

PROCUREMENT PLAN

Basic Data

Project Name: Urban Services Improvement Investment Program - Tranche 1	
Project Number: 43405-023	Approval Number: 2749
Country: Georgia	Executing Agency: Ministry of Regional Development and Infrastructure of Georgia
Project Procurement Classification:	Implementing Agency: United Water Supply Company of Georgia LLC
Project Procurement Risk:	
Project Financing Amount: US\$ 105,000,000 ADB Financing: US\$ 80,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 25,000,000	Project Closing Date: 30 June 2018
Date of First Procurement Plan: 12 April 2011	Date of this Procurement Plan: 25 August 2016

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	ADB SBD
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 499,999	The first NCB is subject to prior review, thereafter post review; ADB SBD
Shopping for Goods	Up to US\$ 99,999	ADB SBD
International Competitive Bidding for Works	US\$ 5,000,000 and Above	ADB SBD
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 999,999	The first NCB is subject to prior review, thereafter post review; ADB SBD
Shopping for Works	Up to US\$ 99,999	ADB SBD
Direct Contracting for Works	No threshold (allowed for any amount)	ADB SBD

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	RFP
Fixed Budget Selection for Consulting Firm	RFP
Least-Cost Selection for Consulting Firm	RFP
Quality- and Cost-Based Selection for Consulting Firm	RFP
Quality-Based Selection for Consulting Firm	RFP
Single Source Selection for Consulting Firm	RFP
Individual Consultants Selection for Individual Consultant	ToR

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
ABA-01	Procurement of water supply works in Abasha	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	DC	Prior		Q3 / 2016	Comments: A minor change in memo was approved on 25 August 2016 adding the Abasha package.

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None							

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None								

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
Cons_Audit	Consultants for auditing (FY 2016, FY 2017, and FY 2018)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	1	LCS	Prior	Q1 / 2017	BTP	Assignment: National Comments: A memo was approved by Director, OSP1 on 9-Jan-15 for appointment Auditor for FY2014 and FY2015 using LCS method. However, after consultation with the

								Procurement Specialist, it was agreed to modify to 5 years (FY2014-FY2018).
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B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CW/ICB/Office-01	Procurement of civil works for construction of UWSCG Office Building	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICB	Q1 / 2015	06-NOV-15	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
ICB-URE-01	Procurement of civil works for construction of the reservoirs and water pumping station	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICB	Q2 / 2014	17-OCT-14	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
ICB/CW/2011-MES-01	Procurement of civil works for surface water intake, transmission and treatment	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICB	Q2 / 2011	09-SEP-11	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
ICB/CW/20	Procurement of	This information	This information	ICB	Q3 / 2012	27-MAY-13	Contract value was

13-REG-01	civil works for surface water intake, treatment, transmission and distribution	was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)				deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
ICB/CW/RE G-02	Procurement of civil works for surface water intake, transmission and treatment	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICB	Q1 / 2013	28-JUL-14	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
P1/QCBS/01-2015	Consultants for the Capacity Building of Georgia Nat'l. Energy & Water Supply Regulatory Commission	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	QCBS	Q1 / 2015	22-JUN-16	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
QCBS/01-2011	Construction Supervision Consultant	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	QCBS	Q3 / 2011	26-OCT-12	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
QCBS/2012-01	Management Contractor	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	QCBS	Q2 / 2012	17-JUN-13	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
QBS/02-2014	Consultants for the baseline survey	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	QCBS	Q3 / 2014	19-MAY-15	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.
IC(01-04, 08-14)	Consultants to support the IPMO	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	This information was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy (2011)	ICS	Q2 / 2011	16-JUN-11	Contract value was deemed confidential according to exception (v) of paragraph 97 of ADB's Public Communication Policy 2011.