

Procurement Plan for Agro-Biodiversity and Climate Adaptation Project

66066

I. General

1. Project Information

Project Name: **Agro-Biodiversity and Climate Adaptation Project**
 Country: **ROY**
 Project ID: **P103922**
 Loan/Credit Numbers: **Grant TF096330**

2. Bank's approval date of Procurement Plan

Original :30/08/10
 Revision 1:13/12/2011
WB Approves: December 16, 2011
(and edited the General Sheet on 12/18/11)

3. Date of General Procurement Notice

II. Goods, Work and Non-Consulting Services Thresholds

1. **Prior Review Threshold.** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement: [Thresholds for applicable procurement methods (not limited to the list below) will be determined by the Procurement Specialist /Procurement Accredited Staff based on the assessment of the implementing agency's capacity.]

1a. Procurement Category	Prior Review Threshold (USD)	Comments
Goods	> or equal 500,000	
Works	> or equal 2,000,000	
Non-Consultant Services	> or equal 50,000	
<i>Include all categories authorized by the loan agreement</i>	NA	

1b. Procurement Method	Procurement Method Threshold (USD)	Comments
ICB	> or equal 500,000	
NCB (Goods)	<500,000	
Shopping (Goods)	< 50,000	
ICB (Works)	NA	
NCB (Works)	< 2,000,000	
ICB (Non-Consultant Services)	NA	
<i>Include all methods authorized by the loan agreement</i>		
CDD	<10,000	

2. **Prequalification.** Bidders for _____ shall be prequalified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.

3. **Proposed Procedures for CDD Components** (as per paragraph 3.17 of the Guidelines): *Refer to the relevant CDD project implementation document approved by the Bank - contract value < US\$ 10,000*

4. **Reference to (if any) Project Operational/Procurement Manual:**

5. **Any Other Special Procurement Arrangements:** *[including advance procurement and retroactive financing, if applicable]*

6. **Procurement Packages with Methods and Time Schedule:** See attached "Goods and Works" sheet

III. Selection of Consultants

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines
 Selection and Employment of Consultants:

1a. Procurement Category	Prior Review Threshold (USD)	Comments
Consulting Firms (Competitive)	> or equal \$ 200,000	
Consulting Firms (Sole Source)	<100,000	All Contracts
Individual Consultants (Competitive)	>100,000	All contracts

Individual Consultants (Sole Source)	< 50,000	All Contracts
<i>Include all categories authorized by the loan agreement</i>		

1b. Procurement Method	Procurement Method Threshold (USD)	Comments
QCBS	-	
QBS	NA	
FBS	Less than \$ 200,000	
LCS	Less than \$ 200,000	
CQS	Less than \$ 200,000	
Consulting Firms (Sole Source)	Less than \$ 100,000	
Individual Consultants (Competitive)	Less than \$ 100,000	
Individual Consultants (Sole Source)	Less than \$ 50,000	
ICB (Non-Consultant Services)		
<i>Include all methods authorized by the loan agreement</i>		

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 200,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

Note: OPCPR list of ceilings can be found here: <http://go.worldbank.org/MKXO98RY40>

3. **Any Other Special Selection Arrangements:** *[including advance procurement and retroactive financing, if applicable]*

4. **Consultancy Assignments with Selection Methods and Time Schedule:** See attached "Consulting Services" sheet

IV. Implementing Agency Capacity Building Activities with Time Schedule: See attached "Capacity Building" sheet

Procurement Plan for Goods/Works/Non-Consulting Services

#REF!

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** Applicable in case of Bank's prior review

