

Procurement Plan

I. General

1. Project Information

Project Name: Agro-Biodiversity and Climate Adaptation Project / Japan Social Fund for Development (JSDF) Piloting Coping Strategies for Rainfed Farmers Project

Country: YEMEN

Project ID: P103922

Loan/Credit Numbers: Grant TF098754

2. Bank's approval date of Procurement Plan

Original:  
Revision 1: 11/10/2011

Revision 2: December 26, 2012

3. Date of General Procurement Notice

II. Goods, Work and Non-Consulting Services Thresholds

**Prior Review Threshold.** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

1. [Thresholds for applicable procurement methods (not limited to the list below) will be determined by the Procurement Specialist /Procurement Accredited Staff based on the assessment of the implementing agency's capacity.]

1a. Procurement Category	Prior Review Threshold (USD)	Comments
Goods	≥ 500,000	All plus first contract irrespective of value
Works	≥ 2,000,000	All
Non-Consultant Services	≥ 50,000	All plus first contract irrespective of value

1b. Procurement Method	Procurement Method Threshold (USD)	Comments
ICB (Goods)	≥ 500,000	
NCB (Goods)	< 500,000	
Shopping (Goods)	< 50,000	
Shopping (works)	< 50,000	
ICB (Works)	≥ 2,000,000	
NCB (Works)	< 2,000,000	
CDD	< 10,000	

2. **Prequalification.** Bidders for Works & Goods shall be prequalified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.

3. **Proposed Procedures for CDD Components** (as per paragraph 3.17 of the Guidelines): Refer to the relevant CDD project implementation document approved by the Bank

4. **Reference to (if any) Project Operational/Procurement Manual:**

5. **Any Other Special Procurement Arrangements:** [including advance procurement and retroactive financing, if applicable]

6. **Procurement Packages with Methods and Time Schedule:** See attached "Goods and Works" sheet

III. Selection of Consultants

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

1a. Procurement Category	Prior Review Threshold (USD)	Comments
Consulting Firms (Competitive)	≥ 200,000	All
Consulting Firms (CQS) & Least Cost Selection (LCS)		First contract under each method of selection
Consulting Firms (Single Source)	< 100,000	All Contracts
Individual Consultants (Competitive)	> 100,000	All plus first contract irrespective of value
Individual Consultants (Single Source)	< 50,000	All Contracts

1b. Procurement Method	Procurement Method Threshold (USD)	Comments
QCBS		
LCS	< 200,000	
CQS	< 200,000	
Consulting Firms (Single Source)	< 100,000	To be used if it meets with the requirements of paragraph 3.9 of the Consultant Guidelines for Single Source Selection, with the provisions of paragraphs 3.8 through 3.11 of the Consultant Guidelines.
Individual Consultants (Competitive)	< 100,000	To be used if it meets with the requirements set forth in paragraphs 5.1 through 5.5 of the Consultant Guidelines.
Individual Consultants (Single Source)	< 50,000	To be used if it meets with the requirements set forth in paragraph 5.6 of the Consultant Guidelines.

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 200,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

Note: OPCPR list of ceilings can be found here: <http://go.worldbank.org/MKXQ98RY40>

3. **Any Other Special Selection Arrangements:** [including advance procurement and retroactive financing, if applicable]

4. **Consultancy Assignments with Selection Methods and Time Schedule:** See attached "Consulting Services" sheet

IV. **Implementing Agency Capacity Building Activities with Time Schedule:** See attached "Capacity Building" sheet

Procurement Plan for Goods/Works/Non-Consulting Services

YEMEN P103922: Agro-Biodiversity and Climate Adaptation Project / Japan Social Fund for Development (JSDF) Piloting Coping Strategies for Rainfed Farmers Project Grant TF098754

