

Republic of Honduras

National Persons Registry (RNP)

Strengthening Honduras 'National Identification Ecosystem (P181324)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

- DRAFT -

October 10, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

1. The Republic of Honduras (the "Borrower") will implement the "Strengthening Honduras' National Identification Ecosystem" (P181324) (the "Project") with the involvement of the National Persons Registry (RNP, for its acronym in Spanish) as set out in the Loan Agreement. The International Association for Development (the "Association") has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Borrower shall ensure that the Project is executed in accordance with the Association's Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is part of the Legal Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed between the Association and the Borrower, this ESCP will be revised from time to time, if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of the Project's performance. In such circumstances, the Borrower through RNP, and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Director of the RNP. The Borrower shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health, and safety (ESHS) performance of the Project, Including, but not limited to, the implementation of the ESCP, the status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.</p> <p>Include an annex in the Project Operations Manual (POM) indicating the minimum content and format of the reports, as well as their regularity and other relevant E&S related procedures.</p>	Submit consolidated semiannual reports throughout Project implementation, starting from the Loan Agreement Effective Date. Submit each report to the Association no later than thirty (30) days after the end of each reporting period together with the project progress report.	RNP
B.	<p>NOTIFICATION OF INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), occupational accidents that result in death, serious or multiple injury, gender-based violence or ethnic violence, and impacts on natural habitats. Provide sufficient details regarding the scope, severity and possible causes of the incident or accident indicating immediate measures to address it, and any information provided by any contractor and/or supervising firm as appropriate. Subsequently, at the Association's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p> <p>Include a section in the POM describing the reporting procedure, including the minimum content of the initial notification and the minimum content of the incident or accident report if required.</p>	<p>Notify the Association no later than forty-eight (48) hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within a timeframe acceptable to the Association.</p>	<p>RNP</p> <p>RNP</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain within the RNP a Project Implementation Unit (PIU) with qualified staff and resources to support management of environmental and social risks, which includes at least the following: One (1) environmental and social specialist, in charge of environmental and social risk management and the grievance mechanism.</p>	Establish and maintain the PIU as set out in the Loan Agreement. Hire or assign the Environmental and Social Specialist no later than thirty (30) days from the Effective Date of the Loan Agreement and maintain throughout the implementation of the Project.	RNP

