



Resettlement Planning Document

Project Number: 40648-034
March 2016

IND: Infrastructure Development Investment Program for Tourism - Tranche 3

Sub Project : Conservation and Adaptive Reuse of Town Hall, Rambagh Gate and Historic buildings
along Heritage walk in Amritsar- Conservation works at Town Hall (PB/IDIPT/T3/09/11)

Submitted by

Program Management Unit, Punjab Heritage and Tourism Board, Chandigarh

This resettlement due diligence report has been prepared by the Program Management Unit, Punjab Heritage and Tourism Board, Chandigarh for the Asian Development Bank and is made publicly available in accordance with ADB's public communications policy (2011). It does not necessarily reflect the views of ADB.

This resettlement due diligence report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature. In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.

Asian Development Bank

Punjab Heritage and Tourism Promotion Board
Infrastructure Development Investment Programme for Tourism
(ADB Assisted Project)



The Country Director,
South Asia Department
India Resident Mission
4, San Martin Marg, Chanakyapuri
New Delhi 110021, India

No. PHTPB / IDIPT / 2016 / 7110 -14

Date: 02/03/2016

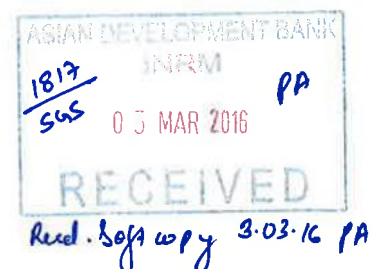
Subject: Submission of Due Diligence Report (DDR) of "Conservation and Adaptive Reuse of Town Hall, Rambagh Gate and Historic Buildings along Heritage Walk in Amritsar – Conservation Works at Town Hall" (PB/IDIPT/T3/09/11) for Loan 3223-IND

Kindly find consolidated Social Safeguards Due Diligence Report (DDR) of contract package 'Conservation and Adaptive Reuse of Town Hall, Rambagh Gate and Historic Buildings along Heritage Walk in Amritsar – Conservation Works at Town Hall' (PB/IDIPT/T3/09/11) enclosed with this letter for your approval.


Additional Project Director

CC:

1. PA to PD, IDIPT-PB
2. CGM, PHTPB
3. TL, PMC, IDIPT-PB
4. TL DSC, IDIPT-PB





**INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR
TOURISM (TRANCHE 3) STATE OF PUNJAB**

**CONSERVATION AND ADAPTIVE REUSE OF TOWN HALL,
RAMBAGH GATE AND HISTORIC BUILDINGS ALONG HERITAGE
WALK IN AMRITSAR – CONSERVATION WORKS AT TOWN HALL
(PB/IDIPT/T3/09/11)**



DECEMBER 2015

**Prepared by
The Government of Punjab for the Asian Development Bank**

CONTENTS

1.	INTRODUCTION.....	1
2.	DESCRIPTION OF SUB-PROJECT	1
2.1.1	<i>Resettlement Framework (RF)</i>	<i>3</i>
2.1.2	<i>Initial Environmental Examination Report (IEE)</i>	<i>3</i>
3.	OBJECTIVE OF DUE DILIGENCE REPORT	3
4.	REQUIREMENT OF DUE DILIGENCE REPORT	3
5.	SCOPE OF DUE-DILIGENCE EXERCISE.....	3
6.	APPROACH AND METHODOLOGY	4
6.1	ACTIVITY 1: REVIEW AND APPRAISAL OF SUB-PROJECT REPORTS AND DOCUMENTS	4
6.2	ACTIVITY 2: CONSULTATIONS WITH THE PIU & CONTRACTOR	4
6.3	ACTIVITY 3: SITE VISIT AND ON-SITE OBSERVATIONS	5
7.	SOCIAL SAFEGUARD DUE DILIGENCE	5
7.1	ASSESSMENT/REVIEW OF SOCIAL ISSUES	5
7.2	DETAILS OF LAND	5
7.3	RESETTLEMENT IMPACTS.....	6
7.4	IMPACT ON SCHEDULED TRIBE / INDIGENOUS POPULATION	6
7.5	GENDER ISSUES	6
7.6	WAGES AND LABOUR REGISTER	7
7.7	LABOUR CAMP SITE	7
7.8	PUBLIC CONSULTATION DURING PLANNING AND CONSTRUCTION STAGE.....	7
7.8.1	<i>Public Consultation conducted during Project/IEE Preparation</i>	<i>7</i>
7.8.2	<i>Public Consultation conducted during DDR Preparation</i>	<i>8</i>
7.9	PROJECT ORGANIZATION STRUCTURE.....	8
7.10	GRIEVANCE REDRESSAL MECHANISM.....	10
7.11	EMPLOYMENT POTENTIAL.....	11
7.12	OTHER SOCIAL ISSUES	11
7.12.1	<i>HIV/AIDS Awareness</i>	<i>11</i>
7.12.2	<i>Child Labour</i>	<i>11</i>
7.13	OCCUPATIONAL HEALTH & SAFETY	11
7.14	DISCLOSURE PROCESS FOLLOWED FOR SAFEGUARD AND OTHER RELATED DOCUMENTS	12
7.15	SOCIAL SAFEGUARDS IMPACT CATEGORIZATION	12
7.16	COMPLIANCE TO SOCIAL IMPACTS MITIGATION DURING PROJECT PLANNING, DESIGN AND IMPLEMENTATION STAGES.....	13
8.	SUMMARY FINDINGS/ RECOMMENDATION	13
 LIST OF FIGURES		
Figure 1: Project Location Town Hall, Ram Bagh Gate and Ram Bagh Garden		2
 LIST OF TABLES		
Table 1: Public Consultation held during project preparation.....		7
 LIST OF APPENDIX		
APPENDIX 1: NOC & UNDERTAKING		
APPENDIX 2: PROJECT MANAGEMENT STRUCTURE (AS PROPOSED IN PAM)		
APPENDIX 3: GRIEVANCE REDRESSAL MECHANISMS (AS PROPOSED IN RF/IEE)		
APPENDIX 4: PAGE FROM IEE FOR OH&S		



APPENDIX 5: INVOLUNTARY RESETTLEMENT IMPACT CATEGORIZATION FORM

APPENDIX 6: INDIGENOUS PEOPLE CATEGORIZATION FORM

ABBREVIATIONS

ADB	---	Asian Development Bank
AF	---	Affected Family
AP	---	Affected Person
BPL	---	Below Poverty Line
CDO	---	Community Development officer
COI	---	Corridor of Impact
CPRs	---	Community Property Resources
DF	---	Displaced Family
DP	---	Displaced Person
DSC	---	Design Supervision Consultant
EA	---	Executing Agency
FGD	---	Focused Group Discussion
GoI	---	Government of India
GoP	---	Government of Punjab
HH	---	Household
KII	---	Key Informant Interview
MP	---	Micro Plan
NGO	---	Non-Government Organization
PH	---	Physical Handicapped
PHTDB	---	Punjab Heritage and Tourism Development Board
PIU	---	Project Implementation Unit
PMU	---	Project Management Unit
PMU	---	Project Management Unit
R&R	---	Resettlement and Rehabilitation
ROW	---	Right of Way
RP	---	Resettlement Plan
SC	---	Schedule Caste
SDU	---	Social Development Unit
ST	---	Schedule Tribe
ToR	---	Terms of Reference

SOCIAL SAFEGUARD DUE DILIGENCE

1. INTRODUCTION

1. The Government of India has signed a loan with the Asian Development Bank (ADB) on 28-September-2015 for US \$ 123.51 million for tranche 3 of the Infrastructure Development Investment Program for Tourism (IDIPT) with an objective to continue upgrading tourism infrastructure and services in three states, Punjab, Uttarakhand and Himachal Pradesh. The loan is intended for development and conservation of places of tourist attractions as well as to improve basic tourism facilities, and to build the capacity of sector agencies and local communities in the three States. The third tranche loan is part of the \$250 million multi-tranche financing facility that ADB approved in 2010.
2. LEA Associates South Asia Private Limited, (LASA) in association with Simpson & Brown Architects (UK) and Addyman Archeology, UK (UK) has been retained as Project Management Consultant for Punjab Heritage and Tourism Promotion Board, Punjab, Project Management Unit (PMU) and the Project Implementation Units (PIUs) with an objective to ensure effective coordination and implementation of IDIPT. The Department of Tourism (DoT), Government of Punjab is the Executing Agency (EA) and Punjab Heritage and Tourism Promotion Board (PHTPB) is the Implementation Agency (IA) for the IDIPT for Punjab and the PMU has been set up at the PHTPB.
3. Shah Technical Consultants Private Limited in association with Grassroots Research and Consultancy (India) has also been engaged as Design Supervision Consultant (DSC) for Punjab Heritage and Tourism Promotion Board, Punjab.
4. This report presents the due diligence on Social Safeguards for one of the sub-projects of IDIPT, entitled, “Conservation and Adaptive Reuse of Town Hall, Rambagh Gate and Historic Buildings along Heritage Walk in Amritsar – Conservation Works at Town Hall (package no.: PB/IDIPT/T3/09/11), taken up under Tranche-3.

2. DESCRIPTION OF SUB-PROJECT

5. The Subproject is part of the Western Circuit which is located in the north-western segment of the state, in the district of Amritsar. The circuit borders Pakistan in the west and the River Beas flows in the eastern part. Amritsar City is identified as a Gateway destination by the Ministry of Tourism, GOI. It is the main international air gateway to Punjab, containing the State’s major pilgrimage and heritage attractions, and has the largest tourism draw. The district of Amritsar is part of the Sikh Heritage Trail, the Freedom Struggle Trail and the Grand Trunk Trail which starts from Ambala in Haryana and ends at Wagah –Attari Border. *(Source: As per Punjab Tourism Development Master Plan, 2008-2023; United Nations World Tourism Organization (UNWTO)).*
6. Amritsar is district headquarter, which is located at an approximate distance of 250 Km from Chandigarh (the state capital). The city of Amritsar symbolizes the spiritual heritage of Punjab. It is located in the north-west of Punjab State and is well connected with rail and road network. It is a major trade and tourist centre. The city has developed around the most sacred religious place for the Sikhs, namely the Golden Temple (Harmandir Sahib). There are 12 gates to enter into the walled city which is a rich heritage zone. This zone comprises of Katras, courtyard houses and bazaars. The importance of the holy city

Amritsar is due to the presence of the Golden Temple and the Akal Takht, one of the five Takhts of the Sikh religion.

- **Conservation of Adaptive Reuse of Town Hall**

7. The Town Hall was built in 1860s by the British. This building is one of the finest examples of British Architecture in North India. It has intricate details & great workmanship. It is situated along a linear axis crossing through Amritsar Railway Station and Hall Gate leading to the Golden Temple, within the walled city of Amritsar. It represents numerous examples of art, architecture and culture. Currently the building is occupied by the Amritsar Municipal Corporation (AMC) and used as the seat for the city administration. In Tranche 3, conservation / restoration of the balance parts¹ of Town Hall complex and adaptive reuse of the entire Town Hall complex will be undertaken.

8. Town Hall is the starting point of the Heritage Walk route in Amritsar. Heritage Walk was awarded National Tourism Award in Dec 2011. Over the years, the building has witnessed lot of historical events. This structure has a lot of significance for its architectural style and association with historical events. For the implementation of the reuse plan of Town Hall, it is essential to relocate the Municipal Corporation office from the Town Hall building. For this, construction of a new building has already been taken up in Tranche 1 of IDIPT and likely to complete shortly. The proposed sub-project location is shown in Figure 1.

