



Concept Environmental and Social Review Summary

Concept Stage

(ESRS Concept Stage)

Date Prepared/Updated: 11/07/2023 | Report No: ESRSC03906



I. BASIC INFORMATION

A. Basic Operation Data

Operation ID	Product	Operation Acronym	Approval Fiscal Year
P181016	Investment Project Financing (IPF)	Gender Responsive PFM	2024
Operation Name	Gender Equality and National Development through Responsive PFM		
Country/Region Code	Beneficiary country/countries (borrower, recipient)	Region	Practice Area (Lead)
Indonesia	Indonesia	EAST ASIA AND PACIFIC	Governance
Borrower(s)	Implementing Agency(ies)	Estimated Appraisal Date	Estimated Board Date
The Republic of Indonesia	Ms. Lenny Rosalin		29-Feb-2024
Estimated Concept Review Date	Total Project Cost		
	918,750.00		

Proposed Development Objective

B. Is the operation being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?

No

C. Summary Description of Proposed Project Activities

[Description imported from the Concept Data Sheet in the Portal providing information about the key aspects and components/sub-components of the project]

The project will achieve its PDO through the following 3 components: I. Component I: Gender Responsive Planning, Budgeting, and Internal Audit. This component consists of 3 major activities, as follows: • Activity 1.1: Technical Assistant to the Ministry of Planning (Bappenas), the Ministry of Finance and the State Agency for Finance and Development (BPKP). • Activity 1.2: Capacity Building Activities for Central and Subnational Governments. • Activity 1.3: National Seminar. II. Component II: Technical Advisory by the MoWECP to Facilitate Gender Mainstreaming at Central and Subnational Government in 2024 and 2025. This component consists of 2 major activities: • Activity 2.1: Gender



Responsive PFM Technical Assistance by the MoWECP. • Activity 2.2: Gender Responsive Technical Assistance for State Budget Preparation. III. Component III: Management Support • Activity 3.1: Implementation Project Support. The grant will finance a management unit dedicated to implement the Gender Responsive PFM Work Program. • Activity 3.2: Establishment of Gender Database and Revision of Gender Mainstreaming Framework to Inform Gender Policy Making. All activities will take forms in training events and technical assistance modality.

D. Environmental and Social Overview

D.1 Overview of Environmental and Social Project Settings

[Description of key features relevant to the operation's environmental and social risks and opportunities (e.g., whether the project is nationwide or regional in scope, urban/rural, in an FCV context, presence of Indigenous Peoples or other minorities, involves associated facilities, high-biodiversity settings, etc.) – Max. character limit 2,000]

The overall project activities are classified as technical assistance (TA), which aims to strengthen existing gender mainstreaming in Public Financing Management (PFM) efforts at national and subnational levels. The project will consist of three main components: (i) capacity strengthening on gender responsive planning, budgeting, and internal audit at central and subnational governments level which includes workshops, trainings, and national seminar; (ii) technical advisory to facilitate gender mainstreaming at national and subnational governments which includes workshops; and (iii) management support which includes establishment of management unit to implement the Gender Responsive PFM Work Program and establishment of a gender database and revision to the existing gender mainstreaming framework.

No context-specific information pertaining to the salient geographic, environmental, and social characteristics is available during project preparation. There is no information yet on the specific locations of the project activities. Most of the upstream TA activities will have a national coverage but also occur at subnational level. No physical construction is anticipated, and no footprints are envisaged as a result of project activities. All activities are planned to be undertaken at existing offices, hotel, or training centers in urban areas. No land acquisition anticipated, and no Indigenous people will be negatively affected by the project. Overall environmental and social impacts is proposed to be low.

D.2 Overview of Borrower's Institutional Capacity for Managing Environmental and Social Risks and Impacts

[Description of Borrower's capacity (i.e., prior performance under the Safeguard Policies or ESF, experience applying E&S policies of IFIs, Environmental and social unit/staff already in place) and willingness to manage risks and impacts and of provisions planned or required to have capabilities in place, along with the needs for enhanced support to the Borrower – Max. character limit 2,000]

Implementing agency for this Project is the Ministry of Women Empowerment and Child Protection (MoWECP), that is the lead institution in the advancement of gender equality's policy and programs in Indonesia. MoWECP will be responsible for the implementation of the project, including overall coordination, results monitoring, and communication and reporting with the World Bank throughout the project cycle.