1.2	<p>ENVIRONMENTAL AND SOCIAL RISK MANAGEMENT SECTION IN THE PROJECT OPERATIONAL MANUAL (POM)</p> <p>Develop a detailed environmental and social risk management section in the POM, consistent with the ESSs and in a manner acceptable to the Association, including at least:</p> <ul style="list-style-type: none"> i) protocols and measures for the appropriate management of general & electronic waste to be adopted and implemented for project activities at RNP central offices, as well as Municipal and Auxiliary Registry Offices, in accordance with WB's Environmental, Health and Safety (EHS) Guidelines & and national legislation. ii) protocols and measures for the adequate management and disposal of ink and toner cartridges and the reuse and/or recycling of plastic and paper waste, resulting from the production of IDs. iii) guidance for procurement bidding documents regarding the need to meet certified energy standards. iv) labor and working conditions as per ESS2 requirements and national law for direct and contracted workers involved in the implementation of the project (specifying which ESS2 requirements apply to civil servants). v) Occupational Health and Safety considerations in line with the WBG EHS Guidelines, ESS2 and national legislation to ensure health and safety of project workers, especially for the brigades when conducting site visits during enrollment operatives and throughout TA activities such as capacity building, training services, and events. Including also emergency preparedness and response measures. vi) measures to mitigate the risk of exposure of communities to communicable diseases by project workers involved in enrollment operatives, such as Mobile Brigades. vii) measures to prevent and mitigate SEA/SH risks, including a code of conduct for all project workers with mechanisms in place to effectively monitor and enforce its compliance. viii) a specific protocol for the behavior of mobile brigades, municipal, RNP and partner institutions staff at the Health and Education Secretariats serving as enrollment agents, for when engaging with stakeholders, especially schools-aged children (boys and girls) to prevent Sexual Abuse or Harassment (SEA/SH). ix) procedures for hiring, rules of conduct, training, equipping, and monitoring of security personnel. x) channels, protocols, responsibilities and times, registry and monitoring of Grievance Mechanism (GM) that addresses project workers' grievances 	<p>The POM shall be submitted for the Association's no objection and is a condition for the start of the enrollment operatives and mobilization of mobile brigades under subcomponent 1.2.</p>	RNP
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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>(including SEA/SH).</p> <p>xi) channels, protocols, responsibilities and times, registry and monitoring of a Grievance Mechanism (GM) that addresses project related grievances (including SEA/SH) and any adaptations for indigenous peoples and Afro Hondureños.</p> <p>xii) project stakeholder engagement, communication, and consultation measures that ensure that stakeholders, including vulnerable groups and indigenous peoples and Afro Hondureños (i) are provided with timely, relevant, understandable, and accessible information, (ii) are consulted in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation, (iii) can participate in the project and receive the benefits of the Project, and have access to the project grievance mechanism. Update the existing Consultation Plan as required.</p>		
1.3	<p>PERMITS, LICENSES, CONSENTS, AND AUTHORIZATIONS</p> <p>Obtain, or cause to be obtained, as appropriate, the permits, licenses, and authorizations that are applicable to the respective Project activities from the relevant national and provincial authorities. Comply or ensure compliance with, as appropriate, the conditions set forth in these permits, consents, and authorizations throughout implementation of the Project activity.</p>	Prior to initiating activities that require them. Keep permissions in order throughout Project implementation.	RNP
1.4	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that consultancies, studies, capacity building, training, and any other technical assistance activities under the Project, including those related to environmental and social instruments, are carried out in accordance with Terms of Reference acceptable to the Association, which are consistent with the ESSs. All information and data generated, registered, and managed within the scope of the project is protected by adequate information security procedures and protocols.</p>	Throughout Project implementation	RNP
ESS 2: LABOR AND WORKING CONDITIONS			