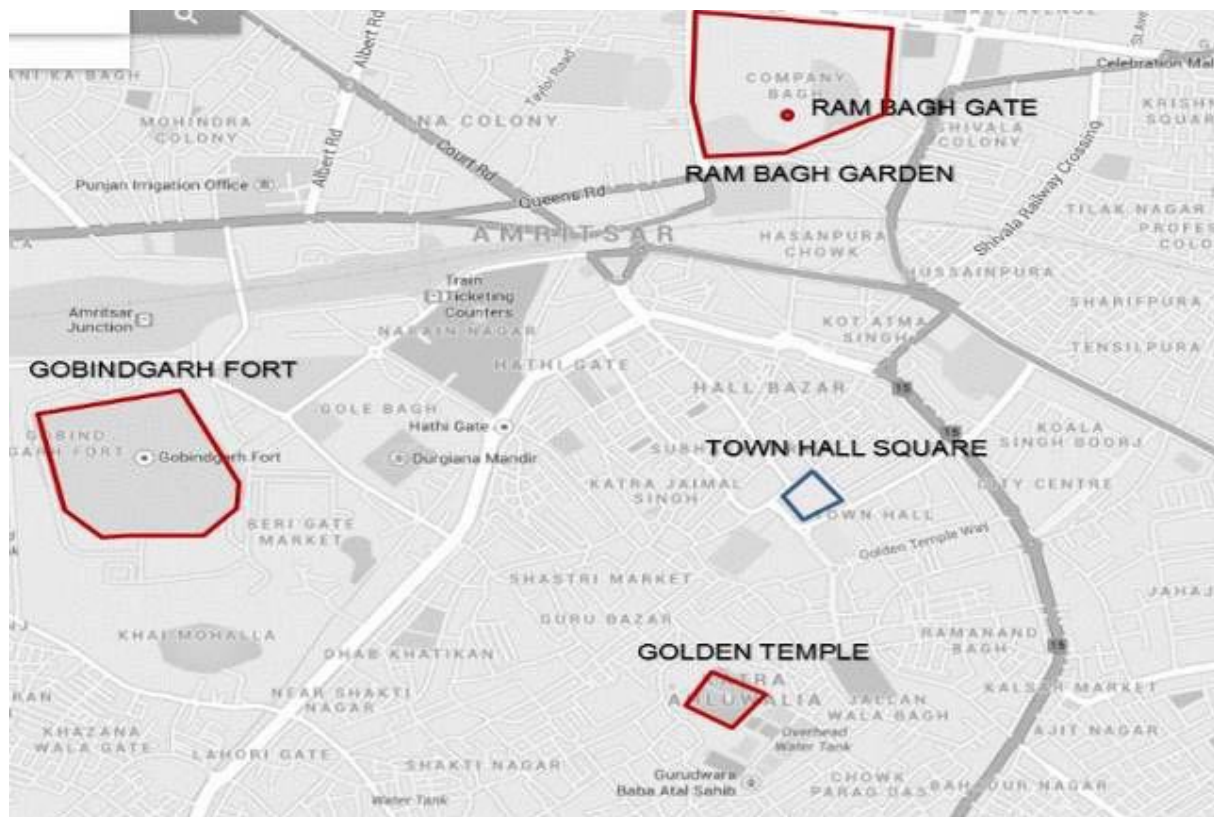


Figure 1: Project Location Town Hall, Ram Bagh Gate and Ram Bagh Garden

¹PHTPB has undertaken conservation of a part of the Town Hall with the funds received from Ministry of Tourism, GoI. The scope of work involved urgent conservation works of CID Block, which is the eastern section of the Town Hall complex. This work is nearing completion.

2.1.1 Resettlement Framework (RF)

9. A Resettlement Framework is in place for guidance in preparation of resettlement plans and overall safeguards management under IDIPT. The RF prepared for IDIPT tranche 1, has been modified to include the development with respect to new land acquisition Act, and for implementation of Tranche 3 sub-projects. The RF reflects the national and state level acts and policies with respect to land acquisition, Involuntary Resettlement, as well as ADB's safeguards policy statement (SPS, 2009). This RF is applicable to all sub-projects under IDIPT and is subjected to review and updating, ensuring relevance and consistency with applicable country/state legal framework and ADBs SPS, 2009. The following web link may be referred for Resettlement Framework Document. <http://www.adb.org/sites/default/files/project-document/148689/40648-034-rf-02.pdf>

2.1.2 Initial Environmental Examination Report (IEE)

10. An Initial Environmental Examination (IEE) Report is in place for the sub-projects under IDIPT, which classifies the proposed interventions, the subprojects as Environmental Category B as per the SPS, 2009 as no significant impacts are envisioned. Accordingly, this IEE has been prepared to assess initial environmental/social impacts, if any and to provide mitigation and monitoring measures to ensure no significant impacts arise as a result of the sub-project. The following web link may be referred for IEE Report. <http://www.adb.org/sites/default/files/project-document/148719/40648-034-iee-10.pdf>

3. OBJECTIVE OF DUE DILIGENCE REPORT

11. Objective of Due Diligence Report is to:

- Determine whether the subproject is free of Involuntary Resettlement impact such as land acquisition, displacement, adverse impact on income and livelihood, community properties or any other impacts.
- Review the existing field circumstances of this subproject in accordance with ADB's Safeguard Policy Statement (SPS) 2009.

4. REQUIREMENT OF DUE DILIGENCE REPORT

12. The interpretation center and other civil works of this subproject are being done within the Town Hall and not envisage the temporary or permanent land acquisition and resettlement impact. Since Town Hall is not having any encroachment, land acquisition and resettlement. Therefore, no expected impacts on private/public land, structures etc. or no negative impacts on livelihood are envisaged. Hence, no Resettlement Plan is needed and Due Diligence Report fulfils the requirement of ADB's safeguards policy.

5. SCOPE OF DUE-DILIGENCE EXERCISE

13. PMC has looked into the following aspects while preparing the DDR:

- Review the system and procedures for project management, implementation and monitoring of the progress;
- Review measures taken to minimise land acquisition and other social impacts;
- Monitor the process if government land transfer involved, the process followed for making such land available to the project and the land-use of those government lands and description of any encumbrances;

- Gather the documentation regarding agreement reached with or consultation held to reach agreement, in case of purchase of land directly from the community / panchayat;
- Gather details of affected properties including private, government, community, religious etc;
- Verify R&R provisions made applicable to the project;
- Gather details of total affected land holders and details of any Scheduled Tribe (ST) families and non-titleholders affected, if any;
- Details of public consultation during planning and construction stage and how the outcome of consultations was integrated into the project design;
- Details of entitlement matrix or compensation and assistance details formulated for the Project Affected Families for loss of assets which should also include compensation criteria adopted for affected structured due to project facilities. Details of additional assistance provided to the affected ST families;
- Gather details of non-titleholders and R&R assistance provided, if any, to respective categories;
- Details of compensation paid for crop and other damages for the affected landholders, if applicable.
- Details of grievance redress mechanism followed for the project, mentioning if any grievances received from the local people and the action taken against those grievances;
- Details of any pending litigation cases related to land acquisition and compensation, if any;
- Details of institutional arrangement for social safeguard implementation;
- Details of the Community consultation or development Activities, if carried out by the contractor;
- Compliance with respect to Resettlement Framework (RF);
- Disclosure process followed for safeguard and other related documents;
- Gather any other information and data related to social impacts;
- Suggest suitable measures for identified gaps and shortcomings in mitigating the social impacts, if any, based on the due diligence exercise.

6. APPROACH AND METHODOLOGY

14. As part of the due diligence, the team visited the project area and carried out detailed interactions with implementing agency, contractors, and carried out consultation with communities. A review of the project DPR, design, drawings was also carried out. The Due Diligence Report has been prepared based on the scope of work of the consultancy service, and Safeguard Policy Statement of the Asian Development Bank, and the Resettlement Framework (RF) agreed for the IDIPT. The methods followed for the preparation of Due Diligence Report has been discussed in this section.

6.1 Activity 1: Review and Appraisal of Sub-Project Reports and Documents

15. The reports and relevant documents related to social safeguards available with the PMU have been reviewed and appraised. The documents included Loan Agreement- ADB and Punjab State Government, Project Agreement-ADB and Punjab State Government; sub-project appraisal report (SAR), Initial Environmental Examination (IEE) Report, Resettlement Framework, NOC's and Certificate and Undertaking issued from the Punjab Ancient and Historical Monuments and Archaeological Department as well as other departments and Contract Documents etc.

6.2 Activity 2: Consultations With the PIU & Contractor

16. Two-stage consultation process has been conducted towards preparation of the Due Diligence Report, (i) consultation with PIU officials prior to the site visit to appraise about the project, and (ii) consultation after the site visit to ascertain the compliance procedures adopted or planning to be adopted by the PIU/Contractor for various safeguard issues observed at the site.

6.3 Activity 3: Site Visit and On-Site Observations

17. Visit to the sub-project site has been an integral part of the preparation of Due Diligence Report. PMC Social Safeguards Specialist has visited the project site on 20th Jan 2016 and had discussions with the Community Development Officer at PIU Amritsar etc.

7. SOCIAL SAFEGUARD DUE DILIGENCE

7.1 Assessment/review of Social Issues

18. The Tranche-3 sub-project is categorized as “C” for Involuntary Resettlement (IR) as well as Indigenous People Development as per ADB’s Safeguard Policy Statement (SPS-2009). The sub-project will be executed within the existing Town Hall. The conservation/construction work has not been started till the due diligence team visited the place.

19. The Reuse Plan for Town Hall will provide tangible links to the past and livelihood opportunities for substantial section of society by means of employment, showcasing its traditional art and craft. With prime location and high tourist flow, there is ample scope of marketing and promotion of local crafts.

20. The Town hall building is currently occupied by Municipal Corporation of Amritsar and handed over to the PHTPB. Formally the Civil Contract has been signed and the LOA was issued on 29th December 2015. The contractor has been issued the Notice to Proceed (NTP). The contract completion date is 14th August 2017 (18 months from start date).

7.2 Details of Land

21. As per SAR the Site area of the Town Hall is about 12,000 sq.m., of which, large part is open and landscaped. Built-up area of the structure is about 6,500 sq.m. The sub-project improvements have been undertaken within the government owned land. No Objection Certificate (NOC) and undertaking has already been obtained in form of agreement made between PHTPB and Commissioner Municipal Corporation, Amritsar. The agreement gives the rights to conserve the Town Hall and reuse by PHTPB. Municipal Corporation Office shall be shifted after completion of new AMC building in Ranjit Avenue, Amritsar. The copy of agreement is placed as Appendix -1.



Town Hall Building Complex (front side view)

7.3 Resettlement Impacts

22. There will not be any resettlement impact due to the sub-project. Encroachment of any form, squatting or any informal use of land or asset of the Town hall is not observed.
23. **Details of affected properties:** There will not be any impact on built-up structure of other properties.
24. **Impact on Trees:** No trees have been affected due to this sub-project.
25. **No title holder** is being affected or will be affected due to the project.

7.4 Impact on Scheduled Tribe / Indigenous Population

26. There will be no impact on Indigenous People (IPs). All the proposed construction activities will be done within the Town Hall building complex. This sub-project is categorized as “C” for Indigenous People. Amritsar district is not part of the notified Fifth Schedule Area². Further, as mentioned in the Indigenous People Planning Framework of ADB (July 2010) Scheduled tribes are in all States and Union Territories except in the States/Union Territories of Haryana, Punjab, Delhi, Pondicherry, and Chandigarh.

