

## Procurement Plan

### I. General

#### 1. Project Information

Country	:	India
Borrower	:	Directorate of Inland and Transport, Govt. of Assam
Project Name	:	Assam Inland Water Transport Project [P157929]
Loan Nos.	:	<b>Project under preparation</b>

**Bank's Approval Date of the Procurement Plan:** Nov 25, 2016 [through STEP]

2. **Date of General Procurement Notice:** Sep 20, 2016
3. **Period covered by this Procurement plan:** 18 months
4. **Risk Rating for the Implementing Agencies:** Substantial at PCN stage may be modified

### II. Goods and Non-consulting Services.

#### 1. (a) Procurement Methods

	Procurement Method	Threshold (US\$ Equivalent)
1	Open International (Goods)	Above 3 million
2	Open National (Goods)	Above 100,000 and up to 3 million (with NCB conditions) – as per para 3.3 and 3.4 of the Guidelines
3	Open International (Works)	Above 40 million
	Open National (Works)	Above 100,000
3	Shopping (Goods/Works)	Up to 100,000 and up to 40 million
4.	Open International (Non-consulting Services)	Above 3 million
5	Open National (Non-consulting Services)	Up to 3 million
6	Direct Contract	As per para 3.7 of Guidelines

**(b) Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Sl. No.	Category	Prior Review Threshold (US\$ Equivalent)	Comments
1	Works	Above US\$ 10.0 million	All Contracts
1	Goods	Above US\$ 2.0 million	All Contracts
2	Non-consulting Services	Above US\$ 2.0 million	All Contracts

2. **Pre-qualification:** Bidders shall be pre-qualified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.

**3. Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines):**  
Not applicable

**4. Reference to (if any) Project Operational/Procurement Manual:** Under preparation

**5. NCB Provisions:**

- a) Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;
- b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- c) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State;
- d) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- e) Extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);
- f) Re-bidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
- g) Rate contracts entered into by Directorate General of Supplies and Disposals (DGS&D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however for any procurement under the Shopping procedures;
- h) Two or three envelope system will not be used (except when using e-procurement system assessed and agreed by the Bank).

**6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)**

Refer STEP system

### **III. Selection of Consultants**

#### **1. (a) Procurement Methods**

<b>Sl. No.</b>	<b>Procurement Method</b>	<b>Threshold (US\$ Equivalent)</b>
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1	Selection based on Consultants' Qualifications / Least Cost Selection	Up to 300,000
2	Single-source Selection of consulting firms	As per para 3.9 – 3.11 of Guidelines
3	Selection of Individual Consultants/ Sole Source Procedures for the Selection of Individual Consultants	As per Section V of Guidelines
4	<b>Quality- and Cost-based Selection/</b> Quality-based Selection/ Selection under a Fixed Budget	For all other cases
5	i. International Shortlist ii. Shortlist may comprise national consultants only	Above US\$ 800,000 Up to US\$ 800,000

**(b) Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	<b>Selection Method</b>	<b>Prior Review Threshold</b>	<b>Comment</b>
1.	Competitive Methods (Firms)	Above USD 1.0 million	All Contracts
2.	Individual	Up to US\$ 300,000	All Contracts

**2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost up to US\$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

**3. Any Other Special Selection Arrangements:** NA

**IV. Other Requirements / Information (applicable for all procurements)**

- a) Even for Post Review cases, the inputs of Bank on TORs will be obtained by project.
- b) The above thresholds are for the initial 18-month implementation period. Based on the procurement performance of the project, these thresholds may be subsequently modified.
- c) The prior review thresholds will also be indicated in the procurement plan. The procurement plan will be subsequently updated annually (or at any other time, if required), and will reflect any change in prior review thresholds.
- d) The World Bank will carry out an annual ex-post procurement review falling below the prior review thresholds indicated above. To avoid any doubts, the Bank may conduct at any time Independent Procurement Reviews (IPRs) of all the contracts financed under the grant.



**PROCUREMENT PLAN** India : Assam Inland Water Transport Project

**General Information**  
**Country:** India **Bank:** Approval Date of the Original Procurement Plan: 2016-11-25  
**Project ID:** P157929 **Revised Plan Date(s): (comma delineated, leave blank if none)** 2017-08-04  
**Project Name:** Assam Inland Water Transport Project **GPN Date:** 2016-09-20  
**Loan / Credit No.:** IBRD / P4830  
**Executing Agency(ies):** Directorate of Inland Water Transport, Assam

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN/IWT-12473-GO-RFB / Procurement of night navigation equipments	BRD / P4830		Post	Request for Bids	Open - National	Single Stage - One Envelope		500,000.00	0.00	Under Implementation					2017-04-28	2017-06-28	2017-05-08	2017-07-31			2017-06-07		2017-06-06		2017-09-10		2018-03-08	
IN/IWT-23988-GO-RFQ / Procurement of IT equipment (Desktops- 5, Ipad-1, Laptops-4, UPS- 5, Printers- 5, Antivirus- 8, Routers- 5)	BRD / P4830		Post	Request for Quotations	Open - National	Single Stage - One Envelope		7,000.00	0.00	Pending Implementation							2017-08-12								2017-10-07		2018-04-05	

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
TW/TC/OCBS/2016/2 / Selection of Consultant for Institution, Strengthening and Business Planning			Post	Quality And Cost-Based Selection	Open - National		600,000.00	0.00	Pending Implementation	2017-02-28	2017-03-21		2017-05-04					2017-06-01		2017-07-01		2017-08-05		2017-09-09		2018-09-09	
TW/TC/OCBS/2016/01 / Selection of General Consultant for the Assam Inland water Transport Development Society			Prior	Quality And Cost-Based Selection	Open - International		1,300,000.00	0.00	Under Implementation	2016-11-28	2016-12-01	2016-12-23	2017-01-14	2017-04-20				2017-02-11	2017-06-30	2017-09-13	2017-07-31	2017-04-17		2017-05-22		2021-05-21	
TW/TC/OCBS/2016/03 / Selection of Consultant for Integrated Strategic Development Plan for Assam IWT			Post	Quality And Cost-Based Selection	Open - International		800,000.00	0.00	Under Implementation	2017-03-15	2017-05-10	2017-04-05	2017-06-30	2017-05-19				2017-06-16		2017-07-16		2017-08-20		2017-09-24		2018-09-24	

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual