

**INTEGRATED SAFEGUARDS DATA SHEET
APPRAISAL STAGE**

Report No.: ISDSA12988

Date ISDS Prepared/Updated: 07-Apr-2015

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I. BASIC INFORMATION

1. Basic Project Data

Country:	Mali	Project ID:	P151318
Project Name:	Higher Education Support Project (P151318)		
Task Team Leader(s):	Pierre Joseph Kamano		
Estimated Appraisal Date:	26-Jan-2015	Estimated Board Date:	07-May-2015
Managing Unit:	GEDDR	Lending Instrument:	Investment Project Financing
Sector(s):	Tertiary education (70%), Vocational training (30%)		
Theme(s):	Education for the knowledge economy (80%), Other human development (20%)		
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	33.00	Total Bank Financing:	33.00
Financing Gap:	0.00		
Financing Source			Amount
BORROWER/RECIPIENT			0.00
International Development Association (IDA)			14.00
IDA Grant			19.00
Total			33.00
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Development Objective(s)

The development objective of the proposed Mali Higher Education Support Project (HESP) is to improve the relevance of selected higher education programs and the stewardship of the higher education system in Mali.

3. Project Description

The proposed project will support the Government's ongoing and planned higher education reforms to enhance the capacity of higher education institutions (HEIs) in Mali to produce high quality graduates with skills aligned to labor market needs. The HESP will do so by improving the governance framework for higher education, while concurrently supporting direct improvements in selected programs and institutions. The project will achieve the governance objective through a pragmatic approach, supporting ongoing institutional reforms aimed at conferring more autonomy to HEIs while strengthening the planning, oversight, and information management of the Ministry of Higher Education and Scientific Research (MoHE) (autonomy with accountability). This approach will be implemented in a two-pronged fashion. On the one hand, it will entail supporting stronger stewardship of the MoHE through strengthened quality assurance, monitoring and evaluation (M&E) and the coordination functions of systems and bodies. On the other hand, it will entail supporting the implementation of measures to improve governance in selected institutions based on best practices with the potential for replication and scaling up in other institutions.

By contributing to the progressive improvement of the sector's governance framework, the project aims to improve the relevance of programs through direct support to the implementation of a set of new (and/or upgraded) labor market-oriented academic programs and the introduction of innovative financing mechanisms (performance-based financing - PBF) through Disbursement Linked Indicators (DLIs) linked to results. The DLI approach (further illustrated below) is expected to contribute to the establishment of a more efficient financing structure for the sector.

In sum, the Project will: (i) support the development and implementation of labor market oriented programs in selected HEIs through quality enhancing measures and better governance of the HEIs (including management and financing); and (ii) strengthen the capacity of the higher education system to better plan and implement higher education reforms, monitor the quality and relevance of programs, provide relevant data for policy decisions and coordinate the system's key stakeholders. The project will undertake these activities through three components: Component 1: Support to Selected Public HEIs; Component 2: Higher Education System Support; and Component 3: Project Management and Technical Assistance. These three components will be implemented using a two-pronged disbursement mechanism: DLIs for sub-Component 1.1 to support the implementation of performance contracts with HEIs and to directly support the implementation of activities aimed at improving governance, quality, and relevance of programs. Traditional expense-based disbursement will be used for Sub-components 1.2 and 1.3 as well as for Components 2 and 3.

Component 1: Support to Selected Public Higher Education Institutions (HEIs) (US\$22 million)
This component will improve the relevance of selected higher education programs, while also contributing to improving stewardship, by supporting governance improvements and quality related interventions in selected existing HEIs with strong commitment to reform and supporting a new institution offering programs in agriculture, livestock, mining and technology. It is composed of three sub-components: Sub-Component 1.1: Support to selected existing public HEIs; Sub-Component 1.2: Support to the University of Segou's livestock department; and Sub-Component 1.3: Support to the development of the new Bamako Africa School of Mines.

Sub-Component 1.1: Support to selected existing public HEIs (US\$16 million). This sub-component will support, at most, four selected existing institutions, namely: The Rural Polytechnic Institute of Katibougou (Institut Polytechnique Rural de Katibougou-IPRK) focusing on agriculture, research and outreach; the University of Segou (Université de Ségou-UOS) focusing on livestock, and agriculture programs with a component on water management and irrigation; the University of

Science and Technology (Université des Sciences, Techniques et Technologies de Bamako-USTTB) providing training in applied sciences to support other sectors; and the National School of Engineering (Ecole Nationale d'Ingénieurs-ENI) dealing with engineering programs including mining.

