

## PROCUREMENT PLAN

### Basic Data

<b>Project Name:</b> Urban Services Improvement Investment Program - Tranche 5	
<b>Project Number:</b> 43405-027	<b>Approval Number:</b> 3291/3292
<b>Country:</b> Georgia	<b>Executing Agency:</b> Ministry of Regional Development and Infrastructure of Georgia
<b>Project Procurement Classification:</b> Category B	<b>Implementing Agency:</b> United Water Supply Company of Georgia LLC
<b>Project Procurement Risk:</b> Low	
<b>Project Financing Amount:</b> US\$ 90,000,000 <b>ADB Financing:</b> US\$ 75,000,000 <b>Cofinancing (ADB Administered):</b> <b>Non-ADB Financing:</b> US\$ 15,000,000	<b>Project Closing Date:</b> 1 January 2019
<b>Date of First Procurement Plan:</b> 29 September 2015	<b>Date of this Procurement Plan:</b> 3 January 2017, Version 2

### A. Methods, Thresholds, Review and 18-Month Procurement Plan

#### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Between US\$ 1 and US\$ 99,999	
International Competitive Bidding for Works	US\$ 5,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 4,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Works	Between US\$ 1 and US\$ 99,999	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	
Fixed Budget Selection for Consulting Firm	
Least-Cost Selection for Consulting Firm	RFP
Quality- and Cost-Based Selection for Consulting Firm	RFP (Quality: Cost ratio 90:10)
Quality-Based Selection for Consulting Firm	

#### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CW-02	Procurement of civil, mechanical and electrical works for STP Construction in Mestia and Zugdidi (Design and Build)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011).	ICB	Prior	2S	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N

							Bidding Document: Plant  Comments: Design and Build. (used to be Design, Build and Operate).
--	--	--	--	--	--	--	--

### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CONS-01	Construction Supervision Consultant	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011).	QCBS	Prior	Q1 / 2016	FTP	Assignment: International  Quality-Cost Ratio: 90:10  Advance Contracting: N  Comments: weighted TP should be 90%
CONS-02	Auditor for auditing APFS for FY2015, FY2016, FY2017, FY2018 and FY2019	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011).	LCS	Prior	Q1 / 2017	BTP	Assignment: National  Advance Contracting: N

### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None								

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

### B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

### C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

#### 1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CW-01	Procurement of works for Sewerage System Construction in Zugdidi	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011).	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011).	ICB	Q1 / 2016	26-SEP-16	

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
None							