

INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

Report No.: ISDSC1148

Date ISDS Prepared/Updated: 11-Feb-2015

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I. BASIC INFORMATION

A. Basic Project Data

Country:	Nigeria	Project ID:	P153732
Project Name:	NIGERIA FCPF REDD READINESS (P153732)		
Task Team Leader(s):	Amos Abu		
Estimated Board Date:	01-Jul-2019		
Managing Unit:	GENDR		
Sector(s):	Forestry (100%)		
Theme(s):	Climate change (100%)		
Financing (In USD Million)			
Total Project Cost:	3.80	Total Bank Financing:	0.00
Financing Gap:	0.00		
Financing Source		Amount	
Borrower		0.00	
Readiness Fund of the Forest Carbon Partnership Facility		3.80	
Total		3.80	
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

B. Project Objectives

Project Development Objective for the Readiness Preparedness Support is to support Nigeria design a socially and environmentally sound strategy to reduce emissions from deforestation and forest degradation.

C. Project Description

Funding from the FCPF will provide support to National level REDD+ Readiness and state-level REDD+ Readiness in the two new pilot states and to completing REDD+ Readiness in the Cross

River State (originally supported by the UN-REDD Programme).

The FCPF support is limited to technical assistance for REDD+ Readiness pillars, analytical studies, capacity building, consultations, meetings, and operating costs; the FCPF support does not include the implementation of the site-specific REDD+ programs (pilots / demonstration sites).

The grant support will be organized along four components that correspond with the components and sub-components of Nigeria's Readiness Preparation Proposal (R-PP).

Component 1. Strengthen National and State Level Readiness Management Arrangements (US \$884,000, all from FCPF)

The objective of this component is to support national and state-level coordination and monitoring of the REDD+ process, including the project management costs. The component has three sub-components: Sub-Component 1.1: National Readiness Management Arrangements; Sub-Component 1.2: State Level Management Arrangements; and Sub-Component 1.3: Monitoring and Reporting for REDD+ Readiness process.

Component 2: Develop REDD+ Strategies and Conduct SESA (US\$1,200,000, all from FCPF)

The objective of this component is to carry out analytical work feeding into formulation of a National REDD+ Strategy and State REDD+ Strategies (including on benefit sharing) in two new pilot states and CRS, develop the strategies themselves, to undertake the SESA that will assess the potential environmental and social risks and impacts, both positive and negative, of the proposed REDD+ strategy and produce national level safeguards instruments (ESMF, RPF, and PF), and a state level ESMF for CRS. The Component has two Sub-Components: Sub-Component 2.1: Improve Analytical Work and Develop REDD+ Strategy at National and State Levels, and Sub-Component 2.2: SESA and Safeguards Instruments.

Component 3: Develop Reference Emissions Level (US\$900,000, all from FCPF)

The objective of this component is to develop Nigeria's national REL taking into account the national circumstances and UNFCCC guidance

Component 4. Enhance Stakeholder Engagement, Communication, Consultation and Feedback for REDD+ Readiness Process (US\$650,000, all from FCPF)

The objective of this component is to ensure broad stakeholder engagement in REDD+ process, establish and maintain participatory stakeholder structures at the state-level in two new states, support communication on REDD+, conduct consultations on specific issues related to REDD+ Readiness process, and design and establish a feedback and grievance redress mechanism. The component has four sub-components: Sub-Component 4.1: Participatory structures and stakeholder engagement; Sub-Component 4.2: Communication on REDD+; Sub-Component 4.3. Consultations on REDD+; and Sub-Component 4.4: Feedback and grievance redress mechanism.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

Activities to be financed by the FCPF in support of the REDD+ Readiness process in Nigeria do not include the implementation of REDD+ programs on the ground. The FCPF support is limited to analytical studies, capacity building, and consultation processes at the national and sub-national levels.

E. Borrowers Institutional Capacity for Safeguard Policies

The Recipient has several years of experience in applying and implementing World Bank projects. There are considerable legal and institutional frameworks in the country to ensure compliance with World Bank safeguards policies triggered by the proposed project. In Nigeria, the Federal Ministry of Environment (FME) is responsible for setting policy guidelines on environmental issues and ensuring compliance with national environmental standards. It has different departments with field offices in every region of the country.

The project is implemented by the Federal Ministry of Environment, with the National REDD+ Secretariat in the Forestry Department being responsible for the day-to-day operation. An experienced team exists inside this ministry that deals with the national environmental issues in their daily work. Further, safeguards specialists in the World Bank team will provide additional guidance to the Borrower's team and the Bank task team.

The Strategic Environmental and Social Assessment (SESA) for the national REDD+ Readiness process will be led by the National REDD+ Secretariat and carried out through inclusive consultations and in close coordination with other analytical studies / processes. The exercise will be directly supervised by the SESA Working Group and the National Technical Committee on REDD+.

Whenever possible, the SESA will build upon existing knowledge and institutions that currently carry out similar studies to inform strategic, sectoral planning or other relevant impact assessments. A capacity assessment will help to identify the specific stakeholders that are most relevant to the SESA and identify the priority areas for institutional strengthening that are needed for the realization of the SESA. This assessment will also gain information on where to focus future capacity building to support implementation of recommendations arising from the SESA, i.e. through focused personnel training or institutional strengthening. In order for the relevant stakeholders to fully engage with the SESA team, a series of educational or training sessions must first occur. The exact nature and content of these training sessions will be determined via a capacity assessment. Training and awareness material to enable the informed engagement of local communities and various target groups in the SESA will be developed in collaboration the Forestry Department and relevant stakeholders including NGOs/ CSOs Platforms.