Sub-Component 1.2: Support to the University of Segou's livestock department (US\$4 million). Unlike the three other HEIs due to benefit from the HESP (which are already established and have facilities that will require upgrading), the UOS, the first public regional university in Mali, is still in the process of development and will require greater support for the delivery of programs. Specifically, this sub-component will support the development of a new livestock and animal health department at UOS through the construction and equipping of new teaching facilities on existing land owned by the university.

Sub-Component 1.3: Support to the development of the new Bamako Africa School of Mines (US\$2 million). The government has legally established a new Bamako -Africa School of Mines to more effectively address the intermediate and higher skills gaps inhibiting the further development of the country's mining sector, and is exploring opportunities for the participation of the private sector in the establishment of the school. Some mining companies operating in Mali have already shown interest in this regard, with Randgold allocating US\$1 million towards the purchase of land for the school and the financing of consultation processes. Towards these ends, the government has requested Bank support to develop a business model for the new school incorporating a Public Private Partnership (PPP) framework. Following the development of this framework, the government and the Bank will assess the development process, phases for the establishment of the school, and financing options (including PPPs, other international partners, and, if needed, additional financing). Further steps will be defined following the completion of these studies in coordination with the Ministry of Mines.

Component 2: Higher Education System Support (US\$8 million)

This component aims to improve the stewardship of the higher education system in Mali by strengthening the Government's capacity to: (i) articulate and implement reforms; (ii) play an overall oversight and regulatory role, including for the private sector; and (iii) manage information. This component will support implementation of the MoHE reform package and its Operational Plan, including contingent laws and decrees, to ensure that: (a) the roles and responsibilities of the MoHE are focused and capacitated for policy formulation, quality assurance (QA), M&E, and the promotion of improved performance in HEIs; and (b) universities and other tertiary institutions are empowered with greater autonomy with regard to student admissions, teacher recruitment and budget management. In order to strengthen these functions of the MoHE, the National Directorate of Higher Education and Scientific Research (DNESR) tasked with monitoring the implementation of the national strategy on higher education will be strengthened by financing the building of new premises, and the procurement of new office furniture, information technology (IT) equipment and the provision of staff training.

Component 3: Project Management and Technical Assistance (US\$3.0 million)

Component 3 will fund activities with project management, and associated TA, with regard to fiduciary issues, as well as studies and analytical work. The project will finance studies contributing to the improvement of M&E in the higher education sector as well as the design of a new sector plan integrating a financing system that incentivizes a more competitive higher education system. Financed studies will include: background studies towards the development of the sector program (financed under Component 2); annual higher education reports; a study of potential financing

mechanisms; and an assessment of the implementation of new programs, including those developed with the support of ECOWAS. The Project Implementation Unit (PIU) will be responsible for the daily management, implementation, administration, project coordination, and M&E of the project.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The project sites will be located in Bamako, Katibougou, and Segou cities. There are no specific physical characteristics of safeguard concerned in the project sites or nearby.

5. Environmental and Social Safeguards Specialists

Maman-Sani Issa (GENDR)

Salamata Bal (GSURR)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	Though the envisioned civil works (construction and rehabilitation of facilities) will take place in delineated, even fenced premises/land, owned by the University of Segou and the MoHE (for the DNEER) even temporary risks and nuisances may occur. Given the expected low significance of the said potential adverse effects, the Environmental and Social Management Framework (ESMF) of the ongoing Emergency Education Project has been updated for use during the implementation. This document has been reviewed, consulted upon and disclosed in-country on March 30, 2015 and in the Bank's Infoshop on April 2, 2015.
Natural Habitats OP/BP 4.04	No	The project will not finance activity related to natural habitat exploitation or that will threaten such ecosystem.
Forests OP/BP 4.36	No	The project will not finance activity linked to forest exploitation.
Pest Management OP 4.09	No	The project will not finance activity intended to purchasing, storing or distributing pesticides or any other chemical or harmful product.
Physical Cultural Resources OP/BP 4.11	No	The project activities will not be implemented in known historical area. However, the chance find procedure as described in the ESMF will be implemented if such matter arises.
Indigenous Peoples OP/ BP 4.10	No	There are no indigenous people in the project implementation areas.
Involuntary Resettlement OP/BP 4.12	Yes	The project will not finance any activity that induces land acquisition or economic displacement; relocation of persons or buildings because the civil works will be executed within the beneficiary institutions' facilities. The social impacts will be minor to negligible. However the existing Resettlement Policy Framework (RPF) of the Emergency Education Project has been updated reviewed, consulted upon, disclosed in-country at end March 2015

