



## Social Monitoring Report

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Project Number: 40648-034  
March 2016  
Period: July 2015 – December 2015

### IND: Infrastructure Development Investment Program for Tourism - Tranche 3

Prepared by:

Program Management Unit, Government of Uttarakhand, Dehradun

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**Asian Development Bank**

SGS/NK/SM-



Govt. of Uttarakhand

**Program Management Unit**  
**Infrastructure Development Investment Program for Tourism**  
(ADB Assisted – Loan No. 2833, India)  
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Uttarakhand  
'Bharat Ki Rakshak'

Ref: 352912-10-ADB IDIPT/370/2014-15

Date: 15.03.2016

To

Country Director,  
Indian Resident Mission (INRM),  
Plot no -4, San Martin Marg, Chanakyapuri,  
New Delhi 110021, PB No-53311 (HPO)



**Sub: Loan No. 3223 IND- IDIPT, UK Submission of Semiannual Safeguard Monitoring Report (Environment and Social), for July – December, 2015**

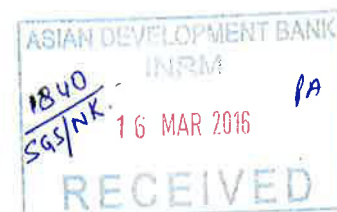
Respected Madam,

Please find enclosed the **Semiannual Safeguard Monitoring Report** (Environment and Social -Tranche 3) for the duration July – December, 2015 for your kind perusal and approval.

Yours Sincerely

Encl.:- As above

(R.K. Joshi)  
Additional Program Director



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# **Infrastructure Development Investment Program for Tourism, Uttarakhand**

**Asian Development Bank Loan No.3223-IND**

**(Tranche -3)**

**Semi-Annual Social Safeguard Monitoring Report  
(July-Dec 2015)**

This social safeguard monitoring report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

### **ABBREVIATIONS**

ADB	- Asian Development Bank
IDIPT	- Infrastructure Development Investment Programme for Tourism
UTDB	- Uttarakhand Tourism Development Board
BPL	- Below poverty line
DSC	- Design and Supervision Consultants
GRC	- Grievance Redress Committee
PIU	- Program Implementation Unit
PMC	- Program Management Consultants
PMU	- Project Management Unit
LAA	- Land Acquisition Act
MFF	- Multitranche financing facility
NGO	- Nongovernmental organization
OMC	- Operations and Maintenance Contractors
PAH	- Project affected household
SDS	- Social Development Specialist
RP	- Resettlement Plan
DDR	- Due-Diligence Report
ULB	- Urban local body
GoI	- Government of India
GoUK	- Government of Uttarakhand
SO	- Safeguard Officer

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## PREFACE

Keeping in view the objective and scope of the SMR, this report addresses the issues related with social safeguards, with reference to the progress achieved and current status of reporting period. This report outlines monitoring implementation of social safeguard activities from July 2015 to Dec 2015 in accordance with the ADB Safeguard Policies.

## PROJECT FACT SHEET

Loan	<b>(LOAN NO. 3223 IND) Tranche- 3</b>
Subproject	<b>Infrastructure Development Investment Programme for Tourism, Uttarakhand</b>
Executing Agency	The Executing Agency (EA) for the Investment Program is the Uttarakhand government's Tourism Department. The EA has established the Program Management units (PMU) to execute, manage and monitor the implementation of the Investment Program, and provide overall policy directions. PMU has the mandate to closely supervise and monitor every component under the Investment Program.
Implementing Agency	The Implementing Agency is the Program Management Unit (PMU) of the ongoing Infrastructure Development Investment Programme for Tourism, Uttarakhand). For the execution of work, PIUs have been set up at Dehradun, Bhimtal and Kotdwar.
Monitoring Period Covered	<b>July 2015 to Dec 2015</b>

Detailed Cost Estimates and Financing Plan under tranche – 3 is estimated to cost US\$ 38.34 million (under process) provided by the ADB through Loan No. IND-3223. The investment program outputs will be;

Component 1: Enhanced quality of natural and cultural attractions.

Component 2: Greater participation by local communities in tourism-related economic and livelihood activities.

Component 3: Improved basic urban infrastructure and incidental services at tourist destinations and gateways.

Component 4: Improved connectivity to tourist attractions.

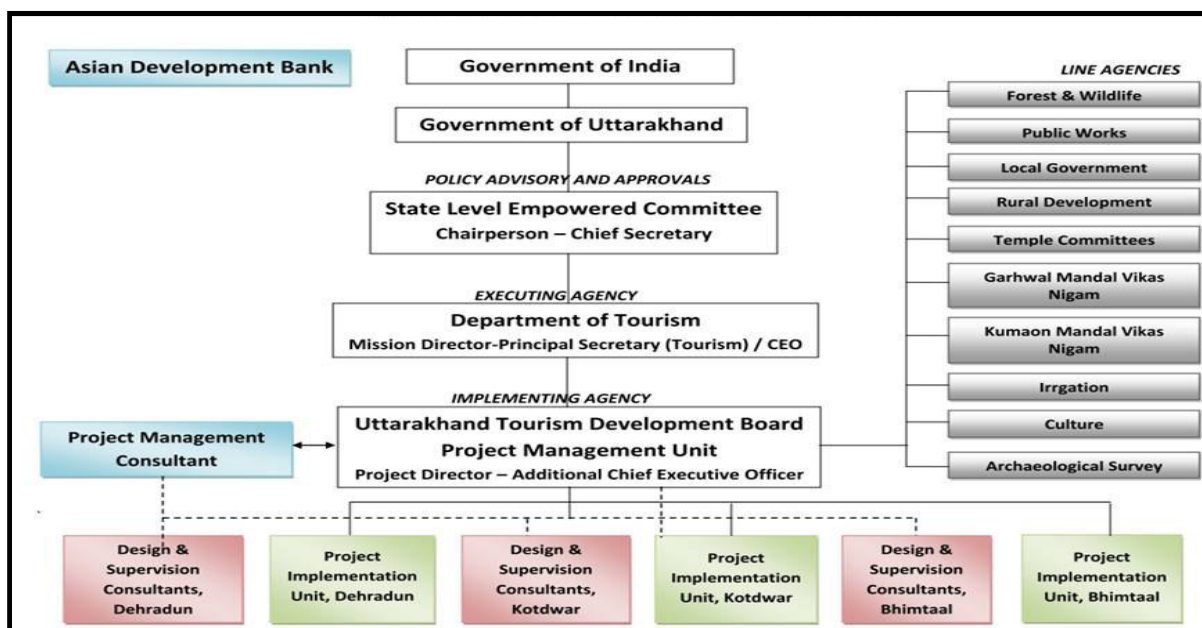
Component 5: Strengthened capacity of sector agencies and local communities for planning, development, management and marketing of tourist destinations and attractions and promoting private sector participation and small businesses.

**Impact and Outcome:** The expected impact of the Program is increased volume of domestic and international tourists to destinations within the state of Uttarakhand. The expected outcome of the Program is enhanced tourism environment in the state of Uttarakhand. The outputs of the Program are:

- Improved basic urban infrastructure and services at tourist destinations and attractions.
- Improved connectivity, enhanced quality of natural and cultural attractions, greater participation by local communities in tourism-related economic activities,
- Strengthened capacity of sector agencies and local communities for planning, development, management, and marketing of tourist destinations and attractions,

The Executing Agency (EA) for the Investment Program is Dept. of Tourism, Govt of Uttarakhand. The Loan Agreement and Project Agreement for the IDIPT, Uttarakhand is 2833-IND. Project Implementation Unit have been established in three towns respectively (Dehradun, Bhimtal and Kotdwar) for implementation of sub-projects. Design and Supervision Consultants (DSCs), has already been established in three towns for designing the Infrastructure, managing the tendering of Contractors and supervising construction, and providing support to the PIUs.

UTDB is the executing agency responsible for overall technical supervision and execution of all subprojects funded under the Program. The Implementing Agency is the Program Management Unit (PMU) of the ongoing Infrastructure Development Investment Programme for Tourism, Uttarakhand, which has been expanded and assigned as the PMU, to coordinate construction of subprojects and ensure consistency across the towns. Project Management Consultancy (PMC), based at Dehradun, supports the overall Investment Program by providing assistance to the Project Management Unit (PMU) at state-level. Three DSCs based at (Dehradun, Bhimtal and Kotdwar) providing support to the concerned PIUs for overall program implementation. Institutional diagram in this regard is shown in figure 1





## DSC DEHRADUN

In Tranche 3, 3 nos of sub-project has been decided by the SLEC for PIU, Dehradun, Physical works not started yet. Bids are already floated. Detailed project Report (DPR) of all the three sub-project has been made.

Table 1: Progress of sub-projects under Tranche 3

S.N	Description of Sub-projects/Packages	Package no	District	Date of award/ Mobilization	Contractor/ Consultant	Present Status
1	Development of Tourism Infrastructure in Kartikeya Swami Tranche-III (Lot-1Durgadhar & Tungeshwar temples)	UK/IDIPT-III/DDN/01	Rudra prayag			Tender Call
2	Development of Rural Tourism	UK/IDIPT-III/DDN/02/RT	Rudra prayag , Cham oli, Uttara kashi			Tender Call
3	Development of Tourism Infrastructure in Kartikeya Swami	UK/IDIPT-III/DDN/01	Rudra prayag			Tender call

Table 1: Institutional Roles and Responsibilities

Activities	Responsible
<b>Subproject Initiation Stage</b>	
• Finalization of sites/alignments for subprojects	PMU
<b>Resettlement Plan Preparation Stage</b>	
• Conducting Census of all affected persons	DSC/PIU
• Conducting FGDs/meetings/workshops during SIA surveys	DSC/PIU
• Categorization of affected persons for finalizing entitlements	PIU / DSC
• Formulating compensation and rehabilitation measures	PIU/ DSC
• Conducting discussions/meetings/workshops with all AP and other stakeholders	PMU/PMC/PIU/DSC
• Finalizing entitlements and rehabilitation packages	PIU/DSC/PMU
• Disclosure of final entitlements and rehabilitation packages	PIU/DSC
• Approval of R. P	PMU/ADB

Activities	Responsible
<b>Resettlement Plan Implementation Stage</b>	
• Implementation of proposed rehabilitation measures	PIU/ DSC
• Consultations with affected persons during rehabilitation activities	PIU/DSC
• Grievances redressal	PMU//PIU/GRC
• Monitoring	PIU/PMU

AP=affected person, DSC = Design and Supervision Consultant (Social Specialist), FGD=focus group discussions, GRC = Grievance Redress Committee, PIU = Project Implementation unit, PMU =Project management unit, RP=resettlement plan, SIA=social impact assessment, SO = safeguards officer.

Table 2: Institutional Status Readiness Checklist

S.No	Item	Status (Ready/Not ready/NA)	Follow-up required (Y/N)	Completion Deadline
1	Mobilization of civil works contractor	Not mobilized yet	Yes	
2	Mobilization of Project Supervision	Not mobilized yet	Yes	
3	EA's Safeguards Unit with designated staff	Not mobilized yet	Yes	
4	Internal Monitoring Agency	Ready	Yes	
5	External Monitoring Agency	NA		

#### A. Purpose of the Report:

1. The purpose of Social Monitoring Report is to analyze the implementation period of the project to comply with the approved Involuntary Resettlement (IR) documents such as Resettlement Plan (RP), Due Diligence Report (DDR) and Categorization Check List (CCL). This report covers Social Monitoring, addressing all the issues related with social safeguards, with reference to the progress achieved and current status of reporting period. This report outlines monitoring implementation of social safeguard activities from July 2015 to Dec,2015 in accordance with the ADB Safeguard Policies.