7.5 Gender Issues

27. The proposed project does not have any adverse impact on women. The project certainly has some benefits for women in terms of employment opportunities. RF suggests carrying out continuous consultation

² Fifth Schedule under Article 244(1) of Constitution defines “Scheduled Areas” as such areas as the President may by order declare to be Scheduled Areas after consultation with the Governor of that State. The criteria for declaring any area as a “Scheduled Area” under the Fifth Schedule are; (a) preponderance of tribal population, (b) compactness and reasonable size of the area, (c) a viable administrative entity such as a district, block or Taluka, and (d) economic backwardness of the area as compared to the neighbouring areas.

with women community throughout project implementation to create awareness among the upcoming development activities and their livelihood opportunities that are likely to come up. This is with the objective to ensure participation in income generating and livelihood enhancing entities. In Tranche-3 sub-project has the scope for promoting gender equality in the form of employment in construction work. Women engagement in construction shall be monitored when civil work will start.

7.6 Wages and Labour Register

28. Civil work is yet to start.

7.7 Labour camp site

29. Civil work is yet to start; hence no labour camp has been established.

7.8 Public Consultation during Planning and Construction Stage

7.8.1 Public Consultation conducted during Project/IEE Preparation

30. There is no physical or economic, temporary or permanent, IR impact. No person or community is being adversely affected by this sub-project. No land or asset acquisition is necessitated in this sub-project. So people and communities will not be physically or economically displaced due to the sub-project interventions. No common property resource (CPR) will also be affected. Poor, indigenous and other ethnic groups are not being adversely impacted. Public consultations were conducted by the PMU safeguards team during project and IEE report preparation with an objective to disclose the draft IEE reports and also to assess the possible impacts of the project, if any. Table 1 is presented the date wise public consultation conducted with various stakeholders.

Table 1: Public Consultation held during project preparation

Sl. No.	Date of Site Visit	Site visited	Name of the Officials met	Issues Discussed
1	05.11. 2013	All Sub Project Sites of Tranche III	Owners of the site, Amritsar Municipal Corporation, DC office, Department of Cultural Affairs, Archaeology and Museums, stakeholders, community people, women groups around the site.	(i) Ownership of the assets under the site and accessibility to the sites. (ii) Development activities required at the site for its enhancement/ or adaptive reuse. (iii) Responsible agencies for the O& M of the site.
4	19.11. 2013	All Sub Project Sites of Tranche III	Regarding NOCs and undertaking	(iv) Confirmation and consensus for the required interventions through the agencies. (v) Key gender issues and requirements of the local women groups in the area.
	20.11. 2013	All Sub Project Sites of Tranche III		(vi) Income generating activities which can be taken up by the local community, tourists guides, Solid waste management and community involvement
	28.11. 2013	All Sub Project Sites of Tranche III		(vii) Expected benefits of the project by the

Sl. No.	Date of Site Visit	Site visited	Name of the Officials met	Issues Discussed
				local community and the stakeholders (viii) NoC and undertakings required for development of the site.

Source: IEE Report, October 2014

7.8.2 Public Consultation conducted during DDR Preparation

31. There is no physical or economic, temporary or permanent, IR impact. No person or community is being adversely affected by this sub-project. No land or asset acquisition is necessitated in this sub-project. So people and communities will not be physically or economically displaced due to the sub-project interventions. No common property resource (CPR) will be affected. Poor, indigenous and other ethnic groups are not being adversely impacted. As the conservation work will take place inside the building, hence no impact on any other person has been noticed.

7.9 Project Organization Structure

32. The implementing agency PHTBP had already established two PIUs, one for each circuit (Eastern and Western) at Rupnagar (Ropar) and Amritsar respectively for the implementation and monitoring of subprojects. It comprises the personnel drawn from relevant line departments and outsourced, and each headed by a project manager and supported by Community Development Officer (CDO) for effective implementation of the RF and ensures the safeguard compliance provisions of the investment program. The PIUs are mainly responsible for:

- i. prioritizing and preparing subproject proposals;
- ii. providing day-to-day assistance, supervision and guidance to the design and supervision consultants (DSC);
- iii. conducting detailed assessments and surveys including public consultation and input from stakeholders;
- iv. preparing detailed designs, specifications, schedule of quantity, bidding documents, and related documentation;
- v. implementing civil works and related activities;
- vi. reporting to PMU;
- vii. preparing regular progress reports for the SLEC, the executing agency and ADB through PMU;
- viii. supervising construction, conducting quality control, approving progress payments to contractors; and
- ix. maintaining records and accounts on an up-to-date basis and making these available to ADB, its missions, or auditors for inspection.

33. In present organizational setup two PIUs at Amritsar and Ropar headed by Project Manager (PM) are there, assisted by Community Development Specialist (CDO) for smooth functioning and monitoring of safeguards activities. PM PIU is overall responsible for safeguards implementation and reporting monthly to PMU. Environment Safeguards Specialist, PMU is further reporting to GoP and ADB as per agreed reporting schedule. Role and responsibilities are tabulated below as per approved Terms of References. Detailed project organisation structure as proposed in PAM is placed at Appendix-2

Project Implementation Organizations – Roles and Responsibilities

Implementation Organization	Management Roles and Responsibilities
Environment Safeguards Specialist (ESS), PMU	<p>ESS is discharging the following duties:</p> <ul style="list-style-type: none"> • Review of IEE documents and contract clauses and ensure adequacy under ADB's EA guideline 2003 and the updated safeguard policy statement, 2009; • Ensure that the project design and specification adequately reflect the IEE • Monitor construction activities to ensure that appropriate control measures are effective and in compliance with the IEE and advise PIU for compliance with statutory requirement. • Develop training program for the PMU/PIU staff, the contractors and other involved in the project implementation, in collaboration with the Environmental Specialist of the PMC and DSC • Review and approve contractors implementation plan • Liaise with the Contractors and Consultant on the implementation of environmental measures proposed in IEE • Liaise with various government • Review the environmental performance of the project • Provide support and assistance to the government agencies and the ADB to supervise the implementation of IEE • Document the good practices in the project • Assist in preparation of SAR for T2 subproject including IEE • Monitor all design result framework of IDIPT project • Establish dialogue with the affected community • Provide support to PMU and design team in preparation of implementation of ADB resettlement plan if any • Overseeing the implementation of resettlement plans • Helping in issues related to health and overall welfare and development of the labour settlement involved in the project
Community Development Officer (CDO), PIU	<p>Support PMU and the design team in</p> <ul style="list-style-type: none"> • Prepare ToRs and lead/ coordinate community mobilisation and related product development actions • Lead workshops and community consultations • Define sub projects elements and the costs • Coordinate base line study requirements with the DSC team; • Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework; and • Preparation of performance monitoring reports <p>Apart from the above duties the following tasks are defined for the CDO</p> <p>Responsibilities towards SIA</p> <ul style="list-style-type: none"> • Preparation of Rural Tourism circuit (route) plan and assess impact on the rural community in terms of physical, socio-economical, life-style, etc. aspects • Awareness program for the key stakeholders including local community on importance of the wetland and need for it conversation and community based rural tourism potential in general • Monitor social impacts and undertake safeguards measures as necessary during implementation of IDIPT projects <p>Responsibilities towards Capacity Building Activities:-</p> <ul style="list-style-type: none"> • Training of local youth as nature guides and promote involvement in tourism development • Formation of SHGs for traditional activities, such as handicraft and local cuisine • Training of local communities for encourage home-stay based on standard guidelines • Conduct regular meeting with village level communities • Formation of village level tourism committees

Implementation Organization	Management Roles and Responsibilities
	<ul style="list-style-type: none"> Organising local events and festivals and promote community involvement <p>Responsibilities towards Capacity Building Activities:-</p> <ul style="list-style-type: none"> Ensure women's participation in all consultative processes Ensure equal job opportunities for female workers in the villages Systematic incorporation of the women's need in the preparation of all programmes Providing the women workers with good health, safety, welfare, sanitation and working condition <p>Specific project tasks:</p> <ul style="list-style-type: none"> To conduct participatory rural appraisal involving all the villages under the Project to identify basic needs of the village Formation of T-2 subproject involving local community participation Help in conducting the community-based activities, festivals and events in Eastern Circuit, such as Heritage walk, Holla Mohalla, Quila Raipur Promotion of homestay and farm tourism in Western Circuit Identify training needs based on specific programs and organise training programmes
Social Safeguards Specialist (SSS), DSC	<p>Support PMU and the design team in</p> <ul style="list-style-type: none"> Lead all baseline surveys required for preparation resettlement plans; Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework; Lead community consultation during design phase
Community Development Specialist (CDS), DSC	<ul style="list-style-type: none"> Lead value chain analysis and lead community mobilization activities; Lead workshops and community consultations; Coordinate all activities of this component; Supervise all activities under this component; Implementation of ADB compliant resettlement plans if any based on the approved framework; Oversee implementation of Resettlement Plans, if any; Preparation of performance monitoring reports
Social Safeguards Specialist (SSS), PMC	<p>Support PMU and the design team in</p> <ul style="list-style-type: none"> Coordinate base line requirements with the DSC team; Preparation and implementation of ADB compliant resettlement plans if any based on the approved framework; Oversee implementation of Resettlement Plans; and Preparation of performance monitoring reports

7.10 Grievance Redressal Mechanism

34. It would be pertinent here to refer the Asian Development Bank's policy, which requires proper mechanisms for resolution of disputes that may arise from any aspect of the project and development process. The mechanisms should be "affordable and accessible," and third parties independent of the implementers should be available at the appropriate point in the process. The grievance procedure needs to be simple, administered in the first instance at the local project level to facilitate access, flexibility and open to various proofs taking into account the need for speedy, just and fair resolution of any grievances.

35. During preparation of social due diligence exercise, the RF and IEE report have been reviewed and noted that it has proposed effective and interactive mechanism in which participation of the community is ensured through dialogues and frequent meetings with town/village heads, with respect to any grievances.

36. Team Leader, PMC has communicated regarding GRC to PMU for effective formation and functioning of GRM. E mail communication made by TL, PMC to PMU on grievance redress mechanism (as proposed in RF document) and necessary instructions from Additional Project Director to both the PIUs have been issued. Accordingly, Project Manager PIU Amritsar has requested to Deputy Commissioner and

Municipal Corporation for nomination of member and necessary approval of GRC. The GRC structure proposed in RF documents and communications made from TL, PMC to PMU and onwards letter issued by PM, PIU Amritsar to Deputy Commissioner and Municipal Corporation is placed in Appendix-3.

7.11 Employment Potential

37. The proposed sub-project 'Conservation and Adaptive Reuse of Town Hall' will create direct and indirect employment opportunities. Approximately 30-35 skilled and unskilled labour will be employed during the conservation work apart from other technical staff. In addition to that, other types of employment can also be generated by suppliers of construction materials, tractor/dumper operators, etc., during construction phase. During operation phase, indirect employment creation is envisaged for local shopkeepers, tourist guides, taxi operators, rural artisans etc. The overall components of the subprojects will enhance livelihood opportunities for the local community. The proposed subproject emphasizes on development and showcasing of the existing inherent character of the old city. Enhancement of the aesthetic quality along the route will improve the business potential for the shops lined along most of the route which provides an opportunity to show-case the traditional craft skills of Punjab.

