dam Ichaal Bagh Distt Fatehgarh Sahib  
(details of land/area/ building) Where  
the Management and reuse plan of Dam Ichaal Bagh including Conservation of  
(name of the project) project is proposed, for  
Boundary wall and Providing Visitors facilities at arts & Craft Centre (Mau)  
execution by PHTPB of the Tourism Department (Punjab), is under the ownership of  
Directorate of Cultural Affairs, Archaeology and Museums and is  
(Details of the owner)  
under the possession of Directorate of Cultural Affairs, Archaeology and  
(Details of possessor)  
Museums
2. There is NO encroachment and NO resettlement/displacement/rehabilitation of people involved in the above Proposed Project area/building/land.
3. The proposed Project is not Partially/Fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.
4. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by Directorate of Cultural Affairs,  
(Name of the department/organization)  
Archaeology and Museums, Punjab

Place: Chandigarh

Date: 27/8/14

MRD

Signature MRD

Department/Organisation/Owner

(Official Stamp)

**Director,  
Cultural Affairs Archaeology &  
Museums, Punjab, Chandigarh**

Counter Signed

For  
**Deputy Commissioner  
Fatehgarh Sahib**

Tomb of Rafuddin

**NO OBJECTION CERTIFICATE**

It is certified that there is no objection if the proposed project CONSERVATION  
& SITE DEVELOPMENT OF TOMB OF RAFUDDIN  
(name of the project)  
is executed by PHTPB of the Tourism Department (Punjab) as per the guide lines of  
Govt. of India and ADB loan funded projects under IDIPT at VILLAGE TALANJAN,  
SIRHIND  
(details of land/area/ building )

Place: CHANDI GARH

Date: 27/9/14

Signature

Pooja Sayal

Department/owner  
Punjab Sahib  
Chandigarh

(Official Stamp)

Counter Signed

Ja

**Deputy Commissioner**  
**Fatehgarh Sahib**

(Official Stamp)

**CERTIFICATE AND UNDERTAKING**

It is certified that: -

1. The TOMB OF RAFUDDIN IN VILLAGE TALANIAN, SIRHIND  
(details of land/area/ building) ..... Where  
the CONSERVATION AND SITE DEVELOPMENT OF TOMB OF  
(name of the project) .....  
RAFUDDIN ..... project is proposed, for  
execution by PHTPB of the Tourism Department (Punjab), is under the ownership of  
.....MUNICIPAL COUNCIL, SIRHIND.....and is  
(Details of the owner)  
under the possession of MUNICIPAL COUNCIL, SIRHIND.....  
(Details of possessor)

2. There is NO encroachment and NO resettlement/displacement/rehabilitation of people involved in the above Proposed Project area/building/land.

3. The proposed Project is not Partially/Fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.

4. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by MUNICIPAL COUNCIL, SIRHIND.  
(Name of the department/organization)

Place: CHANDIGARH

Date: 27/9/14

Signature Pooja Sahab  
.....  
Department/ Organisation/ Owner  
(Official Stamp)

Counter Signed

Fateh Singh Sabih  
Deputy Commissioner

(Official Stamp)

Department of Cultural Affairs, Archaeology & Museum, Punjab  
Plot No. 3, Sector 38-A, Chandigarh.

DCAM/ACRH/No. 1136

Dated: 27/09/14

Subject:- **No Objection Certificate and undertaking for Tomb of Raffudin at  
Distt. Fatehgarh Sahib by PHTPB.**

It is certified that there is no objection if the proposed project conservation/development of the Tomb of Raffudin at Fatehgarh Sahib, Tehsil & Distt. Fatehgarh Sahib is executed by PHTPB, Department of Tourism (Punjab) as per the guide lines of Govt. of India and ADB loan funded project under the IDIPT project. This site is under the protection of Punjab Govt. under "THE PUNJAB ANCIENT AND HISTORICAL MONUMENTS AND ARCHAEOLOGICAL SITES AND REMAINS ACT, 1964." The conservation should be done as per archaeological principles. The Department of Cultural Affairs undertakes that:-

1. There is no encroachment and no resettlement/displacement/rehabilitation of people involved in the above Proposed Project area/building/land.
2. The proposed project is not partially/fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.
3. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by Department of Cultural Affairs, Archaeology & Museums, Punjab.

A management plan of the monument/building may please also be made.

Place: Chandigarh

Date: 27/09/14

  
Director

ਡਾਇਰੈਕਟਰ ਸੱਭਿਆਚਾਰਕ ਅਤੇ  
ਪੁਰਾਤੱਤਵ ਅਤੇ ਅਜਾਇਬਘਰ, ਪੰਜਾਬ।

  
Deputy Commissioner  
Fatehgarh Sahib



Tomb of Alfsani

**NO OBJECTION CERTIFICATE**

It is certified that there is no objection if the proposed project .....  
Conservation & its development of Tomb of Alfsani.  
(name of the project)  
is executed by PHTPB of the Tourism Department (Punjab) as per the guide lines of  
Govt. of India and ADB loan funded projects under IDIPT at Talanian, Dikhind  
.....  
(details of land/area/ building )  
.....

Place:

Signature .....

Pooja Sayal

Department /owner

ਉਪ ਮੰਡਲ ਮੈਜਿਸਟਰੇਟ

ਫਤਿਹਗੜ੍ਹ ਸਾਹਿਬ

(Official Stamp)

Date:

Counter Signed

Jas

Deputy Commissioner

Fatehgarh Sahib

(Official Stamp)

**CERTIFICATE AND UNDERTAKING**

It is certified that: -

1. The TOMB OF ALFSANI IN VILLAGE TALANIAN, SIRHIND  
(details of land/area/ building )

Where  
the CONSERVATION & SITE DEVELOPMENT OF TOMB OF ALFSANI  
(name of the project )

..... project is proposed, for  
execution by PHTPB of the Tourism Department (Punjab), is under the ownership of  
MUNICIPAL COUNCIL, SIRHIND..... and is  
(Details of the owner)  
under the possession of MUNICIPAL COUNCIL, SIRHIND.....  
(Details of possessor)

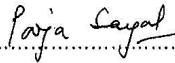
2. There is NO encroachment and NO resettlement/displacement/rehabilitation of  
people involved in the above Proposed Project area/building/land.

3. The proposed Project is not Partially/Fully part of any other project funded under any  
other scheme/programme of the State/Central Govt. or any external funding.

4. The assets created as a result of the execution of above stated project will be taken  
over for operation and maintenance by MUNICIPAL COUNCIL.....  
(Name of the department/organization)  
SIRHIND.....

Place:

Signature



Date:

Department/Organisation/Owner  
(Official Stamp)  
ਸਰ ਮਨ ਮਿਸ਼ਨਰੀ  
ਸਿਰਹਿੰਦ ਮਾਹਿਸ

Counter Signed

  
Deputy Commissioner  
Fatehgarh Sahib  
(Official Stamp)

**Department of Cultural Affairs, Archaeology & Museum, Punjab  
Plot No. 3, Sector 38-A, Chandigarh.**

DCAM/ACRH/No. 1137

Dated: 27/09/14

**Subject:- No Objection Certificate and undertaking for Tomb of Alfsani at  
Distt. Fatehgarh Sahib by PHTPB.**

It is certified that there is no objection if the proposed project conservation/development of the Tomb of Alfsani at Fatehgarh Sahib, Tehsil & Distt. Fatehgarh Sahib is executed by PHTPB, Department of Tourism (Punjab) as per the guide lines of Govt. of India and ADB loan funded project under the IDIPT project. This site is under the protection of Punjab Govt. under "THE PUNJAB ANCIENT AND HISTORICAL MONUMENTS AND ARCHAEOLOGICAL SITES AND REMAINS ACT, 1964." The conservation should be done as per archaeological principals. The Department of Cultural Affairs undertakes that:-

1. There is no encroachment and no resettlement/displacement/rehabilitation of people involved in the above Proposed Project area/building/land.
2. The proposed project is not partially/fully part of any other project funded under any other scheme/programme of the State/Central Govt. or any external funding.
3. The assets created as a result of the execution of above stated project will be taken over for operation and maintenance by Department of Cultural Affairs, Archaeology & Museums, Punjab.

A management plan of the monument/building may please also be made.