MoWECP will establish the Project Management Office (PMO) to implement, oversee, and coordinate activities under its premise. The PMO will be established under the Secretary Ministry unit. This PMO will also act as one of the Project Implementing Units (PIU) responsible for activities under the MoWECP. Other PIUs may include but are not limited to the Ministry of Planning Development (Bappenas), the Ministry of Finance (MoF), and the Ministry of Home Affairs (MoHA). National Audits Agency (BPKP) may also be implementing some activities related with internal financial audit.



There is no information on the prior involvement of MoWECP in the World Bank IPF Project. MoWECP has appointed one Environmental and Social (E&S) focal point to support project preparation. Several meetings have been conducted between the Bank and the focal point to develop ESCP for the project, indicates MoWECP commitment to E&S management measures. Considering these reasons, while familiarity with the World Bank’s ESSs is considered limited, there is a room for building the client's capacity on ESF implementation.

II. SCREENING OF POTENTIAL ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS

A. Environmental and Social Risk Classification (ESRC)

Low

A.1 Environmental Risk Rating

Low

[Summary of key factors contributing to risk rating, in accordance with the ES Directive and the Technical Note on Screening and Risk Classification under the ESF – Max. character limit 2,000]

The environmental risk rating is low given that the nature of overall project activities is classified as Technical Assistance (TA) Type 2 and 3 which will not support the preparation of future investment projects. TA will only inform plans and programs in the form of capacity strengthening and is expected to have no significant downstream environmental implications. The project will not finance TA in relation to physical works (e.g., development of any technical or engineering designs or feasibility studies related to the construction of buildings and/or infrastructure). No direct environmental risks are expected as the Project will be delivered at existing offices or training centers and no construction of new physical building or infrastructure will be involved. The project will not procure significant amount of substances that may have serious adverse effect to human health and/or harmful for the environment. Considering the low risk, no specific instrument needs to be prepared for managing environmental risk related to the project in addition to Environmental and Social Commitment Plan (ESCP). Term of References (TORs) for Consultants and TA activities will be reviewed to suggest inclusion of management measures to minimize environmental impacts where possible.

A.2 Social Risk Rating

Low

[Summary of key factors contributing to risk rating, in accordance with the ES Directive and the Technical Note on Screening and Risk Classification under the ESF – Max. character limit 2,000]

The social risk rating is low given the nature of overall project activities are limited to Technical Assistance (TA) Type 2 and Type 3, including assessment/ studies, workshops, knowledge exchange forums, trainings, and other capacity building and TA activities. Risk on labours, including civil servants and consultants hired by the project, and exclusion of vulnerable groups and interested groups of stakeholders would be addressed in the Environmental and Social Commitment Plan (ESCP). Provision of Grievance Redress Mechanism (GRM), both for the public and project’ workers, will be specified in ESCP. GRM for the project will be established and strengthened, using the existing MoWECP/PMO’s GRM, to provide channels and procedure for complaints capture, processing, resolution/ response, and recording. To streamline the social risks mitigation measure, all Term of References for consultants and TA activities will be reviewed by social focal point/ specialist. According to Project Information Note (PIN), no infrastructure investment/ physical construction is anticipated under this project. Downstream implications are expected to be rather positive for promoting social and gender inclusion.

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A.3 Sexual Exploitation and Abuse/Sexual Harassment (SEA/SH) Risk Rating

Low

[Summary of key factors contributing to risk rating. This attribute is only for the internal version of the download document and not a part of the disclosable version – Max. character limit 2,000]

The project activities are all TAs in nature, and it will be conducted in an office-setting in urban areas. MoWECP has internal programs to promote understanding of SEA/ VAC among their workers. MoWECP also has existing GRM system specific for SEA/ VAC incidents. We propose to strengthen the existing system as part of institutional capacity's building in this respective issue.