#### B. Scope of Land Acquisition and Resettlement:

2. In Tranche 3 projects of IDIPT Uttarakhand there is no case of permanent land acquisition in any subproject. The minor impact has been anticipated in one package(Development of Tourism Infrastructure in Kartikeya Swami Tranche-III) in terms of temporary loss of livelihood of on 7 shops, as the work will be in front of their shops, resulting in possibility of loss of access for 7 number of days . None of these small business structures are to be demolished. All are Titleholder and belong to General Category. Provisions for compensation of temporary livelihood losses have been included in this RP in case business interruptions prove to be unavoidable. Thus the impacts are categorized as temporary due to the loss of income limited during the construction period only. There are no indigenous people living in the subproject area having attachment to distinct habitation or territories within the subproject areas resulting in IP category "C".

3. Resettlement Plan (RP) was made for one package (Development of Tourism Infrastructure in Kartikeya Swami) and also approved by ADB. One DDR has been made for remaining two packages and submitted to ADB.

### C. Summary Monitoring Results and Key Findings

4. In order to identify the IR Impacts, if any, during the Project implementations, site visits and consultative inspections in the project areas have been done by the DSC, PIU and it has been confirmed that the implementation of the above mentioned projects would not have any major resettlement impact. All the works are being executed in Vacant Temple Trust Land, Panchayat Land and Forest Department. The input provided by the DSC Safeguard Expert of DSC during that period was:

- ☐ Reviewed RP / DDR documents including ADBs Aide Memoire and guidelines for preparation/implementation of required reports;
- ☐ orientation and training on safeguard policy to project staff at PIU;

### D. Progress of R&R

5. All the APs have been validated and verified during site visit. ID card and disbursement will be done after confirmatory survey by the contractor to analyze the duration of impact.

### E. Public Consultations:

6. The social team carried out preliminary consultations, through focus group discussions (FGDs) and meetings with the affected persons (APs) as well as the general public. FGDs were conducted with the APs wherein policy related issues, i.e., displacements and other issues like compensation and assistance, input to alternative design were discussed.

Table 4: Community Participation/Development Activities carried out by PIU, Dehradun

S.no.	Activity /Event at a glance	Date	Total Participant	Male	Female	Discussion/Suggestions
1	Meeting at CDO Uttarkashi office with CDO, DTDO and team and staff	2.09.15	10	6	4	Discussion on the Rural tourism project components & activities, implementation details, involvement of NGO, etc.
	Meeting at Mukhwa village for the Home stays detailed documentation	3.9.15	30	21	9	Meeting with villagers and DTDO on the detailed documentation for the selected houses
2	Meeting at Harsil village for the Home stays detailed documentation	3.9.15	19	15	04	Meeting with villagers and DTDO on the detailed documentation for the selected houses
3	Meeting at Dharali village for the Home stays detailed documentation	4.9.15	14	09	05	Meeting with villagers on the detailed documentation for the selected houses
4	Meeting at Bagori village for the Home stays detailed documentation	4.9.15	25	15	10	Meeting with villagers on the detailed documentation for the selected houses for homestay

5	Meeting with DM, DTDO of Uttarkashi District regarding the Rural Tourism Project	1.10.15	8	4	4	Detailed DM sir about the project activities and Home stays
6	Meeting at Dharali village with DTDO & villagers regarding Rural Tourism Project	1.10.15	30	18	12	For the selection of Houses for Home stay
7	Meeting at Mukhba village with DTDO and villagers	2.10.15	50	15	35	For Home stay activities and women participation

#### **F. Future Monitoring Action Plan:**

- In tranche 3 sub-projects, Resettlement issues were identified only for one nos of sub-project and Due Diligence Reports were made for remaining sub-projects. In order to identify any social impact, due to the Project implementations, the Safeguard Consultant of DSC will visit the project sites
- Preparation of Micro-Plan and disbursement of compensation to all 7 nos of DP identified under package (Development of Tourism Infrastructure in Kartikeya Swami)
- Consultation and meeting will be held with DPs as well as stakeholders (Temple Trust, Village Panchayat members ).

#### **G. Community Participation, Livelihood Promotion and Capacity Building for Tranche 3 under IDIPT Uttarakhand**

##### **Program Background and Description**

7. The Infrastructure Development Investment Program for Tourism (IDIPT) targets enhanced economic growth and provision of livelihood opportunities for local communities through tourism infrastructure development with a focus on preservation and development of natural and cultural heritage and incidental services. The Program supports the state of Uttarakhand to develop the tourism sector as a key driver for economic growth.

##### **Objective**

The Program objectives are:

- To enhance economic growth of the region.
- To provide livelihood opportunities for local communities through tourism infrastructure development, with a focus on preservation and development of natural and cultural heritage and incidental services.

##### **Impact and Outcome**

8. The expected impact of the Program is increased volume of domestic and international tourists to destinations within the state of Uttarakhand. The expected outcome of the program is enhanced tourism environment in the state of Uttarakhand.

##### **Output:**

The output of the program are:

- Improved basic urban infrastructure and services at tourist destinations and attractions.
- Improved connectivity.
- Enhanced quality of natural and cultural attractions

- Greater participation by local communities in tourism activities.
- Strengthened capacity of sector agencies and local communities for planning, development, management, and marketing of tourist destinations and attractions.

9. It is proposed to carry out community upgradation activities in nearby vicinity of the towns (Uttarkasi, Chamoli, Rudraprayag). The village/panchayats/towns are located around sub-project sites and grouped into cluster, where community based institutions can be constituted to participate in project activities, to get benefited through tourism sector development in the region.

