

INTEGRATED SAFEGUARDS DATA SHEET

IDENTIFICATION / CONCEPT STAGE

Report No.: ISDSC15209

Date ISDS Prepared/Updated: 07-Apr-2016

I. BASIC INFORMATION

A. Basic Project Data

Country:	Philippines	Project ID:	P157325
Project Name:	Philippines Program for Climate Resilience: TA for the Risk Resiliency and Sustainability Program		
Team Leader(s):	Christophe Crepin		
Estimated Date of Approval:	10-Jun-2016		
Managing Unit:	GENDR	Lending Instrument:	IPF
Sector(s):	General agriculture, fishing and forestry sector (25%), Central government administration (25%), Sub-national government administration (20%), Rural and Inter-Urban Roads and Highways (10%), General water, sanitation and flood protection sector (20%)		
Theme(s):	Public expenditure, financial management and procurement (15%), Natural disaster management (20%), Rural services and infrastructure (15%), Climate change (50%)		
Financing (in USD Million)			
Total Project Cost:	0.15	Total Bank Financing:	0
Financing Gap:	0		
Financing Source			Amount
Climate Investment Funds			0.15
Environment Category:	C - Not Required		

B. Project Development Objective(s)

The Project Development Objective of this recipient-executed grant is to enable the Government of the Philippines to increase climate adaptation and resilience investments and their effectiveness in reducing climate risks impacting people and livelihoods in key vulnerable landscapes of the Philippines.

The engagement builds on the work developed in the past three years by the Cabinet Cluster on Climate Change Adaptation and Mitigation, and on the World Bank Technical Assistance undertaken at the request of the Government under the Climate Budgeting programmatic ASA (P148455), following the Climate Public Expenditure and Institutional Review (P130126).

The project is designed to provide technical assistance, capacity strengthening and stakeholder consultations to allow the Philippine Government to develop a national investment program for climate adaptation and resilience. This will be underpinned by (i) providing an operational framework for a climate adaptation and resilience investment program to generate and leverage investments, (ii) building government capacity and convergence for responding to climate risks through risk-informed identification, planning, financing, execution, monitoring and evaluation of adaptation and resilience investments, and (iii) developing and applying climate analytics and tools to enhance understanding of climate risks and enable risk-informed decision-making.

C. Project Description

This activity will support the preparation of a national RRSP with a focus on better climate resilient planning, decision-making, investing and on-the-ground delivery. The engagement builds on the work developed in the past three years by the Cabinet Cluster on Climate Change Adaptation and Mitigation, and by the World Bank Technical Assistance undertaken at the request of the Government under the Climate Budgeting programmatic ASA (P148455), following the Climate Public Expenditure and Institutional Review (P130126). It also takes into account a series of other activities and achievements related to climate adaptation and risk resiliency recently completed, or on going, which will be closely reviewed and consulted during implementation. The Program's preparation process is Government-owned, closely involving the Cabinet Cluster, led by the DENR with close coordination and inclusion of oversight agencies such as the Department of Budget and Management (DBM), the National Economic Development Authority (NEDA), the Climate Change Commission (CCC), and the Department of Finance (DOF) as well as sector agencies such as the Department of Public Works and Highways (DPWH) and the Department of Agriculture (DA), LGUs, the private sector, civil society groups and highly affected communities, and Development Partners.

The preparation of the Program will be accomplished through the five building blocks below which have been developed, designed and validated through consultation with the Government. As agreed with the Government, this recipient-executed grant will finance selected expenditures supporting part of the consultations (Building Block 5) and capacity readiness for program roll-out (Building Blocks 3), and will complement a Bank-executed support for the remaining activities.

- **Building Block 1. Business case and knowledge for the RRSP:** The objective of this block is to develop the technical, socio-economic, and policy-based rationale for a national program that enables risk-informed planning, financing, prioritization, and implementation. Building on an initial stocktaking, this will include: (1) knowledge and rationale background paper with a synthesis of existing knowledge and rationale for a national program including a strategic review and analysis of current gaps in institutional, financing (including their current distribution) and legal frameworks and policies; (2) WAVES coastal valuation study assessing the economic value of coastal protection services of mangroves; (3) a national-level risk assessments of climate hazards and their potential socio-economic and environmental impacts across provinces and landscapes, (4) a national-level economic analysis of investment needs and their financial and social costs subject to uncertainty; (5) a business case report that summarizes the climate hazards and their socio-economic and environmental (current and projected) impacts, investments and their social and financial costs and current gaps in institutional and legal frameworks, policies, financing, and execution; (6) a planning update to integrate climate risks into key policy frameworks.

Building Block 2. Scope, content, and approach: The objective of this block is to develop criteria and

tools to prioritize, plan and formulate the scope, content, and approach of the RRSP. This will include: (1) focus and prioritization technical paper with an overview of existing criteria, analyses, decision-support tools, information and data used for site selection and prioritization of adaptation and resilience investments; (2) in-depth case studies of climate risks, including different hazards and their impacts in selected sites in key landscapes; (3) in-depth case studies of costs and benefits of investment options to make selected sites and landscapes more climate-resilient, including subject to uncertainty; (4) scope and content note specifying (i) the technical and socio-economic (e.g., gender, poverty) and spatial criteria for site selection, including prioritization of landscapes through vulnerability assessment tools; (ii) the criteria for eligibility, prioritization and types of investments and actions (including benefits and costs); (iii) the of criteria for determining eligibility, capacity and implementation readiness; and (5) first-phase action plan outlining the potential scope and content in terms of landscapes, provinces and sites, as well as the institutional requirements, the overall investment needs, incremental costs and potential funding sources for roll-out in the first 18th months.