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>a) Specify in the POM the labor and working conditions as per ESS2 requirements and national law for direct and contracted workers involved in the implementation of the project (specifying which ESS2 requirements apply to civil servants). Including the Occupational Health and Safety considerations in line with the WBG EHS Guidelines, ESS2 and national legislation to ensure health and safety of project workers, especially for the brigades when conducting site visits during enrollment operatives and throughout TA activities such as capacity building, training services, and events.</p> <p>b) Disseminate information and provide training on labor and working conditions, OHS measures, grievance mechanism, and code of conduct for project workers, including Mobile Brigades.</p>	<p>a) The same timeframe as for the implementation of action 1.2</p> <p>b) The same timeframe as for the implementation of action 1.2 and throughout project implementation as workers are being contracted.</p>	<p>RNP</p> <p>RNP</p>
2.2	<p>GRIEVANCE RESDRESS MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, and operate a grievance mechanism for Project workers, as described in the POM and consistent with ESS2.</p>	The same timeframe as for the implementation of action 1.2	RNP
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY MEASURES</p> <p>Develop specific Occupational Health and Safety (OHS) measures for the Project, its workers, including Mobile Brigades, including emergency preparedness and response measures, and include these measures in the Project POM.</p>	The same timeframe as for the implementation of action 1.2	RNP
2.4	<p>CODE OF CONDUCT</p> <p>a) Establish a Code of Conduct in the POM with mechanisms in place to effectively monitor and enforce its compliance.</p> <p>b) Establish provisions in the contracts for all project workers to sign a Code of Conduct as established in the POM.</p>	<p>a) The same timeframe as for the implementation of action 1.2</p> <p>b) Prior to signing contracts. Throughout project implementation.</p>	<p>RNP</p> <p>RNP</p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>a) Include in the POM, adopt, and implement (i) protocols and measures for the appropriate management of general & electronic waste to be adopted and implemented for project activities at RNP central offices, as well as Municipal and Auxiliary Registry Offices, in accordance with WB's Environmental, Health and Safety (EHS) Guidelines & and national legislation and (ii) protocols and measures for the adequate management and disposal of ink and toner cartridges and the reuse and/or recycling of plastic and paper waste, resulting from the production of IDs.</p> <p>b) Ensure that all the equipment procured for the Project, the procurement bidding documents specify the need to meet certified energy standards; include the respective guidance for procurement bidding documents in the POM.</p>	<p>a) The same timeframe as for action 1.2. and then implement throughout project implementation.</p> <p>b) Prior to initiating procurement processes. Throughout project implementation.</p>	<p>RNP</p> <p>RNP</p>
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>COMMUNITY HEALTH AND SAFETY</p> <p>a) Include in the POM, adopt, and implement measures to manage the specific risks and impacts to communities arising from Project activities, including (i) measures to mitigate the risk of exposure of communities to communicable diseases by project workers involved in enrollment operatives, such as Mobile Brigades, (ii) measures to prevent and mitigate SEA/SH risks, including a code of conduct for all project workers with mechanisms in place to effectively monitor and enforce its compliance, and (iii) a specific protocol for the behavior of mobile brigades, municipal, RNP and partner institutions staff at the Health and Education Secretariats serving as enrollment agents, for when engaging with stakeholders, especially schools-aged children (boys and girls) to prevent Sexual Abuse or Harassment (SEA/SH).</p> <p>b) Disseminate information to affected communities on measures to mitigate risks arising from Project activities, including project grievance mechanism, code of conduct for project workers and protocol for mobile brigades.</p>	<p>a) The same timeframe as for action 1.2. and then implement throughout project implementation.</p> <p>b) The same timeframe as for action 1.2. and as new communities become involved in project implementation.</p>	<p>RNP</p> <p>RNP</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
4.2	<p>MANAGEMENT OF SECURITY PERSONNEL</p> <p>a) Assess the specific risks and impacts to communities arising from the use of security forces accompanying mobile brigades during enrollment operatives, and then include in the POM, adopt, and implement measures, including procedures for the PIU, to manage these risks and to: (i) make reasonable inquiries to verify that personnel that will provide security are not implicated in past abuses; (ii) train them adequately (or determine that they are properly trained) in the use of force (and where applicable, firearms) and appropriate conduct toward workers and affected communities; (iii) require them to act within the applicable law; and (iv) review all allegations of unlawful or abusive acts of security personnel, take action (or urge appropriate parties to take action) to prevent recurrence, and where necessary, report unlawful and abusive acts to the relevant authorities.</p> <p>b) Government security personnel deployed to provide security services shall act guided by the principles of proportionality and GIIP, and by applicable law in relation to hiring, rules of conduct, training, equipping, and monitoring of such security workers and shall disclose the security arrangements for the Borrower's facilities to the public.</p>	<p>a) The same timeframe as for action 1.2. and then implement throughout project implementation.</p> <p>b) The same timeframe as for action 1.2.</p>	<p>RNP</p> <p>RNP</p>
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT This standard is not relevant.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES This standard is not relevant.			
ESS 7: INDIGENOUS PEOPLES.			
7.1	<p>a) The project stakeholder engagement, communication, and consultation measures as described in the Consultation Plan and the POM will specify any adaptations made for these to be accessible and culturally appropriate for indigenous peoples and Afro Hondureños. Indigenous peoples and Afro Hondureños will be included in consultations throughout the project implementation period.</p> <p>b) The project grievance mechanism will be adapted for it to be accessible and culturally appropriate for indigenous peoples and Afro Hondureños.</p>	<p>a) The same timeframe as for action 1.2. and then implement throughout project implementation.</p> <p>b) The same timeframe as for action 1.2. and then implement throughout project implementation.</p>	<p>RNP</p> <p>RNP</p>
ESS 8: CULTURAL HERITAGE This standard is not relevant.			
ESS 9: FINANCIAL INTERMEDIARIES This standard is not relevant.			