Place: Chandigarh

Date: 27/09/14

  
Director

ਡਾਇਰੈਕਟਰ ਸੱਭਿਆਚਾਰਕ ਮਾਮਲੇ  
ਪੁਸ਼ਤਤਾਤ ਅਤੇ ਅਜਾਇਬਘਰ, ਪੰਜਾਬ।

  
Deputy Commissioner  
Fatehgarh Sahib

Tomb of Bibi Taj

Department of Cultural Affairs, Archaeology & Museum, Punjab  
Plot No. 1, Sector 18-A, Chandigarh.

DC AM/ACRH/No. 3114

Dated: 4/9/13

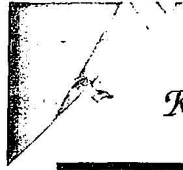
Subject: No Objection Certificate for conservation of the Tomb of  
Bibi Taj and the Tomb of Dera Mir Meera at Distt.  
Fatehgarh Sahib.

The Department has no objection if Punjab Tourism &  
Promotion Board or the Tourism department carries out conservation  
work of the Tomb of Bibi Taj and the Tomb of Dera Mir Meera at  
Distt. Fatehgarh Sahib. These monuments are declared protected  
monument by the Punjab Government. The Department further  
provides unencumbered access to you to undertake the conservation  
work as per archeological/restoration/conservation principals.

  
Director

  
Deputy Commissioner  
Fatehgarh Sahib

Rauza Sharif



01763 - 232144 (R)  
Cell 94174 - 36769

*Khalifa Syed Mohammad Sadiq Raza*

*Mujeddedi*

Sajjada Nashin KHANQAH - E - ALIA MUJEDDEDIA

Rouza Sharif, SIRHIND - 140 406 Distt. Fatehgarh Sahib (Pb.) India

Dated.....

ANNEXURE-2.

Ref No.

Dated: 26/08/2013.

To  
Executive Director,  
Punjab Heritage and Tourism Promotion Board,  
Punjab Archive Bhawan, Plot No.3,  
Sector 38-A, Chandigarh.

Subject: No Objection certificate for development of Dargah Rouza  
Sharief at Sirhind, Distt. Fatehgarh Sahib.

Ref:- Your letter reference No. PHTPB/CV 2013/795-798 dated 23.08.2013.

The Khalifa Syed Mohd. Sadiq Raza Resident of Dargah Rouza Sharief Sirhind Distt. Fatehgarh Sahib has no objection on the Punjab Heritage and Tourism Promotion Board carrying out the development of Dargah Rouza Sharief as proposed on the site owned by Rouza Sharif through its Khalifa measuring \_\_\_\_\_ Sqmt. The Khalifa Syed Mohd. Sadiq Raza of Rouza Sharif Sirhind provides unencumbered access to the site and the right of the way to PHTPB to undertake the development of the proposed project approved copy of the site plan along with copy of the document is also enclosed herewith.

Authorized Signatory.

*Khalifa Syed Mohd. Sadiq Raza*  
Khalifa Syed Mohd. Sadiq Raza,  
Sajjada Nashin Dargah Rouza Sharif  
Sirhind, Distt. Fatehgarh Sahib.

*Law*  
Deputy Commissioner  
Fatehgarh Sahib



01763 - 232144 (R)  
Cell 94174 - 36769

*Khalifa Syed Mohammad Sadiq Raza*  
Mujeddedi

Sajjada Nashin KHANQAH - E - ALIA MUJEDDEDIA

Rouza Sharif, SIRHIND - 140 406 Distt. Fatehgarh Sahib (Pb.) India

Dated: .....

ANNEXURE-3.

Ref No.

Dated: 26/08/2013.

To  
Executive Director,  
Punjab Heritage and Tourism Promotion Board,  
Punjab Archive Bhawan, Plot No.3,  
Sector 38-A, Chandigarh.

Subject:- Undertaking for operation and Maintenance Dargah Rouza  
Sharief at Sirhind, Distt. Fatehgarh Sahib.

Ref: - Your letter reference No. PHTPB/CV 2013/795-798 dated 23.08.2013.

The Khalifa Syed Mohd. Sadiq Raza Resident of Dargah Rouza Sharief Sirhind Distt. Fatehgarh Sahib undertakes to operate and maintained the Dargah Rouza Sharief Sirhind, Distt. Fatehgarh Sahib to be developed by Punjab Heritage and Tourism Promotion Board on the site owned by Rouza Sharif through its Khalifa measuring \_\_\_\_\_ Sqmt. on completion of the construction and hand over of the project facility of PHTPB to the Khalifa Mohd. Sadiq Raza of Rouza Sharif in accordance with the standard procedure and practice in the best interest of the project facility.

Authorized Signatory.

*Khalifa Sadiq Raza*  
Khalifa Syed Mohd. Sadiq Raza,  
Sajjada Nashin Dargah Rouza Sharif  
Sirhind, Distt. Fatehgarh Sahib.



Access Roads

**NO OBJECTION CERTIFICATE**

It is certified that there is no objection if the proposed project IMPROVED...  
ACCESS TO MUGHAL PERIOD MONUMENTS  
(name of the project)  
is executed by PHTPB of the Tourism Department (Punjab) as per the guide lines of  
Govt. of India and ADB loan funded projects under IDIPT at SIRHIND,  
FATEHGARH SAHIB  
(details of land/area/ building)

Place: CHANDIGARH

Date: 27/3/14

Signature Pooja Sayal  
Department/owner  
ਕੀਰਤੀ ਸਾਹਿਬ

(Official Stamp)

Counter Signed

[Signature]  
Deputy Commissioner  
Fatehgarh Sahib  
(Official Stamp)

**CERTIFICATE AND UNDERTAKING**

It is certified that: -

1. The ROADS CONNECTING TOMB OF AL-FSANI, TOMB OF  
RAFUDIN, MOSQUE OF SARNA QASAI, TOMB OF MIR MIAN Where  
(details of land/area/ building)  
the IMPROVED ACCESS TO MUGHAL PERIOD MONUMENTS  
(name of the project)  
..... project is proposed, for  
execution by PHTPB of the Tourism Department (Punjab), is under the ownership of  
MUNICIPAL COUNCIL SIRHIND and is  
(Details of the owner)  
under the possession of MUNICIPAL COUNCIL SIRHIND  
(Details of possessor)  
.....

2. There is NO encroachment and NO resettlement/displacement/rehabilitation of  
people involved in the above Proposed Project area/building/land.

3. The proposed Project is not Partially/Fully part of any other project funded under any  
other scheme/programme of the State/Central Govt. or any external funding.

4. The assets created as a result of the execution of above stated project will be taken  
over for operation and maintenance by MUNICIPAL COUNCIL  
(Name of the department/organization)  
SIRHIND

Place: CHANDIGARH

Date: 27/9/14

Signature Pooja Sayal  
Department/Organisation/Owner  
(Official Stamp) ਪੰਜਾਬ ਸਾਹਿਤ

Counter Signed

Deputy Commissioner  
Chandigarh Sahib  
(Official Stamp) Sm

## APPENDIX 2: PROJECT ORGANIZATION STRUCTURE (AS PROPOSED IN PAM)

The Project management flow chart showing the reporting lines and key organizations involved in implementation is shown in Figures 1.