10. To achieve the objectives and goals

11. M/S Institute for Development Support (NGO) has been assigned for Community Awareness, Participation Program on 7th Nov, 2015.

**Institutional Arrangement for review and monitoring of CBT activities:**

Within the Project team the following officials and Consultants from PMC and DSC are involved in facilitating the execution of community based activities:-

- a) Community Development Specialist PMU
- b) Community Development Officer, PIU
- c) Community Development Expert, PMC
- d) Community Development Expert, DSC
- f) Eco-Tourism Planner, DSC

The Project team has been actively involved in orientation of the Cluster Consultant teams, mobilization of community, designing of activity plans, participation in events as well as monitoring the quality of deliverables.

**Status of Activities undertaken by the NGO:** Site visits have been done by the NGO and Inception report submitted.

## DSC KOTDWAR

PIU Kotdwar is responsible for implementation of 2 nos of package under tranche 3. Details are shown in table 1.

Table 1: Details of Sub-projects and Scope of Land Acquisition and Resettlement:

Sl. No.	Package Reference No.	Title of Contract Package	Contract Value/project cost INR (Millions)	Scope of LA and Resettlement
1.	(UK/IDIPT-III/KOT/01)	Creation of Pedestrian Route for Pilgrims and Tourists in Haridwar	223.82	Category B of ADB (SPS), 2009. 37 DPs
2.	(UK/IDIPT-III/KOT/02)	Development of Tourism Infrastructure in Sem-Mukhem(Tehri)	101.76	Category C of ADB (SPS), 2009. No impact
<b>Note:</b> In all the contract packages, works are in progress. In tranche 3, contractor for Sl.No.1 mobilised in November 2015 and for Sl.No.2,the contractor was mobilised in December 2015				

### 1. Institutional Arrangements:

The Dept. of Tourism is the executing agency responsible for overall technical supervision and execution of all subprojects funded under the Program. The Implementing Agency is the Program Management Unit (PMU) of the ongoing Infrastructure Development Investment Programme for Tourism, Uttarakhand), which has been expanded and assigned as the PMU, to coordinate construction of subprojects and ensure consistency across the towns. The PMU is assisted by the Project Management Consultant (PMC) who manages the Program and assures technical quality of the design and construction.

For the execution of work, PIUs have been set up at Kotdwar. The PIU is headed by a Project Manager and the social safeguards issues are under the purview of Community Development Officer (CDO) posted at each PIU. The PIU Head will report to PMU. The CDO at PIU will report to PIU Head and seek guidance with regard to resettlement plan implementation from CDS at PMU and will be main link between the RP implementation agency, DPs and PMU. The Design and Supervision Consultants (DSC), who are designing the Infrastructure, managing the tendering of Contractors and supervising construction, support the PIUs. The Safeguard Specialists of the DSC together with the Community/Social Development Officer of PIUs provide guidance for the implementation of Resettlement Plans.

Table 2: Institutional Roles and Responsibilities

<b>Activities</b>	<b>Responsible Agency</b>
<u>Sub-project Initiation Stage</u>	
Finalization of sites/alignments for sub project	PIU/DSC
Disclosure of proposed land acquisition and sub-project details by issuing Public Notice Meetings at community/ household level with APs of land/property Formation of Valuation Committees	PIU/DSC
<u>RP/DDR Preparation Stage</u>	
Conducting Census of all APs	PIU/DSC
Conducting FGDs/ meetings / workshops during SIA surveys	PIU/DSC
Computation of Replacement Values of land/properties proposed for acquisition and for associated assets	PIU/DSC
Categorization of APs for finalizing entitlements	PIU/DSC
Formulating compensation and rehabilitation measures Conducting discussions/ meetings/ workshops with all APs and other stakeholders.	PIU/DSC
Fixing compensation for land/property with titleholders	PIU/DSC
Finalizing entitlements and rehabilitation packages	PIU/DSC
Disclosure of final entitlements and rehabilitation packages	PIU/DSC
Approval of RP/DDR	PMU/ADB
<u>RP Implementation Stage</u>	
Implementation of proposed rehabilitation measures	PIU/DSC
Consultations with APs during rehabilitation activities	PIU/DSC
Grievance Redressal Committee (Both at State level and City level)	PMU/PIU/DSC
Internal Monitoring	PMU
External Monitoring	External

## 2. Institutional Status Readiness:

<b>No</b>	<b>Item</b>	<b>Status (Ready/Not ready/NA)</b>	<b>Follow up required (Y/N)</b>	<b>Completion Deadline</b>
1	Mobilization of civil works contractor	Ready	N	
2	Mobilization of Project Supervision	Ready	N	
3	EA's Safeguards Unit with designated staff	Ready	N	
4	Recruitment of External Monitoring Agency	NA	N	

### 3. Design and Engineering Status:

Item	Status (Y/N)	Follow up required (Y/N)	Type of Required Action (Please tick appropriate box)
Final detailed engineering design of the sub project completed	Y	N	<input type="checkbox"/> Updated draft IR/IP <input type="checkbox"/> New RP/IPP preparation <input checked="" type="checkbox"/> No action
Changes in project design/ scope (occurred or envisaged)	N	N	<input type="checkbox"/> Project re-categorization (IR/IP) <input type="checkbox"/> IR/IP Impact assessment <input type="checkbox"/> New RP/IPP preparation <input checked="" type="checkbox"/> No action

### 4. Safeguards Requirements:

No	Item	Status (Ready/Not ready/NA)	Follow up required (Y/N)	Completion Deadline
1	Safeguards monitoring included in the project progress report	NA		
2	Final/ updated RP/IPP <sup>1</sup> prepared and submitted	Ready*		July 2014 January 2015
3	Project's Grievance Redress Mechanism established	Ready		
4	Recruitment of third independent party for negotiated land acquisition	NA		
5	Project's ESMS (for FI) established	Ready**		Nov 2014

**\*\* For package Nos:** UKIDIPT/KOT/01 and UKIDIPT/KOT/02, the Due diligence report was approved by ADB. For package **(UK/IDIPT- III/KOT/01)**- Creation of Pedestrian Route for Pilgrims and Tourists in Haridwar under tranche 3, the RP was approved in January 2015. For this package the verification survey and preparation of Micro-Plan is completed.