\*\* Applicable in case of Bank's prior review

	COMPONENT	SL No.	Package/ Reference No.	Description of Goods	Goods/ Works/ NCS	Estimated Cost and Date of Estimate	Currency of Estimated Cost	Review by Bank (Prior/ Post)	Method of Selection	Preparation of Bid Document (Date)	Bank's No Objection to Bidding Document (Date)**	Bid Invitation (Date)	Bid Closing (Date)	Bid Opening (Date)	Contract Award Decision (Date)	Bank's No Objection to Bid Evaluation Report and	Contract Signed (Date)	Contract Value	Contract Currency	Contract No.	Name, City, and Country of Contracto	Completion of Contract (Date)	Expenditure incurred to Date	Comments					
Planned	Component I	1	ACAP/Piloting / G/1	Training materials for Workshop (500x20)	Goods			Post	NS	15-Mar-12	NA	NA	NA	NA	NA	NA	30-Mar-12					14-May-12							
Revised								NS	5-Jan-13	NA	10-Jan-13	24-Jan-13	24-Jan-13	31-Jan-13	NA	7-Feb-13											28-Feb-13		
Actual																													
Planned	Component II	2	ACAP/Piloting / G/2	Marketing materials	Goods			Post	NS	14-May-12	NA	NA	NA	NA	NA	NA	29-May-12					13-Jul-12							
Revised								NS	5-Jan-13	NA	10-Jan-13	24-Jan-13	24-Jan-13	31-Jan-13	NA	7-Feb-13											28-Feb-13		
Actual																													
Planned	Component III	3	ACAP/Piloting / G/3	Camera, camcorder (for documentation)	Goods			Post	NS	1-Mar-12	NA	NA	NA	NA	NA	NA	16-Mar-12					30-Apr-12							
Revised								NS	5-Jan-13	NA	10-Jan-13	24-Jan-13	24-Jan-13	31-Jan-13	NA	7-Feb-13											28-Feb-13		
Actual																													
Planned	Component IV	4	ACAP/Piloting / G/4	Goods for demonstration teams (seeds, equipment, etc.)	Goods			Post	NS	15-Mar-12	NA	NA	NA	NA	NA	NA	30-Mar-12					14-May-12							
Revised								NS	5-Jan-13	NA	10-Jan-13	24-Jan-13	24-Jan-13	31-Jan-13	NA	7-Feb-13											28-Feb-13		
Actual																													
Planned	Component IV	5	ACAP/Piloting / G/5	Booklets and other print-outs	Goods			Post	NS	1-Jun-12	NA	NA	NA	NA	NA	NA	16-Jun-12					31-Jul-12							
Revised								NS	5-Jan-13	NA	12-Jan-13	27-Jan-13	27-Jan-13	10-Feb-13	NA	17-Feb-13											3-Apr-13		
Actual																													