7.12 Other Social Issues

38. No other issue noticed.

7.12.1 HIV/AIDS Awareness

39. Considering the possible presence of labourers from outside in the project area for construction work, EA/IA should take proper care during construction to conduct information and education campaigns on the risks of HIV/AIDS and other health issues, targeting construction workers at campsites time to time. The civil works contractors require (i) to disseminate information at worksites on risks of sexually transmitted diseases and (ii) HIV/AIDS awareness as part of health and safety measures for those employed during construction. During due diligence visit no such board, signage or safety slogan in place.

7.12.2 Child Labour

40. It has to be ensured by EA/IA that the civil contractors are not employing or using children for labour.

7.13 Occupational Health & Safety

41. PIU with the help of DSC need to monitor the implementation of the Occupation Health and Safety (OHS) measures. The same have been included in the contract document of civil contract. Accordingly, the contractor has to ensure the safety of all workers, materials, plant and equipments belonging to him or to others working at the site through effective and practicable safety management systems. The provision of OHS proposed in IEE report is presented in the box below.

42. The OH&S part of IEE which need to be followed is placed as Appendix-3. The implementation of the following should be closely monitored.

The Contractor shall, in accordance with the safety and health provisions specified in the EMP, provide workers with a safe and healthy working environment, in the work areas, through application of preventive and protective measures consistent with international good practice, as reflected in internationally recognized standards such as the ADB's Environment, Health and Safety Guidelines. The borrower/client will take steps to prevent accidents, injury, and disease arising from, associated with, or occurring during the course of work by-

- *Providing preventive and protective measures, including modification, substitution, or elimination of hazardous conditions or substances;*
- *Providing appropriate equipment to minimize risks and requiring and enforcing its use;*
- *Training workers and providing them with appropriate incentives to use and comply with health and safety procedures and protective equipment;*
- *Documenting and reporting occupational accidents, diseases, and incidents; and*
- *Having emergency prevention, preparedness, and response arrangements in place.*
- *Provide first aid facilities in all the work sites and workers camp and having qualified first aider to give first aid at the time of any accident.*
- *Contractor should provide safe drinking water, clean eating and resting areas and sufficient amenities at work site and workers camps.*
- *Contractor should have prepared emergency response plan with full details and methods of emergency response during any accident and shall have and display the emergency contact numbers at site.*
- *Contractor should follow all the applicable rules and regulations for workers safety*
- *Workers complaint registers must be available at site.*
- *Housekeeping conditions can be improved.*
- *Sign boards and contact numbers should be displayed at visible locations.*
- *All the emergency numbers should be displayed in Hindi script at the construction site.*
- *All safety rules at work and provision of adequate health and safety measures such as water, food, sanitation, and personal protective equipment, workers insurance and medical facilities should be made available.*
- *Accident and Grievances Register should be maintained at the construction sites.*
- *Dust bin should be made available for collecting the garbage at the construction sites.*
- *Provision of crèche can be done wherever found necessary.*

7.14 Disclosure Process followed for Safeguard and Other Related Documents

43. Resettlement Framework has proposed that public consultation meetings need to be undertaken throughout the implementation stage. All the five principles of information dissemination, information solicitation, integration, coordination and engagement into dialogue need to be incorporated. IEE Report suggests disclosure safeguard documents at prominent places for public information.

7.15 Social Safeguards Impact Categorization

44. Based on the above assessment the Tranche-3 sub-projects including this were categorized as “C” for Involuntary Resettlement (IR) as well as Indigenous People Development as per ADB's Safeguard Policy Statement (SPS-2009). Table no. 2, below presents the social safeguards impact categorisation and the detailed screening forms for resettlement categorization and Indigenous People are placed at Appendix 5 and 6.

Table 2: Project Social Safeguards Impact Categorization

Name of Project	IDIPT, Punjab Loan No. No. 3223– IND		
Type of contract	Regular	Design Build	Combined
Safeguards Categorization	A	B	C
IR (Involuntary Resettlement Impact Categorization)	N.A.	N.A.	C
IP (Indigenous People Categorization)	N.A.	N.A.	C

7.16 Compliance to social impacts mitigation during project planning, design and implementation stages

Sl. No.	Social Safeguard Issues	Findings	Remarks
1.	Impact minimization measures	<ul style="list-style-type: none"> The selected sites are exists on government land. Livelihood pattern of the any person will not affect due to the project. 	The project categorized as “C” for Involuntary Resettlement (IR) as well as Indigenous People development as per ADB’s Safeguard Policy Statement (SPS-2009).
2.	Census and socio-economic survey	<ul style="list-style-type: none"> As no impact noticed, hence not carried out. 	
3.	Land acquisition	<ul style="list-style-type: none"> The proposed land is government land and NOC/undertaking obtained from concerned department. 	No LA required
4.	Entitlements	<ul style="list-style-type: none"> ADB’s policy of IR shall be applicable, if any impact seen. 	
5.	Public consultation and disclosure	<ul style="list-style-type: none"> Public consultation has been carried during the project preparation stage 	Impacts on livelihood and people resettlement issues are not envisaged as part of the project. Hence disclosure document not required.
6.	Impact on tribal people	<ul style="list-style-type: none"> Impact on tribal people is not envisaged as part of the project. Amritsar district as such is not part of the notified Fifth Schedule Area. 	-
7.	Resettlement sites	<ul style="list-style-type: none"> Resettlement site not envisaged. 	
8.	Socio-economic rehabilitation measures	<ul style="list-style-type: none"> Not applicable 	
9.	Implementation arrangement	<ul style="list-style-type: none"> Social safeguard implementation is part of the contract of IDIPT. PMU along with the PIU personnel is the core group responsible for social safeguard implementation at the project site. PMU and PIU is assisting in overall implementation of social safeguards. 	
10.	Grievance Redressal Mechanism	<ul style="list-style-type: none"> GRC is not in place and no information about the mechanism provided at site 	TL, PMC has written an email regarding formation and functioning of GRC as suggested in RF/IEE document.

8. SUMMARY FINDINGS/ RECOMMENDATION

45. In this Sub-Project intervention, full or partial, permanent or temporary, physical and economic displacements are absent. There will not be any adverse impact on people, livelihood, land or structure and common property resources are observed.

46. This sub-project has been categorized as “C” for Involuntary Resettlement (IR) impact as well as Indigenous People Development (IP) as per the ADB’s Safeguard Policy Statement, 2009 (SPS). Screening questions form for IR & IP categorization is placed as Appendix-5 and Appendix-6.

47. It is concluded from this due diligence study that: the proposed infrastructure improvements will not change substantially the present land use; there will be no issues of land acquisition and resettlement related to this sub-project. Due to project intervention, there will be no loss of income of any person or any assets, either privately owned or publicly owned.

48. The key observations of the due diligence on the social impacts are summarised as follows:

- As per SAR the Site area of the Town Hall is about 12,000 sq.m., of which, large part is open and landscaped. Built-up area of the structure is about 6,500 sq.m.
- The necessary permissions such as No Objection Certificates (NOC) and Undertaking for not involvement of any private land or structure have been obtained. The project site is fenced and no encroachment has been observed during due diligence site visit.
- PHTPB has carried out IEE of the project and had conducted public consultation during project preparation. The communities are aware of the project and have positively participated in the project activities;
- Safeguard implementation should follow the principles and methods suggested in the RF.
- Grievance redress committee should be made functional and information regarding the same should be disseminated, in order to create awareness among public and other stakeholders to lodge any grievances.
- Labour Registers at construction site should be properly maintained during civil works, with details of wages given to both men and women, PPEs provided to respective labourers, etc.
- Awareness on HIV/AIDS and other health issues is not provided. Contractor should arrange to provide necessary awareness on these aspects.
- Occupational health and safety measures are not being implemented satisfactorily.

49. The due diligence study concludes that the project does not involve any outstanding social safeguards issues. At the same time, PMU/PIU may urge the CSC / Contractors should address the issues identified during the due diligence exercise. CSC/Contractor should prepare an action taken report for inclusion in periodic monitoring reports.

APPENDICES

APPENDIX 1: NO OBJECTION CERTIFICATE FOR TOWN HALL

ਸੇਵਾ ਵਿਖੇ,

ਸੀ.ਈ.ਓ ,

ਪੀ.ਐਚ ਟੀ.ਪੀ.ਬੀ ,

ਚੰਡੀਗੜ੍ਹ।

ਨੰ.ਟੀ.ਓ.ਏ 2016/ 3568

ਮਿਤੀ -13-02-2016

ਵਿਸ਼ਾ :-

ਐਗਰੀਮੈਂਟ ਸਾਈਨ ਕਰਕੇ ਭੇਜਣ ਬਾਰੇ।

ਹਵਾਲਾ ਆਪ ਜੀ ਦੇ Authority letter ਨੰ: PHTPB/2016/30A/360-61
ਮਿਤੀ 11/2/2016 ਦੇ ਸਬੰਧ ਵਿੱਚ।

ਮੁੱਖ ਮਾਨ ਜੀ ,

ਬੇਨਤੀ ਹੈ ਕਿ ਟਾਊਨ ਹਾਲ ਅੰਮ੍ਰਿਤਸਰ ਦੀ ਬਿਲਡਿੰਗ ਦੀ ਕੰਜਰਵੇਸ਼ਨ ਅਤੇ
Reuse plan ਸਬੰਧੀ ਐਗਰੀਮੈਂਟ ਕਮਿਸ਼ਨਰ ਅੰਮ੍ਰਿਤਸਰ ਪਾਸੋਂ ਸਾਈਨ ਕਰਵਾਉਣ ਉਪਰੰਤ
ਪੰਜਾਬ ਨਾਲ ਨੱਥੀ ਕਰਕੇ ਆਪ ਜੀ ਨੂੰ ਯੋਗ ਅਗਲੇਰੀ ਕਾਰਵਾਈ ਹਿੱਤ ਭੇਜਿਆ ਜਾਂਦਾ ਹੈ ਜੀ

ਧੰਨਵਾਦ ਸਾਹਿਤ ,

ਨ: ਨੱਥੀ (ਐਗਰੀਮੈਂਟ)

ਵਿਸ਼ਵਾਸ ਪਾਤਰ,



Distt. Tourist Officer,
Deptt. of Tourism,
Govt. of Punjab,
Amritsar.



ਪੰਜਾਬ PUNJAB

S 628569

AGREEMENT

This agreement is made on 19 Feb 16 at Chandigarh between Punjab Heritage & Tourism Promotion Board (PHTPB), including its successors, assignees and legal representatives (hereafter to be known as the First party, Plot no. 3, Sector - 38 A, Chandigarh

And

Commissioner, Municipal Corporation, Amritsar, including its successors, assignees and legal representatives (hereafter to be known as the Second party), Amritsar.

1 Annulment of agreement

The agreement dated 24-05-2010 agreement between Punjab Heritage & Tourism Promotion Board (PHTPB), and Municipal Corporation, Amritsar (AMC) is hereby annulled.

1.1 Presently conservation works for restoration and re-use of Town Hall are underway through Asian Development Bank, assistance under Tranche 3. The conservation work will be completed by October, 2016. Thereafter the reuse plan will be executed under the implementing agency Punjab Heritage & Tourism Promotion Board (PHTPB).

1
Commissioner
Municipal Corporation
Amritsar

Distt. Tourist Officer,
Deptt. of Tourism,
Govt. of Punjab,
Amritsar.

The scope of work to be as under as per the reuse plan.

