

Resettlement Planning Document

Project Number: 40648-034

May 2016

IND: Infrastructure Development Investment Program for Tourism - Tranche 3

Sub Project: Restoration and Adaptive - Reuse of Pithoragarh Fort, Phase-II

Submitted by

Program Management Unit, Government of Uttarakhand, Dehrdaun

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Asian Development Bank





Program Management Unit

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SGS SM.

Ref: 36 58/ 2-10-ADB/IDIPT/PMU/ 248 / 2014 -2015

Date: 26-04-2016

To,

The Country Director India Resident Mission (INRM) Plot No.-4 San Martin Marg, Chankyapuri New Dehli 110021 PB No: 5331(HPO)



Sub: IDIPT UK Tranche-III: Submission of revised DDR of "Restoration and Adaptive-Reuse of Pithoragarh Fort, Phase-II" sub-project (Package No: UKIDIPT-III/BHT/ 05)

Dear Madam,

In reference to ADB Expert's observations on the Involuntary Resettlement Due Diligence Report of "Restoration and Adaptive-Reuse of Pithoragarh Fort, Phase-II" sub-project (Package No: UK/IDIPT-III/BHT/05) submitted vide letter no. 3628/2-10-ADB/IDIPT/PMU/248/2014-2015 and mail dated 8.4.16, revised DDR and cover letter is sent for your kind review and approval.

Encl: As above

incerely.

R.K.Joshi

(Addl. Program Director)

Involuntary Resettlement Due Diligence Report

Document Stage : Due Diligence Report

ADB Loan No: 3223

Tranche: 3

Sub Project Package: UKIDIPT-III/BHT/05

April, 2016

India: Infrastructure Development Investment Programme for Tourism, Uttarakhand

Sub Project:

"RESTORATION AND ADAPTIVE REUSE OF PITHORAGARH FORT, PHASE-II"

Prepared by the Government of Uttarakhand for the Asian Development Bank

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ABBREVIATIONS

ADB Asian Development Bank

AP Affected Person

APD Additional Program Director

Below Poverty Line BPL

Community Based Organization CBO

CLC City Level Committee CRP Common Resource Person

DSC Design and Supervision Consultant

Due Diligence Report DDR DP Displaced Person **Detailed Project Report** DPR EΑ **Executing Agency**

EAC **Expert Appraisal Committee**

Environment and Social Management Cell ESMC

Government of India Gol

GoUK Government of Uttarakhand GRC Grievance Redress Committee **KMVN** Kumaon Mandal Vikas Nigam

IDIPT Infrastructure Development Investment Program for Tourism

IR Involuntary Resettlement Land Acquisition Act LAA

Local Grievance Committee LGC

LSGD Local Self Government Department MFF Multi- Trench Financing Facility Monthly Progress Report MPR

Non-Governmental Organization NGO

No Object Certificate NOC

NRRP National Resettlement and Rehabilitation Policy

Operation and Maintenance Contractors OMC

Project Affected Family PAF Project Affected Household PAH PIU Project Implementation Unit Project Management Unit PMU

RP Resettlement Plan SC Scheduled Castes

SDS Social Development Specialist

SHG Self Help Group SO Safeguard Officer

SPS Safeguards Policy Statement

ST Scheduled Tribe

UTDB Uttarakhand Tourism Development Board

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BACKGROUND:

- 1. The Infrastructure Development Investment Program for Tourism Financing Facility (the Facility) will develop and improve basic urban infrastructure and services in the four participating states of Himachal Pradesh, Punjab, Uttarakhand and Tamil Nadu to support the tourism sector as a key driver for economic growth. It will focus on:
 - (i) Strengthening connectivity to and among key tourist destinations;
 - (ii) Improving basic urban infrastructure and services, such as water supply, road and public transport, solid waste management and environmental improvement, at existing and emerging tourist destinations to ensure urban amenities and safety for the visitors, and protect nature and culture-based attractions;
 - (iii) Capacity building programs for concerned sector agencies and local communities for better management of the tourist destinations and for more active participation in the tourism-related economic activities, respectively.

PROJECT LOCATION:

2. The project "Restoration and Adaptive-Reuse of Pithoragarh Fort, Phase-II" is located in the middle of Pithoragarh town, which is the District Headquarters also of the same name. The district forms the north-eastern part of the Kumaon Division and lies between Lat. 29°27'N and 30°49'N and Long. 79°50'E and 81°3'E, the length from north to south, being about 151 Km at its maximum, and the breadth from east to west, reaching its maximum at about 119 Km. It is bounded by Tibet on the north, Nepal on the east, District Almora on the south and the Districts Almora and Chamoli on the west.

DEMOGRAPHIC PROFILE OF PITHORAGARH TOWN:

3. The subproject area lies in Pithoragarh Sub Division of Pithoragarh District. Pithoragarh town is a Nagar Palika Parishad and administratively divided into 15 wards. The total population of Pithoragarh town, as per the Census 2011, is about 56044 spread over a total no. of 14036 households. Main communities residing in the town are Brahmins, Rajputs, Scheduled Castes and Scheduled Tribes. The population of the town is dependent mainly on trade, service and tourism based activities for its livelihood. There is potential of Tourism Development in the area, which can provide more employment opportunities to the local persons for improving the livelihood security of local community. The key demographic information of Pithoragarh town, as per the Census 2011 are given in the table 1, below:

Table-1: Demographic profile of Pithoragarh town (as per Census 2011)

Ward No.	No. of Households	Population			
		Male	Female	Total	
1	1028	2202	2005	4207	
2	945	1960	1815	3775	

Total	14036	29127	26917	56044
15	1263	2322	2402	4724
14	1142	2641	1951	4592
13	975	1953	1942	3895
12	1303	2513	2472	4985
11	909	1846	1663	3509
10	449	1052	929	1981
9	397	915	857	1772
8	996	2067	1872	3939
7	998	2042	1989	4031
6	1193	2450	2351	4801
5	831	1984	1451	3435
4	591	1345	1236	2581
3	1016	1835	1982	3817

(Source: Based on the Census 2011)

SCOPE OF WORK:

- 4. The proposed subproject mainly comprises of the integrated development of Pithoragarh Fort Precinct, which was taken in Phase II of the project. It includes:
 - (i) Construction of new multi level car parking facilities near Dev Singh Ground in Pithoragarh.
 - (ii) Construction of Tourist Rest House by converting Tehsil Staff Quarters in their present location in fort premises.
 - (iii) Construction of new tehsil office building.
 - (iv) Construction of living accommodation for rehabilitation of Tehsil Quarters Type-IV 1 Nos. and Type-II 6 Nos.