- **Building Block 3. Execution processes and modalities:** The objective of this block is to develop institutional and operational mechanisms and procedures to translate plans into budgets and executed actions and to initiate program roll-out. This will include: (1) implementation guidance note summarizing the institutional and planning arrangements for the RRSP including the identification of the required institutional processes, planning, budgeting, and implementation modalities; (2) incentive and finance framework outlining the potential incentive mechanisms and funding arrangements to incentivize NGA-NGA and NGA-LGU convergence and participation; (3) processes and procedures to implement area-based investments at landscape and LGU level; (4) operational manuals detailing the technical requirements for technical design, selection and approval processes, procurement, financial management, safeguards, O&M, and M&E; and (5) convergent national and local investment plans to initiate RRSP roll-out in 2017 and learning process to guide subsequent plan formulation and financing. This grant will finance the finalization of the development of the roll-out phase of the Program with details to be provided in the Project Paper,

- **Building Block 4. Monitoring, evaluation, and reporting:** The objective of this block is to develop the system to track, report, monitor and evaluate RRSP results and allow feedback and learning. This will include: (1) Tracking and M&E analytical note mapping current roles, responsibilities, capacities, institutional arrangements, procedures, and processes for adaptation and resilience related M&E and to identify existing gaps and options to develop a systematic approach to investment tracking, results monitoring, impact evaluation, knowledge management and learning; (2) RRSP results framework outlining the program-level results chain that maps the expected cross-sectoral outputs, outcomes and impacts of the various RRSP inputs and activities; (3) RRSP scorecard template with key program-level results indicators for Cabinet-level oversight and management; (4) investment-specific protocols for results monitoring of the different PAPs; (5) Evaluation manual that describes impact evaluation options (including data collection and analysis methods) for strategic elements of the RRSP; and (6) work plan for conducting a baseline survey for the first-phase of the RRSP with an outline of the methodology for data collection (including sampling strategy) and analysis (including statistical techniques).

Building Block 5. Consultative process: The objective of this block is to secure inclusive cross-sector, cross-level dialogue and/or consensus across key stakeholders to prepare and implement the RRSP. This will include: (1) the development and implementation of a multi-stakeholder (e.g., NGAs, LGUs, CSOs, NGOs, DPs, Private Sector, Academia) consultation plan for the preparation of the RRSP; and (2) the development of a consultation and stakeholder engagement plan for

implementation, monitoring and feedback on the program. This grant will finance some of this consultations with details to be provided in the Project Paper.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

This small grant is purely of a technical assistance nature. It aims to assist the government to further consult and build its capacity during the process of developing a national investment program for climate adaptation and resilience (see above mentioned PDO). Discrete investment proposals and enhancements arising from this grant will each include their own risk management and sustainability strategies as per the relevant institutions and development partners involved. This grant will finance only support services, workshops, travel, and operating costs. No investment on ground is financed by this grant. For this reason no safeguards policies are triggered. Category C is recommended along with transfer to the delivery unit.

E. Borrower's Institutional Capacity for Safeguard Policies

Not applicable.

F. Environmental and Social Safeguards Specialists on the Team

Leonardo Jr. Batugal Paat (GEN2A)

Marivi Amor Jucotan Ladia (GSU02)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	No	<p>The thrust of this project is to assist the Government to identify, prioritize, and mainstream risks to development faced by current and future vulnerability to climate change risks</p> <p>The small grant will support only consultancy services, non-consulting services, workshops, consultations, travel and operating costs. No specific investment on ground will be financed by this grant nor any detailed and specific investment plans. When applicable, the studies arising from this project will include principles of environmental sustainability including references for the assessment, monitoring and mitigation of potential environmental and social impacts of investment programs. No civil works is expected to take place so it is recommended that no further safeguards instruments be required.</p>
Natural Habitats OP/BP 4.04	No	The project does not involve any specific civil and development works that may have impacts on natural habitats.
Forests OP/BP 4.36	No	The project does not involve any specific civil

		and land development works that will have any adverse impacts on forests.
Pest Management OP 4.09	No	The project does not anticipate use of pesticides
Physical Cultural Resources OP/BP 4.11	No	The project will not affect physical cultural resources. No specific locations identified in the analyses
Indigenous Peoples OP/BP 4.10	No	The activities of the project will not have any adverse impacts on indigenous peoples and communities
Involuntary Resettlement OP/BP 4.12	No	The project will not cause any involuntary resettlement.
Safety of Dams OP/BP 4.37	No	The project does not involve any dam construction and development.
Projects on International Waterways OP/BP 7.50	No	The project does not involve locations on international waters.
Projects in Disputed Areas OP/BP 7.60	No	The project does not involve sites located in disputed areas.

III. SAFEGUARD PREPARATION PLAN

Appraisal stage ISDS required?: No

IV. APPROVALS

Team Leader(s):	Name: Christophe Crepin	
Approved By:		
Safeguards Advisor:	Name: Peter Leonard (SA)	Date: 26-Apr-2016
Practice Manager/ Manager:	Name: Iain G. Shuker (PMGR)	Date: 01-May-2016

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.