

# INTEGRATED SAFEGUARDS DATA SHEET

## CONCEPT STAGE

Report No.: ISDSC16259

**Date ISDS Prepared/Updated:** 18-Jul-2016

**Date ISDS Approved/Disclosed:** 26-Jul-2016

### I. BASIC INFORMATION

#### A. Basic Project Data

<b>Country:</b>	China	<b>Project ID:</b>	P154716
<b>Project Name:</b>	Anhui Aged Care System Demonstration Project (P154716)		
<b>Task Team Leader(s):</b>	Elena E. Glinskaya, Dewen Wang		
<b>Estimated Appraisal Date:</b>	26-Sep-2016	<b>Estimated Board Date:</b>	28-Mar-2017
<b>Managing Unit:</b>	GSP02	<b>Lending Instrument:</b>	Investment Project Financing
<b>Financing (In USD Million)</b>			
Total Project Cost:	196.70	Total Bank Financing:	140.00
Financing Gap:	0.00		
<b>Financing Source</b>		<b>Amount</b>	
Borrower		56.70	
International Bank for Reconstruction and Development		140.00	
Total		196.70	
<b>Environmental Category:</b>	B - Partial Assessment		
<b>Is this a Repeater project?</b>	No		

#### B. Project Objectives

The proposed PDO of this project is to support the government of Anhui province in establishing and managing a diversified (public and private) system of delivery of elderly care services, including home, community and residential services, that serves the key target group: the elderly with physical or mental impairments or limitations of activities of daily living.

#### C. Project Description

The project has four components: (i) Supporting the development of government stewardship capacity for the elderly care system; (ii) Strengthening the delivery and management of community and home-based services; (iii) Strengthening the delivery and management of nursing care; and (iv) Project management.

Component 1: Supporting the development of government stewardship capacity for the elderly care system

This project will develop the capacity of the government to exercise stewardship over a diversified elderly care system. The main stewardship functions to be strengthened by this component are (i) to design and manage the delivery of a continuum of care, through developing an information system and designing an architecture for provider-commissioner relations; (ii) to create a system for disability certification/ability evaluation, and (iii) to develop and uphold quality standards. This component will finance TA, capacity building, software and hardware.

Component 2: Strengthening the delivery and management of community and home-based services

Community-based stations supporting home based care provision are envisaged as a backbone of the aged care strategy in the province. Accordingly, the project activities supported by this component include building and/or upgrading the community-based stations and purchasing home-based care from service providers (Anqing and LuAn). Preparation of meals, basic cleaning, assistance with caring for bedridden seniors, and respite services are among the services envisaged for home based care and in community based settings. Developing Internet of Things (IOT) to expand the outreach of community care and to improve the integration of medical and social care services is also envisaged in this component (Wuhu). This component will finance civil works, equipment, and payments to providers of home-based care.

Component 3: Strengthening the delivery and management of nursing care

This component will support construction/upgrading tertiary skilled nursing facilities and welfare homes. Urban tertiary skilled nursing facilities will be constructed in LuAn, Wuhu and Anqing. Each nursing facility will be attached to a public tertiary hospital. The design and location of facilities conforms to good international and local practice of concentrating facilities in places with high population density and focusing on elderly people with disabilities.

This component of the project will also increase the capacity of residential urban welfare homes in Ningguo and Xuanzhou. Further, this component will upgrade the rural facilities and increase the skilled-nursing content of services in Suzhou and Wuhu. Strengthening welfare homes is included in the project in order to support the government in its role of a major direct provider of elderly care for the poor. While current welfare homes started to admit self-paying patients with ADL limitations, it is critically important that welfare homes refrain from prioritize self-paying patients over sun-wu and wu-bao elderly. This component will finance civil works and equipment.

Component 4: Project management

This component will support project management-related work at the provincial and sub-provincial levels by establishing and maintaining Project Management Offices and by building the capacity of project management staff. This will ensure an effective and efficient implementation in compliance with the operations policies and procedures of the World Bank as well as with domestic rules.

#### **D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

There are six major sites in the proposed project - the municipalities of Anqing, LuAn, Wuhu,

Xuancheng (Ningguo City and Xuanzhou District), and Suzhou. These proposed sites range from the more economically developed and urbanized areas along the Yangtze River (Wuhu), to the less economically developed and rural areas in the north (LuAn and Suzhou). The sixth site in the project is the city of Hefei, the capital of Anhui province, where a number of provincial-level activities (i.e. Information System development) is envisaged for the main office of the provincial DOCA.

### **E. Borrowers Institutional Capacity for Safeguard Policies**

The implementing agency is the Department of Civil Affairs (DOCA) of Anhui Province, that is responsible for overall project implementation. DOCA is the leading agency overseeing aged care services provision, but other departments, such as the Departments of Finance, Reform and Planning, Health, and Human Resources and Social Security, and private services providers, are also involved. A leading coordination mechanism is recommended to ensure a comprehensive design and smooth implementation of the project. A provincial project management office (PMO) has been initially established and housed in DOCA. Accordingly, the PMOs will be set up at municipality, city, or county levels (wherever the project activities are hosted). The provincial PMO will be responsible for overall coordination with local PMOs through the project preparation and implementation periods. PMOs at all levels will be adequately staffed when the project is ready to move to the preparation stage. Initial training was provided by the Bank team during the identification mission to PMOs on the Bank safeguard policies. Capacity building for the PMOs and tailored training on related the World Bank policies and different aspects of project management will be carried out during project preparation. Experienced consultants will be engaged by provincial PMO to prepare safeguards documents, and provide PMOs staff with safeguards trainings to improve their capacity to the satisfactory level.