### Project Implementation Organizations – Roles and Responsibilities

Implementation Organization	Management Roles and Responsibilities
<b>Executing agency</b>	<b>Government of Punjab through Department of Tourism (DOT)</b>
	<ul style="list-style-type: none"> <li>✓ Assume overall responsibility for the execution of the MFF and reporting;</li> <li>✓ Engage adequate permanent or fixed-term staff to implement the MFF;</li> <li>✓ Setup a state-level project management unit and project implementation units;</li> <li>✓ Provide overall strategic guidance on technical supervision and project execution; and</li> <li>✓ Ensure overall compliance with the loan covenants.</li> </ul>
<b>Implementing agency</b>	<b>Punjab Heritage and Tourism Promotion Board (PHTPB)</b>
	<ul style="list-style-type: none"> <li>✓ Project planning and budgeting;</li> <li>✓ Day-to-day assistance, supervision and guidance for the project implementation units and their consultants;</li> <li>✓ Review subprojects for due diligence requirements and approve subproject proposals;</li> <li>✓ Bidding, evaluation and contract award;</li> <li>✓ Managing and disbursing funds;</li> <li>✓ Review compliance with loan covenants, contract specifications, work plans and quality control;</li> <li>✓ Consolidate and submit progress reports, finance and accounting / audit reports, and matters requiring higher-level decision to state level empowered committee (SLEC) and ADB</li> </ul>
<b>ADB</b>	<ul style="list-style-type: none"> <li>✓ Loan processing activities and signing of loan agreement;</li> <li>✓ Recruitment of implementation capacity development consultant;</li> <li>✓ Procurement supervision;</li> <li>✓ Disbursement;</li> <li>✓ Project administration reviews and missions;</li> <li>✓ Reviewing compliance with loan covenants;</li> <li>✓ Change in project scope or implementation arrangements; and</li> <li>✓ Reallocation of loan proceeds.</li> </ul>
<b>State-Level Empowered Committee (SLEC)</b>	<ul style="list-style-type: none"> <li>✓ act as a policy making body;</li> <li>✓ Provide overall advice and guidance to the state's EA and PMU; and</li> <li>✓ Accord all approvals under the MFF.</li> </ul>

### State Level Project Empowered Committee.

State-Level Empowered Committee (SLEC) have been established in Punjab, chaired by Chief Secretary, Govt. of Punjab with Principal Secretary/Secretary of the Department of Tourism as member secretary and comprised of secretaries from relevant line departments as indicated in the Facility Administration Manual (FAM). The SLEC have been empowered to take all decisions on behalf of the State and will (i) act as a policy making body, (ii) provide overall advice and guidance to the State's executing agency and project management unit (PMU), and (iii) accord all approvals under the Project.

The members of SLEC for the Punjab are as follows: Chief Secretary (Chair); the Principal Secretary / Secretary of the Department of Tourism; the Principal Secretary / Secretary of Finance; Finance Commissioner, Department of Forests and Wildlife Preservation; Principal Secretary / Secretary, Public Works Department; Principal Secretary / Secretary, Department of Local Government; Secretary, Department of Rural Development and Panchayat; Principal Secretary / Secretary, Irrigation; Director, Department of Cultural Affairs, Archives, Archaeology and Museum; Representative, Archaeological Survey of India; Principal Secretary / Secretary, Department of Fisheries; Director, Department of Tourism; and Principal Secretary/Secretary, Department of Housing and Urban Development.

**Executing Agencies:**

The Department of Tourism (DOT), Government of Punjab is the executing agencies of the Punjab. All necessary powers to: (i) assume overall responsibility for the execution of the tranche; (ii) engage adequate permanent or fixed-term staff to implement the tranche; (iii) setup a state-level PMU and project implementation units (PIUs); (iv) provide overall strategic guidance on technical supervision and project execution; and (v) ensure overall compliance with the loan covenants, will be delegated to the respective executing agencies. The Project Implementation flow chart showing the reporting lines and key agencies involved in implementation is shown in **Error! Reference source not found..**

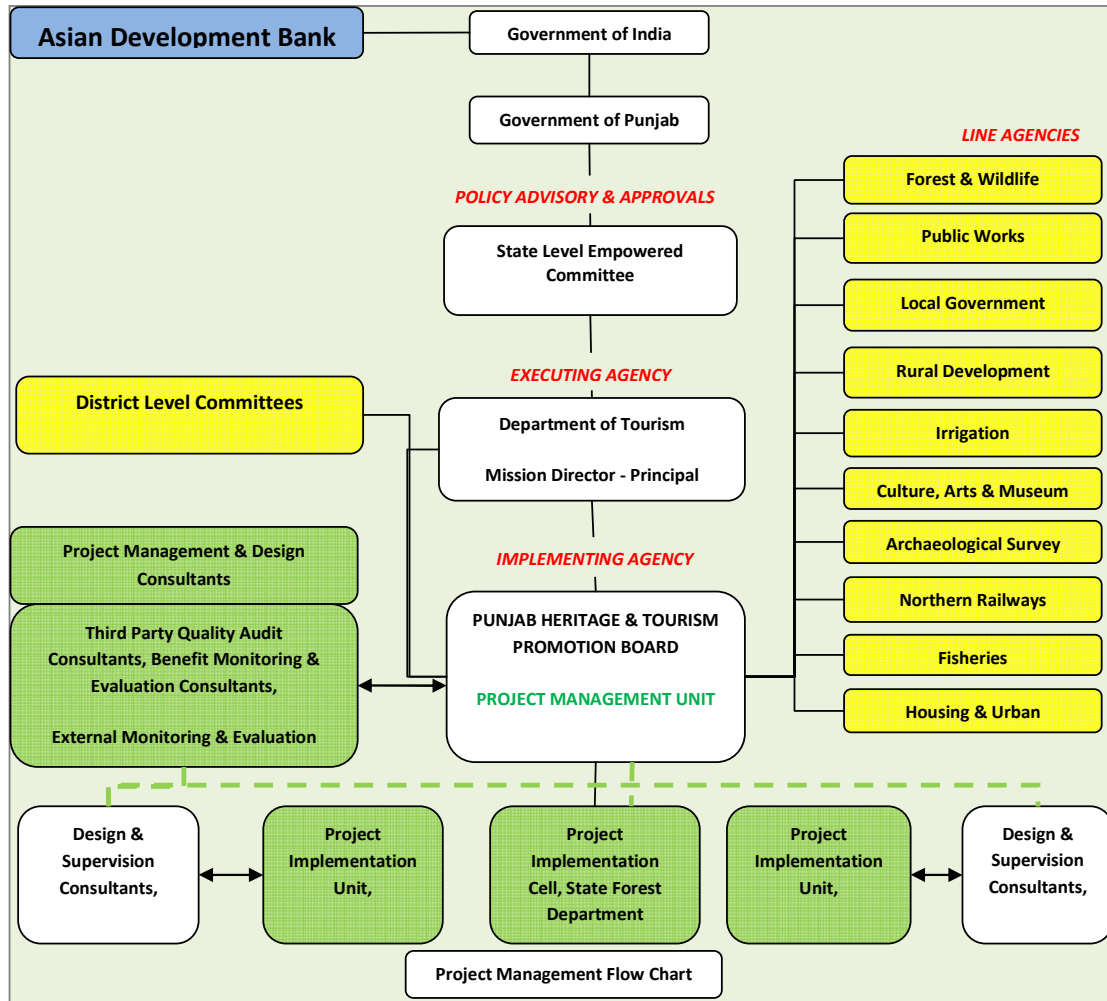
**Implementing Agency:**

The Punjab Heritage and Tourism Promotion Board (**PHTPB**) is the implementing agency in Punjab

**Project Management Unit:**

PHTPB have a PMU, headed by a full-time Program Director (PD), and consisting of personnel drawn from relevant line departments and market. The PMU are being supported by a team of consultants including Environmental safeguard specialist. The PMU is the nodal agency for overall management of all program activities and are responsible for: (i) project planning and budgeting; (ii) providing day-to-day assistance, supervision and guidance for the project implementation units (PIUs) and their consultants; (iii) reviewing subprojects to satisfy ADB's due diligence requirements and approving subproject proposals submitted by PIUs and line departments; (iv) bidding, evaluation and contract award; (v) managing and disbursing funds; (vi) reviewing compliance with loan covenants, contract specifications, work plans and quality control; (vii) consolidating and submitting progress reports, finance and accounting/audit reports, and matters requiring higher-level decision, to the SLEC and ADB.

The State shall ensure that (i) specific divisions in the Project management units is staffed for financial management; (ii) transparent procedures are established for financial transactions; (iii) the PMUs follow government rules and procedures for all expense and revenue items including cash and for proper and accurate maintenance of financial records; and (iv) a project website remains established to provide information on Project implementation including procurement.