SL No.	Package/Reference No.	Source of Fund	Description of Goods/Works	Goods/Works/ NCS	Estimated Cost and Date of Estimate	Currency of Estimated Cost	Review by Bank (Prior/Post)	Method of Selection	Preparation of Bid Document (Date)	Bid Invitation (Date)	Bid Closing (Date)	Bid Opening (Date)	Contract Award Decision (Date)	Bank's No Objection to Bid Evaluation Report and Contract Award (Date)**	Contract Signed (Date)	Contract Value	Contract Currency	Contract No.	Name, City, and Country of Contractor (incl. Zip Code if US)	Completion of Contract (Date)	Expenditure incurred to Date
Works																					
	Works-1/ Comp	GEF	Civil works for field station	Works			Post	NS													
Planned									11/15/2010	11/20/2010	11/30/2010	11/30/2010	12/16/2010	NA	12/31/2010					04/30/2011	
Revised									01/15/2012	01/20/2012	02/19/2012	02/19/2012	03/10/2012	NA	03/25/2012					07/23/2012	
Actual														NA							
	Works-3 Comp	GEF	Small -scale rehabilitation of rainfall/agro-met stations	Works			Post	NS													
Planned									12/14/2010	12/19/2010	12/29/2010	12/29/2010	01/14/2011	NA	01/29/2011						
Revised									01/20/2012	01/20/2012	02/19/2012	02/19/2012	03/10/2012	NA	03/25/2012					07/23/2012	
Actual														NA							
	Works-4/ Comp	GEF	Upgrading terraces (4x500=2000m); many contracts	Works			Post	CDD													
Planned									10/31/2010	NA	NA	NA	NA	NA	11/30/2010	24023.6		5 contracts		08/07/2014	
Revised									01/01/2011	NA	NA	NA	NA	NA	01/31/2011						
Actual			8 contracts (2 in Taiz and 6 Northern)																		
	Works-5/ Comp	GEF	Water storages (4x10=40 nos.); many contracts	Works			Post	CDD													
Planned									11/15/2010	NA	NA	NA	NA	NA	12/15/2010	23250				08/07/2014	
Revised									01/01/2011	NA	NA	NA	NA	NA	01/31/2011						
Actual			3 tanks in Taiz and Ibb																		
	Works-6/ Comp	GEF	Soil conservation measures (vegetative-Planting of trees (5x25=100 ha);many contracts	Works			Post	CDD													
Planned									12/14/2010	NA	NA	NA	NA	NA	09/08/2014					08/07/2014	
Revised									02/25/2012	NA	NA	NA	NA	NA	09/08/2014						
Actual			2 sites in Northern																		
	Works-7/ Comp	GEF	Small flood protection measures(multiple (c.25) locations) through community contracts* (many of contracts)	Works			Post	CDD													
Planned									10/14/2010	NA	NA	NA	NA	NA	03/15/2012					08/07/2014	
Revised									01/15/2012	NA	NA	NA	NA	NA	03/15/2012						
Actual																					
	Works-8/ Comp	GEF	Upgrading community infrastructure (community seed stores community centers) (many of contracts)	Works			Post	CDD													
Planned									10/24/2010	NA	NA	NA	NA	NA	12/23/2010					08/07/2014	
Revised									01/15/2012	NA	NA	NA	NA	NA	03/15/2012						
Actual																					
	Works-9/ Comp	GEF	Income generation (multiple contracts)	Works			Post	CDD													
Planned									01/30/2011	NA	NA	NA	NA	NA	01/30/2011					08/07/2014	
Revised									01/30/2011	NA	NA	NA	NA	NA							
Actual																					

CDD (Items No. 4, 5,6,7, 8 and 9) Contract Value less than \$10000

Procurement Plan for Goods/Works/Non-Consulting Services

#REF1

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** Applicable in case of Bank's prior review