1. City Museum
2. Indo-Pak partition museum
3. Cottage emporium / other state handicrafts
4. Coffee shop
5. Light and Sound Show
6. For any other activity as decided by PHTPB, then any agreement/lease which will be executed between PHTPB with the private party, the MCA i.e. 2nd party will be part of that agreement and it will be a tripartite agreement and all the net income would go to the MCA, if any.

The historic building is proposed to be conserved and then put to reuse as per plan in such a manner that its historic value is enhanced.

- 1.2 The first party shall be responsible for the conservation work and lease out the property for O&M in conformity with the master plan, which shall be framed for this purpose.

2 RATIONALE FOR RELOCATION

- 2.1 In order to conserve the heritage building and restore it to its original pristine glory, it is necessary for the second party to shift such offices, presently located within this building to a new location, before the extensive restoration/reuse work can be undertaken.

3 DETAILS OF THE NEW LOCATION

- 3.1 The offices of the Municipal Corporation Amritsar, shall be shifted to the new location, identified as a 2 acre plot of land in Ranjit Avenue, Amritsar.

4 IMPLEMENTATION SCHEDULE

- 4.1 The second party shall shift all their personnel, furniture and fixtures, including records as the case may be, to the new location, within 30 days of handing over of possession to it. Vacant possession of the Town Hall, Amritsar shall be handed over to the first party, immediately on shifting of the second party's personnel, furniture, fixtures and records.

2

Commissioner
Municipal Corporation
Amritsar

Distt. Tourist Officer,
Deptt. of Tourism,
Govt. of Punjab,
Amritsar.

- 4.2 The new building of the Municipal Corporation, having a built up covered area, equivalent to the present covered area, which is approx. 90000 sq. ft. plus parking shall be completed, by the first party, in a period of not later than 24 months from such date, the new site at Ranjit Avenue, Amritsar is handed over to it. The total expenditure for the new office, comprising area upto 90,000 sq ft plus car parking, will be borne by the first party without any financial burden of the second party.
- 4.3 The work on restoration and conservation shall be started immediately, the possession is handed over to the 1ST Party by the 2ND Party, which in any case shall not be later than 60 days of handing over of the New premises to the 2ND Party.
- 4.4 This Agreement is effective from the date of signing by the authorized representatives, of both the parties.

5 OWNERSHIP OF THE TOWN HALL AND THE ALTERNATIVE BUILDING

- 5.1 Both the parties agree that the ownership of the Town Hall building including the appurtenant land will continue to vest with the Municipal Corporation, Amritsar, as per existing revenue records. Only the possession of the building and the appurtenant land shall be handed over to the first party by the second party, for the purpose of restoration, conservation and subsequent adaptive reuse.
- 5.2 First party will have no concern with the ownership/title in respect of the new premises which is being constructed in Ranjit Avenue for the second party.

6 RIGHT OF THE ASSET OWNERS ON THE USE OF THE ASSETS/BUILDING AND USE OF THE TOWN HALL AFTER RELOCATION.

- 6.1 Both the parties agree that the State Coordination Committee for Amritsar destination as constituted by the Deptt. of Tourism vide No.10/88/2009-1TL/249 date 02.12.2009 shall have the right to determine how best to use the premises of the Town Hall, in such manner as it meets the object stated in clause.
- 6.2 The conceptual plan for the best utilization, landscape etc. for the acre plot for the new office building shall be responsibility of Commissioner, Municipal Corporation, Amritsar. The 2ND Party shall also get the building plan approved

Commissioner
Municipal Corporation

Distt. Tourist Officer,
Deptt. of Tourism,
Govt. of Punjab,
Amritsar.

from the competent authority. The 1ST Party will appoint G.N.D.U. Amritsar for designing/architect the building plan as per the requirements of 2ND Party on Standard Govt. Terms & Conditions. The 2ND Party will give approval to the plan submitted by G.N.D.U within 15 days to 1ST Party.

- 6.3 It is also agreed that in the event, the 2ND Party wishes to have constructed any additional area beyond the covered area(i.e. 90000 Sq.Ft.) mentioned in clause 4.2 above, it shall be funded/constructed by the 2ND Party from its own resources.

7 RESPONSIBILITIES OF THE PARTIES IN THE ADAPTIVE (COMMERCIAL) USE OF THE TOWN HALL.

- 7.1 Both the parties agree to authorize **Punjab Heritage and Tourism Promotion Board** (PHTPB) to act as the nodal agency to lease out the Town Hall building on a PPP mode as per the reuse plan so as to exploit the tourism potential to the maximum extent. The funds so generated will be used by PHTPB for the promotion of tourism and operation and maintenance of monuments. When the same is leased out to any private party/party's, then any agreements/lease's which will be executed between PHTPB with the private party's. In addition to this:-


1. The Municipal Corporation, Amritsar will not be responsible for re-payment of loan received for the construction of New Municipal Building.
2. The ownership status of land and buildings of both sites will remain As it is today.


8. ASSIGNMENT:-

- 8.1 Both the parties agree not to assign their rights and duties under this agreement, to any 3RD Party, without the consent of the other party in writing

9. AUTHORISED REPRESENTATIVE

- 9.1 Both parties hereby warrant and represent to each other, that their authorized representatives, as mentioned below, have been duly authorized by the respective parties to sign the agreement. No other authorization is required by them. By their signatures they bind the parties to the instant agreement.


4

Commissioner
Municipal Corporation
Amritsar


Distt. Tourist Officer,
Deptt. of Tourism,
Govt. of Punjab,
Amritsar.

as sole arbitrator and his/her decision will be final and binding on both parties. The place of the Court of arbitration shall be Chandigarh. The arbitration proceeding shall be governed by the arbitration and Conciliation Act, 1996 or any statutory modification, thereof and shall be conducted in the English language.


12 MISCELLANEOUS

- 12.1 The parties agree that any stamp duty assessed or payable on or in relation to this Agreement shall be paid or borne by PHTPB.
- 12.2 This Agreement is the entire Agreement between the parties.
- 12.3 This Agreement may be executed in any number of counterparts, all of which taken together will constitute one and the same instrument.
- 12.4 Both the parties also agree that if new objects other than those stated above, are entered into, for the purpose of convenience, or for the purpose of clarity, it shall be done by another agreement, which the parties will negotiate in good faith and put down such provisions, in writing, which will be collateral to this agreement.
- 12.5 The First party shall comply with and fully cooperate to ensure compliance with, all applicable obligations of State of Punjab and/or its relevant agencies under the agreement(s) with Asian Development Bank (ADB), pursuant to which ADB will finance the restoration and conservation of the Town Hall and the construction of the new premises on Ranjit Avenue.
- 12.6 Both the parties shall comply with Punjab Government and Govt. of India rules instructions and guidelines with respect to any social/Gender and other such social safeguards frameworks as applicable to the project.

For Punjab Heritage &
Tourism Promotion Board
(PHTPB) 
Tourist Officer,
Deptt. of Tourism,
Govt. of Punjab,
Amritsar.

Witness

1

For Municipal Corporation,
Amritsar
Commissioner
Municipal Corporation
Amritsar


2

APPENDIX 2: PROJECT ORGANIZATION STRUCTURE (AS PROPOSED IN PAM)

The Project management flow chart showing the reporting lines and key organizations involved in implementation is shown in Figures 1.

Project Implementation Organizations – Roles and Responsibilities

Implementation Organization	Management Roles and Responsibilities
Executing agency	<ul style="list-style-type: none"> • Government of Punjab through Department of Tourism (DOT)
	<ul style="list-style-type: none"> ✓ Assume overall responsibility for the execution of the MFF and reporting; ✓ Engage adequate permanent or fixed-term staff to implement the MFF; ✓ Setup a state-level project management unit and project implementation units; ✓ Provide overall strategic guidance on technical supervision and project execution; and ✓ Ensure overall compliance with the loan covenants.
Implementing agency	<ul style="list-style-type: none"> • Punjab Heritage and Tourism Promotion Board (PHTPB)
	<ul style="list-style-type: none"> ✓ Project planning and budgeting; ✓ Day-to-day assistance, supervision and guidance for the project implementation units and their consultants; ✓ Review subprojects for due diligence requirements and approve subproject proposals; ✓ Bidding, evaluation and contract award; ✓ Managing and disbursing funds; ✓ Review compliance with loan covenants, contract specifications, work plans and quality control; ✓ Consolidate and submit progress reports, finance and accounting / audit reports, and matters requiring higher-level decision to state level empowered committee (SLEC) and ADB
ADB	<ul style="list-style-type: none"> ✓ Loan processing activities and signing of loan agreement; ✓ Recruitment of implementation capacity development consultant; ✓ Procurement supervision; ✓ Disbursement; ✓ Project administration reviews and missions; ✓ Reviewing compliance with loan covenants; ✓ Change in project scope or implementation arrangements; and ✓ Reallocation of loan proceeds.
State-Level Empowered Committee (SLEC)	<ul style="list-style-type: none"> ✓ act as a policy making body; ✓ Provide overall advice and guidance to the state's EA and PMU; and ✓ Accord all approvals under the MFF.

State Level Project Empowered Committee.

State-Level Empowered Committee (SLEC) have been established in Punjab, chaired by Chief Secretary, Govt. of Punjab with Principal Secretary/Secretary of the Department of Tourism as member secretary and comprised of secretaries from relevant line departments as indicated in the Facility Administration Manual (FAM). The SLEC have been empowered to take all decisions on behalf of the State and will (i) act as a policy making body, (ii) provide overall advice and guidance to the State's executing agency and project management unit (PMU), and (iii) accord all approvals under the Project.

The members of SLEC for the Punjab are as follows: Chief Secretary (Chair); the Principal Secretary / Secretary of the Department of Tourism; the Principal Secretary / Secretary of Finance; Finance Commissioner, Department of Forests and Wildlife Preservation; Principal Secretary / Secretary, Public Works Department; Principal

Secretary / Secretary, Department of Local Government; Secretary, Department of Rural Development and Panchayat; Principal Secretary / Secretary, Irrigation; Director, Department of Cultural Affairs, Archives, Archaeology and Museum; Representative, Archaeological Survey of India; Principal Secretary / Secretary, Department of Fisheries; Director, Department of Tourism; and Principal Secretary/Secretary, Department of Housing and Urban Development.

Executing Agencies:

The Department of Tourism (DOT), Government of Punjab is the executing agencies of the Punjab. All necessary powers to: (i) assume overall responsibility for the execution of the tranche; (ii) engage adequate permanent or fixed-term staff to implement the tranche; (iii) setup a state-level PMU and project implementation units (PIUs); (iv) provide overall strategic guidance on technical supervision and project execution; and (v) ensure overall compliance with the loan covenants, will be delegated to the respective executing agencies. The Project Implementation flow chart showing the reporting lines and key agencies involved in implementation is shown in Figure below.