B. Relevance of Standards and Policies at Concept Stage

B.1 Relevance of Environmental and Social Standards

ESS1 - Assessment and Management of Environmental and Social Risks and Impacts

Relevant

[Optional Explanation - Max. character limit 1,000]

ESS1 applies to technical assistance supported by the Bank through IPF. The potential E&S risks and impacts of the Project could be considered low. The overall project activities are classified as TA Type 2 and 3 which will not support the preparation of future investment projects and only inform the plans and programs in the form of capacity strengthening. The Project is expected to have no significant direct environmental risk as the activities will be delivered in the form of study, workshops, and trainings at existing offices or training centers. No construction of new physical building or infrastructure will be involved. Procurement of goods will be limited to small number of laptops for the PIUs, which is expected to generate insignificant volume of hazardous waste during the project lifetime. Material measures and actions to address E&S risks and impacts of the Project will be documented in ESCP, which will also stipulate provision and operation of GRM.

ESS10 - Stakeholder Engagement and Information Disclosure

Relevant

[Optional Explanation - Max. character limit 1,000]

ESS10 is applicable for the operation. While the TA activities predominantly focusing on diagnostic and study exercises and capacity building for government agencies, e.g., MoWECP, BPKP, and BAPPENAS; external stakeholders might be interested with the project activities. They are including KOMNAS Perempuan (Commissions for Women’s Protection), women’s rights groups, academics and research centers (to mention a few, Faculty of Economy and Businesses of Universitas Indonesia, Department of Gender Studies in Universitas Indonesia, J-PAL, SMERU Institute), and NGOs, which should be identified and included as necessary by streamlining the process with Component 1 and Component 2 business process and activities. National seminars, workshops, and trainings in particular, would be vantage entry points to streamline ESS 10 to the project.

ESS2 - Labor and Working Conditions

Relevant

[Optional Explanation - Max. character limit 1,000]

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ESS 2 is relevant. Labour risk is anticipated to be low. The project workers are civil servants of the MoWECP and consultants hired for specific tasks to carry out analytical works, training, and other TA and capacity building activities. No OHS risks or child/forced labor risks are anticipated as the project will support no physical works. The civil servants follow the MoWECP's standard labor codes, and the consultants will be hired based on the Bank's standard procurement rules. Provision of GRM for workers will be stipulated in ESCP. Risk of discrimination or unfair treatment is considered low, which will be managed through relevant provisions in the ESCP.

ESS3 - Resource Efficiency and Pollution Prevention and Management Not Currently Relevant

[Optional Explanation - Max. character limit 1,000]

ESS3 is currently not relevant as the project activities are not expected to produce adverse impacts on human health and the environment. The volume of hazardous waste generated from the procurement of small number of laptop is expected to be insignificant during the project lifetime. The Project activities will also not involve any pesticide use and will not require significant amount of energy and water use.

ESS4 - Community Health and Safety Not Currently Relevant

[Optional Explanation - Max. character limit 1,000]

ESS 4 is not relevant to this project as there is no infrastructure construction nor activities related to/ in/ around communities are anticipated in this TA. All activities, under institutional capacity stream, are planned to be undertaken in government offices and/or partners.

ESS5 - Land Acquisition, Restrictions on Land Use and Involuntary Resettlement Not Currently Relevant

[Optional Explanation - Max. character limit 1,000]

ESS 5 is not relevant as there is no anticipated physical infrastructure/ project activities that requires land acquisition nor involuntary resettlement under this project.

ESS6 - Biodiversity Conservation and Sustainable Management of Living Natural Resources Not Currently Relevant

[Optional Explanation - Max. character limit 1,000]

ESS6 is currently not relevant given the project does not involve any activities that would potentially affect biodiversity or habitats, either positively or negatively, directly or indirectly, or depend on biodiversity to achieve the project objectives.

ESS7 - Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities Not Currently Relevant

[Optional Explanation - Max. character limit 1,000]

ESS 7 is not relevant, with tentative note on downstream impact of studies/ TA activities to IPs. Based on existing PIN, the project will support only TA activities without direct physical footprints, and the nature of the TA activities are not

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considered to directly and negatively affect the Indigenous People. However, further downstream impact from studies or outputs of analytical works will be examined during project implementation. ESCP will include this precaution point. No impact on their customary territories or access to natural resources is anticipated.