### 5. RP/ IPP Monitoring Checklist:

<sup>1</sup> Detail checklist for RP/IPP provided in DDR reports.



Item No.	Safeguards Related Requirements	Status (Ready/Not ready/NA)	Completion Deadline	Progress to Date/Remarks
1.0	<b>MANAGEMENT</b>			
<b>Institutional and Financing Arrangements</b>				
1.1	Financing and budget : (i) safeguard office and staff; (ii) RP/IPP cost	Ready		
1.2	Establish Safeguard Unit: (i) resettlement offices (ii) resettlement staff as in RP (iii) safeguards' database (iv) facilities (v) safeguards training	Ready		
<b>Updating of DD/RP/IPP based on Detailed Design</b>		NA		
1.3	Update DDR/RP/IPP: (i) revise based on DMS (ii) revise implementation schedule (iii) revise budget	Ready*	July 2014 January 2015	Category B for IR and C for IPP
1.4	Compensation rates: (i) approved by Government; (ii) Adequate as per RP/RF; (iii) APs informed.	NA		Category B for IR and C for IPP
<b>Disclosure and Grievance Redress Arrangements</b>				
1.5	Disclose final/updated RP/IPP: (i) to the APs in local language; (ii) in ADB website; (iii) in EA's website	Ready	July 2014 January 2015	Category B for IR and C for IPP
1.6	Disclose project activities to affected communities: (i) put up a project sign boards; (ii) distribution of project leaflets and GRM to affected communities	Ready	July 2014 January 2015	completed
1.7	Grievance redress committees: (i) clear terms of reference; (ii) appointment decree; (iii) publicly disclosed among APs.	Ready	July 2014 January 2015	completed
1.8	Grievance redress records: (i) list and numbers of grievances received; (ii) numbers of resolved issues; (iii) numbers of cases under GRC review; (iv) outstanding cases (filed to court)	NA		Category B for IR and C for IPP
<b>Monitoring and Reporting Arrangements</b>				
1.9	Set up internal monitoring system : (i) assessment on capacity and staff requirements; (ii) criteria of safeguards assessment ; (iii) reporting mechanism	Ready		completed

1.10	Appoint external monitoring agency (as relevant): (i) recruitment schedule; (ii) TOR; (iv) baseline survey (v) reporting mechanism (vi) action taken by management on reports	NA		Category B for IR and C for IPP
1.11	Reporting: (i) frequency; (ii) timeliness; (iii) identified issues; (iv) action taken and/recommendation.	NA		Category B for IR and C for IPP
2.0	RP/IPP IMPLEMENTATION			
<b>Consultation</b>				
2.1	Consultation: (i) responsibility; (ii) stakeholders Identified; (iii) consultation and process; (iv) documentation	Ready*		Category B for IR and C for IPP
<b>Mapping and Assets Inventory</b>				
2.2	AP Identity cards & affected assets records: (i) issuance and distribution of AP ID cards, (ii) detail measurements survey of affected assets and documentation	NA		Category B for IR and C for IPP
2.3	Land acquisition activities: (i) maps of affected and relocation sites; (ii) acquisition & relocation schedule; (iii) coordination with the asset's acquiring, transfer and registration bodies	NA		Category B for IR and C for IPP
2.4	Common property/ public assets: (i) final inventory; (ii) restoration plan and schedule; (iii) funding sources	NA		Category B for IR and C for IPP
<b>Compensation, Relocation and Rehabilitation</b>		NA		
2.5	Payment of compensation and entitlements (as stated in the RP): (i) schedule of payment; (ii) records/ receipts of payment	NA		Category B for IR and C for IPP
2.6	Relocation of process ( relocation site readiness): (i) housing & associated facilities established; (ii) transfer of new land/plot/ house ownerships completed	NA		Category B for IR and C for IPP
2.7	Provision of (a) income restoration, (b) additional assistance for vulnerable APs, (c) training, etc. (as stated in the RP): (i) per type of activities; (ii) schedule of implementation	NA		Category B for IR and C for IPP
<b>Monitoring and Reporting</b>				
2.8	Submission of the reports: (i) semi-annual monitoring reports; (ii) external monitoring and evaluation reports (as required); (iii) completion report	NA		Category B for IR and C for IPP
2.9	Transfer of acquired assets to the acquiring agency	NA		

**\*\* For package Nos:** UKIDIPT/KOT/01, the Due diligence report was approved by ADB. For package **(UK/IDIPT- III/KOT/01)-** Creation of Pedestrian Route for Pilgrims and Tourists in Haridwar under tranche 3,the RP was approved in January 2015.For this package the verification survey and preparation of Micro-Plan is completed.

#### 6. Implementation of Resettlement Activities:

Table 3: Social Safeguard Monitoring Checklist

Project Data			
<b>Name of Project &amp; Subproject</b>	<b>Loan No-3223-IND: Infrastructure Development Investment Program for Tourism, Kotdwar</b>		
<b>Project Disbursement Status (%)</b>	<b>9%</b>		
<b>Type of contract</b>	<input checked="" type="checkbox"/> <b>Regular</b>	<input type="checkbox"/> <b>Design-Build</b>	<input type="checkbox"/> <b>Combined</b>
<b>Safeguards Categorization</b>	<b>A</b>	<b>B</b>	<b>C</b>
IR			<b>C</b>
IP			<b>C</b>

#### 7. Project Impact:

Under Tranche 3 sub-project-, no land acquisition and no permanent impact including any impact on the IPs were entailed. **Under tranch 3 one sub project,(UK/IDIPT- III/KOT/01)-** Creation of Pedestrian Route for Pilgrims and Tourists in Haridwar,the RP was approved in January 2015.For this package the verification survey and preparation of Micro-Plan is completed during the reporting period.