F. Environmental and Social Safeguards Specialists on the Team

Haddy Jatou Sey (GCCGT)

Joseph Ese Akpokodje (GENDR)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	In line with the nature of the REDD+ Readiness preparation activities, the Government of Nigeria will prepare a Strategic Environmental and Social Assessment (SESA) to be carried out under the project. The SESA will serve to identify, avoid, mitigate and compensate the potential negative environmental and social impacts associated with future activities to be supported by the final REDD+

		<p>strategy by providing guidance and key elements for the preparation of a national Environmental and Social Management Framework (ESMF). The SESA will also influence the final national REDD+ strategy, by ensuring that social and environmental risks are factored into the preparation of the REDD+ Strategy.</p> <p>An ESMF for the CRS will also be prepared, consulted upon and disclosed, alongside the CRS REDD+ Strategy.</p> <p>The future investments and carbon finance transactions will require specific environmental and social assessments (ESAs) but these will benefit from the strategy context created by the SESA and the ESMFs. The ESMFs will include standing methods and procedures, along with appropriate institutional arrangements for screening, reviewing, implementing, and monitoring specific ESAs to prevent adverse impacts, as well as cumulative impacts.</p>
Natural Habitats OP/BP 4.04	Yes	<p>The application of this policy seeks to ensure that all options proposed in the National REDD + Strategy and the state REDD+ strategies take into account the conservation of biodiversity, as well as the numerous environmental services and products that natural habitats provide to human society.</p> <p>Overall, REDD+ activities are expected to have significant positive impacts on natural habitats, as the country puts in place an effective strategy to reduce loss of natural forests. The SESA will address issues related to natural habitats and potential impacts of the National REDD+ Strategy, which will later be included in the national ESMF; issues specific to the CRS will also be covered in the CRS ESMF.</p>
Forests OP/BP 4.36	Yes	<p>Overall, REDD+ activities are expected to have significant positive impacts on forest, in that the main goal of the program is to reduce deforestation and forest degradation, while contributing to the well-being of forest dependent communities.</p> <p>Potential impact of the National REDD+ Strategy on natural forests will be assessed through the SESA and included in the national ESMF. Potential impacts of the CRS REDD+ Strategy will be included in the CRS ESMF.</p> <p>The SESA and associated ESMFs will reflect the requirements of the Bank's Operational Policy</p>

		regarding forest management, in particular as these relate to the establishment of plantations.
Pest Management OP 4.09	TBD	This policy could be triggered depending on the final scope of the National REDD+ Strategy and state level REDD+ Strategies. Agricultural intensification and reforestation activities, among others, are REDD + activities which could require pest management in the course of project implementation. The SESA will address critical issues related to pest management, as necessary; these will be, as needed, also included in the key elements of the ESMFs, as well as in a specific plan related to pests and pest management.
Physical Cultural Resources OP/BP 4.11	Yes	REDD+ activities are likely to be implemented in areas containing sites deemed physical cultural resources by rural communities living there (e.g. holy/secret sites such as sacred groves, sacred forests, etc.). Though it is not anticipated that the project will have negative impacts on any such sites, the SESA will address issues related to physical cultural resources and potential impacts of the National REDD+ Strategy, which will later be included in the national ESMF. Similarly, the CRS ESMF will address issues related to the CRS REDD+ Strategy.
Indigenous Peoples OP/BP 4.10	No	There are no Indigenous Peoples in the project area. The SESA will, however, take into account the interests of all relevant stakeholders, in particular, vulnerable populations, consult with them adequately, ensure that they participate in, and benefit from REDD+ activities.
Involuntary Resettlement OP/ BP 4.12	Yes	REDD+ activities will trigger the Involuntary Resettlement OP given that, in the future, restrictions of access to legally designated parks, protected areas, or forest management / reforestation areas may be introduced. The policy aims to avoid resettlement to the extent feasible, or to minimize and mitigate its adverse social and economic impacts in cases where resettlement or other involuntary restrictions cannot be avoided. Through the SESA process, any issues related to land acquisition or involuntary resettlement will be identified, and a national-level Resettlement Policy Framework (RPF) will be prepared. With regard to restrictions of access to protected areas, parks, forest concessions, etc., a Process Framework will be prepared as well.
Safety of Dams OP/BP 4.37	No	N/A

Projects on International Waterways OP/BP 7.50	No	N/A
Projects in Disputed Areas OP/BP 7.60	No	N/A

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 30-Sep-2018

B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

The main safeguard instrument to be launched is the Strategic Environmental and Social Assessment (SESA). The SESA exercise will be launched once the consultancy team is hired, and will be completed under the close supervision of a SESA Working Group. As one of the outcomes of the SESA process, the national Environmental and Social Management Framework (ESMF), a Resettlement Policy Framework (RPF) and a Process Framework will be prepared. A state-level ESMF specifically for Cross River State will also be prepared.

IV. APPROVALS

Task Team Leader(s):	Name: Amos Abu	
<i>Approved By:</i>		
Regional Safeguards Coordinator:	Name: Alexandra C. Bezeredi (RSA)	Date: 11-Feb-2015
Practice Manager/Manager:	Name: Benoit Bosquet (PMGR)	Date: 12-Feb-2015

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.