

## PROCUREMENT PLAN

### Basic Data

<b>Project Name:</b> Urban Services Improvement Investment Program - Tranche 3	
<b>Project Number:</b> 43405-025	<b>Approval Number:</b> 3078
<b>Country:</b> Georgia	<b>Executing Agency:</b> Ministry of Regional Development and Infrastructure of Georgia
<b>Project Procurement Classification:</b>	<b>Implementing Agency:</b> United Water Supply Company of Georgia LLC
<b>Project Procurement Risk:</b>	
<b>Project Financing Amount:</b> US\$ 116,000,000 <b>ADB Financing:</b> US\$ 98,000,000 <b>Cofinancing (ADB Administered):</b> <b>Non-ADB Financing:</b> US\$ 18,000,000	<b>Project Closing Date:</b> 30 June 2018
<b>Date of First Procurement Plan:</b> 18 November 2013	<b>Date of this Procurement Plan:</b> 10 February 2017 Version 4

### A. Methods, Thresholds, Review and 18-Month Procurement Plan

#### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 500,000 and Above	Prior, ADB SBD
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 499,999	The first NCB is subject to prior review, thereafter post review. Prior and Post, ADB SBD (prior followed by post)
Limited International Bidding for Goods	US\$ 500,000 and Above	Prior, ADB SBD
Shopping for Goods	Up to US\$ 99,999	Post, ADB SBD
International Competitive Bidding for Works	US\$ 1,000,000 and Above	Prior, ADB SBD
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 999,999	The first NCB is subject to prior review, thereafter post review. Prior and Post, ADB SBD (prior followed by post)
Shopping for Works	Up to US\$ 99,999	Post, ADB SBD

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	Prior, RFP
Fixed Budget Selection for Consulting Firm	Prior, RFP
Least-Cost Selection for Consulting Firm	Prior, RFP
Quality- and Cost-Based Selection for Consulting Firm	Prior, RFP
Quality-Based Selection for Consulting Firm	Prior, RFP
Single Source Selection for Consulting Firm	Prior, RFP
Individual Consultants Selection for Individual Consultant	Prior, ToR
Single Source Selection for Individual Consultant	Prior, ToR

## 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None							

## 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
Cons_1	Educational Program for Water and Sanitation Engineer	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	QCBS	Prior	Q4 / 2015	BTP	Assignment: International  Quality-Cost Ratio: 80:20  Comments: Procurement method & Assignment: To be decided. Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
Cons_2	GIS Mapping of water and sewerage network in about 25 towns	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	QCBS	Prior	Q3 / 2015	FTP	Assignment: International  Quality-Cost Ratio: 80:20  Comments: Assignment: To be decided. Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.

Cons_3	IPMO Consultants (current and future, if any)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Prior	Q1 / 2015		Assignment: National  Expertise: various  Comments: Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. 10 contracts awarded (IC 16, 18 to 26).
Cons_4	Capacity development and training (including IPMO consultants)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	CQS	Prior	Q4 / 2015	BTP	Assignment: International  Comments: Recruitment Method and Assignment: To be decided. Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's PC Policy 2011.

#### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
G3	Procurement of Tachometer (Geodesic tool to measure the coordinates)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	1	SHOPPING	Prior		Q1/2017	

ICB-G-01-2014	Procurement of Sewer Cleaner Vehicle (1 Unit)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	1	LIB	Prior	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Goods  Comments: Rebid. 1 package. Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. Minor change memo (Procurement method from ICB to LIB) approved on 11 July 2016.
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Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

## B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

### C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

#### 1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
ICB-KUT-01	Procurement of civil works for Kutaisi Water Supply System Rehabilitation	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICB	Q4 / 2014	26-MAR-15	PCSS0004.
ICB-URE-01	Procurement of civil works for Ureki Water and Sanitation System Construction	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICB	Q3 / 2014	17-OCT-14	PCSS0001.
ICB-URE-02	Procurement of works for sewage treatment plant in Ureki	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICB	Q3 / 2014	01-APR-15	PCSS0005.

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
Cons_5	Consultants for auditing (FY 2014, FY 2015, FY 2016, FY 2017 and FY 2018)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	LCS	Q1 / 2015	14-SEP-15	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0006.
Cons_3 (IC-24)	IPMO Consultants (Financial Consultant 1)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q4/2016	02-Dec-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0013.

Cons_3 (IC-25)	IPMO Consultants (Financial Consultant 2)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q4/2016	02-Dec-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0014.
Cons_3 (IC-26)	IPMO Consultants (Financial Consultant 3)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q4/2016	02-Dec-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0015.
Cons_3 (IC-16)	IPMO Consultants (Financial Manager)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q1 / 2015	20-MAR-15	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0003.
Cons_3 (IC-19)	IPMO Consultants (SCADA Specialist)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q1 / 2016	19-FEB-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0008.
Cons_3 (IC-21)	IPMO Consultants (Senior Procurement Specialist)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q3/2016	26-JUL-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0009.
Cons_3 (IC-23)	IPMO Consultants (Sewerage Specialist)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q3/2016	05-SEP-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0011.
Cons_3 (IC-22)	IPMO Consultants (Topographer)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q3/2016	05-SEP-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0010.

Cons_3 (IC-20)	IPMO Consultants (Translator)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q1 / 2016	27-SEP-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011. PCSS0012.
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