

**National Agency for Social Protection  
Innovative Social Protection System for  
Inclusion of Vulnerable People  
("INSON") Project  
(P504420)**

**for negotiations**

**ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**March 25, 2024**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of Uzbekistan (the Borrower) is implementing the Innovative Social Protection System for Inclusion of Vulnerable People Project (“INSON” project) (P504420), with the involvement of the National Agency for Social Protection (NASP). The International Development Association (hereinafter the Bank) has agreed to provide financing for the Project.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Grant Agreement and the Project Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to the Project performance. In such circumstances, the Borrower through the National Agency for Social Protection and the Bank agrees to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the National Agency for Social Protection. The Parties shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health, and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of the grievance mechanism(s) in accordance with the Stakeholder Engagement Plan (SEP) as relevant.</p>	<p>Starting from the Effective Date, semi-annual and annual reports shall be submitted throughout the project implementation. Submit each report to the Bank no later than 15 days after the end of each reporting period.</p>	NASP
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Bank of any incident or accident related to the Project that has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public, or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injuries, damage to the property of an individual or community, discrimination (e.g. discrimination against women, youth, persons with disabilities, and minority groups), exclusion of vulnerable or disadvantaged individuals or groups, marginalization and working conditions, child labor, forced labor. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Bank's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident and no later than 24 hours after learning of severe incidents or accidents such as fatalities, SEA/SH allegations, etc.</p> <p>Provide subsequent reports to the Bank within a maximum of 5 working days after learning of the incident or accident.</p>	NASP
C	<p><b>CONTRACTORS' MONTHLY REPORTS</b></p> <p>Require contractors and supervising firms to provide monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Bank.</p>	<p>Submit the semiannual and annual reports to the NASP/Bank upon request as annexes to the reports to be submitted under action A above.</p>	NASP
<p><b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b> preparation and implementation of Environmental and social (E&amp;S) instruments required under the ESCP, Environmental and Social Management Framework (ESMF), Labor Management Procedures (LMP) and stakeholder engagement activities, and functioning</p>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>A Project Implementation Team (PIT) will be established in NASP under the direct supervision of the Project Director. The PIT will be responsible for performing key management functions for the project, including coordination, fiduciary, monitoring and evaluation (M&amp;E), social and environmental standards, management, and reporting functions. Given the strong technical leadership during the project preparation process from the NASP management as well as technical staff, selected NASP staff will be appointed to perform specific project-related tasks as part of the PIT through a NASP internal order. The PIT will also include consultants hired under the project to carry out the coordination, fiduciary, environmental, social, and M&amp;E reporting functions. PIT members to be hired under the project include a project coordinator, a procurement specialist, an FM specialist, a health and safety specialist, a social specialist, a research analyst to support monitoring functions of the research institute, and a rehabilitation expert to strengthen NASP capacity in this area. The technical leads of the components will be designated NASP staff. In addition, technical specialists will be recruited to work under relevant NASP departments to support the project implementation.</p>	<p>A PIT with terms of reference acceptable to the World Bank will be hired within 1 month after the project's effectiveness.</p> <p>After this, it is supported throughout the project implementation.</p>	NASP
1.2	<p><b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p> <p>Prepare and implement an Environmental and Social Management Framework (ESMF) including a Pest Management Plan (PMP) for the project, consistent with relevant ESSs.</p>	<p>Prepare the ESMF no later than 8 April 2024, and thereafter implement the ESMF throughout Project implementation.</p>	NASP
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&amp;S instruments, the Labor Management Procedures, and the code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>Throughout Project implementation.</p>	NASP
1.4	<p><b>TECHNICAL ASSISTANCE</b></p> <p>The terms of reference for studies, capacity building and any other technical assistance provided under the Project are carried out in accordance with terms of reference acceptable to the World Bank that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	<p>Throughout Project implementation.</p>	NASP