Implementing Agency:

The Punjab Heritage and Tourism Promotion Board (**PHTPB**) is the implementing agency in Punjab

Project Management Unit:

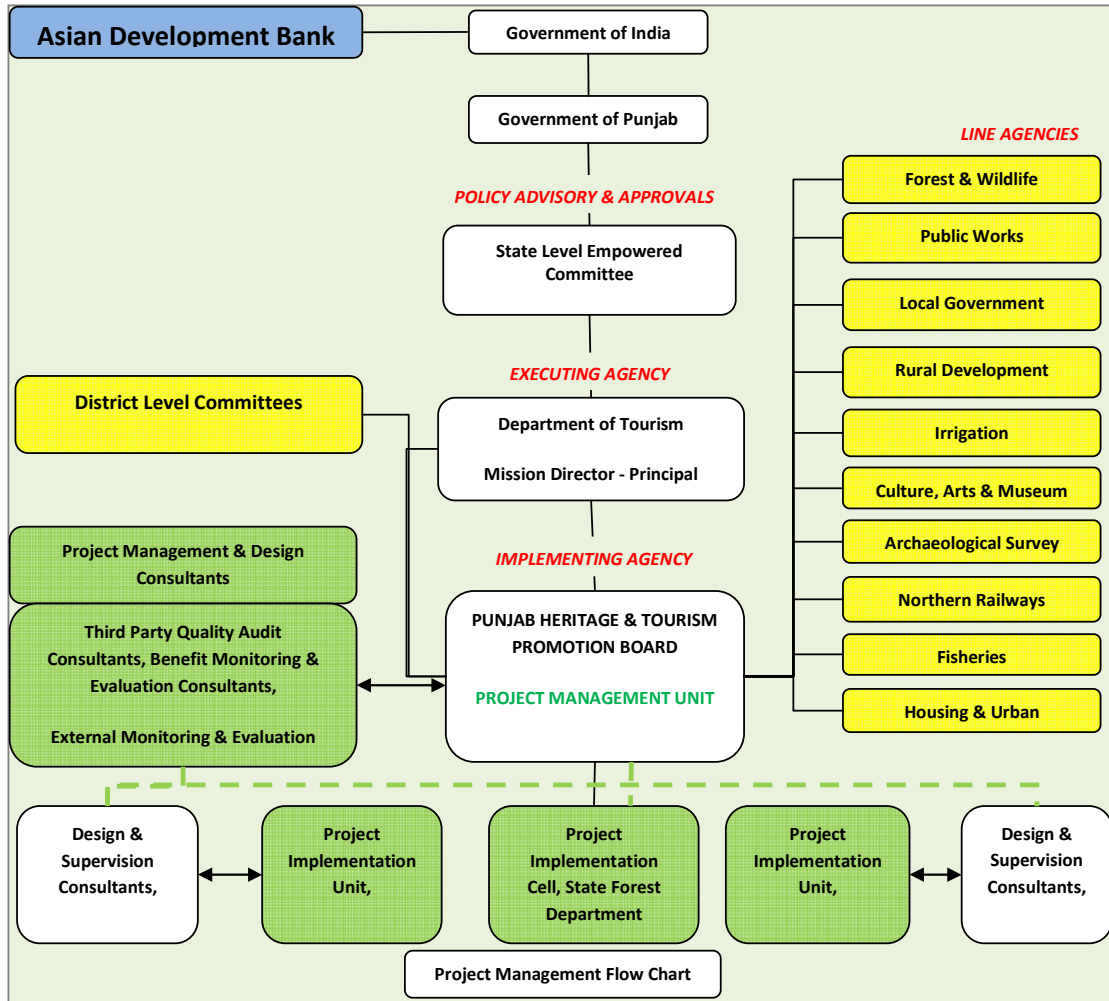
PHTPB have a PMU, headed by a full-time Program Director (PD), and consisting of personnel drawn from relevant line departments and market. The PMU is being supported by a team of consultants including Environmental Safeguard Specialist. The PMU is the nodal agency for overall management of all program activities and are responsible for: (i) project planning and budgeting; (ii) providing day-to-day assistance, supervision and guidance for the project implementation units (PIUs) and their consultants; (iii) reviewing subprojects to satisfy ADB's due diligence requirements and approving subproject proposals submitted by PIUs and line departments; (iv) bidding, evaluation and contract award; (v) managing and disbursing funds; (vi) reviewing compliance with loan covenants, contract specifications, work plans and quality control; (vii) consolidating and submitting progress reports, finance and accounting/audit reports, and matters requiring higher-level decision, to the SLEC and ADB.

The State shall ensure that (i) specific divisions in the Project management units is staffed for financial management; (ii) transparent procedures are established for financial transactions; (iii) the PMUs follow government rules and procedures for all expense and revenue items including cash and for proper and accurate maintenance of financial records; and (iv) a project website remains established to provide information on Project implementation including procurement.

Project Implementation Units:

The implementing agency had already established two PIUs, one for each circuit (Eastern and Western) at Rupnagar (Ropar) and Amritsar respectively for the implementation and monitoring of subprojects. It comprises the personnel drawn from relevant line departments and outsourced, and each headed by a project manager and supported by Community Development Officer (CDO) for effective implementation of the RF and ensures the safeguard compliance provisions of the investment program. The PIUs are responsible for: (i) prioritizing and preparing subproject proposals; (ii) providing day-to-day assistance, supervision and guidance to the design and supervision consultants (DSC); (iii) conducting detailed assessments and surveys including public consultation and input from stakeholders; (iv) preparing detailed designs, specifications, schedule of quantity, bidding documents, and related documentation; (v) implementing civil works and related activities; (vi) reporting to

PMU; (vii) preparing regular progress reports for the SLEC, the executing agency and ADB through PMU; (viii) supervising construction, conducting quality control, approving progress payments to contractors; and (ix) maintaining records and accounts on an up-to-date basis and making these available to ADB, its missions, or auditors for inspection.



APPENDIX 3: GRIEVANCE REDRESSAL MECHANISMS

The structure suggested of Grievance Redress Committee (GRC) in RF at PIU is as follows. For all the IDIPT sub projects, the affected person/aggrieved party can give their grievance verbally to Site Engineer or in written to the Project Manager of PIU. Grievances of affected person will first be brought to the attention of the Site Engineer PIU, who can resolve the issue at site level. If the matter is not solved within 7 days period at site, it will be brought to the Grievance Redress Committee (GRC) constituted for the purpose in PIU. This GRC shall discuss the issue in its monthly meeting and resolve the issues within one month of time after receiving the grievance. If the matter is not resolved by GRC at PIU level within stipulated time, it shall be referred to GRC at PMU level by Project Manager PIU. If the matter is still not addressed, the same may refer to Executive Committee / State Level Empowered Committee (SLEC). The grievance redress process flow chart is shown in Figure.

In each PIU, there shall be one GRC, which will include mentioned members;

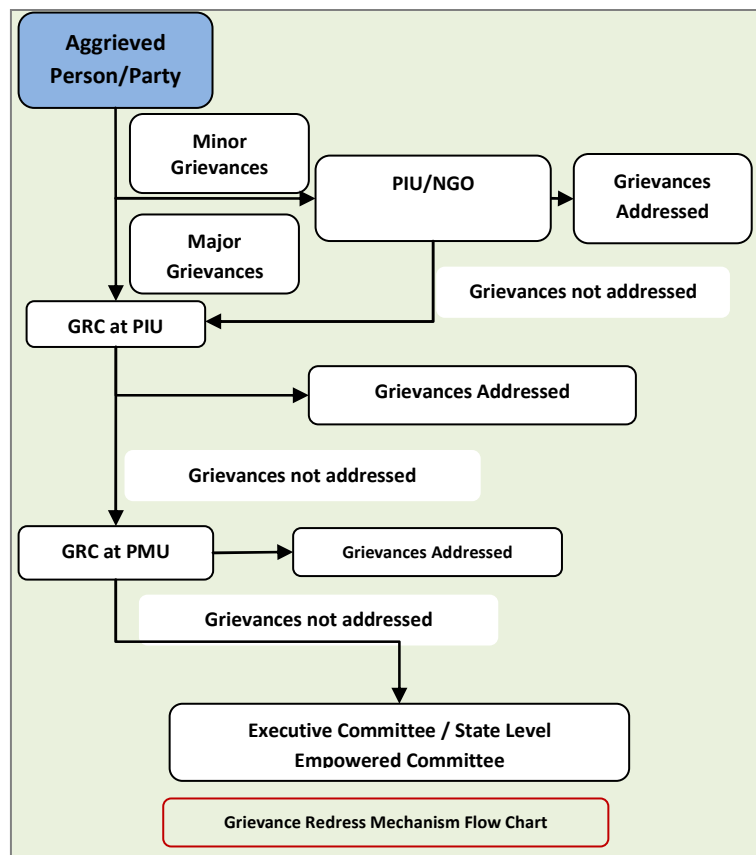
Project Manager (PIU), Chairperson
District Tourist Officer of Department of Tourism, Govt. Of Punjab,
Community Development Officer of PIU,
Nominated representative of Deputy Commissioner and
Nominated representative of Municipal Commissioner.

The committee required to meet at least once in every month. Agenda of meeting shall be circulated to all the members and affected persons/aggrieved party along with venue, date and time; informed in written at least 7 days in advance of meeting. The matters shall remain with GRC at PIU level for one month and if grievance is not resolved within this time period, the matter shall be referred to GRC at PMU.

Grievance Redress Committee (GRC) at PMU. The matters not resolved by the GRC at PIU level within one month shall come under GRC at PMU. GRC at PMU will include:

Additional Project Director (APD),
Community Development Specialist, and
Environment Safeguards Specialist of PMU.

The Committee shall be headed by APD of PMU. This committee shall look at the matters, which are referred to and not resolved by GRC at PIU level.



GRC at PMU shall discuss the issue and try to resolve it and inform the PIU accordingly. If the matter is not resolved by the GRC at PMU level within one month of time, the aggrieved person/party can bring the matter to The SLEC. The PIU shall keep records of all grievances received including contact details of complainant, date of receiving the complaint, nature of grievance, agreed corrective actions and the date these were affected and final outcome.

APPENDIX- 2: COMMUNICATION FOR FORMATION of GRC

12/9/2015

Gmail - Grievance Redress Mechanism and Flow Chart.



Sangram Singh <sangram1980@gmail.com>

Grievance Redress Mechanism and Flow Chart.

2 messages

Team Leader, PMC <tlpmc.idipt@gmail.com> Fri, Dec 4, 2015 at 5:39 PM
To: Project Manager <idiptpiuasr@yahoo.com>, pmadmn.phtpb@gmail.com, idipt ropar <pmpir.phtpb@gmail.com>
Cc: basanta rajkumar <brk.phtpb@gmail.com>, "Lt Col DS Grewal, Retd DSC-TL" <dscidiptpunjab@stc.co.in>, Reena Chadha <chadha.reena.phtpb@gmail.com>, K L Malhotra ji FCS <skilldevelopment.phtpb@gmail.com>, dsc ropar <ropar.dsc@gmail.com>, Sangram Singh <sangram1980@gmail.com>, "Dr. K N Jayan" <jkn@lasaindia.com>, Pushpanathan K <pushpanathan@lasaindia.com>, Himat Karia ji <cepmmc.idipt@gmail.com>, Arvind K Solanki Project Monitoring Specialist <pmspmc.idipt@gmail.com>, Kawalnain Kaur <ar.pmc.idipt@gmail.com>, puneet inder <puneet_inder2000@yahoo.com>, Nitin Verma <seecpmc.idipt@gmail.com>

Dear Misra Sahib,

1. Please refer the Aide memoire of ADB mission dated 2-4 No. 2015 in which ADB instructed as under;

Grievance Redress System: The Mission learnt that the Grievance Redress Committee (GRC) is not operational and the community is not aware of any such system. The Mission advised that the GRC is made operational at the earliest and the details shared with the community on priority. The numbers where people can contact in case of any grievance needs to be displayed at all sub-project sites.

2. A discussion was held today in PHTPB office in the chairmanship of ADD in which he instructed to form GRCs and other needful activities associated as per ADB rules.
3. I am attaching a self explanatory note regarding Grievance Redress Mechanism and Flow Chart. As instructed by APD in the meeting it is advised to act upon accordingly.
4. @ Mr. Ashok nanda PM PIU is advised to follow and carry out the same mechanism for Grievance Redress system for sub projects under PIU Ropar.