Planned	Component V	6	ACAP/Piloting / G/6	Office supplies / 4 computers, printer, Communications	Goods			Post	NS	5-Jan-13	NA	12-Jan-13	27-Jan-13	27-Jan-13	10-Feb-13	NA	17-Feb-13					3-Apr-13							
Revised																													
Actual																													
Planned	Component V	7	ACAP/Piloting / G/7	leasing vehicles 4 facilitation teams (in 4 Governorates)	Goods			Post	NS	15-Mar-12	NA	NA	NA	NA	NA	NA	30-Mar-12					28-Feb-14							
Revised								NS	15-Jan-13	NA	NA	NA	NA	NA	NA	NA	30-Jan-13										15-May-14		
Actual																													
Planned	Component II	8	ACAP/Piloting / G/8	Sub grants (individual projects)	Goods			Post	CDD	15-Mar-12	NA	NA	NA	NA	NA	NA	30-Mar-12					7-Aug-14							
Revised								CDD	16-Jun-13	NA	23-Jun-13	7-Jul-13	7-Jul-13	14-Jul-13	NA	29-Jul-13											15-May-14		
Actual																													
Planned	Component II	9	ACAP/Piloting / G/9	Sub grants (community level projects)	Goods			Post	CDD	15-Mar-12	NA	NA	NA	NA	NA	NA	30-Mar-12					16-Sep-14							
Revised								CDD	16-Jun-13	NA	23-Jun-13	7-Jul-13	7-Jul-13	14-Jul-13	NA	29-Jul-13											25-May-14		
Actual																													
Planned	Component III	10	ACAP/Piloting / W/1	Upgrading terraces many contracts	Works			Post	CDD	1-Mar-12	NA	NA	NA	NA	NA	NA	NA					18-Aug-14							
Revised								CDD	16-Jun-13	NA	23-Jun-13	7-Jul-13	7-Jul-13	14-Jul-13	NA	21-Jul-13											16-Jun-14		
Actual																													
Planned	Component III	11	ACAP/Piloting / W/2	Water storages - many contracts	Works			Post	CDD	1-Mar-12	NA	NA	NA	NA	NA	NA	NA					30-Jan-14							
Revised								CDD	16-Jun-13	NA	23-Jun-13	7-Jul-13	7-Jul-13	14-Jul-13	NA	21-Jul-13											16-Jun-14		
Actual																													
Planned	Component III	12	ACAP/Piloting / W/3	Soil conservation - many contracts	Works			Post	CDD	1-Mar-12	NA	NA	NA	NA	NA	NA	NA					30-Jan-14							
Revised								CDD	16-Jun-13	NA	23-Jun-13	7-Jul-13	7-Jul-13	14-Jul-13	NA	21-Jul-13											16-Jun-14		
Actual																													
Planned	Component III	13	ACAP/Piloting / W/4	Small flood protection - many contracts	Works			Post	CDD	1-Mar-12	NA	NA	NA	NA	NA	NA	NA					30-Jan-14							
Revised								CDD	16-Jun-13	NA	23-Jun-13	7-Jul-13	7-Jul-13	14-Jul-13	NA	21-Jul-13											16-Jun-14		
Actual																													