	SL No.	Package/ Reference No.	Description of Goods/ Works	Goods/ Works/ NCS	Estimated Cost and Date of Estimate	Currency of Estimated Cost	Review by Bank (Prior/ Post)	Method of Selection	Preparation of Bid Document (Date)	Objection to Bidding Document (Date)**	Bid Invitation (Date)	Bid Closing (Date)	Bid Opening (Date)	Contract Award Decision (Date)	Objection to Bid Evaluation Report and Contract Award	Contract Signed (Date)	Contract Value	Contract Currency	Contract No.	Name, City, and Country of Contractor (incl. Zip Code if US)	Completion of Contract (Date)	Expenditure incurred to Date
Goods																						
Planned		Goods-1/ Comp 1	Lab Equipment for soil-plant analysis lab, including field testing equipment	Goods			Post	NCB	11/14/2010	NA	11/30/2010	01/09/2011	01/09/2011	02/13/2011	NA	02/28/2011						
Revised									01/15/2012	NA	01/20/2012	02/19/2012	02/19/2012	03/10/2012	NA	03/25/2012					06/28/2011	
Actual										NA					NA							
Planned		Goods-2/ Comp 1	Field evaluation equipment (Green House)	Goods			Post	NS	11/14/2010	NA	10/21/2010	12/01/2010	12/01/2010	12/21/2010	NA	12/16/2010						
Revised										NA					NA							
Actual									18/12/2010	NA	01/08/2011	01/18/2011	01/18/2011	01/31/2011	NA	06-Feb-11	6169350.00	YER	ids-2Comp	Nama-Yemen	01/05/2011	5813382.5
Planned		Goods-3/ Comp 3	Weather alert system	Goods			Post	NCB	11/15/2010	NA	01/08/2011	12/30/2010	12/30/2010	02/03/2011	NA	02/18/2011						
Revised									01/20/2012	NA	01/20/2012	02/19/2012	02/19/2012	03/10/2012	NA	03/25/2012						06/28/2011
Actual										NA					NA							
Planned		Goods-6/ Comp 3	Survey equipment; four sets	Goods			Post	NS	12/14/2010	NA	12/21/2010	12/31/2010	12/31/2010		NA	01/15/2011						02/04/2011
Revised									02/25/2012	NA	03/01/2012	03/31/2012	03/31/2012	04/20/2012	NA	05/05/2012						05/20/2012
Actual										NA					NA							
Planned		Goods-7/ Con	Income generation (multiple contracts)				Post	CDD**														
Revised				Goods					01/25/2011	NA	01/30/2011	03/01/2011	03/01/2011	03/21/2011	NA	04/05/2011						04/20/2011
Actual									01/25/2011	NA	01/30/2011	03/01/2011	03/01/2011	03/21/2011	NA	04/05/2011						12/06/2012
Planned		Goods-8/Comp4	Vehicles (3)**	Goods			Post	NCB	10/14/2010	NA	10/29/2010	11/08/2010	11/08/2010	12/03/2010	NA	12/18/2010						
Revised									01/15/2012	NA	01/20/2012	02/19/2012	02/19/2012	03/10/2012	NA	03/25/2012						
Actual										NA					NA							06/16/2011

* Vehicles will be procured only after clearance from the Bank

** CDD Contract (item7) Value less than \$10000

Procurement Plan for Consultant Services
#REF!

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contracts, one or more of these