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Prepare and implement the Labor Management Procedures (LMP) for the Project as a part of the ESMF, including, inter alia, provisions on working conditions, management of workers relationships, occupational health, and safety (including personal protective equipment, emergency preparedness, and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for PIU.</p>	Same timeframe as p. 1.2	NASP
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2, and maintained and operated for the Project, in a manner acceptable to the Bank.</p>	Establish a grievance mechanism prior to engaging Project workers, and thereafter maintain and operate it throughout the Project implementation period.	NASP/PIT
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>WASTE MANAGEMENT PLAN</b></p> <p>Prepare and implement a Waste Management Plan (WMP) as a part of the ESMF acceptable to the Bank, including, inter alia, provisions on the proper dismantling, storage, handling, and final disposal of waste in accordance with internationally accepted practice and monitoring of the types/quantities of electrical and electronic equipment disposed of and documentation of proper management (e.g. recycling, refurbishment, disposal, export).</p>	Same timeframe as for p. 1.2	NASP/PIT
3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Incorporate resource efficiency and pollution prevention and management measures in the ESMF to be prepared under action 3.1 above.</p>	Same timeframe as for p. 1.2	NASP/PIT
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>COMMUNITY HEALTH AND SAFETY</b></p>	Same timeframe as for p. 1.2	NASP/PIT

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Assess and manage specific risks and impacts to the community arising from Project activities including, inter alia communicable diseases (e.g. coronavirus, influenza,), accidents and other emergencies (e.g., fire response or natural disaster), abuse by project workers, risk of Labor influx and include mitigation measures in the ESMF.		
4.2	<p><b>SEA AND SH RISKS</b></p> <p>Adopt and implement measures to assess and manage GBV/SEA/SH risks in line with the Community Health and Safety Management Measures included in the ESMF.</p>	Same timeframe as for p. 1.2	NASP
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.	<p><b>RESETTLEMENT POLICY FRAMEWORK</b></p> <p>ESS5 is not applicable as the project activities will not require land and result in any access restrictions. No physical investments are envisaged under the project.</p>	N/A	N/A
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.	<p><b>BIODIVERSITY RISKS AND IMPACTS</b></p> <p>ESS6 is currently not relevant given the project does not involve any activities that would potentially affect biodiversity or habitats, either positively or negatively, directly or indirectly, or depend on biodiversity to achieve the project objectives.</p>	N/A	N/A
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.	<p><b>INDIGENOUS PEOPLES PLANNING FRAMEWORK</b></p> <p>ESS7 is not relevant as there are no IP/SSAHUTLCs in Uzbekistan as per the standard definition of ESS7.</p>	N/A	N/A
<b>ESS 8: CULTURAL HERITAGE</b>			
8.	<p><b>CULTURAL HERITAGE RISKS AND IMPACTS</b></p> <p>ESS8 is not applicable since the project does not finance any physical investments that may affect cultural heritage, both tangible and intangible. Similarly, the project will not finance feasibility and design studies with downstream impacts on cultural heritage.</p>	N/A	N/A

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
9.	<p><b>ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM (ESMS)</b></p> <p>ESS9 is not applicable since the project does not finance and/or involve financial intermediation activities.</p>	N/A	N/A
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.</p>	Adopt and disclose the SEP before appraisal, and thereafter implement throughout Project implementation.	NASP
10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>In accordance with the SEP, establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate the resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS 10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish the GM prior to the commencement of project activities and thereafter maintain and operate the mechanism throughout Project implementation.	NASP
<b>CAPACITY SUPPORT</b>			
	<p><b>Training topics for personnel involved in Project implementation shall among others include:</b></p> <ul style="list-style-type: none"> <li>• ESS training for all the staff of PIU</li> <li>• Labor Management Procedures</li> <li>• Electronic Waste Management Check-list</li> <li>• Conduction of Stakeholder Workshops</li> </ul>	Throughout Project implementation.	NASP/Bank

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)-Innovative social protection system for inclusion of vulnerable people project

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<ul style="list-style-type: none"> <li>• Reporting and Documentation</li> <li>• Handling workers' and beneficiaries' Grievances</li> <li>• Special thematic training in GBV/SEA/SH, Code of Conduct</li> </ul>		