

**The Republic of Uzbekistan  
Ministry of Economy and Finance, Ministry of  
Energy, and Agency for Strategic Reforms**

**Innovative Carbon Resource Application for  
Energy Transition Project (P180432)**

**ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**19 June 2023**

**Negotiated**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Uzbekistan (“Recipient”) will implement the Innovative Carbon Resource Application for Energy Transition Project (“Project”), with the involvement of the Ministry of Economy and Finance (“MoEF”), the Ministry of Energy (“MoE”), the Agency for Strategic Reforms (“ASR”), the Ministry of Investment, Industry and Trade (“MIIT”) and Uzhydromet (“UHM”) as set out in the Emission Reduction Payment Agreement (signatories – MoEF and MoE) (“ERPA”), Host Country Agreement (signatory - MoEF) (“HCA”), and Mitigation Outcome Purchase Agreement (signatories – MoEF and MoE) (“MOPA”) (collectively referred to as “Legal Agreements”). The International Bank for Reconstruction and Development (the “World Bank”), acting as the Trustee (the “Trustee”) of the Transformative Carbon Asset Facility, has agreed to provide financing for the Project, as set out in the referred Legal Agreements.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (“ESSs”) and this Environmental and Social Commitment Plan (“ESCP”), in a manner acceptable to the Trustee. The ESCP is a part of the Legal Agreements. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Legal Agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (“E&S”) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Trustee. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Trustee.
4. As agreed by the Trustee and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Trustee, through the Project Implementation Unit under MoEF (PIU), agree to update the ESCP to reflect these changes through an exchange of letters signed between the Trustee and the Recipient, represented by the Deputy Minister of MoEF. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Trustee regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>As provided under the ERPA and the MOPA, as a separate annex to the Annual ER Report, no later than sixty (60) calendar days after the end of each Reporting Year, commencing from the date of the execution of the ERPA and, where applicable, the MOPA.</p>	<p><b>MoEF</b></p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Trustee of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Trustee’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Not the Trustee no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Trustee within a timeframe acceptable to the Trustee</p>	<p><b>MoEF</b></p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain a Program Implementing Unit (PIU) under MoEF with qualified staff and resources to support management of ESHS risks and impacts of the Project including a social specialist for managing the planned communication campaign, and broader stakeholder engagement including a feedback mechanism.</p>	<p>Social Specialist of the PIU responsible for social oversight shall be recruited within six (6) months from the date of the execution of the ERPA and, where applicable, the MOPA.</p>	<p><b>MoEF, MoE</b></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		Establish and maintain a PIU within six (6) months from the date of the execution of the ERPA and, where applicable, the MOPA, and thereafter maintain throughout Project implementation.	
1.2	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project including, inter alia the environmental and social instruments to be supported under the Project and associated technical assistance are carried out in accordance with terms of reference acceptable to the Trustee, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout the Project implementation.	<b>MoEF, MoE, ASR, MIIT, UHM</b>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Comply with all applicable provisions of Uzbekistan labor law and the World Bank’s ESS2 in relation to labor and working conditions for workers involved in activities financed under the Project. These Project workers include:</p> <ul style="list-style-type: none"> <li>a) Government staff assigned to the PIU. These staff will remain subject to the terms and conditions of their existing public sector arrangements;</li> <li>b) Consulting services staff (firms and individuals) to support the Project.</li> </ul> <p>Relevant labor management measures include the obligation to:</p> <ul style="list-style-type: none"> <li>• Ensure that Project workers, as are hired, promoted and their employment, where needed, terminated based on principles of non-discrimination and equal opportunity, no-harassment, and freedom of association;</li> </ul>	Throughout the Project implementation.	<b>MoEF, MoE, ASR, MIIT, UHM</b>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> <li>Ensure that all Project workers are provided with information and documentation that is clear and understandable regarding their terms and conditions of employment under national law, including, as applicable, through written contracts setting out their rights related to hours of work, wages, overtime, compensation and benefits, as well as written notice of termination of employment, and details of severance payments;</li> <li>Adopt a code of conduct that sets out measures against practices related with sexual exploitation and abuse/sexual harassment (SEA/SH) in the workplace, including the dissemination of the referral services available in the country to respond to such behaviour;</li> <li>Incorporate the relevant requirements above in the ESHS specifications of the procurement documents and contracts with consulting firms that engage workers in the implementation of the Project;</li> <li>Report on compliance with these labor management procedures as part of the reports to be submitted under action 2.1 a) above.</li> </ul>		
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish, maintain and operate the existing Grievance Mechanism (GM) available for civil servants who are the direct workers in this Project to allow them to quickly inform management of labour related issues and raise workplace concerns and labour-related matters without retaliation or favour.</p> <p>Report on the operation of the Project workers GM.</p>	Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation	<b>MoEF, MoE, ASR, MIIT, UHM</b>
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
This Standard is not relevant to this Project.			
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>COMMUNITY HEALTH AND SAFETY</b></p> <p>Assess and manage specific risks and impacts to the community arising from Project activities resulting in tariff increases through adoption and implementation of improved and targeted social protections measures, including, inter alia, making information available so those entitled can access benefits from social safety nets.</p>	Throughout Project implementation, documented as part of environmental and social performance reporting	<b>MoEF and MoE</b>
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
This Standard is not relevant to this Project.			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
This Standard is not relevant to this Project.			
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
This Standard is not relevant to this Project.			
<b>ESS 8: CULTURAL HERITAGE</b>			
This Standard is not relevant to this Project.			
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
This Standard is not relevant to this Project.			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b>  Revise, disclose, adopt, and implement the Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Revise, redisclose and adopt the SEP within six (6) months from the date of the execution of the ERPA and, where applicable, the MOPA and thereafter implement the SEP throughout Project implementation.	<b>MoEF, MoE</b>
10.2	<b>PROJECT FEEDBACK AND GRIEVANCE MECHANISM</b>  Establish, publicize, maintain, and operate an accessible feedback and grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.  The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centric manner.	Establish the grievance mechanism no later than six (6) months from the date of the execution of the ERPA and, where applicable, the MOPA, and thereafter maintain and operate the mechanism throughout Project implementation.	<b>MoEF, MoE</b>
<b>CAPACITY SUPPORT</b>			
CS1	Provide training for PIU staff, stakeholders, communities, Project workers on: <ul style="list-style-type: none"> <li>stakeholder mapping and engagement</li> <li>community health and safety.</li> </ul>	Throughout the Project implementation	<b>MoEF, MoE</b>