### Project Implementation Units:

The implementing agency had already established two PIUs, one for each circuit (Eastern and Western) at Rupnagar (Ropar) and Amritsar respectively for the implementation and monitoring of subprojects. It comprises the personnel drawn from relevant line departments and outsourced, and each headed by a project manager and supported by Community Development Officer (CDO) for effectively implementation of the RF and ensures the safeguard compliance provisions of the investment program. The PIUs are responsible for: (i) prioritizing and preparing subproject proposals; (ii) providing day-to-day assistance, supervision and guidance to the design and supervision consultants (DSC); (iii) conducting detailed assessments and surveys including public consultation and input from stakeholders; (iv) preparing detailed designs, specifications, schedule of quantity, bidding documents, and related documentation; (v) implementing civil works and related activities; (vi) reporting to PMU; (vii) preparing regular progress reports for the SLEC, the executing agency and ADB through PMU; (viii) supervising construction, conducting quality control, approving progress payments to contractors; and (ix) maintaining records and accounts on an up-to-date basis and making these available to ADB, its missions, or auditors for inspection.

### APPENDIX 3: GRIEVANCE REDRESSAL MECHANISMS

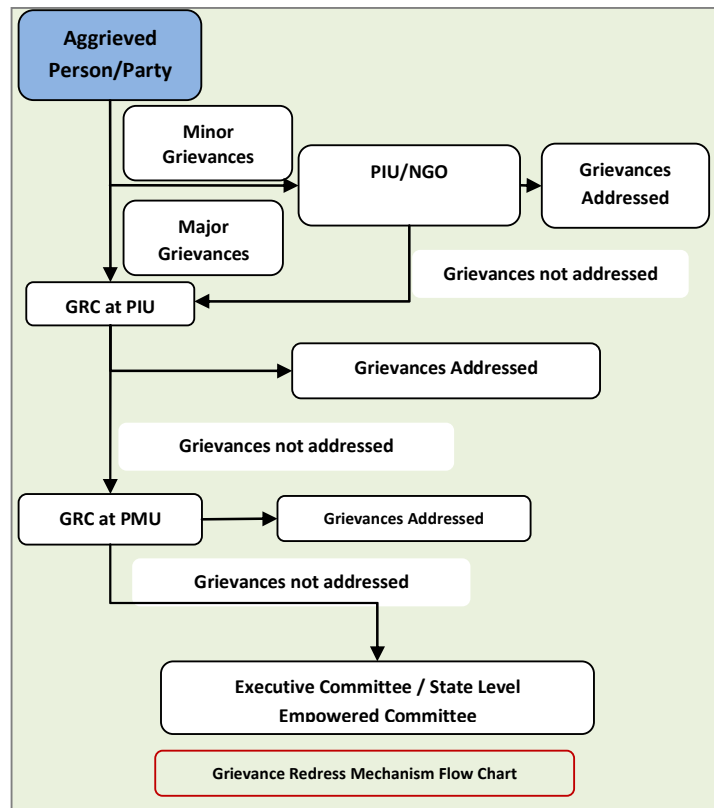
The structure suggested of Grievance Redress Committee (GRC) in RF at PIU as follows. For all the IDIPT sub projects, the affected person/aggrieved party can give their grievance verbally to Site Engineer or in written to the Project Manager of PIU. Grievances of affected person will first be brought to the attention of the site Engineer PIU, who can resolve the issue at site level. If the matter is not solved within 7 days period at site, it will be brought to the Grievance Redress Committee (GRC) constituted for the purpose in PIU. This GRC shall discuss the issue in its monthly meeting and resolve the issues within one month of time after receiving the grievance. If the matter is not resolved by GRC at PIU level within stipulated time, it shall be referred to GRC at PMU level by Project Manager PIU. If the matter is still not addressed, the same may refer to Executive Committee / State Level Empowered Committee (SLEC). The grievance redress process flow chart is shown in Figure.

In each PIU, there shall be one GRC, which will include mentioned members;

Project Manager (PIU), Chairperson

- District Tourist Officer of Department of Tourism, Govt. Of Punjab,
- Community Development Officer of PIU,
- Nominated representative of Deputy Commissioner and
- Nominated representative of Municipal Commissioner.

The committee required to meet at least once in every month. Agenda of meeting shall be circulated to all the members and affected persons/aggrieved party along with venue, date and time; informed in written at least 7 days in advance of meeting. The matters shall remain with GRC at PIU level for one month and if grievance is not resolved within this time period, at the matter shall be referred to GRC at PMU.



Grievance Redress Committee (GRC) at PMU. The matters not resolved by the GRC at PIU level within one month shall come under GRC at PMU. GRC at PMU will include:

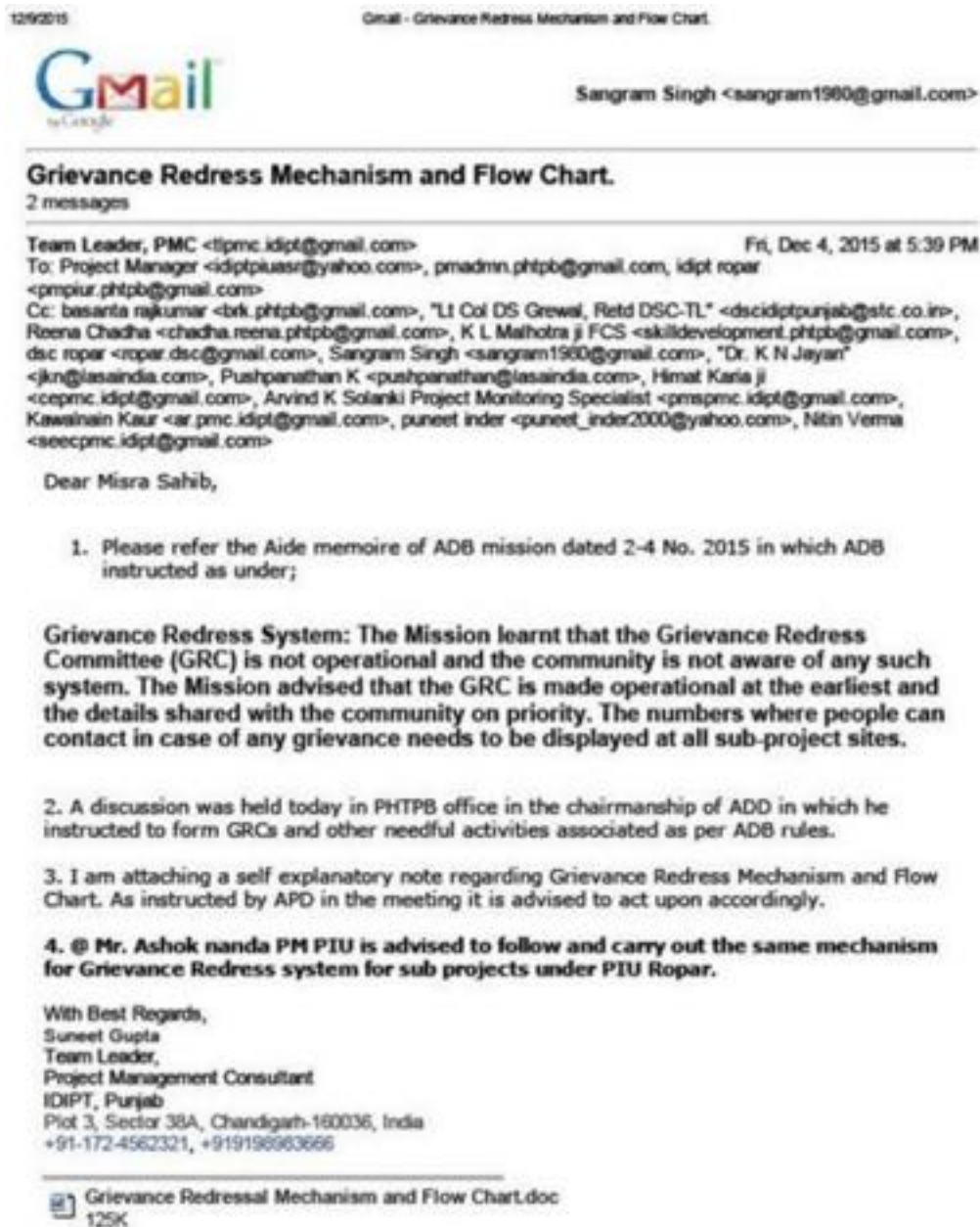
- Additional Project Director (APD),
- Community Development Specialist, and
- Environment Safeguards Specialist of PMU.

The Committee shall be headed by APD of PMU. This committee shall look the matters, which are referred to and not resolved by GRC at PIU level.