Table 4: Project Impact

Type of Impact	Number of APs
<b>1.Permanent Loss</b>	
Title Holder/Land Owner	NIL
Total Loss of land(sq.mts)	NIL
Loss of trees	NIL
Total land Holding in that Particular Plot (sq.mts)	NIL
Occupation	NA
Vulnerability	NA
Annual Income (INR)	NA
<b>2.Temporary Loss</b>	
A)Temporary Loss/ Disruption of Business(Nos)	Nil
B)Temporary Loss/ Disruption of Business Employees of the business enterprises(Nos)	Nil
<b>3. Encroacher in ROW</b>	

A) Encroacher in ROW(Nos)	Nil
Affected CPRs	NIL

**Table 5: Project Impact-Tranch 3,(UK/IDIPT- III/KOT/01)- Creation of Pedestrian Route for Pilgrims and Tourists in Haridwar**

Type of Impact	Number of APs
<b>1. Permanent Loss</b>	
Title Holder/Land Owner	NIL
Total Loss of land(sq.mts)	NIL
Loss of trees	NIL
Total land Holding in that Particular Plot (sq.mts)	NIL
Occupation	NA
Vulnerability	NA
Annual Income (INR)	NA
<b>2. Temporary Loss</b>	
A) Temporary Loss/ Disruption of Business(Nos)	7
B) Permanent loss of livelihood/structure	3
B) Temporary Loss/ Disruption of Business Employees of the business enterprises(Nos)	15
<b>3. Encroacher in ROW</b>	
A) Encroacher in ROW(Nos) temporary disruption of livelihood for mobile hawkers	12
Affected CPRs	NIL

#### **8. Grievance Redress mechanism has been established in this Program:**

GRC has been established. A grievance of the public and, particularly, the DPs is first brought to the notice to the implementing NGO and Safeguard Expert of DSC, which will be forwarded to the PIU. Grievances not redressed by the PIU will be referred to the PMU level, who will, based on review of the grievances, address them in consultation with the Community/Social Development Specialist of the PMU. Should the grievance remain unresolved, the Project Director, will refer the issue to the State level empowered committee, which will act as Grievance Redress Committee (GRC) for the Facility. The GRC will resolve the issue within one month from the date of registration of any case in the GRC.

#### **9. Verification Survey and Consultations for Micro Plan Preparation**

A detailed verification survey for the preparation of Micro Plan for the Category B project, namely, (Under tranche 3) sub project,(UK/IDIPT- III/KOT/01)- **Creation of Pedestrian Route for Pilgrims and Tourists in Haridwar**, as per SPS 2009 was conducted through consultative process during the period between October and December 2015. The photographs of the consultations during verification survey with the DPs are presented in figure 1 below. The main

outcome of the consultations were that the DPs are happy with the entitlement proposed in RP and they are waiting for the assistance to be received by them consequently they will be vacating the site which falls a following under the corridor of impact. A micro plan has been prepared for approval and disbursement

**Figure 1: Consultation Photographs**







## 10. Other Consultations and Disclosure

The RF and RPs was disclosed in the following websites: Tourism Department, PMU, PIU, and ADB. The PMU issue notification of implementation start dates for each sub- project. To create awareness of project implementation disclosures was made in the website UTDB about all sub projects. The PMU and PIUs will provide information on IR policies and features of the RP in the web site. An intensive information dissemination campaign for APs was conducted by the PIU with assistance from Safeguard Specialist of DSC at the outset of RP implementation.



## 11. Other Safeguards Compliance Monitoring:

**During the site visit for the subproject of tranche 3, UK/IDIPT- III/KOT/01,** Creation of Pedestrian Route for Pilgrims and Tourists in Haridwar, it has been noticed that the contractors yet to comply with the facilities to be provided to the labour. The crèches facilities are yet to be provided by the contractor for the children of the women labour who are staying in the labour camp. The photographs presented **in figure 2** shows that the children of the labour force of the above mentioned sub-project are staying in unsafe condition in the construction site. As an action the crèches facility needs to be provided by the contractor at the labour camp. A detailed consultation was also conducted with the women labour (**photographs of consultation in figure 2**) at site and it has been learnt that on labour camp potable drinking water, sanitation facilities are yet to be provided by the contractor. The non compliance of the same has been confirmed by the contractor. In the context of equal wages the women labours are receiving equal wages as men which is Rs.280 for unskilled and Rs.450 for semi skilled. The total strengthen of women labour is satisfactory, of the total 45 labour deployed, 12 are women labour. The photographs of the labour register indicating the deployment of women labour is presented in **figure 3**. The photographs of labour camp with out sanitation facility is presented in **figure 4**.

**Figure 2: Gender Consultation Photographs and Safety Issues**



**Women Labour Working at site**



**Consultations with Women Labour regarding Facilities and Safety of Children**







**Childrens of women labour at construction site- No crèche facilities'**

Figure 3: Copy of the contractor's Labour Register Indicating Deployment of Women Labour

21/11/15		
(1) Shivayal -		Full
(2) Kama -		Full
Brick Shifting Jawalapur site		
22/11/15		
Harisankar -	mission	
Aashara -	mission	
Rajesh -	mission	
Harichand -	mission	
Jairam -	mission	
Mannukumari -	kuli	Full
Rekha -	kuli	
Purvati -	kuli	Brick work &
Guddi -	kuli	Boundry
Tulsa -	kuli	
Nanai -	Labour	
Shivdagal -	mission	
Kamal -	Half mission	
Pinkash -	Labour	
Luxmi -	kuli	