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT, COMUNICATION, AND CONSULTATION MEASURES</p> <p>a) Finalize the existing draft and disclose the final Consultation Plan, including: (i) a stakeholder mapping (including vulnerable groups), (ii) the consultation strategy for entire project life cycle, (iii) how consultations will be adapted to specific conditions of IPAHS and vulnerable groups, (iv) results of consultations carried out during preparation, and (v) the project grievance mechanism.</p> <p>b) Include in the POM, project stakeholder engagement, communication, and consultation measures as per Consultation Plan that ensure that stakeholders, including vulnerable groups and indigenous peoples and Afro Hondureños (i) are provided with timely, relevant, understandable, and accessible information, (ii) are consulted in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation, (iii) can participate in the project and receive the benefits of the Project, and (iv) have access to the grievance mechanism.</p>	<p>a) Prior to the start of the enrollment operatives and mobilization of mobile brigades under subcomponent 1.2. Update the Consultation Plan during project implementation as needed.</p> <p>b) The same timeframe as for action 1.2. and then implement throughout project implementation.</p>	<p>RNP</p> <p>RNP</p>
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>a) Establish, maintain, and operate an accessible grievance mechanism, as described in the POM and consistent with ESS 10, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p> <p>b) Include a report on the operation of the grievance redress mechanism in the semiannual reports mentioned in material measure/action A, referred to at the beginning of this ESCP (Regular Reporting), describing, at a minimum, the number and type of grievances received, the average resolution time, and other relevant data to be discussed and agreed upon with the Association.</p>	<p>a) The functioning of the project GM is a condition for the start of the enrollment operatives and mobilization of mobile brigades and under subcomponent 1.2. The project GM needs to be operational prior to the start of field activities related to enrollment operatives.</p> <p>b) The same timeframe as for action A (Regular Reporting) referred to at the beginning of this Environmental and Social Commitment Plan (ESCP).</p>	<p>RNP</p> <p>RNP</p>
CAPACITY BUILDING (TRAINING)			

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
CS1	a) Develop and implement an environmental and social training plan acceptable to the Association to ensure the capacity required for the environmental and social implementation of the Project. The plan will include a timetable, budget, objectives and indicators.	a) A detailed plan shall be submitted no later than three (3) months from the Effective Date of the Loan Agreement.	RNP
	b) Identify capacity building activities and conduct training that must be provided prior to staff starting activities in the field and engaging with communities (e.g., stakeholder engagement, code of conduct, OSH Measures, SEA/SH protocol for mobile brigades).	b) Prior to the start of the enrollment operatives and mobilization of mobile brigades under subcomponent 1.2.	RNP
	c) Conduct regular training activities for RNP staff (central RNP office, municipal and auxiliary Civil Registry Offices), staff from partner institutions such as the Health and Education Secretariats serving as enrollment agents, project workers, mobile brigades, security personnel, community based registors, involved communities, and other actors, as appropriate. Identify training topics with the Association, which could include, but are not limited to, the following: <ul style="list-style-type: none"> • Environmental and social management section in the POM; • Waste management, including electronic waste management measures and protocols; • Occupational, Health and Safety measures; • Infectious disease response and prevention procedures for potential communicable infectious diseases, including COVID-19; • Codes of conduct for Project workers and for Mobile Brigades; • Emergency Preparedness and Response measures; • SEA and SH mitigation measures; • Project stakeholder engagement, communication, and consultation measures; • Grievance Mechanisms for addressing Project-related and worker-related complaints. 	c) Once approved, implement the Environmental and Social Training Plan throughout the implementation of the Project and update it annually according to the emerging needs of the Project.	RNP