		and at the Bank Infoshop in early April 2015. The RPF will be used during implementation if such case arises.
Safety of Dams OP/BP 4.37	No	The project will not finance any activity related to dams.
Projects on International Waterways OP/BP 7.50	No	The project will not finance any activity located on international waterways or that necessitate the withdrawal of substantial quantity of water from such waterways.
Projects in Disputed Areas OP/BP 7.60	No	There are no disputed areas in the project location.

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

<p>1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:</p> <p>There are no significant or irreversible adverse impacts that are expected from the implementation of activities that will be financed under this project. Most of the adverse environmental and social impacts associated with these investments will be small-scale and site-specific. Hence, they will be manageable at an acceptable level.</p> <p>The project is classified as being EA category B and triggers two safeguards policies which are OP/PB 4.01 and OP/PB 4.12. To comply with these policies the existing ESMF of the ongoing Emergency Education Project has been updated for use during the implementation. It has been disclosed within the country and at the Bank's Infoshop, while updated RPF of this same ongoing project will be used during the implementation if such case arises.</p>
<p>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</p> <p>Given the nature of the activities and their potential adverse impacts that will be manageable at an acceptable level, no long-term impact due to project activities is foreseen.</p>
<p>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</p> <p>NA</p>
<p>4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.</p> <p>The Borrower has required safeguard instruments (ESMF and RPF) at its disposal; the ESMF of the on-going Emergency Education Project has been updated to suit the civil works (construction and rehabilitation of facilities) that will take place within the University of Segou and the Direction Nationale de l'Enseignement Supérieur.</p> <p>The updated ESMF has identified (a) when -activity specific environmental and social impact assessment (ESIAs) for all activities in the construction sites and the additional Environmental Management Plans (EMPs) are necessary before implementation; (b) procedures for conducting activity-specific ESIs, Limited Environmental Impact Assessments (LEIA) or Environmental and Social Management Plans (ESMP); (c) capacity strengthening and awareness raising campaigns targeting relevant stakeholder groups for better implementation and monitoring of project safeguard measures; and (d) establishment and implementation of a consultation</p>

framework for the environmental control and monitoring.
5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.
Consultations have been held with teachers, jobs seekers, students, HEI staff, entrepreneurs and public investors. In addition, other ministries were consulted. They are the Ministry Higher Education, the Ministry of Public Works, and the Ministry in charge of Environment, the Ministry of Economic and Finance. During the updating process, all the above stakeholders were consulted and their comments taken into account. Later, the Client will prepare for each construction site a focused specific Environmental and Social Impact Assessment (ESIA), an Environmental and Social Management Plan (ESMP) based on the procedure contained in the updated ESMF. Stakeholders will be consulted during the preparation of these specific ESIA's and ESMP's.

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	01-Apr-2015
Date of submission to InfoShop	02-Apr-2015
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	////
"In country" Disclosure	
<i>Comments:</i>	
Resettlement Action Plan/Framework/Policy Process	
Date of receipt by the Bank	01-Apr-2015
Date of submission to InfoShop	02-Apr-2015
"In country" Disclosure	
<i>Comments:</i>	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP/GP 4.01 - Environment Assessment	
Does the project require a stand-alone EA (including EMP) report?	Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] NA [<input type="checkbox"/>]
OP/BP 4.12 - Involuntary Resettlement	
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes [<input type="checkbox"/>] No [<input type="checkbox"/>] NA [<input checked="" type="checkbox"/>]
If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?	Yes [<input type="checkbox"/>] No [<input type="checkbox"/>] NA [<input checked="" type="checkbox"/>]
The World Bank Policy on Disclosure of Information	

Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]

III. APPROVALS

Task Team Leader(s):	Name: Pierre Joseph Kamano	
Approved By		
Practice Manager/ Manager:	Name: Peter Nicolas Materu (PMGR)	Date: 09-Apr-2015