With Best Regards,
Suneet Gupta
Team Leader,
Project Management Consultant
IDIPT, Punjab
Plot 3, Sector 38A, Chandigarh-160036, India
+91-172-4562321, +919198983666

 Grievance Redressal Mechanism and Flow Chart.doc
125K

NECESSARY INSTRUCTIONS ISSUED FROM ADDITIONAL PROJECT DIRECTOR to PIUs

Punjab Heritage and Tourism Promotion Board
Infrastructure Development Investment Programme for Tourism (IDIPT)
(ADB Assisted Project)
Plot No. 03, Sector 38 A, Chandigarh, Ph. 0172-2625952, 5014495
Email: idipt.pb.office@gmail.com



To,

Project Manager,
PIU, IDIPT
Amritsar

No. PHTPB/IDIPT/2015/5881-86
Dated: 01/12/15

Subject: Sub: Loan 2676 IND, 3223 IND: Infrastructure Development Investment Programme for Tourism – Implementation of Grievance Redress Mechanism

As per the requirements of Asian Development Bank (ADB) all the projects under Infrastructure Development Investment Program for Tourism (IDIPT) are required to implement Grievance Redress Mechanism.

An action plan in this regard is enclosed herewith for your implementation and subsequent reporting vis-à-vis given timelines, formats for information without any further delay.

Addl. Project Director

CC:- CGM, PHTPB
Project Manager (Incharge) PIU
CDS, PMU
CDO, PIU, Amritsar
TL, DSC
TL, PMC

ok

End: As above

ANNEXURE - 4

**FORMAT FOR RECORDING THE PROCEEDINGS OF
GRIEVANCE REDRESS COMMITTEES (GRCs)**

1. Name of the complainant/s:
2. National identification number:
3. Address:
4. Date of the inquiry:
5. Time:
6. Whether complainant participated or not:
7. Grievance or issue (In summary):
8. Statement made by the complainant/s:
9. GRC recommendation:
10. Participants in the GRC:
11. Copies to:

GRIEVANCE REDRESS MECHANISM

For any complaint/ suggestion related to this project kindly register your complaint/ suggestion in the "Grievance Register" available in the Site office.

For further information please contact:

GRIEVANCE REDRESS CELL

Project Manager, Project Implementation Unit (PIU)

Address: Punjab Heritage and Tourism Promotion Board (PHTPB), Infrastructure Development Investment Program For Tourism (IDIPT), Admin Block, Surface Parking Near Gobindgarh Fort, Opp. Punjab Roadways workshop, Amritsar (Punjab)

Contact no.: Ph: _____

E mail: _____

PROPOSED GRIEVANCE REDRESS REGISTERING AND MONITORING FORM

Complainant information (Person Reporting)

1. Name:
2. Address:
3. National ID:
4. Gender: ☐ Male ☐ Female
5. Telephone:
6. Email:
7. Fax:
8. Type of complainant:
 - ☐ Affected person/s
 - ☐ Intermediary (on behalf of the AP)
 - ☐ Civil organization
 - ☐ Service organization (e.g., local government institution)
 - ☐ Others (specify)
9. Registration (assigned):

Complaint Details

10. Mode of receiving the grievance:
 - ☐ Letter
 - ☐ Phone call
 - ☐ Fax
 - ☐ Email
 - ☐ Verbal complaint (walk-in)
 - ☐ Suggestion box
 - ☐ Others (specify)
11. Location of the problem/issue specified in the complaint:
District:
Divisional secretariat:
division:
12. Type of problem/grievance:
 - ☐ Trace

- ☐ Land acquisition
- ☐ Compensation
- ☐ Construction
- ☐ Resettlement site
- ☐ Others (specify)

13. Short description of the problem:

14. Short description of the factors causing the problem:

15. Person/agency responsible for causing the problem: ☐ Project implementing agency ☐ Affected parties ☐ Service delivery agencies ☐ Local political authority ☐ Civil organizations ☐ Funding agencies ☐ Others (specify):

16. Past action/s taken by the complainant (if any):

17. Details of the focal point that received the complaint:

Name of the person who received the complaint:

Position:

Name of the receiving office:

Date:

18. Actions taken by the Receiving Office

Action 1	Action 2	Action 3	Action 4
Short Description	Short Description	Short Description	Short Description
Name of Action Officer	Name of Action Officer	Name of Action Officer	Name of Action Officer
Office	Office	Office	Office
Date	Date	Date	Date

19. Final Resolution

Name of the person completing the form:

Signature:

Date:

ACTION PLAN FOR GRIEVANCE REDRESS C.
Infrastructure Development Investment Program For Tourism (IDIPT)

ACTION PLAN FOR ESTABLISHMENT AND OPERATION OF GRIEVANCE REDRESS CELL
FOR ASIAN DEVELOPMENT BANK (ADB) ASSISTED PROJECTS UNDER
INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM (IDIPT) FOR
TRANCHE I AND TRANCHE III PROJECTS

S. No.	Activities under GRC	To be implemented by	Last date for action	Report Action to
1.	Maintain a register at site office of each project / subproject under Tranche I and Tranche III for record of Grievances under Grievance Redress Mechanism (GRM). A format for the same is provided in the Annexure 1.	Project Manager, PIU/ Site Engineer (responsible for safeguards) / Community Development Officer	15 th Dec. 2015	Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS)
2.	Display boards should be maintained at all the project sites (in Punjabi and English) to inform all the stakeholders regarding the operation of GRIEVANCE REDRESS CELL under the subproject. The sample for the same is provided in the Annexure 2.	Project Manager, PIU/ Site Engineer (responsible for safeguards)	20 th Dec. 2015	Send photographs of the display boards to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
3.	A committee for GRIEVANCE REDRESS CELL should be formed both at the level of PIU, Ropar and PIU, Amritsar to be headed by Project Manager, PIUs. Members of the Committee are as following: i. Project Manager, PIU ii. District Tourist Officer, Department of Tourism (DoT) iii. Community Development Officer iv. Representative of DC v. Representative of MC	Project Manager, PIU/ Site Engineer (responsible for safeguards)	25 th Dec. 2015	Send copy of the Office Note for constitution of the Committee with signature of all the members to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
4.	After constituting the above committee letter should be sent to head office of both DC and MC, informing them about the	Project Manager, PIU	27 th Dec. 2015	Send copies of the letters sent and receipt of the letters to Addl.

ACTION PLAN FOR GRIEVANCE REDRESS CELL
Infrastructure Development Investment Program For Tourism (IDIPT)

	constitution of the above committee and that they will be required to send a representative when a meeting will be held for GRM.			Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
5.	A notice for monthly meeting should be sent to Chairman, MC and DC before the monthly meeting is conducted in PIU office or site office with information on time, venue and date of the meeting.	Project Manager, PIU	Every month	A copy of the notice should be sent to Addl. Project Director / Forest Conservation Specialist/ Environment Safeguard Specialist (ESS).
6.	Minutes of the monthly meeting should be recorded and signed by all the committee members, headed by Project Manager, PIU. Format for recording the proceedings of GRIEVANCE REDRESS CELL is attached as Annexure 3.	Project Manager, PIU	Every month	A copy of the MoM should be forwarded to all the members of the committee and Addl. Project Director.
7.	Printing of leaflets regarding information on GRIEVANCE REDRESS CELL, in Punjabi and English.	Environment Safeguard Specialist (ESS), PMU	Feb. 2015	2000 leaflets each will be sent to PIU Amritsar and PIU Ropar for distribution to all the stakeholders for awareness on Grievance Redress Cell.

**LETTER ISSUED TO DEPUTY COMMISSIONER FOR FORMATION OF GRC BY PIU
AMRITSAR**



**Punjab Heritage and Tourism Promotion Board
Infrastructure Development Investment Programme for Tourism(Pb)
(ADB Assisted Projects)
Project Implementation Unit (IDIPT)**

To

**Deputy Commissioner,
Gurdaspur.**

No: PHTPB/PIU-ASR/15/2287-91
Date :- 18/2/16

**Sub: Establishment and Operation of Grievance Redress Cell for Asian
Development Bank(ADB) Assisted Projects under Infrastructure
Development Investment Programme for Tourism(IDIPT)**

Dear Sir,

It is submitted that as per requirement of Asian Development Bank, a grievance redress cell is to be established at PIU Amritsar level. The committee for the grievance redress cell is to be formed comprising of following:-

- a) Project Manager PIU ;
- b) District Tourist Officer(Department of Tourism) (DOT);
- c) Community Development Officer ;
- d) Representative of DC;

It is requested that an official from your office may kindly be deputed as member to attend monthly meetings in PIU Office or site office as explained at Point No 5 of the attached annexure. Meeting Notice for such meetings shall be separately issued from time to time.

Regards



A.R.Mishra,
Project Manager
IDIPT, PIU, Amritsar

CC:

1. PA to PD
2. APD
3. FCS
4. ESS

**LETTER ISSUED TO MUNICIPAL CORPORATION FOR FORMATION OF GRC BY PIU
AMRITSAR**

D:\Marinder\General Data\Word Files\Letter 2014



**Punjab Heritage and Tourism Promotion Board
INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAMME FOR TOURISM (PB)
(ADB Assisted Project)
Project Implementation Unit (IDIPT),**

To

**Commissioner,
Municipal Corporation,
Amritsar.**

No: PHTPB/PIU-ASR/15/17 31- 35
Date :- 15.12.2015

**Sub: Establishment and Operation of Grievance Redress Cell for Asian
Development Bank(ADB) Assisted Projects under Infrastructure
Development Investment Programme for Tourism(IDIPT)**

Dear Sir,

It is submitted that as per requirement of Asian Development Bank, a grievance redress cell is to be established at PIU Amritsar level. The committee for the grievance redress cell is to be formed comprising of following :-

- a) Project Manager PIU ;
- b) District Tourist Officer(Department of Tourism) (DOT);
- c) Community Development Officer ;
- d) Representative of DC;
- e) Representative of MC.

It is requested that an official from your office may kindly be deputed as member to attend monthly meetings in PIU Office or site office as explained at Point No 5 of the attached annexure. Meeting Notice for such meetings shall be separately issued from time to time.

Regards

15-12-2015


A.R.Mishra,
Project Manager
IDIPT, PIU, Amritsar

CC:

- 1. PA to PD
- 2. APD
- 3. FCS
- 4. ESS

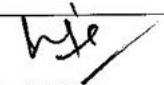

OCCUPATIONAL HEALTH AND SAFETY SUGGESTED IN IEE REPORT

- Avoid stockpiling and remove immediately all demolished materials, excess construction materials, and solid waste (removed concrete, wood, packaging materials, empty containers, oils, lubricants, and other similar items).
- Prohibit disposal of any material or wastes (including human waste) into drainage, nallah, or watercourse.