IMPLEMENTATION:

5. Regarding implementation of different components under the sub project "Restoration and Adaptive Reuse of Pithoragarh Fort Phase II" under Tranche III, a series of meetings were held with the concerned authorities including District Administration, Municipal Board etc. at Pithoragarh. They expressed their willingness to take up the construction work without any hesitation. Accordingly, they have issued the NOCs also copies of the same are enclosed as **Annexure III (A to E)**.

REQUIREMENT OF DUE-DILIGENCE REPORT

6. There is no involuntary resettlement involved in this sub-project, therefore, preparation of RP is not required as it is category C w.r.t IR impact. , Hence, this brief due-diligence

report has been prepared for documentary formality only. This report summarizes the project impact and outcome with copies of relevant maps and legal documents.

PROJECT IMPACT AND OUTCOME:

The proposed sub-project envisages a substantial rise in tourism interest in Pithoragarh as a destination, leading to sustainable and equitable economic growth in the region. The site will lead to a 10% growth in direct employment generation through tourism activity, also contributing an increase of about 15% in the real income levels in the district of Pithoragarh. The sub-project, once implemented, would enhance the duration of stay for the average visitor by at-least twenty-four hours.

The proposed sub-project aims at making a direct impact on the non-religious or secular visitor, with little or no interest in visiting religious sites, but looking for an authentic experience of the flavor of local culture in an attractive and historic setting.

The sub-project will substantially increase footfalls in the local eateries, guesthouses and hotels, located within Pithoragarh Town. This will lead to the building of capacities for stakeholders already engaged in tourism as a sector of employment.

SCOPE OF LAND ACQUISITION AND RESETTLEMENT

- 7. The subproject would not entail any permanent land acquisition and resettlement. Efforts have been made by the engineering team to minimize the resettlement impact by careful design as all the implementation activities under the subproject are confined to the existing place. Most of the works would be undertaken within the existing Pithoragarh Fort precinct and its premises. Besides, a site near Dev Singh Ground has been earmarked for construction of multistoried parking.
- 8. As per the design, it would not impact the structures (residential and commercial), and common properties. No social impact during implementation is perceived. Therefore, it is proposed that works are carried out in phases, so that disturbances are minimized. The proposed project does not have any adverse impact on women and/or girls or to widen gender inequality. The subproject would not have any physical or economic displacement. Details on each component and its impact are given in the Table 2, below:

Table No. – 2: Impact of Land Acquisition and Resettlement

SI.	Name of the Components/Works	Impact on Land resettlement	d acquisition and	Remarks	
No.	·	Permanent	Temporary		
1.	Construction of new Multi Level Car Parking Facilities near Dev Singh Ground in Pithoragarh.	No	No	Near Dev Singh Ground in Pithoragarh	
2.	Construction of Tourist Rest House by converting tehsil staff quarters in their present location in fort premises.	No	No	Within the Pithoragarh Fort Precinct	

2	Construction of New Tehsil Office Building.	No	No	Within the Pithoragarh Fort Precinct
4.	Construction of living accommodation for rehabilitation of Tehsil Quarters Type-IV – 1 No and Type-II – 6 Nos.	No	No	Within the Pithoragarh Fort Precinct

9. As per the consultations and site visits conducted, there is no physical or economic, temporary or permanent, IR impact of the said sub project. No person or community is being adversely affected by this sub-project. Rather, the Tourists and local community members, who visit this spot would be benefited by this sub-project. No land acquisition is necessitated in this sub-project. So, people and communities would not be physically or economically displaced due to the sub-project interventions. No CPR will also be affected. Poor, indigenous and other ethnic groups are not being adversely impacted. Screening questions for resettlement categorization has been enclosed as **Annexure – IV**.

INDIGENOUS PEOPLES

10. Since there are no indigenous people present in the Pithoragarh Fort premises, there would be no impact on Indigenous peoples (IPs). All the proposed construction activities would be done on the Government land in Fort premises as well as near Dev Singh Ground in Pithoragarh. This is categorized as "C" for Indigenous Peoples. No Indigenous Peoples Plan (IPP) would be needed for this sub-project.

GENDER ISSUES

11. The project would not have any such negative on women except some positive potential employment scope. However, as a part of social due diligence, focused group discussions (FGDs) were carried out among the women groups in the surrounding villages of the project site to create awareness among the upcoming developmental activities and their livelihood opportunities, that are likely to come up.

PUBLIC CONSULTATIONS

12. The DDR has been prepared in consultation with the stakeholders. Meetings and individual interviews were held involving different stakeholders. Consultations were held with the District Administration, Pithoragarh. Consultations were also held with the officials of Nagar Palika Parishad, Department of Tourism, Public Representatives, Hotel Owners, and Tourists regarding project orientation, issues pertaining to land acquisition problems and addressing the current gaps in provision of basic services and improvement of tourist infrastructure. Public consultations with the primary and secondary stakeholders were conducted to understand the local issues and public views regarding the possible impact. The issues are like, awareness and extent of the project and development components, benefits of project for the tourist as well as community, labors availability in the project area or requirement of outside labor involvement, local disturbances due to project construction work, necessity of tree felling etc. at project sites, water logging and drainage problem if any, drinking water problem, forest and sensitive area nearby the project site etc. During subproject preparation, consultations were held with the official representatives

of the line agencies, apart from the communities nearby project area.