**Figure 4: Labour Camp without Sanitation and Other Facilities**



## DSC BHIMTAL

Sub Projects under Tranche 3			
S.No	Package Reference No	Project Description	Project Costs (USD) Million
1	UK/IDIPT-III/BHT/07	Restoration and Adaptive Reuse of Pithoragarh Phase II Under Tranche III	2.74
2	UKIDIPT/III/BHT/05	Restoration and adaptive reuse of Champawat Fort Banasur	2.38
3	UKIDIPT/III/BHT/03	Restoration and adaptive reuse of Almora Fort (Rani Mahal)	4.98
4	UKIDIPT/III/BHT/01 Lot 1	Conservation of cultural heritage and urban place making in Nainital	6.42

During July, 2015 to December, 2015, the Due Diligence Report (DDR) was prepared for one project “Restoration and adaptive reuse of Pithoragarh phase II Under tranche- III (Package No. UK/IDIPT-III/BHT/01)” and submitted to the concerned authorities.

The DDR was prepared in consultation with the stakeholders through organizing various meetings and individual interviews. Consultations were held with the officials of the District Administration, Pithoragarh, Nagar Palika Parishad, Department of Tourism, public representatives, hotel owners, tourists etc also, on project orientation, issues pertaining to land acquisition problems and addressing the current gaps in provision of basic services and improvement of tourist infrastructure. Public consultations with the primary and secondary stakeholders were also conducted to understand the local issues and public views regarding the possible impact. The issues were like, awareness and extent of the project development components, benefits of project for the tourist as well as community, labourers availability in the project area or requirement of outside labourers, involvement, local disturbances due to project construction work, necessity of tree felling etc. at project site, water logging and drainage problem if any, drinking water problem, forest and sensitive area nearby the project site etc. During subproject preparation, consultations were held with the official representatives of the line agencies, apart from the communities nearby project area.

As per the Due Diligence Report in respect of the said sub project, it is concluded that the proposed infrastructure improvements would not change substantially the present land use. There would be no issues of land acquisition and resettlement related to the subproject. All developmental works would be done on vacant government land for which the concerned stakeholders have already issued the NOC. Due to project intervention, there would be no loss of income to any person or any asset, either privately owned or publicly owned. However, in case of any claim or complaint during the construction period, an effective and efficient

Grievance Redress Mechanism would be formed which would enhance the provision of timely and sensible hearings and facilitate solutions. This information has been disseminated during public consultations with stakeholders including local masses of the project area.



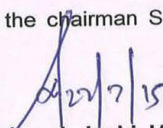

There are no indigenous people present at the site, therefore there would be no impact on Indigenous people (IP). All the proposed construction activities would be done on the Government land. This is categorized as "C" for Indigenous Peoples. No Indigenous People Plan (IPP) would be needed under this sub-project.

Similarly, this sub project would not have any adverse impact on women except some positive potential employment scope. However, as a part of social due diligence, focused group discussions were carried out among the women groups in the surrounding areas of the project site to create awareness among the upcoming development activities and their livelihood opportunities that are likely to come up.

It is to conclude that based on the consultations and site visits, there is no physical or economic, temporary or permanent, IR impact of the said sub project. No person or community is being adversely affected due to this sub-project. No land or asset acquisition is necessitated in this sub-project. So, people and communities would not be physically or economically displaced due to the sub-project interventions. No CPR will also be affected. Poor, indigenous and other ethnic groups are not being adversely impacted.



## Annexure 1: SLEC

 Govt. of Uttarakhand	<b>Program Management Unit</b> <b>Infrastructure Development Investment Program for</b> <b>Tourism</b> (ADB Assistant - Loan No. 2833, India) <b>Government of Uttarakhand</b> Pandit Deendyal Upadhaya Paryatan Bhawan, Near ONGC Helipad Garhi Cantt, Dehradun -248003	 Uttarakhand <i>Simply Heaven!</i>
Tel: 91-135-2559987, Fax: 91-135-2559985		E-mail: utdb.pmu@gmail.com
No <u>2764</u> /2-10/ADB/IDIPT/69/2013-14		Dated: <u>22</u> July 2015
To:		
<ol style="list-style-type: none"> <li>1. Principal Secretary/ Secretary, Tourism Department</li> <li>2. Principal Secretary/ Secretary, Finance Department</li> <li>3. Principal Secretary/ Secretary, Planning Department</li> <li>4. Principal Secretary/ Secretary, PWD</li> <li>5. Principal Secretary/ Secretary, Env't &amp; Forest</li> <li>6. Principal Secretary/ Secretary, Urban Development</li> <li>7. Principal Secretary/ Secretary, Religion Affairs</li> <li>8. Principal Secretary/ Secretary, Energy Department</li> <li>9. Principal Secretary/ Secretary, Sports Department</li> <li>10. Principal Secretary/ Secretary, Civil Aviation</li> <li>11. Principal Secretary/ Secretary, Revenue Department</li> <li>12. Principal Secretary/ Secretary, Externally Aided</li> <li>13. Principal Secretary/ Secretary, Cultural Department</li> <li>14. Principal Secretary/ Secretary, Transport Department</li> </ol>	<ol style="list-style-type: none"> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> <li>Member</li> </ol>	
<b><u>Subject :10th SLEC Meeting at Chief Secretary's Conference Hall, Uttarakhand</u></b> <b><u>Secretariat on 09 July 2015</u></b>		
Sir,		
A copy of minutes of 10th SLEC meeting approved by the chairman SLEC is enclosed herewith for your kind information please.		
<u>Enclosures</u> : As above.		 <b>( Asheesh Joshi, IAS )</b> Addl CEO, UTDB, Member Secretary, SLEC & Program Director
<u>Copy to :-</u>		
To the following for information and necessary action :-		
<ol style="list-style-type: none"> <li>1. The Addl Program Director, IDIPT.</li> <li>2. The Finance Controller, UTDB &amp; IDIPT.</li> <li>3. The Project Manager PIU, Dehradun, Kotdwar &amp; Bhimtal</li> <li>4. The Team Leader, PMC &amp; DSC Dehradun, Kotdwar and Bhimtal.</li> </ol>		
 <b>( Asheesh Joshi, IAS )</b> Addl CEO, UTDB, Member Secretary, SLEC & Program Director		