71. **Impacts on Occupational Health and Safety.** Residential accommodation for workers is not proposed. Workers need to be mindful of occupational hazards which can arise from excavation works in high-traffic and busy areas. Exposure to work-related chemical, physical, biological and social hazard is typically intermittent and of short duration, but is likely to reoccur. Potential impacts are negative and long-term but reversible by mitigation measures. Overall, the contractor should comply with IFC EHS Guidelines on Occupational Health and Safety (this can be downloaded from <http://www1.ifc.org/auaps/worm/connect/9aef2880488559a983acd36a6515bb18/2%2BOccupational%2BHealth%2Band%2BSafety.pdf?MOD=AJPERES>). The contractor will be required to:

- Disallow worker exposure to noise level greater than 85 dBA for a duration of more than 8 hours per day without hearing protection. The use of hearing protection shall be enforced actively.
- Develop comprehensive site-specific health and safety (H&S) plan. The overall objective is to provide guidance to contractors on establishing a management strategy and applying practices that are intended to eliminate, or reduce, fatalities, injuries and illnesses for workers performing activities and tasks associated with the project.
- Include in H&S plan measures such as: (i) type of hazards during excavation works; (ii) corresponding personal protective equipment for each identified hazard; (iii) H&S training for all site personnel; (iv) procedures to be followed for all site activities; and (v) documentation of work-related accidents.
- Provide H&S orientation training to all new workers to ensure that they are apprised of the rules of work at the site, personal protective protection, and preventing injury to fellow workers.
- Ensure that qualified first-aid can be provided at all times. Equipped first aid stations shall be easily accessible throughout the site as well as at construction camps.
- Provide medical insurance coverage for workers.
- Secure construction zone from unauthorized intrusion and accident risks.
- Provide supplies of potable drinking water.
- Provide clean eating areas where workers are not exposed to hazardous or noxious substances.
- Provide visitor orientation if visitors to the site can gain access to areas where hazardous conditions or substances may be present. Ensure also that visitor/s do not enter hazard areas unescorted.
- Ensure the visibility of workers through their use of high visibility vests when working in or walking through heavy equipment operating areas.
- Ensure moving equipment is outfitted with audible back-up alarms.
- Mark and provide sign boards in the construction zone, and areas for storage and disposal. Signage shall be in accordance with international standards and be well known to, and easily understood by workers, visitors, and the general public as appropriate.

INVOLUNTARY RESETTLEMENT CATEGORISATION FORM



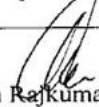
Project Data	
Country/Project No./Project Title	: INDIA/ LOAN No: 3223-IND/INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM-PUNJAB CONSERVATION AND ADAPTIVE REUSE OF TOWN HALL, RAMBAGH GATE AND HISTORIC BUILDINGS ALONG HERITAGE WALK IN AMRITSAR – CONSERVATION WORKS AT TOWN HALL (PACKAGE NO.: PB/IDIPT/T3/09/11)
Subproject title	: WALK IN AMRITSAR – CONSERVATION WORKS AT TOWN HALL (PACKAGE NO.: PB/IDIPT/T3/09/11)
Project Executing Agency	: GOVERNMENT OF PUNJAB THROUGH DEPARTMENT OF TOURISM (DOT)
Project Implementing Agency	: PUNJAB HERITAGE AND TOURISM PROMOTION BOARD (PHTPB)
Modality	: MULTITRANCHE FINANCING FACILITY (MFF)
<input type="checkbox"/> Project Loan <input type="checkbox"/> Program Loan <input type="checkbox"/> Financial Intermediary <input type="checkbox"/> General Corporate Finance <input type="checkbox"/> Sector Loan <input checked="" type="checkbox"/> MFF <input type="checkbox"/> Emergency Assistance <input type="checkbox"/> Grant <input type="checkbox"/> Other financing modalities:	
IP Impact categorization <input type="checkbox"/> New <input type="checkbox"/> Re-categorization <input checked="" type="checkbox"/> Previous Category	
<input type="checkbox"/> Category A: Significant IR impact (200+ persons (not households!) are physically displaced from residence or lose more than 10% of productive (income generating) assets)	
<input type="checkbox"/> Category B: Non-significant IR impact	
<input checked="" type="checkbox"/> Category C: No IR impact	
Prepared by:	
Social Development Specialist (Name, title, signature) Date:	 Reena Chadha, Environment Safeguard Specialist (ESS)
	 K.L. Malhotra Forest Conservation Specialist
For Project Implementing Agency (Name, title, signature) Date:	 Basanta Rajkumar Addl. Project Director
For Project Executing Agency (Name, title, signature) Date:	 Basanta Rajkumar Addl. Project Director

- Please attach subproject details, such SAR, DPR or a brief summary of subproject activities proposed

PROBABLE INVOLUNTARY RESETTLEMENT EFFECTS	YES	NO	NOT KNOWN	REMARKS
Involuntary Acquisition of Land				
1. Will there be land acquisition?		√		
2. Is the site for land acquisition known?				Not applicable
3. Is the ownership status and current usage of land to be acquired known?				Not applicable
4. Will easement be utilized within an existing Right of Way (ROW)?				Not applicable
5. Will there be loss of shelter and residential land due to land acquisition?				Not applicable
6. Will there be loss of agricultural and other productive assets due to land acquisition?				Not applicable
7. Will there be losses of crops, trees, and fixed assets due to land acquisition?				Not applicable
8. Will there be loss of businesses or enterprises due to land acquisition?				Not applicable
9. Will there be loss of income sources and means of livelihoods due to land acquisition?				Not applicable
Involuntary restrictions on land use or on access to legally designated parks and protected areas				
10. Will people lose access to natural resources, communal facilities and services?		√		
11. If land use is changed, will it have an adverse impact on social and economic activities?		√		
12. Will access to land and resources owned communally or by the state be restricted?		√		
Information on Displaced Persons				
Any estimate of the likely number of persons that will be displaced by the Project? [] No [] Yes				
Not Applicable				
If yes, approximately how many? _____				
Are any of them poor, female-heads of households, or vulnerable to poverty risks? [] No [] Yes				
Not Applicable				
Are any displaced persons from indigenous or ethnic minority groups [] No [] Yes				
Not Applicable				

Note: The project team may attach additional information on the project, as necessary.

INDIGENOUS PEOPLE CATEGORISATION FORM

Project Data	
Country/Project No./Project Title	INDIA/ LOAN No: 3223-IND/INFRASTRUCTURE DEVELOPMENT INVESTMENT PROGRAM FOR TOURISM-PUNJAB CONSERVATION AND ADAPTIVE REUSE OF TOWN HALL, RAMBAGH GATE AND HISTORIC BUILDINGS ALONG HERITAGE WALK IN AMRITSAR – CONSERVATION WORKS AT TOWN HALL (PACKAGE NO.: PB/IDIPT/T3/09/11)
Subproject title	
Project Executing Agency	GOVERNMENT OF PUNJAB THROUGH DEPARTMENT OF TOURISM (DOT)
Project Implementing Agency	PUNJAB HERITAGE AND TOURISM PROMOTION BOARD (PHTPB)
Modality	MULTITRANCHE FINANCING FACILITY (MFF)
<input type="checkbox"/> Project Loan <input type="checkbox"/> Program Loan <input type="checkbox"/> Financial Intermediary <input type="checkbox"/> General Corporate Finance <input type="checkbox"/> Sector Loan <input checked="" type="checkbox"/> MFF <input type="checkbox"/> Emergency Assistance <input type="checkbox"/> Grant <input type="checkbox"/> Other financing modalities:	
IP Impact categorization <input type="checkbox"/> New <input type="checkbox"/> Re-categorization <input checked="" type="checkbox"/> Previous Category	
<input type="checkbox"/> Category A: Significant IP impact	
<input type="checkbox"/> Category B: Non-significant IP impact	
<input checked="" type="checkbox"/> Category C: No IP impact	
Subproject requires the broad community support of affected Indigenous Peoples communities <input type="checkbox"/> Yes <input type="checkbox"/> No	
Prepared by:	
Social Development Specialist (Name, title, signature) Date:	 Reena Chadha, Environment Safeguard Specialist (ESS)
	K.L. Malhotra Forest Conservation Specialist
For Project Implementing Agency (Name, title, signature) Date:	 Basanta Rajkumar Addl. Project Director
For Project Executing Agency (Name, title, signature) Date:	 Basanta Rajkumar Addl. Project Director

- Please attach subproject details, such SAR, DPR or a brief summary of subproject activities proposed

Indigenous Peoples Impact Screening Checklist

KEY CONCERNS (Please provide elaborations on the Remarks column)	YES	NO	NOT KNOWN	Remarks
A. Indigenous Peoples Identification				
1. Are there socio-cultural groups present in or use the project area who may be considered as "tribes" (hill tribes, scheduled tribes, tribal peoples), "minorities" (ethnic or national minorities), or "indigenous communities" in the project area?		No		Amritsar district is not part of the notified Fifth Schedule area ¹
2. Are there national or local laws or policies as well as anthropological researches/studies that consider these groups present in or using the project area as belonging to "ethnic minorities", scheduled tribes, tribal peoples, national minorities, or cultural communities?				Not applicable
3. Do such groups self-identify as being part of a distinct social and cultural group?				Not applicable
4. Do such groups maintain collective attachments to distinct habitats or ancestral territories and/or to the natural resources in these habitats and territories?				Not applicable
5. Do such groups maintain cultural, economic, social, and political institutions distinct from the dominant society and culture?				Not applicable
6. Do such groups speak a distinct language or dialect?				Not applicable
7. Has such groups been historically, socially and economically marginalized, disempowered, excluded, and/or discriminated against?				Not applicable
8. Are such groups represented as "Indigenous Peoples" or as "ethnic minorities" or "scheduled tribes" or "tribal populations" in any formal decision-making bodies at the national or local levels?				Not applicable
B. Identification of Potential Impacts				Not applicable
9. Will the project directly or indirectly benefit or target Indigenous Peoples?				Not applicable
10. Will the project directly or indirectly affect Indigenous Peoples' traditional socio-cultural and belief practices? (e.g. child-rearing, health, education, arts, and governance)				Not applicable
11. Will the project affect the livelihood systems of Indigenous Peoples? (e.g., food production system, natural resource management, crafts and trade, employment status)				Not applicable
12. Will the project be in an area (land or territory) occupied, owned, or used by Indigenous Peoples, and/or claimed as ancestral domain?				Not applicable
C. Identification of Special Requirements <i>Will the project activities include:</i>				Not applicable
13. Commercial development of the cultural resources and knowledge of Indigenous Peoples?				Not applicable

¹ Fifth Schedule under Article 244(1) of Constitution defines "Scheduled Areas" as such areas as the President may by order declare to be Scheduled Areas after consultation with the Governor of that State. The criteria for declaring any area as a "Scheduled Area" under the Fifth Schedule are: (a) preponderance of tribal population, (b) compactness and reasonable size of the area, (c) a viable administrative entity such as a district, block or Taluka, and (d) economic backwardness of the area as compared to the neighbouring areas.

KEY CONCERNS (Please provide elaborations on the Remarks column)	YES	NO	NOT KNOWN	Remarks
14. Physical displacement from traditional or customary lands?				Not applicable
15. Commercial development of natural resources (such as minerals, hydrocarbons, forests, water, hunting or fishing grounds) within customary lands under use that would impact the livelihoods or the cultural, ceremonial, spiritual uses that define the identity and community of Indigenous Peoples?				Not applicable
16. Establishing legal recognition of rights to lands and territories that are traditionally owned or customarily used, occupied or claimed by indigenous peoples?				Not applicable
17. Acquisition of lands that are traditionally owned or customarily used occupied or claimed by indigenous peoples?				Not applicable

D. Anticipated project impacts on Indigenous Peoples

Project component/ activity/ output	Anticipated positive effect	Anticipated negative effect
LIST ALL PROJECT COMPONENTS / ACTIVITIES / OUTPUTS HERE	INDICATE EFFECTS TO IPS OR PUT N/A AS NECESSARY	Not applicable
1.		
2.		
3.		
4.		

Note: The project team may attach additional information on the project, as necessary.