FINDING

13. In this Sub-Project intervention, full or partial, permanent or temporary, physical and economic displacements are conspicuously absent. There are no Project Displaced Persons (DPs); no land or structure is impacted, no common property resources are affected. Even nobody's livelihood, either temporarily or permanently is likely to be affected. This subproject has been categorized as "C" for Involuntary Resettlement (IR) impacts as per the ADB's Safeguard Policy Statement, 2009 (SPS). Summary of the Policy Frame Work has been shown as Annexure VIII.

OTHER SOCIAL MEASURES AND RECOMMENDATIONS

14. The issues mentioned are resolved at district administration level, there would be no land acquisition and resettlement impact under this sub-project. The contractor shall ensure that the construction staff shall adhere to the following code of conduct while, undertaking construction activities:

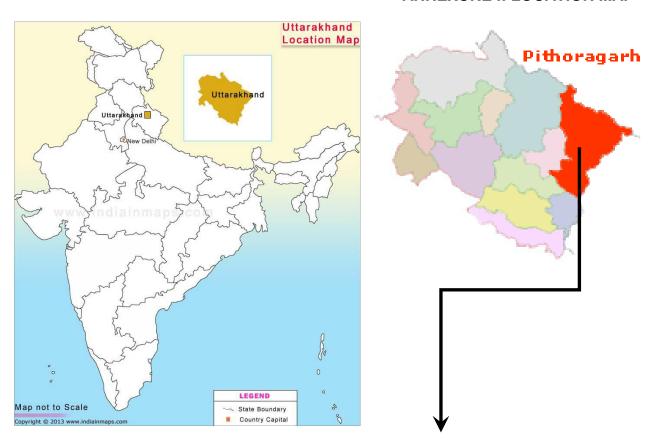
Regularly removal of trash from the Fort precinct on scheduled clean-up days;

- Entire area will be declared as plastic free, smoking free and silence zone and sign boards for the purpose should be displayed at worksite.
- Prior to commencement of site activities and mobilization on ground, the Contractor will prepare circulation plan and get approved by the Engineer, during construction for safe passage of tourists/pilgrims during construction stage, including development of alternative access routes, traffic regulations, signage, etc.
- The Contractor with support of the PIU will carry out dissemination of these information and circulation plan at key entry points to the respective destinations.

CONCLUSION

15. It is concluded from this due diligence study that the proposed infrastructure improvements would not change substantially the present land use. There would be no issue of land acquisition and resettlement related to the subproject. All Developmental Works would be done on vacant government land for which the concerned stakeholders have already issued the NOCs. Due to project intervention, there would be no loss of income to any person or any asset, either privately owned or publicly owned. However, in case any claims or complaints are received during the construction period, an effective and efficient Grievance Redress Mechanism would be formed which would enhance the provision of timely and sensible hearings and facilitate solutions. This information has been disseminated during public consultations with stakeholders including villagers in nearby villages.

ANNEXURE I: LOCATION MAP





ANNEXURE II: PROJECT SITE PHOTOGRAPHS





1.01: Staff Quarters

1.02: Jail Building and Public Library



1.03: Transect Walk in Pithoragarh Fort Precinct



1.04: Transect Walk in Pithoragarh Fort Precinct



1.05: Transect Walk in Pithoragarh Fort Precinct



1.06: Transect Walk in Pithoragarh Fort Precinct

PHOTOGRAPHS:



1.01: Consultant Meeting / Whokshop



1.02: Consultant Meeting / Whokshop



1.03: Consultant Meeting / Whokshop



1.04: Consultant Meeting / Whokshop



1.05: Nagar Palika Shops on Car Parking Site



1.06: Nagar Palika Shops on Car Parking Site



1.07: Nagar Palika Shops on Car Parking Site



1.08: Way to Sulabh Shauchalaya near Car Parking Site



1.09: Proposed Car Parking Site near Dev Singh Ground



1.10Proposed Car Parking Site near Dev Singh Ground



1.11: Proposed Car Parking Site near Dev Singh Ground



1.12: Urinals of Sulabh Shauchalaya near Dev Singh Ground at Multi Storied Car Parking Site



1.13: Existing Car Stand at Proposed Multi Storied Car Parking Site



1.14: Existing Car Stand at Proposed Multi Storied Car Parking Site

ANNEXURE III (A)

NOC FROMNAGAR PALIKA PARISHAD, PITHORAGARH

Office of the Nagar Palika Parishhad, Pithoragarh

Letter No. 1644/NP/3-Nirman/2015-16, Dated June 23, 2015

To, The Project Manager PIU, Bhimtal Distt Nainital, Uttarakhand

Subject: Regarding issuance of "No Objection Certificate" relating to construction of Three Storied Parking at Dev Singh Ground near the State Highway.

Sir,

With reference to your letter No. 600 Ge CORP/PIU-Bhimtal/606/I-B/2014-15, dated 13.3.2015 regarding the above mentioned subject, "No Objection Certificate" is issued in anticipation approval of the Nagar Palika Parishad Board meeting.

The said Car Parking is included in the "Declaration" of Hon'ble Chief Minister, Uttarakhand.

Sincerely,

sd Executive Officer Nagar Palika Parishad, Pithoragarh

AMMEXURE III : NOC FROM MASORPALIKA

कार्यालय नगरपालिका परिषद पिथौरागढ़।

पत्रांक 644 /एन.पी./3-निर्माण/2015-16 दिनांक जून 23,2015

सेवा में,

श्रीमान परियोजना प्रबन्धक, पी०आई०यू०,भीमताल, जिला नैनीताल उत्तराखण्ड।

विषय:- देवसिंह ग्राउण्ड के पास राष्ट्रीय राजमार्ग के साथ (तीन मंजिला) पार्किंग निर्माण हेतु अनापत्ति प्रमाण पत्र चाहने के संबंध में।

महोदय.

कृपया उपर्युक्त विषयक आपके कार्यालय पत्र संख्या 600 Ge CORP/ PIU- Bhimtal/ 606/ I-B/ 2014-15 दिनांक 13-03-2015 के संदर्भ में पार्किंग निर्माण हेतु अनापितत नगरपालिका परिषद पिथौरागढ बोर्ड बैठक की स्वीकृति की प्रत्याशा में प्रदान की जाती है। उक्त कार पार्किंग निर्माण माननीय मुख्यमंत्री उत्तराखण्ड की घोषणा में सम्मिलित है।

नगरपालिका परिषद, पिथौरागढ ।

15 ० हो ० आई ०पी ० टी ० िकाई ब्यंक भीमताल. त्र प्राप्ति सं ० ... १०१३ 717 24-06-15 ...

ANNEXURE III (B)

NOC FROMNAGAR PALIKA PARISHAD, PITHORAGARH

Office of the Nagar Palika Parishhad, Pithoragarh

Letter No. 765/N.P./3-Nirman/2014-15, Dated 14-11-14

To, The Project Manager PIU Uttarakhand Tourism, Bhimtal

Subject: Regarding issuance of "No Objection Certificate" relating to construction of Parking near Dev Singh Ground.

Sir

With reference to the above mentioned subject, Nagar Palika Parishhad (Municipal Board) has No Objection regarding construction of Multi Storied Parking near Dev Singh Ground under the ADB assisted Tourism Project. The Nagar Palika would help in construction of this parking.

Sincerely,

sd Executive Officer Nagar Palika Parishad, Pithoragarh कार्यालय नगरपालिका परिषद पिथौरागढ़।

पत्रांक 765/एन.पी./3- निर्माण/2014-15 दिनांक/ 4-11-14

सेवा में.

, श्रीमान् परियोजना प्रबन्धक, पी0आई०यू० उत्तराखण्ड पर्यटन भीमताल।

विषय:- देवसिंह ग्राउण्ड के पास पार्किंग बनाने हेतु अनापत्ति प्रमाण पत्र दिये जाने संबंधी।

महोदय.

कृपया उपरोक्त विषयक ए0डी0बी0सहायितत परियोजना उत्तराखण्ड पर्यटन के अन्तर्गत देवसिंह ग्राउण्ड के पास बहुमंजिला पार्किंग बनाने में नगरपालिका परिषद पिथौरागढ़ को कोई आपत्ति नहीं है। इस पार्किंग निर्माण में नगरपालिका अपना सहयोग प्रदान करेगी।

भवदीय,

अधिशासी अधिकारी,

नगरपालिका परिषद पिथौरागढ़।

ANNEXURE III (C):

MINUTES OF MEETING FROMADDITIONAL DISTRICT MAGISTRATE, **PITHORAGARH**

Meeting regarding ADB Assisted IDIPT Project

Date: 13-10-2014

Chaired by: Sh. Prasant Arya, ADM, Pithoragarh

Participated by:

- 1. Sh. Anurag Arya, SDM, Pithoragarh
- 2. Dr. Jagat Sontiyal, PIU, Bhimtal
- 3. Dr. Suresh Mathpal, DSC, Bhimtal
- 4. Sh. Manoj Joshi, Dist. Tourism Office

Minutes of Meeting:

- 1. PIU and DSC Officials informed about the progress of Pithoragarh sub-projects running under IDIPT and discussed the issues related to Land Availability for proposed Car Parking and Shifting of Tehsil Office from Pithoragarh Fort.
- 2. The SDM assured that both the land identified for the Car Parking are Nazool land and encumbrance free land will be handed over to Tourism Dept. for the proposed work by the end of Oct. 2014.
- 3. The SDM informed that the contractor can start the work phase wise. A land area of 6.5 Nali has been identified for the new Tehsil Building including the staff quarters, but no fund have been allotted yet. The District Administration also requested for allocation of fund to build new Tehsil building.

Additional District Magistrate Pithoragarh

> अपर जिलाधिकारी पिथौरागह

ANNEXURE III (D)

REQUEST LETTER FROM PIU TO NAGAR PALIKA PARISHHAD, PITHORAGARH REGARDING ISSUANCE OF NOC



पर्यटन सरचना विकास निवेश कार्यक्रम

Uttarakhand

उत्तराखण्ड शासन विकास भवन, भीमताल- 263136 जनपद- नैनीताल (उत्तराखण्ड)

Tourism

फोन न0: 05942- 247390

ई-मेल : piubhimtal@gmail.com

पत्रांक सo: ६११२. Gen Corp./PIU-Bhimtal/ ६०.६./1-B/2014/15

दिनांक: 13.03.2015

सेवा में

श्रीमान अधिशासी अधिकारी नगर पालिका, परिषद पिथौरागढ।

विषयः देवसिंह मैदान के पास राष्ट्रीय राजमार्ग के साथ (तीनमंजिला) पार्किंग के निर्माण हेतु अनापित्त प्रमाण पत्र संबंधित।

महोदय.

निवेदन इस प्रकार है कि पी.आई.यू. भीमताल (आई.डी.आई.पी.टी.) पर्यटन विभाग (उत्तराखण्ड) द्वारा ए.डी.बी. सहायतित परियोजना के अर्न्तगत देवसिंह ग्राउण्ड के पास पार्किंग निर्माण का कार्य प्रस्तावित है। पूर्व में आपके कार्यालय द्वारा पत्रांक 765/एन.पी./3- निर्माण 14-15 दिनांक 14.11.14 को अनापत्ति दी गयी है।

वर्तमान में सर्वे का कार्य पूर्ण करने के बाद स्थल पर निर्मित संरचनायें प्रभावित हो रही है। जिनको तोड़ने के उपरान्त ही पार्किंग का निर्माण सम्भव है। संरचनायें जो प्रभाव में आ रही है निम्न प्रकार से है -

- (1) विदेशी मदिरा की दुकान।
- (2) सुलभ शौचालय।
- (3) पार्किंग हेत् वनायी गयी आर.सी.सी. संरचना।
- (4) गैस एजेन्सी का कार्यालय।

अतः महोदय से निवेदन है कि उपरोक्त संरचनाओं की तोड़ने तथा पार्किंग निर्माण हेतु अनापत्ति प्रमाण पत्र देने की कृपा करेंगें। ताकि परियोजना सम्बन्धित डी०पी०आर० तैयार की जा सके।

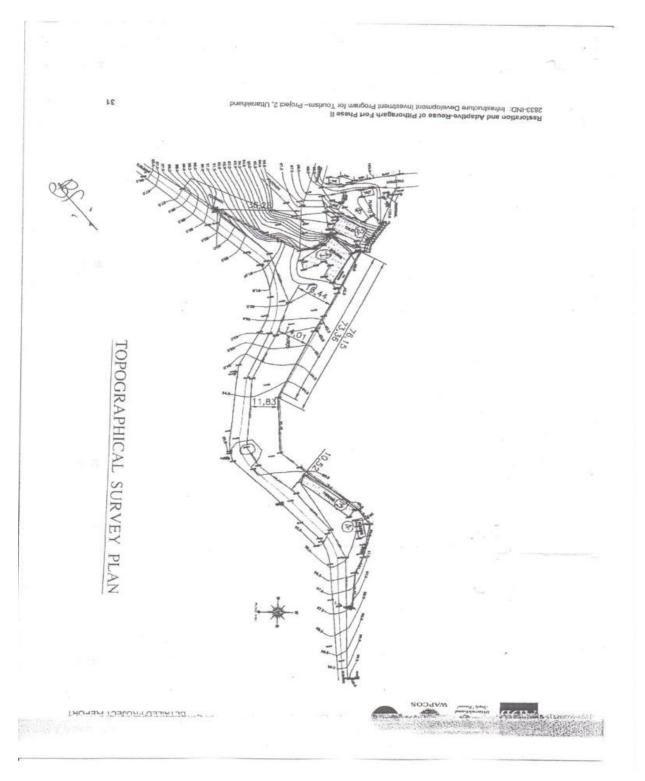
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संलग्न :ड्राइंग।

ANNEXURE III (E)

TOPOGRAPHICAL SURVEY PLAN



ANNEXURE IV: SCREENING QUESTIONS FOR RESETTLEMENT **CATEGORIZATION**

Probable InvoluntaryResettlementEffects*	Yes	No	Not Known	Possible	Remarks
Will the projectinclude anyphysical construction work?	V				Renovation of Buildings around the fort and parking facility.
Doestheprojectincludeupgradingorrehabilitatio nofexistingphysical facilities?	V				Renovation of Buildings around the fort and parking facility.
Are any project effects likely leads to loss of housing, otherassets, resource use or incomes/livelihoods?		V			
Is land acquisition likely to be necessary?					If issues are resolved at District administration level
Is the site forlandacquisition known?					If issues are resolved at District administration level
Is the ownership status and currentusage of the landknown?					If issues are resolved at District administration level
Will easements be utilized within an existing RightofWay?		1			
Arethereany non- titledpeoplewholiveorearntheirlivelihoodatthesi e orwithinthe RightofWay?		√			
Will there be loss ofhousing?		V			
Will there be loss of agricultural plots?		V			
Will there be losses ofcrops,trees,andfixed assets?		1			
Will there be loss ofbusinessesor enterprises?		V			
Will there be loss ofincomes and livelihoods?					
Will people lose access to facilities, services, or naturalresources?		√			
Willanysocialoreconomicactivitiesbeaffectedby land-userelatedchanges?		V			
Ifinvoluntaryresettlement impacts are expected:					Notrequired
Are local laws and regulations compatible with ADB'sInvoluntary Resettlement policy?					Notrequired
Will coordination between government agencies be required to deal with land acquisition?					Notrequired
Are there sufficient skilled staffs in the					Notrequired

Executing Agency for resettlement planning and implementation?			
Are training and capacity-building interventions required prior to resettlement planning and implementation?			Notrequired

^{*}Whenever possible, consider also any future subprojects or investments.

InformationonAffectedPersons:	
Anyestimate of the likelynumberofhouseholdsthatwill be affecte	d by the Project?
[] No [] Yes Ifyes,approximatelyhow many?	Not Applicable
Are anyof them poor, female-heads ofhouseholds, or vulnerable	to povertyrisks?
[] No [] Yes If yes,pleasebrieflydescribestheir situation:	Not Applicable
Are anyAPsfrom indigenous or ethnic minoritygroups? [] No [] YesIfyes,please explain?	NotApplicable
ProjectCategorizationandResettlementPlanningRequirements [] CategoryA,SignificantIRimpact,afullResettlementPlanisrequireme	

ANNEXURE V: MINUTES OF MEETING WITH DISTRICT ADMINISTRATION

Minutes of Meeting

Meeting with SDM Pithoragarh

Venue: Tehsil Complex, Pithoragarh Fort

Date: 30-04-2014

Chaired by: Sh. Jai Bharat Singh, SDM Pithoragarh

Attended by:

1. Sh. L. M. Bhatt Tehsildar, Pithoragarh

Community Development Officer 2. Sh. Jagat Sontiyal

Support Civil Engineer 3. Sh. Raja Joshi

The meeting was held to discuss the progress of Pithoragarh Fort Sub Project. The following points were discussed during the meeting-

- 1. The PIU Team informed about the present status of the fort project. The team also explained the final layout plan and working drawings of the proposed work.
- 2. The land issue of proposed leisure garden was discussed during the meeting. The district Administration assured that the entire land of fort complex including the land for proposed leisure garden is under the possession of district administration and separate NOC is not required for the proposed intervention.
- 3. The district Administration assured that if approval of 3.00 lacs approx. provided to the district Administration, the process of resettlement will be complete within the time.
- 4. The district Administration was satisfied about the progress and present status of Pithoragarh Sub Projects.