GRC at PMU shall discuss the issue and try to resolve it and inform the PIU accordingly. If the matter is not resolved by the GRC at PMU level within one month of time, the aggrieved person/party can bring the matter to The SLEC. The PIU shall keep records of all grievances received including contact details of complainant, date of receiving the complaint, nature of grievance, agreed corrective actions and the date these were affected and final outcome.

### COMMUNICATION FOR FORMATION of GRC



**NECESSARY INSTRUCTIONS ISSUED FROM ADDITIONAL PROJECT DIRECTOR to PIUs**

**Punjab Heritage and Tourism Promotion Board**  
Infrastructure Development Investment Programme for Tourism (IDIPT)  
(ADB Assisted Project)  
Plot No. 03, Sector 38 A, Chandigarh, Ph. 0172-2625952, 5014495  
Email: idipt.pb.office@gmail.com



To,

Project Manager,  
PIU, IDIPT  
Amritsar

No. PHTPB/IDIPT/2015/5881-86  
Dated: 01/12/15

**Subject: Sub: Loan 2676 IND, 3223 IND: Infrastructure Development  
Investment Programme for Tourism – Implementation of Grievance  
Redress Mechanism**

As per the requirements of Asian Development Bank (ADB) all the projects under Infrastructure Development Investment Program for Tourism (IDIPT) are required to implement Grievance Redress Mechanism.

An action plan in this regard is enclosed herewith for your implementation and subsequent reporting vis-à-vis given timelines, formats for information without any further delay.

**Addl. Project Director**

CC:- CGM, PHTPB  
Project Manager (Incharge) PIU  
CDS, PMU  
CDO, PIU, Amritsar  
TL, DSC  
TL, PMC

ok

End: As above

BNNF-XI/AL-2

**FORMAT FOR RECORDING THE PROCEEDINGS OF  
GRIEVANCE REDRESS COMMITTEES (GRCs)**

1. Name of the complainant/s:
2. National identification number:
3. Address:
4. Date of the inquiry:
5. Time:
6. Whether complainant participated or not:
7. Grievance or issue (In summary):
8. Statement made by the complainant/s:
9. GRC recommendation:
10. Participants in the GRC:
11. Copies to:

**GRIEVANCE REDRESS MECHANISM**

For any complaint/ suggestion related to this project kindly register your complaint/ suggestion in the "Grievance Register" available in the Site office.

For further information please contact:

**GRIEVANCE REDRESS CELL**

Project Manager, Project Implementation Unit (PIU)

Address: Punjab Heritage and Tourism Promotion Board (PHTPB), Infrastructure Development Investment Program For Tourism (IDIPT), Admin Block, Surface Parking Near Gobindgarh Fort, Opp. Punjab Roadways workshop, Amritsar (Punjab)

Contact no./ Ph: \_\_\_\_\_

E mail: \_\_\_\_\_

**PROPOSED GRIEVANCE REDRESS REGISTERING AND MONITORING FORM**

**Complainant information (Person Reporting)**

1. Name:
2. Address:
3. National ID:
4. Gender: ☐ Male ☐ Female
5. Telephone:
6. Email:
7. Fax:
8. Type of complainant:
  - ☐ Affected person/s
  - ☐ Intermediary (on behalf of the AP)
  - ☐ Civil organization
  - ☐ Service organization (e.g., local government institution)
  - ☐ Others (specify)
9. Registration (assigned):

**Complaint Details**

10. Mode of receiving the grievance:
  - ☐ Letter
  - ☐ Phone call
  - ☐ Fax
  - ☐ Email
  - ☐ Verbal complaint (walk-in)
  - ☐ Suggestion box
  - ☐ Others (specify)
11. Location of the problem/issue specified in the complaint:
  - District:
  - Divisional secretariat:
  - division:
12. Type of problem/grievance:
  - ☐ Trace



- ☐ Land acquisition
- ☐ Compensation
- ☐ Construction
- ☐ Resettlement site
- ☐ Others (specify)

13. Short description of the problem:

14. Short description of the factors causing the problem:

15. Person/agency responsible for causing the problem: ☐ Project implementing agency ☐ Affected parties ☐ Service delivery agencies ☐ Local political authority ☐ Civil organizations ☐ Funding agencies ☐ Others (specify):

16. Past action/s taken by the complainant (if any):

17. Details of the focal point that received the complaint:

Name of the person who received the complaint:

Position:

Name of the receiving office:

Date:

18. Actions taken by the Receiving Office



Action 1	Action 2	Action 3	Action 4
Short Description	Short Description	Short Description	Short Description
Name of Action Officer	Name of Action Officer	Name of Action Officer	Name of Action Officer
Office	Office	Office	Office
Date	Date	Date	Date

19. Final Resolution

Name of the person completing the form:

Signature:

Date:

**ACTION PLAN FOR GRIEVANCE REDRESS CELL**  
Infrastructure Development Investment Program For Tourism (IDIPT)

**ACTION PLAN FOR ESTABLISHMENT AND OPERATION OF GRIEVANCE REDRESS CELL**  
**FOR ASIAN DEVELOPMENT BANK (ADB) ASSISTED PROJECTS UNDER**  
**INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM (IDIPT) FOR**  
**TRANCHE I AND TRANCHE III PROJECTS**

S. No.	Activities under GRC	To be implemented by	Last date for action	Report Action to
1.	Maintain a register at site office of each project / subproject under Tranche I and Tranche III for record of Grievances under Grievance Redress Mechanism (GRM).  A format for the same is provided in the Annexure 1.	Project Manager, PIU/ Site Engineer (responsible for safeguards) / Community Development Officer	15 <sup>th</sup> Dec. 2015	Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS)
2.	Display boards should be maintained at all the project sites (in Punjabi and English) to inform all the stakeholders regarding the operation of GRIEVANCE REDRESS CELL under the subproject. The sample for the same is provided in the Annexure 2.	Project Manager, PIU/ Site Engineer (responsible for safeguards)	20 <sup>th</sup> Dec. 2015	Send photographs of the display boards to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
3.	A committee for GRIEVANCE REDRESS CELL should be formed both at the level of PIU, Ropar and PIU, Amritsar to be headed by Project Manager, PIUs. Members of the Committee are as following: i. Project Manager, PIU ii. District Tourist Officer, Department of Tourism (DoT) iii. Community Development Officer iv. Representative of DC v. Representative of MC	Project Manager, PIU/ Site Engineer (responsible for safeguards)	25 <sup>th</sup> Dec. 2015	Send copy of the Office Note for constitution of the Committee with signature of all the members to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
4.	After constituting the above committee letter should be sent to head office of both DC and MC, informing them about the	Project Manager, PIU	27 <sup>th</sup> Dec. 2015	Send copies of the letters sent and receipt of the letters to Addl.

**ACTION PLAN FOR GRIEVANCE REDRESS CELL**  
Infrastructure Development Investment Program For Tourism (IDIPT)

	constitution of the above committee and that they will be required to send a representative when a meeting will be held for GRM.			Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
5.	A notice for monthly meeting should be sent to Chairman, MC and DC before the monthly meeting is conducted in PIU office or site office with information on time, venue and date of the meeting.	Project Manager, PIU	Every month	A copy of the notice should be sent to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
6.	Minutes of the monthly meeting should be recorded and signed by all the committee members, headed by Project Manager, PIU. Format for recording the proceedings of GRIEVANCE REDRESS CELL is attached as Annexure 3.	Project Manager, PIU	Every month	A copy of the MoM should be forwarded to all the members of the committee and Addl. Project Director.
7.	Printing of leaflets regarding information on GRIEVANCE REDRESS CELL, in Punjabi and English.	Environment Safeguard Specialist (ESS), PMU	Feb. 2015	2000 leaflets each will be sent to PIU Amritsar and PIU Ropar for distribution to all the stakeholders for awareness on Grievance Redress Cell.



LETTER ISSUED TO DEPUTY COMMISSIONER FOR FORMATION OF GRC BY PIU ROPAR

Punjab Heritage and Tourism Promotion Board  
Infrastructure Development Investment Programme for Tourism (PB)  
(ADB Assisted Project)  
Project Implementation Unit – Ropar  
# 320, Giani Zial Singh Nagar, Ropar, Ph – 1881-220345  
Email:piu.ropar@gmail.com

To  
The Deputy Commissioner,  
Ropar

PA/ED/APD 2405  
Dated: 14.12.15

IDIPT, PHTPB  
Receipt No. 1689  
DI 14/12/15  
CHANDIGARH

No. PIU-Ropar/Tr -3/2015/ Dated:

Sub:- Implementation of Grievance Redress Mechanism.