**10th State Level Empowered Committee (SLEC) Meeting**

"Infrastructure Development Investment Program for Tourism" (IDIPT)

Tourism Department, Government of Uttarakhand

Date & Time : 09 July 2015

Venue : Chamber, Chief Secretary, Government of Uttarakhand, Secretariat, Dehradun

Chaired by : Chief Secretary, Government of Uttarakhand

Attended by : Members/Representatives SLEC (**List of Attendees Annexure-I**)

**Agenda Items**

**Discussion/Decision**

1. Compliance of 9th SLEC

(i) **Distribution of T-2 & T-3 projects in the state** :  
A distribution of T-2 & T-3 works was shown to SLEC where SLEC expressed satisfaction.

(ii) **Development of Kartikeya Swami Circuit**:  
SLEC was intimated about the recommendation of transfer of land to Tourism Department by DFO thereby clearing the hurdles for forest clearance of the already sanctioned projects. SLEC expressed satisfaction.

(iii) **Rural tourism in Rudraprayag, Chamoli and Uttarakhashi** : DPR of three villages after compliance of 9th SLEC instructions was presented.

(a) Three locations wherein the toughen glass houses in Niti were to be placed have been shown to SLEC and it was approved as per annexed presentation.

(b) In Harshil-Bagori, necessary provision for beautification of helipad had been shown. Regarding the Harshil TRH, the SLEC has been intimated that the same Guest House is being renovated by GOI, MoT fund for which Rs. 1 cr. has been sanctioned by MoT. Additionally under UEAP hybrid huts are planned in the same complex thus reducing the scope for further huts around the complex.

*N. Ravi Shankar*

15 Nos tents with bio-digester toilets and site development of camping sites near Harshil helipad has been shown to SLEC.

SLEC approved the above three proposal as detailed in presentation with a suggestion to make the retaining wall of camping site extended upto helipad to provide additional flood protection to helipad also.

(c) **Construction of 3 km Harshil-Mukhba Road:** On Mukhba, Harshil road, SLEC was intimated about the already floated tender of the said road by PWD. SLEC has suggested to look into the matter by taking over the road from PWD if contract is not awarded yet. SLEC approved the DPR for these three villages. Thus approving DPR of 9 villages in rural tourism as per attached presentation.

(iv) **Restoration of Pithoragarh Fort Phase II.** As instructed by SLEC pre engineered structure for Pithoragarh parking has been presented by consultant. SLEC has authorized Secy Tourism to look into the design and drawing of pre engineered work before finally approving it. SLEC however, approved the design and DPR subject to satisfaction of Secy Tourism as per annexed presentation.

2. Contract Award Status

(i) The contract award status of Tranche-II has been intimated to SLEC on which SLEC has expressed satisfaction.

3. Preparedness for Tranche-3 loan signing

(i) SLEC was intimated about the status and preparedness for Tranche-III loan signing. SLEC was also intimated about ADB's instruction for submitting price bids on updated engineers estimates as all the projects were estimated on 2014 SOR and having many non schedule items being conservation and heritage works. In the light of the commitment made by Govt of UK to country Director ADB & Director DEA, GOI during the recent review mission on 08 July 2015 regarding achievement of milestone for loan signing by August 2015, SLEC was of the opinion that since the scope of the sub projects have already been approved by SLEC, the Program Director is authorized to evaluate price bids with updated cost and submit it to ADB. On receipt of approval from ADB, contracts may be awarded to achieve August 2015 deadline as committed by Govt of UK.

*N. Ran' Shanth*



4. Procurement of 03 Nos Generator for Naukuchiatal Aeration

- (i) SLEC has been intimated above the progress in aeration work in Naukuchiatal. SLEC has also been intimated about erratic power supply to project sites resulting in necessity of procurement of three Generator Sets of 63 KVA for Rs. 28 lacs as per recommendation of expert committee. SLEC has approved the procurement and provision.

5. Engagement of Specialist in PMU/PMC on Contract Basis:

- (i) The necessity of some of experts which are provisioned into Tranch-III has been explained to SLEC and SLEC has approved the engagement of following specialists in PMU/PMC :-

- (1) Contract Management Specialist.
- (2) Conservation Heritage Expert.
- (3) Event Management Expert.
- (4) Transport Planner.
- (5) Urban Planner.
- (6) Solar Energy Expert.
- (7) Tourism Development Expert.
- (8) Museum Expert.
- (9) MIS & IT expert.

The meeting was concluded with the permission of the Chair.

*N. Ravi Shankar*

( N. Ravi Shankar )  
Chief Secretary, Uttarakhand  
(Chairman SLEC)

## Annexure 2: DSC DEHRADUN Attached Photographs



Meeting with CDO Uttarakashi, DTDO Uttarakashi on Rural Tourism



Meeting with President & members of Gangotri madir samite



Meeting at Mukhawa village with DTDO Uttarakashi and Gangotri Mandir samiti members



Meeting at Mukhawa village



Meeting at Harsil village








Meeting at harsil



### ANNEXURE 3: DSC KOTDWAR

#### Photographs Sem-Mukhem (Tehri) Project Site

	
Work in Progress at Rest Shelter at Mela Ground	Another Work in Progress at Rest Shelter at Mela Ground
	
View of Toilet Block under Construction at Construction site	View of Material storage at Site
	
View of Pathway under Construction	

ANNEXURE 4 : DSC BHIMTAL



**Nainital**



**Almora Fort (Rani Mahal)**



**Champawat Fort**