ESS8 - Cultural Heritage

Not Currently Relevant

[Optional Explanation - Max. character limit 1,000]

Based on existing PIN, there are no infrastructure construction activities anticipated, and no activities anticipated to affect cultural heritage. Thus, ESS 8 is not relevant to this TA.

ESS9 - Financial Intermediaries

Not Currently Relevant

[Optional Explanation - Max. character limit 1,000]

ESS9 is not applicable since the project does not finance and/or involve financial intermediation activities.

B.2 Legal Operational Policies that Apply

OP 7.50 Operations on International Waterways

OP 7.60 Operations in Disputed Areas

B.3 Other Salient Features

Use of Borrower Framework

In Whole

[Optional explanation – Max. character limit 1,000]

We propose this project to be rated as low risk given the low rate of likelihood and severity of impacts anticipated from the activities that will be funded by the project (TA Type 2 and Type 3), and the implementing agency's capacity and commitment to manage such risks. Align with the ESF objective to strengthen counterpart's institutional capacity, considering that MoWECP has an existing system, we propose to adopt their system and work to strengthen their existing system to be materially meeting the ESSs requirements.

Use of Common Approach

No

[Optional Explanation including list of possible financing partners – Max. character limit 1,000]

B.4 Summary of Assessment of Environmental and Social Risks and Impacts

[Description provided will not be disclosed but will flow as a one time flow to the Concept Stage PID – Max. character limit 5,000]

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Based on existing PIN, overall environmental and social impacts and risks are anticipated to be low. In terms of environmental impacts and risks, the nature of overall project activities is classified as Technical Assistance (TA) Type 2 and 3 with minimal potential adverse risks and impacts on human health and environment. There will be procurement of small number of laptops for the PIUs but the volume of hazardous waste generated from the activity is expected to be insignificant during the project lifetime. No downstream implications are expected as TA will not support preparation of future investment projects and only inform plans and programs in the form of capacity strengthening. The project is also expected to have no direct environmental risk, as its activities will be delivered at existing offices and involve no construction of new physical building or infrastructure. Considering the low risk, no specific instrument needs to be prepared for managing environmental risk related to the project in addition to Environmental and Social Commitment Plan (ESCP).

In terms of social impacts and risks, downstream implications are expected to be rather positive for promoting social and gender inclusion. There will be low potential risk on labors e.g., civil servants and consultants/ third party hired by the project. Risk on labors and exclusion of vulnerable groups and interested groups of stakeholder would be addressed in the ESCP. Grievance Redress Mechanism (GRM) for the project stakeholder and workers will be using the existing PMO's GRM, and completed by strengthening measures, to provide channels and procedure for complaints capture, processing, resolution/ response, and recording. Provision of GRM for both stakeholders/ public and workers will be stated in the ESCP. Identification of vulnerable groups and interested parties will be streamlined as part of the Component 1 and Component 2 preparation activities, and ESS 10 mainstreaming effort will be stipulated in the ESCP.

To streamline the environmental and social risks mitigation measures, Term of References (TORs) for Consultants and TA activities will be reviewed to assess whether environmental and social risk are applicable and suggest inclusion of management measures to minimize environmental and social impacts. Any downstream impacts from analytics work done will be revisited during the project implementation, including, but not limited to, if it is affecting indigenous peoples negatively (which are less likely to occur given the proposed activities).

C. Overview of Required Environmental and Social Risk Management Activities

C.1 What Borrower environmental and social analyses, instruments, plans and/or frameworks are planned or required by Appraisal?

[Description of expectations in terms of documents to be prepared to assess and manage the project's environmental and social risks and by when (i.e., prior to Effectiveness, or during implementation), highlighted features of ESA documents, other project documents where environmental and social measures are to be included, and the related due diligence process planned to be carried out by the World Bank, including sources of information for the due diligence - Max. character limit 3,000]

An Environmental and Social Commitment Plan (ESCP) will be prepared to assess and manage the project's environmental and social risks, and it should be available by Appraisal.

III. CONTACT POINT

Contact Point



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IV. FOR MORE INFORMATION CONTACT

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V. APPROVAL

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