Respected Madam,

It is to inform you that as per the requirement of Asian Development Bank (ADB) All the projects under Infrastructure Development Investment Program for Tourism (IDIPT) are required to implement Grievance Redress Mechanism in which following committee members are required for Redressal of the Grievances of the people.

- Project Manager, PIU
- District Tourist Officer, Department of Tourism (DoT)
- Community Development Officer
- Representative of DC Office
- Representative of MC Office

As such you are requested to give the name of the Officer from your Department who can be nominated as member of the committee for Grievance Redress Cell.

- S  
Project Manager,  
PIU – Ropar

No. PIU-Ropar/Tr -3/2015/205/416 Dated: 11/12/2015

Copy to : ✓ Additional Project Director, PHTPB, IDIPT, Chandigarh for Information w.r.t. Letter No. PHTPB/IDIPT/2015/5873-80 dated 10.12.2015.

Project Manager,  
PIU – Ropar

15.12.15

LETTER ISSUED TO MUNICIPAL CORPORATION FOR FORMATION OF GRC BY PIU ROPAR

Punjab Heritage and Tourism Promotion Board  
Infrastructure Development Investment Programme for Tourism (PB)  
(ADB Assisted Project)  
Project Implementation Unit – Ropar  
# 320, Giani Zia Singh Nagar, Ropar, Ph – 1881-220345  
Email: piu.ropar@gmail.com

To  
The Municipal Corporation,  
Ropar

PAVED/DPD 2406  
Dated: 14-12-15

IDIPT, PHTPB  
Receipt No. 1090  
Dt. 14/12/15  
CHANDIGARH

No. PIU-Ropar/Tr -3/2015/ Dated:

Sub:- Implementation of Grievance Redress Mechanism.

Respected Sir,

It is to inform you that as per the requirement of Asian Development Bank (ADB) All the projects under Infrastructure Development Investment Program for Tourism (IDIPT) are required to implement Grievance Redress Mechanism in which following committee members are required for Redressal of the Grievances of the people.

- Project Manager, PIU
- District Tourist Officer, Department of Tourism (DoT)
- Community Development Officer
- Representative of DC Office
- Representative of MC Office

As such you are requested to give the name of the Officer from your Department who can be nominated as member of the committee for Grievance Redress Cell.

Project Manager,  
PIU – Ropar

No. PIU-Ropar/Tr -3/2015/205/41P Dated: 11/12/2015

Copy to : Additional Project Director, PHTPB, IDIPT, Chandigarh for Information w.r.t. Letter No. PHTPB/IDIPT/2015/5873-80 dated 10.12.2015.

Project Manager,  
PIU – Ropar

2406  
15.12.15



**APPENDIX 4: OCCUPATIONAL HEALTH AND SAFETY SUGGESTED IN CONTRACTOR'S AGREEMENT (Rauza Sharif & 3 Tombs)**

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not enter hazard areas unescorted.

- Ensure the visibility of workers through their use of high visibility vests when working in or walking through heavy equipment operating areas.
- Ensure moving equipment is outfitted with audible back-up alarms.
- Mark and provide sign boards in the construction zone, and areas for storage and disposal. Signage shall be in accordance with international standards and be well known to, and easily understood by workers, visitors, and the general public as appropriate.

80. **Impacts on Socio-Economic Activities.** Manpower will be required during the 24 months construction phase. The contractor will ensure employment and income for local people. This potential impacts positive and long term. As per preliminary design, land acquisition and closure of roads are not required. However, construction activities may involve disruption of traffic and customers at shops. The potential impacts are negative and short-term but disturbance and temporary. The contractor will need to select the following mitigation measures:

- Provide sign boards for visitors to inform nature and duration of construction works and contact numbers for concerns/complaints.
- Employ at least 50% of the labor force, or to the maximum extent, local persons.

82. **Summary of Mitigation Measures during Construction.**

82. Table provides summary of mitigation measures to be considered by the contractor during construction phase. The detailed mitigation measures, environmental monitoring and reporting requirements, emergency response procedures, related implementation arrangements, capacity development and training measures, implementation schedule, cost estimates, and performance indicators are provided in the EMP.

**Table 10: Summary of Mitigation Measures during Construction Phase**

Potential Impact	Mitigation Measures
Impacts on water quality	<ul style="list-style-type: none"> <li>• Schedule civil works during non-monsoon season, to the maximum extent possible.</li> <li>• Ensure drainages within the construction zones are kept free of obstructions.</li> <li>• Keep loose soil material and stockpiles out of drains and flow-lines.</li> <li>• Avoid stockpiling of excavated and construction materials (sand, gravel, cement, etc.) unless covered by tarpaulins or plastic sheets.</li> <li>• Re-use/utilize, to maximum extent possible, excavated materials.</li> <li>• Dispose any residuals at identified disposal site (PIU/DSC will identify approved sites).</li> <li>• Dispose waste oil and lubricants generated as per provisions of Hazardous Waste (Management and Handling) Rules, 1989.</li> </ul>
Impacts on air quality	<ul style="list-style-type: none"> <li>• Conduct regular water spraying on earth piles, trenches and sand piles.</li> <li>• Conduct regular visual inspection along alignments and construction zones to ensure no excessive dust activities.</li> <li>• Periodic checked and use suitable method for re-surfacing of disturbed areas caused by dust deposition.</li> <li>• Monitor groundwater quality and ensure pollution under water (PUU) monitoring from GPCB.</li> <li>• Check GPCB and CPCB for local standards relating to construction, etc. with respect to air quality.</li> </ul>



Partner

For Punjab Heritage & Tourism Promotion Board



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Impacts are negative but short-term and reversible by mitigation measures. The contractor will need to adopt the following mitigation measures:

- Prepare and implement a waste management plan.
- Manage solid waste according to the following hierarchy: reuse, recycling and disposal. Include in waste management plan designated/approved disposal areas.
- Coordinate with the local municipal authorities for beneficial uses of demolished materials or immediately dispose to designated areas.
- Recover used oil and lubricants and reuse; or remove from the sites.
- Avoid stockpiling and remove immediately all demolished materials, excess construction materials, and solid waste (removed concrete, wood, packaging materials, empty containers, oils, lubricants, and other similar items).
- Prohibit disposal of any material or wastes (including human waste) into drainage, nallah, or watercourse.

79. **Impacts on Occupational Health and Safety.** Workers need to be mindful of occupational hazards which can arise from construction works. Exposure to work-related chemical, physical, biological and social hazard is typically intermittent and of short duration, but is likely to reoccur. Potential impacts are negative and long-term but reversible by mitigation measures. Overall, the contractor should comply with IFC EHS Guidelines on Occupational Health and Safety (this can be downloaded from <http://www1.ifc.org/wps/wcm/connect/9ae/2860448559a993ecd36a6515bb18/2%2BOccupationalHealth%2Band%2BSafety.pdf?MOD=AJPERES>). The contractor will be required to:

- Disallow worker exposure to noise level greater than 85 dB(A) for duration of more than 8 hours per day without hearing protection. The use of hearing protection shall be enforced actively.
- Develop comprehensive site-specific health and safety (H&S) plan. The overall objective is to provide guidance to contractors on establishing a management strategy and applying practices that are intended to eliminate, or reduce, fatalities, injuries and illnesses for workers performing activities and tasks associated with the project.
- Include in H&S plan measures such as: (i) type of hazards during excavation works; (ii) corresponding personal protective equipment for each identified hazard; (iii) H&S training for all site personnel; (iv) procedures to be followed for all site activities; and (v) documentation of work-related accidents.
- Provide H&S orientation training to all new workers to ensure that they are apprised of the rules of work at the site, personal protective protection, and preventing injury to fellow workers.
- Ensure that qualified first-aid can be provided at all times. Equipped first-aid stations shall be easily accessible throughout the site as well as at construction camps.
- Provide medical insurance coverage for workers.
- Secure construction zone from unauthorized intrusion and accident risks.
- Provide supplies of potable drinking water.
- Provide clean eating areas where workers are not exposed to hazardous or noxious substances.
- Provide visitor orientation if visitors to the site can gain access to areas where hazardous conditions or substances may be present. Ensure also that visitors do



For Competent Construction  
Partner

For Punjab Heritage & Tourism Promotion Board  
Addl. Project Director

**APPENDIX 4: OCCUPATIONAL HEALTH AND SAFETY SUGGESTED IN CONTRACTOR'S AGREEMENT (Aam Khas Bagh)**

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impacts are negative but short-term and reversible by mitigation measures. The contractor will need to adopt the following mitigation measures:

- Prepare and implement a waste management plan.
- Manage solid waste according to the following hierarchy: reuse, recycling and disposal. Include in waste management plan designated/approved disposal areas.
- Coordinate with the local municipal authorities for beneficial uses of demolished materials or immediately dispose to designated areas.
- Recover used oil and lubricants and reuse; or remove from the sites.
- Avoid stockpiling and remove immediately all demolished materials, excess construction materials, and solid waste (removed concrete, wood, packaging materials, empty containers, oils, lubricants, and other similar items).
- Prohibit disposal of any material or wastes (including human waste) into drainage, nallah, or watercourse.

79. **Impacts on Occupational Health and Safety.** Workers need to be mindful of occupational hazards which can arise from construction works. Exposure to work-related chemical, physical, biological and social hazard is typically intermittent and of short duration, but is likely to reoccur. Potential impacts are negative and long-term but reversible by mitigation measures. Overall, the contractor should comply with IFC EHS Guidelines on Occupational Health and Safety (this can be downloaded from <http://www1.ifc.org/wps/wcm/connect/9ac12680488559a983ac436a65156b187/2010Occupational%2BHealth%2Band%2BSafety.pdf?MOD=AJPERES>). The contractor will be required to:

- Disallow worker exposure to noise level greater than 85 dB(A) for duration of more than 8 hours per day without hearing protection. The use of hearing protection shall be enforced actively.
- Develop comprehensive site-specific health and safety (H&S) plan. The overall objective is to provide guidance to contractors on establishing a management strategy and applying practices that are intended to eliminate or reduce fatalities, injuries and illnesses for workers performing activities and tasks associated with the project.
- Include in H&S plan measures such as: (i) type of hazards during excavation works; (ii) corresponding personal protective equipment for each identified hazard; (iii) H&S training for all site personnel; (iv) procedures to be followed for all site activities; and (v) documentation of work-related accidents.
- Provide H&S orientation training to all new workers to ensure that they are apprised of the rules of work at the site, personal protective protection, and preventing injury to fellow workers.
- Ensure that qualified first-aid can be provided at all times. Equipped first-aid stations shall be easily accessible throughout the site as well as at construction camps.
- Provide medical insurance coverage for workers.
- Secure construction zone from unauthorized intrusion and accident risks.
- Provide supplies of potable drinking water.
- Provide clean eating areas where workers are not exposed to hazardous or noxious substances.
- Provide visitor orientation if visitors to the site can gain access to areas where hazardous conditions or substances may be present. Ensure also that visitor/s do

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- not enter hazard areas unescorted.
- Ensure the visibility of workers through their use of high visibility vests when working in or walking through heavy equipment operating areas.
- Ensure moving equipment is outfitted with audible back-up alarms.
- Mark and provide sign boards in the construction zone, and areas for storage and disposal. Signage shall be in accordance with international standards and be well known to, and easily understood by workers, visitors, and the general public as appropriate.

80. **Impacts on Socio-Economic Activities.** Manpower will be required during the 24 months construction phase. This can help generate contractual employment and increase in local revenue. Thus potential impact is positive and long-term. As per preliminary design, land acquisition and closure of roads are not required. However, construction activities may impede access of residents and customers to shops. The potential impacts are negative and moderate but short-term and temporary. The contractor will need to adopt the following mitigation measures:

- Provide sign boards for visitors to inform nature and duration of construction works and contact numbers for concerns/complaints.
- Employ at least 50% of the labor force, or to the maximum extent, local persons within the 20km immediate area if manpower is available.

81. **Summary of Mitigation Measures during Construction.**

82. Table provides summary of mitigation measures to be considered by the contractor during construction phase. The detailed mitigation measures, environmental monitoring and reporting requirements, emergency response procedures, related implementation arrangements, capacity development and training measures, implementation schedule, cost estimates, and performance indicators are provided in the EMP.

Table 10: Summary of Mitigation Measures during Construction Phase

Potential Impact	Mitigation Measures
Impacts on water quality	<ul style="list-style-type: none"> <li>• Schedule civil works during non-monsoon season, to the maximum extent possible.</li> <li>• Ensure drainages within the construction zones are kept free of obstructions.</li> <li>• Keep loose soil material and stockpiles out of drains and flow-lines.</li> <li>• Avoid stockpiling of excavated and construction materials (sand, gravel, cement, etc.) unless covered by tarpaulins or plastic sheets.</li> <li>• Re-use/utilize, to maximum extent possible, excavated materials.</li> <li>• Dispose any residuals at identified disposal site (PIU/DSC will identify approved sites).</li> <li>• Dispose waste oil and lubricants generated as per provisions of Hazardous Waste (Management and Handling) Rules, 1989.</li> </ul>
Impacts on air quality	<ul style="list-style-type: none"> <li>• Conduct regular water spraying on earth piles, trenches and sand piles.</li> <li>• Conduct regular visual inspection along alignments and construction zones to ensure no excessive dust emissions.</li> <li>• Spreading crushed gravel over backfilled surfaces if re-surfacing of disturbed areas cannot be done immediately.</li> <li>• Maintain construction vehicles and obtain "pollution under control" (PUC) certificate from PPCB.</li> <li>• Obtain CFE and CFO for hot mix plants, crushers, diesel generators, etc., if it is required for this project.</li> </ul>



For Punjab Heritage & Tourism Promotion Board (2017)

Asst. Project Director



**APPENDIX 5: INVOLUNTARY RESETTLEMENT CATEGORISATION FORM**

Date: 30.11.2015

<b>Project Data</b>	
Country/Project No./Project Title	INDIA/ LOAN No: 3223-IND/INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM-PUNJAB IMPERIAL HIGHWAY HERITAGE CONSERVATION AND VISITOR FACILITY DEVELOPMENT OF AAM KHAS BAGH,
Subproject title	CONSERVATION OF TOMBS (TOMB OF RAFFUDIN, TOMB OF ALFSANI, & TOMB OF BIBI TAJ) AND RAUZA SHARIF IN FATEHGARH SAHIB (PB/IDIPT/T3/03-12/02)
Project Executing Agency	GOVERNMENT OF PUNJAB THROUGH DEPARTMENT OF TOURISM (DOT)
Project Implementing Agency	PUNJAB HERITAGE AND TOURISM PROMOTION BOARD (PHTPB)
Modality	MULTITRANCHE FINANCING FACILITY (MFF)
<input type="checkbox"/> Project Loan <input type="checkbox"/> Program Loan <input type="checkbox"/> Financial Intermediary <input type="checkbox"/> General Corporate Finance <input type="checkbox"/> Sector Loan <input checked="" type="checkbox"/> MFF <input type="checkbox"/> Emergency Assistance <input type="checkbox"/> Grant <input type="checkbox"/> Other financing modalities:	
IP Impact categorization	<input type="checkbox"/> New <input type="checkbox"/> Re-categorization <input checked="" type="checkbox"/> Previous Category
<input type="checkbox"/> Category A: Significant IR Impact	(200+ persons (not households) are physically displaced from residence or lose more than 10% of productive (income generating) assets)
<input type="checkbox"/> Category B: Non-significant IR impact	
<input checked="" type="checkbox"/> Category C: No IR Impact	
<b>Prepared by:</b>	
Social Development Specialist (Name, title, signature)	K.L. Malhotra FCS, PMU
Date: 08.02.16	REENA CHADHA ESS, PMU
For Project Implementing Agency (Name, title, signature)	BASANTA RASKUMAR APD
Date: 08.02.2016	
For Project Executing Agency (Name, title, signature)	
Date: 08.02.2016	

- Please attach subproject details, such SAR, DPR or a brief summary of subproject details proposed