Sub District Magistrate

ANNEXUREVI: CONSULTATIONS WITH STAKEHOLDERS

S.No	Place	Date	Consulted persons/ Community	Issuesdiscussed / Output Received
1.	Pithoragarh	30-09-2013 & 01-10- 2013	Temple Committee MembersCDODMDTO	Subproject Monitoring Committee Meeting
2.	Pithoragarh	26-29 October 2013	 Temple Committee Members Forest Department Nagar Palika Tehsildar 	 Transect Walk with District Administration including (DM, CDO, ADM, SDM and Tehsildar) Collection of Revenue Records Obtaining of NOCs Project progress review
3.	Pithoragarh	26-30 December 2013	• CDO • DM	 Sharing of plans and Survey findings Data collection regarding IEE, RP & DPR preparation
4.	VikasBhawan, Pithoragarh	14 March 2014	Temple Committee MembersSDMTehsildar	Minutes of Meeting enclosed as Annexure V
5.	Pithoragarh	21-22 August 2014	District Magistrate	Discussion regarding parking facility in Pithoragarh Town and obtaining of NOC

ANNEXURE VII: MEETING MINUTES OF PUBLIC CONSULTATION MEETING

Date: 14-03-2014

Venue: VikasBhawan, Pithoragarh

A workshop cum public consultation meeting was organized under the chairmanship of Sub-Divisional Magistrate, Pithoragarh on 14-03-2014 in VikasBhawan at Pithoragarh, Uttarakhand in which most of the officials of various departments and District Administration of Pithoragarh were present. Besides, representatives of many Non-Governmental Organizations, the members of Hotel Associations, Vyapar Mandal, Bar Associations, Ramleela Committee and Women Federation/ SHGs participated in the workshop. Total 86 people from different organizations, community groups and media were present in the workshop. A list of all the persons, who participated in the workshop, is enclosed below

First of all Dr. JagatSontiyal, Community Development Officer, PIU Bhimtal welcomed the participants of the workshop and briefed about the project and its components. Shri Jai Bharat Singh, SDM Pithoragarh mentioned that the said project will become a boon for the local masses of Pithoragarh and it will help in raising the socio-economic status of the people. He expected from the audience that their suggestions would be of immense use to finalize the project components for better implementation of the said intervention. He requested the audience for providing their positive/ constructive suggestions which are useful for development of the project.

Dr. K. K. Gaur, Social Safeguards Specialist, DSC Bhimtal gave a presentation on "Conservation of Pithoragarh Fort." The Presentation was completed in eight steps. First of all he mentioned about the different institutions involved in implementation of the project. The aims and objectives of the project were also explained. He stressed upon the people's participation as no project can get success without their involvement. He expected that the people will come forward with positive thinking and constructive suggestions which can be useful for better implementation of the project. Thus, a brief account of activities to be covered under the project was explained through presentation and suggestions were invited from the participants of the workshop.

During the discussions, following points emerged-

- The history of the fort should be briefly explained in a pamphlet form showing correct year of its construction and renovation etc
- Involvement of women self-help groups can be useful for taking care of different activities relating to preparation of Kumaoni cuisine and making local crafts. These groups may become instrumental for getting more and more information about the gender status in Pithoragarh area.
- Library/reading room can be established in the premises of fort.
- Some of the pamphlets showing importance of the fort as well as tourist spots near/within the town can also be prepared.
- A documentary film about the history of the fort and other tourist places can also be developed which can become part of information center so that the tourist can get better idea about the fort, culture of the area and socio economic background of Pithoragarh Culture.
- Provision of basic amenities like toilets etc should be taken care while implementing the project.

- It was mentioned that during the finalization of activities relating to development of Ramleela Rangmanch, Ramleela committee members can be involved in deciding the locations of different equipment, light etc
- There was a demand of installation of telescope which can be used for seeing different places/sceneries from the fort. It was told that near the fort, a cave is in existence which is presently full of mud and needs its clearance. There is possibility of existence of other important places which can also become part of tourist attraction and the same can be included in the present project.
- There is a need of creating awareness about the importance of proper behavior with the outsiders (tourists) by the local persons involved in hotel, transport and allied activities which is possible by developing adequate IEC (Information, Education and Communication) material.
- There was a demand of early construction of air strip for attracting more and more tourists from different parts of India as well as foreign countries. In response to it, SDM made clear that the construction of the said strip is expected to be completed by the end of October 2014. At the same time some of the participants raised the issue of roads approaching to the fort as well as Pithoragarh city. They mentioned that the present condition of the roads is not up to the mark and needs repairing immediately. It will be helpful to the tourists as well as local masses of the city.
- Organizing cultural program can become part of Ram Leela Committee.

Besides the above mentioned points there was a general discussion on different activities relating to the importance of various places in Pithoragarh which will become a part of documentary film to be developed as mentioned before. The workshop/public consultation meeting ended with the vote of thanks to the chair with a hope that after having the discussions on above mentioned points due care will be taken on incorporating some of them in DPR document.

List of Participants

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ANNEXURE VIII: SUMMARYOF POLICY FRAMEWORK

A. Policy and Legal Framework

The policy framework and entitlements for the Program are based on national laws: **The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013** and ADB'S Safeguard Policy Statement, 2009 (SPS). The salient features of Government and ADB polices are summarized below.

1. Government Policy

a. The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013(The Central Government has notified January 01, 2014 as the effective date of the act,)

The act provides for transparent process and fair compensation to land owners and those affected by land acquisition, for land acquired for public purpose. In determining market price, (Sec 26 read with 1st Schedule) the land owner in urban area will get twice, due to the 100% solatium and in rural area, the multiplying factor is 1 to 2 depending on distance from nearest urban centre, to be notified by appropriate government, and then 100% solatium.

Traditionally R&R has been in the form of a one-time compensation, which was decided using the last registered sale or a similar sale in the vicinity of the land as a base. After the proposed project is set up, the land prices rise owing to the benefits of the development. This creates considerable dissatisfaction amongst the displaced people. To prevent such issues, the entire R&R package stipulates the provision of employment by the project owner in cases where jobs are generated by the project and basic infrastructure including drinking water, individual electric connections, healthcentreetc for the resettled. Over and above this, delivery of the compensation and the R&R are proposed to be preconditions to the transfer of the land title.

