

**INTEGRATED SAFEGUARDS DATA SHEET
CONCEPT STAGE**

Report No.: AC765

Date ISDS Prepared/Updated: April 7, 2004

I. BASIC INFORMATION

A. Basic Project Data

Country: Tajikistan	Project ID: P079027
Project Name: Tajikistan Municipal Infrastructure Development Project	Task Team Leader: Jonathan S. Kamkwalala
Estimated Appraisal Date: December 15, 2004	Estimated Board Date: March 17, 2005
Managing Unit: ECSIE	Lending Instrument: Specific Investment Loan
Sector: Solid waste management (30%);Sewerage (30%);Sub-national government administration (20%);Water supply (20%)	Theme: Other urban development (P);Access to urban services for the poor (S);Municipal finance (S);Municipal governance and institution building (S)
Safeguard Policies Specialists in the task team:	
Loan/Credit amount (\$m.): IDA: 15 million	
Other financing amounts by source:	(\$m)

B. Project Objectives

The development objective of the project is to assist the Government of Tajikistan and local governments to improve the access, quality and delivery of basic infrastructure and affordable municipal services. To this effect the project will assist the Tajik Commune Service and a selected number of local governments in (a) responding more effectively to local demands for service improvement by identifying and implementing required priority investments and (b) improving the technical and financial management of utility operations at the municipal level. The project will also support the devolution of service delivery to local government, in line with the Government's on-going but only nascent decentralization efforts.

C. Project Description

The proposed components of the project are:

- (1) Infrastructure and Municipal Services Rehabilitation: This component will finance the rehabilitation and or repair of infrastructure (urban roads, storm water drainage, etc.), local utility services (water supply, sewerage, waste water treatment, sanitation, etc.) and facilities supporting local economic development (municipal markets, etc.) which will be selected on the basis of local demand and a demonstrated municipal commitment.
- (2) Institutional Capacity Building: The component will provide technical assistance to the Government and participating municipalities to develop their capacity to manage infrastructure and utility service operations and identify to that effect: (a) priority investment projects through a participatory planning process; and (b) measures to improve management and performance monitoring systems.
- (3) Project Management and Supervision: The component will support project implementation by financing: (a) incremental costs of the Project Implementation Unit (PIU), and (b) consulting services to provide technical expertise required for project management, especially in regard to preparation of feasibility studies, engineering designs, portfolio management, fiduciary aspects, safeguards policies and monitoring outcomes.

Project preparation will be based on a rapid assessment of infrastructure and municipal services, which will be conducted, with the support of local authorities in selected cities that are likely to qualify for participation in the project. The survey will assess the current state and rehabilitation needs of local roads, storm water drainage, water supply, sewerage, and waste water treatment systems, as well as of facilities supporting local economic development (markets, etc.). Further coordination is currently underway with the TLSS and Poverty Assessment (underway) to supply specific information about subjective poverty, access to utilities, income and assets of households in all cities with population more than 35,000¹.

Concurrently, an assessment will be made to review the institutional setting and the technical capacity of the Tajik Commune Services, municipalities and utilities in selected cities. The assessment will aim at clarifying the functions and relationships between the central and local government agencies responsible for infrastructure and service delivery. Special focus will be on the delineation of ownership, rights of use of utility assets, financial obligations, investment, operational and maintenance responsibilities. Proposals for reforms will be made and considered in the project design to ensure sustainable impact of proposed investments.

The list of cities that could participate in the project will be shared with all other donors currently active in the area of municipal infrastructure rehabilitation in Tajikistan in order to ensure complementarities and avoid possible overlaps or conflicts.

¹ These are (Khudjand, 149,000; Quliab, 78,000; Kurgan-Tiube, 60,000; Ura-Tiube, 51,000; Kanibadam, 45,000; Kofarnikhan, 44,000; Tursun-Zade, 39,000 and Isfara, 37,000).

D. Project location (if known)

The project will support the rehabilitation of municipal infrastructure and services in selected cities across Tajikistan, based on selection criteria to be developed and agreed between the Government and the Bank. Participating cities will be identified during project preparation.

E. Borrower's Institutional Capacity for Safeguard Policies

Project management capacity in Tajikistan is considered to be weak, particularly in procurement and financial management. The project intends to address this risk through intensive technical support both during project preparation and implementation.

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Applicable?	Safeguard Policy If Applicable, How Might It Apply?
[Y]	Environmental Assessment (OP/BP 4.01) The project will support the rehabilitation of municipal infrastructure and services in selected cities across Tajikistan.
[N]	Natural Habitats (OP/BP 4.04)
[N]	Pest Management (OP 4.09)
[TBD]	Involuntary Resettlement (OP/BP 4.12) Proposed rehabilitation of urban roads, sewerage systems and waste water treatment plants will not entail land acquisition, and that such sub-projects will not be considered. However, renovation may mean that the livelihood of some people may be temporarily affected (kiosks in markets or near roads to be renovated). If this is established during preparation, OP4.12 will be triggered and a policy framework will be prepared and disclosed in country in local language and in Infoshop before appraisal.
[N]	Indigenous Peoples (OD 4.20)
[N	Forests (OP/BP 4.36)
[N]	Safety of Dams (OP/BP 4.37)
[TBD]	Cultural Property (draft OP 4.11 - OPN 11.03) In terms of cultural property, although it is unlikely, there will be a clause in the OM to deal with the possibility of chance findings
[N]	Projects in Disputed Areas (OP/BP/GP 7.60) *
[N]	Projects on International Waterways (OP/BP/GP 7.50)

* By supporting the proposed project, the Bank does not intend to prejudice the final determination of the parties' claims on the disputed areas

Environmental Assessment Category:

A B C FI TBD (to be determined)

It is envisioned that the first year activities will be known by the time of appraisal and so the project is rated as environmental category "B". It is acknowledged that if more detailed information about the Project investments are not available at time of appraisal, the category might be changed to an FI. The EA rating will be confirmed after the preparation missions (certainly by the QER).

III. SAFEGUARD PREPARATION PLAN

- A. Target date for the Quality Enhancement Review (QER), at which time the PAD-stage ISDS would be prepared. (November/early December, before appraisal)
- B. For simple projects that will not require a QER, the target date for preparing the PAD-stage ISDS (October 2004)
- C. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing² should be specified in the PAD-stage ISDS.

The identified sub-projects will have an EMP prepared, regarding actions following the first year, an environmental section in the Operations Manual (OM) will be prepared, the chapter will include screening criteria for sub-projects describing the procedures and institutional arrangements for the subproject EA work. In establishing the procedures, the consultant will review both the Tajikistan national environmental laws and regulations, and institutional capacity. Given the small size of the grants and the scope of likely investments, subprojects in environmental category A are not expected.

The environment section of the OM will be attached with the EMP as an annex. and will be disclosed in country in local language and in infoshop prior to appraisal. At least one public consultation with the stakeholders and local NGO will take place before appraisal and minutes will be attached to the EMP.

² Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in-country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

IV. APPROVALS

<i>Signed and submitted by:</i>		
Task Team Leader:	Jonathan S. Kamkwala	Date 5/3/2004
<i>Approved by:</i>		
Regional Safeguards Coordinator:	Name: Ron Hoffer	Date 5/3/2004
Comments: Given the above uncertainties, project review and clearance for safeguards will be retained in ECSSD and not yet delegated to the Task Team and Sector Manager. This can be reviewed at any time; most certainly before QER.		
Sector Manager:	Name: Lee Travers	Date
Comments		

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