Project Director  
Adm. of subproject  
Infrastructure & Tourism  
Investment Program  
Punjab

**Involuntary Resettlement Impact Categorization Checklist**

PROBABLE INVOLUNTARY RESETTLEMENT EFFECTS	YES	NO	NOT KNOWN	REMARKS
<b>Involuntary Acquisition of Land</b>				
1. Will there be land acquisition?		✓		
2. Is the site for land acquisition known?				Not applicable
3. Is the ownership status and current usage of land to be acquired known?				Not applicable
4. Will easement be utilized within an existing Right of Way (ROW)?				Not applicable
5. Will there be loss of shelter and residential land due to land acquisition?				Not applicable
6. Will there be loss of agricultural and other productive assets due to land acquisition?				Not applicable
7. Will there be losses of crops, trees, and fixed assets due to land acquisition?				Not applicable
8. Will there be loss of businesses or enterprises due to land acquisition?				Not applicable
9. Will there be loss of income sources and means of livelihoods due to land acquisition?				Not applicable
<b>Involuntary restrictions on land use or on access to legally designated parks and protected areas</b>				
10. Will people lose access to natural resources, communal facilities and services?		✓		
11. If land use is changed, will it have an adverse impact on social and economic activities?		✓		
12. Will access to land and resources owned communally or by the state be restricted?		✓		
<b>Information on Displaced Persons</b>				
Any estimate of the likely number of persons that will be displaced by the Project? <input type="checkbox"/> No <input type="checkbox"/> Yes				
Not Applicable				
If yes, approximately how many? _____				
Are any of them poor, female-heads of households, or vulnerable to poverty risks? <input type="checkbox"/> No <input type="checkbox"/> Yes				
Not Applicable				
Are any displaced persons from indigenous or ethnic minority groups <input type="checkbox"/> No <input type="checkbox"/> Yes				
Not Applicable				

Note: The project team may attach additional information on the project, as necessary.

APPENDIX 6: INDIGENOUS PEOPLE CATEGORISATION FORM

Date: 30.11.2015

<b>Project Data</b>	
Country/Project No./Project Title	INDIA/ LOAN No: 3223-IND/INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM-PUNJAB IMPERIAL HIGHWAY HERITAGE CONSERVATION AND VISITOR FACILITY DEVELOPMENT OF AAM KHAS BAGH, CONSERVATION OF TOMBS (TOMB OF RAFFUDIN, TOMB OF ALFSANI, & TOMB OF BIBI TAJ) AND RAUZA SHARIF IN FATEHGARH SAHIB (PB/IDIPT/T3/03-12/02)
Subproject title	
Project Executing Agency	GOVERNMENT OF PUNJAB THROUGH DEPARTMENT OF TOURISM (DOT)
Project Implementing Agency	PUNJAB HERITAGE AND TOURISM PROMOTION BOARD (PHTPB)
Modality	MULTITRANCHE FINANCING FACILITY (MPF)
<input type="checkbox"/> Project Loan <input type="checkbox"/> Program Loan <input type="checkbox"/> Financial Intermediary <input type="checkbox"/> General Corporate Finance <input type="checkbox"/> Sector Loan <input checked="" type="checkbox"/> MPF <input type="checkbox"/> Emergency Assistance <input type="checkbox"/> Grant <input type="checkbox"/> Other financing modalities:	
IP Impact categorization	<input type="checkbox"/> New <input type="checkbox"/> Re-categorization <input checked="" type="checkbox"/> Previous Category
<input type="checkbox"/> Category A: Significant IP impact	
<input type="checkbox"/> Category B: Non-significant IP impact	
<input checked="" type="checkbox"/> Category C: No IP impact	
Subproject requires the broad community support of affected Indigenous Peoples communities <input type="checkbox"/> Yes <input type="checkbox"/> No	
Prepared by:	
Social Development Specialist (Name, title, signature)	K.L. Mallotra FCS, PMU
Date: 08.02.16	
For Project Implementing Agency (Name, title, signature)	BASANTA RASTHUMAR APB
Date: 08.02.16	
For Project Executing Agency (Name, title, signature)	Project Director Heritage and Tourism Development Investment Program for Tourism Punjab
Date: 08.02.16	

- Please attach subproject details, such SAR, DPR or a brief summary of project activities proposed



**Indigenous Peoples Impact Screening Checklist**

KEY CONCERNS (Please provide elaborations on the Remarks column)	YES	NO	NOT KNOWN	Remarks
<b>A. Indigenous Peoples Identification</b>				
1. Are there socio-cultural groups present in or use the project area who may be considered as "tribes" (hill tribes, schedules tribes, tribal peoples), "minorities" (ethnic or national minorities), or "indigenous communities" in the project area?		✓		Fatehgarh Sahib district where subproject exists, as such is not part of the notified Fifth Schedule area <sup>1</sup> .
2. Are there national or local laws or policies as well as anthropological researches/studies that consider these groups present in or using the project area as belonging to "ethnic minorities", scheduled tribes, tribal peoples, national minorities, or cultural communities?				Not applicable
3. Do such groups self-identify as being part of a distinct social and cultural group?				Not applicable
4. Do such groups maintain collective attachments to distinct habitats or ancestral territories and/or to the natural resources in these habitats and territories?				Not applicable
5. Do such groups maintain cultural, economic, social, and political institutions distinct from the dominant society and culture?				Not applicable
6. Do such groups speak a distinct language or dialect?				Not applicable
7. Has such groups been historically, socially and economically marginalized, disempowered, excluded, and/or discriminated against?				Not applicable
8. Are such groups represented as "Indigenous Peoples" or as "ethnic minorities" or "scheduled tribes" or "tribal populations" in any formal decision-making bodies at the national or local levels?				Not applicable
<b>B. Identification of Potential Impacts</b>				Not applicable
9. Will the project directly or indirectly benefit or target Indigenous Peoples?				Not applicable
10. Will the project directly or indirectly affect Indigenous Peoples' traditional socio-cultural and belief practices? (e.g. child-rearing, health, education, arts, and governance)				Not applicable
11. Will the project affect the livelihood systems of Indigenous Peoples? (e.g., food production system, natural resource management, crafts and trade, employment status)				Not applicable
12. Will the project be in an area (land or territory) occupied, owned, or used by Indigenous Peoples, and/or claimed as ancestral domain?				Not applicable
<b>C. Identification of Special Requirements</b> <i>Will the project activities include:</i>				Not applicable
13. Commercial development of the cultural resources and knowledge of Indigenous Peoples?				Not applicable

<sup>1</sup> Fifth Schedule under Article 244(1) of Constitution defines "Scheduled Areas" as such areas as the President may by order declare to be Scheduled Areas after consultation with the Governor of that State. The criteria for declaring any area as a "Scheduled Area" under the Fifth Schedule are: (a) preponderance of tribal population, (b) compactness and reasonable size of the area, (c) a viable administrative entity such as a district, block or Tahsil, and (d) economic backwardness of the area as compared to the neighbouring areas.

<b>KEY CONCERNS</b> (Please provide elaborations on the Remarks column)	<b>YES</b>	<b>NO</b>	<b>NOT KNOWN</b>	<b>Remarks</b>
14. Physical displacement from traditional or customary lands?				Not applicable
15. Commercial development of natural resources (such as minerals, hydrocarbons, forests, water, hunting or fishing grounds) within customary lands under use that would impact the livelihoods or the cultural, ceremonial, spiritual uses that define the identity and community of Indigenous Peoples?				Not applicable
16. Establishing legal recognition of rights to lands and territories that are traditionally owned or customarily used, occupied or claimed by indigenous peoples?				Not applicable
17. Acquisition of lands that are traditionally owned or customarily used occupied or claimed by indigenous peoples?				Not applicable

**D. Anticipated project impacts on Indigenous Peoples**

<b>Project component / Activity / output</b>	<b>Anticipated positive effect</b>	<b>Anticipated negative effect</b>
<b>LIST ALL PROJECT COMPONENTS / ACTIVITIES / OUTPUTS HERE</b>	<b>INDICATE EFFECTS TO IPS OR PUT N/A AS NECESSARY</b>	
	<b>Not applicable</b>	<b>Not applicable</b>

**Note:** The project team may attach additional information on the project, as necessary.