The procedure for acquisition and R&R will include a Social Impact Assessment (SIA) which will cover the investigation of public purpose, minimum extent of land required thereof, estimation of displacement and social impact on affected families apart from the overall cost versus benefit analysis for the proposed project.

The act restricts the use of emergency clause to defense, national security and natural calamities.

Since there is no land acquisition in "legal terms" in this subproject, therefore this is not applicable.

However, according to the new Act, certain provisions have been made for the DPs which have been included in the R&R budget. They are as follows:

Transportation cost for displaced families - Each affected family which is displaced shall
get a one-time financial assistance of Rs. 50000/- as transportation cost for shifting of
the family, building materials, belongings and cattle. In this case, commercial structure
of one of the DPs will be demolished which will not result in displacement but will
involve transportation of building materials salvaged,

- Each affected family which is displaced from the land acquired shall be given a monthly subsistence allowance equivalent to Rs. 3000/- per month for a period of one year from the date of award. This is applicable to those families whose livelihood is primarily dependent on land acquired. In this sub-project, the livelihood of DPs is not primarily dependent on land to be cleared. As such, assistance for temporary livelihood disruption is provided for six (6) months @ Rs. 3000/- per month,
- Each affected family having cattle or having a petty shop shall get one-time financial assistance of such amount as the appropriate Government may, by notification, specify subject to a minimum of Rs. 25000/- for construction of cattle shed or petty shop as the case may be. In this sub-project, 3 petty shops will be impacted. A minimum of Rs. 25000/- each has been provided for these petty shops.

2. ADB'S Safeguard Policy Statement, 2009 (SPS)

- 1. The three important elements of ADB's involuntary resettlement policy are (i) compensation to replace lost assets, livelihood, and income; (ii) assistance for relocation, including provision of relocation sites with appropriate facilities and services; and (iii) assistance for rehabilitation to achieve at least the same level of well-being with the project as without it.
- 2. For any ADB operation requiring involuntary resettlement, resettlement planning is an integral part of project design, to be dealt with from the earliest stages of the project cycle, taking into account the following basic principles:
 - (i) Involuntary resettlement will be avoided whenever feasible.
 - (ii) Where population displacement is unavoidable, it should be minimized.
 - (iii) All lost assets acquired or affected will be compensated. Compensation is based on the principle of replacement cost.
 - (iv) Each involuntary resettlement is conceived and executed as part of a development project or program. Affected persons need to be provided with sufficient resources to re-establish their livelihoods and homes with time-bound action in co-ordination with civil works.
 - (v) Affected persons are to be fully informed and closely consulted.
 - (vi) Affected persons are to be assisted to integrate economically and socially into host communities so that adverse impacts on the host communities are minimized and social harmony is promoted.
 - (vii) The absence of a formal title to land is not a bar to ADB policy entitlements.
 - (viii) Affected persons are to be identified and recorded as early as possible to establish their eligibility, through a census which serves as a cut-off date, and prevents subsequent influx of encroachers.
 - (ix) Particular attention will be paid to vulnerable groups including those without legal title to land or other assets; households headed by women; the elderly or disabled; and indigenous groups. Assistance must be provided to help them improve their socio-economic status.
 - (x) The full resettlement costs will be included in the presentation of project costs and benefits.

INVOLUNTARY RESETTLEMENT IMPACT CATEGORIZATION CHECKLIST

Date: 8.2. 2016

	Date: 5.4.2018
See Levil Date	
Project Data	
Country/Project No./Project Title	: INDIA/3223/INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM, UTTRAKHAND
Subproject title	Restoration and Adaptive - Reuse of
Project Executing Agency	PROJECT MANAGING UNIT, DEHRADUN, IDIPT,UK
Project Implementing Agency	PROJECT IMPLEMENTING UNIT, BHIMTAL, UK
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] Category A: Significant IR i	of productive (income generating) seless
Category B: Non-significant	IR impact
✓ JCategory C: No IR impact	
Prepared by:	
	, title, signature)
Social Development Specialist (Name Dates, 4-2016 Ms. Seems Sharms For Protect Implementing Agency (Na	a, Community Development Specialist, 1995, 1997,

- Please attach subproject details, such SAR, DPR or a brief summary of subproject activities proposed
- The proposed subproject mainly comprises of the integrated development of Pithoragarh Fort Precinct, which was taken in Phase II of the project. It includes:
- 1) Construction of new multi level car parking facilities near Dev Singh Ground in Pithoragarh.
- 2) Construction of Tourist Rest House by converting Tehsil Staff Quarters in their present location in fort premises.
- 3) Construction of new tehsil office building.
- 4) Construction of living accommodation for rehabilitation of Tehsil Quarters Type-IV 1 Nos. and Type II 6 Nos.

Involuntary ResettlementImpact Categorization Checklist

Probable Involuntary Resettlement Effects	Yes	No	Not Known	Remarks		
Involuntary Acquisition of Land						
Will there be land acquisition?		✓		The sub-project will not entail any permanent land acquisition and resettlement impact. All the implementation activities will be confined to land under control of the government of the state. Hence this is not applicable.		
2. Is the site for land acquisition known?				NOT APPLICABLE		
Is the ownership status and current usage of land to be acquired known?				NOT APPLICABLE		
4. Will easement be utilized within an existing Right of Way (ROW)?				NOT APPLICABLE		
5. Will there be loss of shelter and residential land due to land acquisition?				NOT APPLICABLE		
6. Will there be loss of agricultural and other productive assets due to land acquisition?				NOT APPLICABLE		
7. Will there be losses of crops, trees, and fixed assets due to land acquisition?				NOT APPLICABLE		
8. Will there be loss of businesses or enterprises due to land acquisition?				NOT APPLICABLE		
9. Will there be loss of income sources and means of livelihoods due to land acquisition?				NOT APPLICABLE		
Involuntary restrictions on land use or on access to legally des	signated	parks an	d protecte	d areas		
10. Will people lose access to natural resources, communal facilities and services?				NOT APPLICABLE		

11. If land use is changed, will it have an adverse impact on social and economic activities?				NOT APPLICABLE		
12. Will access to land and resources owned communally or by the state be restricted?				NOT APPLICABLE		
Information on Displaced Persons:						
Any estimate of the likely number of persons that will be displaced by the Project? [] No [] Yes NOT APPLIC						
Are any of them poor, female-heads of households, or vulnerable to poverty risks?					NOT APPLICABLE	
Are any displaced persons from indigenous or ethnic minority groups?					NOT APPLICABLE	

Note: The project team may attach additional information on the project, as necessary.