0

Works 433,000  
 Goods 0  
 CS 0  
 T #REF!  
 #REF!

Procurement Plan for Consultant Services

YEMEN P103922: Agro-Biodiversity and Climate Adaptation Project / Japan Social Fund for Development (JSDF)Piloting Coping Strategies for Rainfed Farmers Project Grant TF098754

\*\* Applicable in case of Bank's prior review

In some contracts, one or more of these approvals may be contained in one Bank communication.

	COMPONENT	SL No.	Package/ Reference No.	Description of Services	Estimated Cost	Currency of Estimated Cost	Review by Bank (Prior/ Post)	Type of Consultant (Firm/ Individual)	Method of Selection	Advertising for Short listing (Date)	TOR/Shortlist to be Finalised (Date)	RFP Final Draft to be forwarded to the Bank (Date)	No Objection from Bank for TOR (Date)**	No Objection from Bank for Shortlist (Date)**	No Objection from Bank for Final RFP (Date)**	RFP Issued (Date)	Proposal Submission Deadline (Date)	No Objection by the Bank to the Technical Evaluation Report (Date)**	No Objection by the Bank (Technical/ #Combined/ Draft Contract/ Final Contract)	Contract Signed (Date)	Contract Value	Contract Currency	Contract No.	Name, City, and Country of Contractor (incl. Zip Code if US)	Services Completion (Date)	Expenses Incurred to Date	Comments				
Planned	Component I	1	ACAP/Piloting /CS/1	Gender Specialist (10 Weeks)			Post	Individual	IC	1-Aug-12	31-Aug-12										30-Sep-12					29-Mar-13		2 missions			
Revised							Prior	Individual	IC	NA	1-Jan-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	15-Jan-13	31-Jan-13						10-Apr-14
Actual																															
Planned	Component I	2	ACAP/Piloting /CS/2	Agrobiodiversity Specialist (12 months )			Post	Individual	IC	5-Jun-12	5-Jul-12															4-Aug-12		2 missions			
Revised							Prior	Individual	IC	NA	1-Jan-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	16-Jan-13	23-Jan-13						10-Apr-14
Actual																															
Planned	Component II	3	ACAP/Piloting /CS/3	Technical Specialists to deal with Small Income Generation Projects (8 months )			Post	Individual	IC		5/10/2012															6/4/2012		2 missions			
Revised							Prior	Individual	IC	NA	1-Jan-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	16-Jan-13	16-Jan-13						10-Apr-14
Actual																															
Planned	Component II	4	ACAP/Piloting /CS/4	Marketing specialist for Establishment of Small Income Generation Projects (30 weeks)			Post	Individual	IC	3/20/2012	3/20/2012															5/19/2012					
Revised							Prior	Individual	IC	NA	1-Jan-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	16-Jan-13	16-Jan-13						10-Apr-14
Actual																															
Planned	Component III	5	ACAP/Piloting /CS/5	Baseline study for establishment of Small Income Generation Projects			Prior	Firm	CQ	4/1/2012	5/16/2012															6/15/2012					
Revised							Prior	Individual	IC	NA	1-Jan-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	16-Jan-13	16-Jan-13						30-Jun-14
Actual																															
Planned	Component III	6	ACAP/Piloting /CS/6	Technical Specialists to deal with Community Small-Scale Upgrading and Capacity Building			Prior	Individual	IC	4/15/2012	5/30/2012															6/9/2012					
Revised							Prior	Individual	IC	NA	1-Jan-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	16-Jan-13	16-Jan-13						2/24/2015
Actual																															
Planned	Component III	7	ACAP/Piloting /CS/7	Feasibility Studies (25 studies )			Post	Firm	CQS	4/15/2012	5/30/2012															6/9/2012					
Revised							Prior	Individual	IC	NA	1-Jan-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	16-Jan-13	16-Jan-13						15-Jun-14
Actual																															
Planned	Component IV	8	ACAP/Piloting /CS/8	Facilitation teams (9 MONTHS )			Prior	Firm	CQS	8/1/2012	9/15/2012															9/25/2012					
Revised							Prior	Firm	CQS		1-Feb-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	16-Feb-13	16-Feb-13						10/30/2013
Actual																															
Planned	Component V	9	ACAP/Piloting /CS/9	Audit for Project Management and Monitoring and Evaluation***			Prior	Firm	SSS	3/1/2012	4/15/2012															6/30/2012					
Revised							Prior	Firm	LCS	NA	1-Jan-13	NA	8-Jan-13	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	22-Jan-13	21-Feb-13						3/15/2015
Actual																															

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SSS will be used only after clearance of IA on each case

\*\*\* Selection will be done by COCA

## Procurement Plan for Capacity Building Activities

YEMEN P103922: Agro-Biodiversity and Climate Adaptation Project / Japan Social Fund for Development (JSDF)Piloting Coping Strategies for Rainfed Farmers Project Grant TF098754

Component	Expected Outcome/ Activity Description	No. of the training programs (all Grant period) (no)	No. of the training program during 18 months (no)	duration (days) for one program	Estimation cost (US\$)	Start date	Completion date	Comments (trainers)
Component I	management planning (4 prog/ district)	32	16	5		10May 2013	15 Aug. 2014	Socio, tech, Agro
Component I	Workshop (2 workshop/district)	16	8	5		15 Aug2013	30 June, 2014	Socio, tech, Agro
Component II	training program in agrobiodiversity plans and marketing(3 prog/ district)	24	12	10		1July2013	20 Sep.2014	Socio, Agro, and Mark.
Component III	Up-grading community skills (4 prog/ district)	32	16	5		15-Apr-13	1 Aug. 2014	Socio, Agro, Mark. And tech.
Component IV	Public Awareness (5 prog/ district)	40	20	5		15-Apr-13	15 Sep.2014	Socio, Agro, Mark. And tech.
	Total							