** Applicable in case of Bank's prior review

COMPONENT	SL No.	Package/ Reference No.	Description of Services	Estimated Cost	Currency of Estimated Cost	Review by Bank (Prior/ Post)	Type of Consultant (Firm/ Individual)	Method of Selection	Advertising for Short listing (Date)	TOR/Shortlist to be Finalised (Date)	RFP Final Draft to be forwarded to the Bank (Date)	No Objection from Bank for TOR (Date)**	No Objection from Bank for Shortlist (Date)**	No Objection from Bank for Final RFP (Date)**	RFP Issued (Date)	Proposal Submission Deadline (Date)	No Objection by the Bank to the Technical Evaluation Report (Date)**	No Objection by the Bank (Technical/ #Combined/ Draft Contract/ Final Contract) (Date)**	Contract Signed (Date)	Contract Value	Contract Currency	Contract No.	Name, City, and Country of Contractor (incl. Zip Code if US)	Services Completion (Date)	
COMPONENT 1	Planned	CS-1/Comp1	GEF	Agro-biodiversity & traditional knowledge desk and field inventories and macro enterprise assessment report		Price	Firm	CQS																	
	Revised								11/30/2010	01/14/2011	NA	NA	NA	NA	NA	NA	NA	NA	01/29/2011					07/28/2011	
	Actual								01/01/2012	02/15/2012		02/22/2012	02/22/2012			03/01/2012		03/15/2012	03/15/2012					09/11/2012	
	Planned	CS-2/Comp1	GEF	Lab Analysis and validation to select varieties/landraces to characterize and eliminate duplicates -in institutions with laboratory analysis. Selection of landraces for field experiment (1.2.1 & 1.2.2 to be done together) Lab Analysis and field tests of selected landraces: for germination, growth-methodology; identify and train farmers and carry out field trials.		Price	Firm	SSS*																	
	Revised									01/09/2011	NA	NA	NA	NA	NA	NA	NA	NA	01/24/2011					07/23/2011	
	Actual									01/01/2012		01/07/2012				01/10/2012		01/21/2012	01/24/2012					07/22/2012	
	Planned	CS-3/Comp2	GEF	Assessment of historic climate data		Price	Individual	SSS*																	
	Revised									12/20/2010	NA	NA	NA	NA	NA	NA	NA	NA	04-Jan-11					08/28/2012	
	Actual									01/31/2012		02/05/2012				02/08/2012		02/27/2012	03/01/2012					06/28/1900	
	Planned	CS-4/comp2	GEF	Data collection and building pilot database + Computer, server, software, modems, printers and other accessories for CS to be established in CAMA with linkages to.		Post	Firm	CQS																	
	Revised								01/29/2010	01/13/2011	NA	NA	NA	NA	NA	NA	NA	NA	01/23/2011					09/20/2011	
	Actual								11/29/2010	01/15/2012		01/22/2012	01/22/2012						02/14/2012					10/11/2012	
	Planned	CS-5/Comp2	GEF	Institutional capacity assessment & data transparency & sharing policy - report and MOU on data sharing		Price	Individual	IC																	
	Revised								11/25/2010	01/09/2011	NA	NA	NA	NA	NA	NA	NA	NA	01/19/2011					07/18/2011	
	Actual								01/15/2012	01/30/2012		02/05/2012	02/05/2012			02/08/2012		02/25/2012	02/29/2012					08/27/2012	
	Planned	CS-7/Comp3	GEF	Income Generation for a number of different fields - multiple contracts		Post	Firm	CQS																	
	Revised								11/19/2010	01/03/2011	NA	NA	NA	NA	NA	NA	NA	NA	01/13/2011					11/09/2011	
	Actual								11/19/2012	01/03/2013									01/13/2013					11/09/2013	
	Planned	CS-8a/Comp3	GEF	Technical expert to deal with climate change and natural resources management including M&E (12months / time based contract)		Price	Individual	IC																	
	Revised								10/05/2010	11/19/2010	NA	NA	NA	NA	NA	NA	NA	NA	11/29/2010						
	Actual		GEF						10/05/2012	11/19/2012									11/29/2012						
	Planned	CS-9/Comp3	GEF	National Technical Specialist on climate change (24 months / time based contract)		Price	Individual	IC																	
	Revised								11/14/2010	12/29/2010	NA	NA	NA	NA	NA	NA	NA	NA	01/08/2011						
	Actual		GEF						11/14/2012	12/29/2012		12/31/2012	12/31/2012					01/08/2013	01/08/2013						
	Planned	CS-10/Comp3	GEF	Socobiogist (20 months / time based contract)		Price	Individual	IC																	
	Revised								11/14/2010	12/29/2010	NA	NA	NA	NA	NA	NA	NA	NA	01/08/2011						
	Actual								01/25/2012	03/10/2012		03/12/2012	03/12/2012					03/20/2012	03/20/2012						

* SSS shall be used only after clearance from the Bank for each individual case

Capacity Building Activities

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CAPACITY BUILDING

SL No.	Expected Outcome/ Activity Description	Estimated Cost US\$ (million)	Source of Fund	Expenditure Incurred to date
CAPACITY BUILDING				
COMPONENT 1				
1	Training for molecular analysis		GEF	
2	Training for field inventory		GEF	
3	Farmer orientation		GEF	
Total COMPONENT 1				
COMPONENT 2				
1	Training for data collection		GEF	
3	Masters level program		GEF	
4	Customized training for technical staff for line agencies; sharing of climate information		GEF	
Total for COMPONENT 2				
- Component 3				
1	Training for senior governmental officials (5)		GEF	
3	Strengthening farmers' networks		GEF	USD 18,320
4	Farmer training		GEF	
5	Community planning		GEF	
6	Field demonstration and farmers' training		GEF	
7	Stakeholder consultations (10)		GEF	

NB: Procurement of works, goods, non-consulting services and constant services will follow agreed procurement procedures