INDIGENOUS PEOPLES IMPACT CATEGORIZATION CHECKLIST

Date:8.4.2016

INDIGENOUS PEOPE	ESIMPACT CATEGORIZATION CHECKLIST Date & 4, 2016
Project Data	
Country/Project No./Project Title : INDIA/3223	MNFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM
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IP Impact categorization [] New	[] Recategorization — Previous Category []
]Category A: Significant IP impact	
Category B: Non-significant IP impact	
✓]Category C: No IP Impact	
Subproject requires the broad community supplindigenous Peoples communities	ort of affected []Yes [✓]No
Prepared by:	
Social Development Specialist (Name, title, signature) Date: (2016 Ms. Seema Sharma, Community Dav	contra contra
For Project Implementing Agency (Name, title, signature Date: 6, 4,2016	(IDLP.T.) P. L. U. Bhimtal
For Project Executing Agency (Name, title, signature) Date 6 - 12016 (Program for Yoursell Fold Found B14/16

The proposed subproject mainly comprises of the integrated development of Pithoragarh Fort Precinct, which was taken in Phase II of the project. It includes:

- 1)Construction of new multi level car parking facilities near Dev Singh Ground in Pithoragarh. 2)Construction of Tourist Rest House by converting Tehsil Staff Quarters in their present location in fort premises.
- 3)Construction of new tehsil office building.
- 4)Construction of living accommodation for rehabilitation of Tehsil Quarters Type-IV 1 Nos. and Type II 6 Nos.

Indigenous PeoplesImpact Screening Checklist

KEY CONCERNS (Please provide elaborations on the Remarks column)	YES	NO	NOT KNOWN	Remarks
A. Indigenous Peoples Identification				
1. Are there socio-cultural groups present in or use the project area who may be considered as "tribes" (hill tribes, schedules tribes, tribal peoples), "minorities" (ethnic or national minorities), or "indigenous communities" in the project area?		✓		The sub-project will not entail any permanent land acquisition and resettlement impact. All the implementation activities will be confined to land under control of the government of the state. Hence this is not applicable.
2. Are there national or local laws or policies as well as anthropological researches/studies that consider these groups present in or using the project area as belonging to "ethnic minorities", scheduled tribes, tribal peoples, national minorities, or cultural communities?				NOT APPLICABLE
3. Do such groups self-identify as being part of a distinct social and cultural group?				NOT APPLICABLE
4. Do such groups maintain collective attachments to distinct habitats or ancestral territories and/or to the natural resources in these habitats and territories?				NOT APPLICABLE
5. Do such groups maintain cultural, economic, social, and political institutions distinct from the dominant society and culture?				NOT APPLICABLE
6. Do such groups speak a distinct language or dialect?				NOT APPLICABLE
7. Has such groups been historically, socially and economically marginalized, disempowered, excluded, and/or discriminated against?				NOT APPLICABLE
8. Are such groups represented as "Indigenous Peoples" or as "ethnic minorities" or "scheduled tribes" or "tribal populations" in any formal decision-making bodies at the national or local levels?				NOT APPLICABLE

KEY CONCERNS (Please provide elaborations on the Remarks column)	YES	NO	NOT KNOWN	Remarks
B. Identification of Potential Impacts				
Will the project directly or indirectly benefit or target Indigenous Peoples?				NOT APPLICABLE
10. Will the project directly or indirectly affect Indigenous Peoples' traditional socio-cultural and belief practices? (e.g. child-rearing, health, education, arts, and governance)				NOT APPLICABLE
11. Will the project affect the livelihood systems of Indigenous Peoples? (e.g., food production system, natural resource management, crafts and trade, employment status)				NOT APPLICABLE
12. Will the project be in an area (land or territory) occupied, owned, or used by Indigenous Peoples, and/or claimed as ancestral domain?				NOT APPLICABLE
C. Identification of Special Requirements				
Will the project activities include:				
13. Commercial development of the cultural resources and knowledge of Indigenous Peoples?				NOT APPLICABLE
14. Physical displacement from traditional or customary lands?				NOT APPLICABLE
15. Commercial development of natural resources (such as minerals, hydrocarbons, forests, water, hunting or fishing grounds) within customary lands under use that would impact the livelihoods or the cultural, ceremonial, spiritual uses that define the identity and community of Indigenous Peoples?				NOT APPLICABLE
16. Establishing legal recognition of rights to lands and territories that are traditionally owned or customarily used, occupied or claimed by indigenous peoples?				NOT APPLICABLE
17. Acquisition of lands that are traditionally owned or customarily used, occupied or claimed by indigenous peoples?				NOT APPLICABLE

D. Anticipated project impacts on Indigenous Peoples

Project component/ activity/ output	Anticipated positive effect	Anticipated negative effect
1.LIST ALL PROJECT COMPONENTS / ACTIVITIES / OUTPUTS HERE	INDICATE EFFECTS TO IPS OR PUT N/A AS NECESSARY	
2.×	NOT APPLICABLE	NOT APPLICABLE
3.×	NOT APPLICABLE	NOT APPLICABLE
4. ×	NOT APPLICABLE	NOT APPLICABLE
5. ×	NOT APPLICABLE	NOT APPLICABLE

Note: The project team may attach additional information on the project, as necessary.