Anhui province has successfully implemented many projects financed by the World Bank. The Provincial Department of Finance (DOF) and the Provincial Development and Reform Commission (DRC) will provide support and guidance to DOCA and the PMO during project preparation and implementation.

### **F. Environmental and Social Safeguards Specialists on the Team**

Feng Ji (GEN2A)

Zhefu Liu (GSU02)

## **II. SAFEGUARD POLICIES THAT MIGHT APPLY**

<b>Safeguard Policies</b>	<b>Triggered?</b>	<b>Explanation (Optional)</b>
Environmental Assessment OP/BP 4.01	Yes	It is confirmed that Environmental Assessment (OP 4.01) is triggered. The proposed physical investments mainly include the construction or improvement of aged care facilities in Anhui Province (e.g. community base stations, urban and rural welfare homes, and nursing facilities). The scale and size of the new construction vary from community based care facilities (hundreds of small buildings with several stories) to three nursing facilities (each with 500-600 beds).  The rehabilitation work is to finance small scale civil

	<p>work and equipment for existing communities based and home based care facilities.</p> <p>There will be adverse impacts associated with the facilities: (i) general construction-related impacts such as disposal of spoil, nuisance of dust, noise, wastewater etc.; and (ii) adverse impacts during operation including disposal of domestic waste and wastewater from these facilities, and limited amount of medical waste from three nursing facilities (i.e. LuAn, Anqing, Wuhu). These adverse impacts are localized, limited, and can be readily mitigated.</p> <p>The proposed technical assistance activities are mainly to strengthen client capacity. Capacity building activities include (i) to design and manage the delivery of a continuum of care, through developing an information system and designing an architecture for provider-commissioner relations; (ii) to create a system for disability certification/ability evaluation so as to direct public funding, (iii) to develop and uphold quality standards; (iv) To provide capacity building for PMOs. None of TAs are to support land use planning or natural resources management (NRM) or to preparing feasibility studies, technical designs or other activities directly in support of the preparation of a future investment project.</p> <p>As such, the project is proposed as a category B project considering the type, location, sensitivity, and scale of the project as well as the nature and magnitude of its potential environmental impacts.</p> <p>An Environmental Management Plan (EMP) will be prepared for the project and include:</p> <ul style="list-style-type: none"><li>(a) Environmental Code of Practices (ECOPs) to be developed to address general construction related impact.</li><li>(b) Specific mitigation measures including disposal of domestic waste and wastewater from these facilities, and disposal of limited amount of medical waste from three nursing facilities (i.e. Lu'an, Anqing, Wuhu).</li><li>(c) Specific measures of proper management of asbestos if it is found during civil work.</li><li>(d) Requirement for universal access design of the</li></ul>
--	--

		<p>facilities to be rehabilitated and constructed under the project;</p> <p>(e) Migration measures on social aspects. The social assessment should analyze elders' needs and public demands and pay particular attention to the needs of the most vulnerable groups among the elders. It will inform the design of the project to ensure all groups have equal access to quality services.</p> <p>(f) An institutional arrangement for project preparation and implementation;</p> <p>(g) An institutional strengthening plan covering training and technical assistance (where applicable);</p> <p>(h) A specific monitoring plan.</p> <p>(i) EMP implementation budget.</p>
Natural Habitats OP/BP 4.04	No	Based on the site visit and discussion with the client, activities which may have adverse impacts on natural habitats have been excluded from the project, the proposed project activities are located in the urban and sub-urban areas which have been heavily influenced by human activities. The project activities will not affect any protected reserves, known as natural habitats, or established or proposed critical natural habitats.
Forests OP/BP 4.36	No	The project will not have impacts on the health and quality of forests or the rights and welfare of people and their level of dependence upon or interaction with forests, or aims to bring about changes in its management or protection.
Pest Management OP 4.09	No	The project will not include procurement of pesticides or pesticide application equipment, nor lead to substantially increased pesticide use and subsequent increase in health and environmental risk, or could maintain or expand present pest management practices that are unsustainable.
Physical Cultural Resources OP/BP 4.11	No	Based on the site visit and the project proposal, the project is not likely to cause any significant adverse impacts on the Physical Cultural Resources (PCRs) in the project influence area.
Indigenous Peoples OP/BP 4.10	No	The Task Team reviewed the information provided by the engaged cities and had discussions with the officials who are responsible to the ethnic minority affairs in line to any ethnic minority community in the project areas and the community to be affected by the activities of the proposed project to determine whether or not the IP policy needs to be triggered. The team concluded that the project areas in the

		cities are predominantly Han Chinese and there are no ethnic minority groups as defined by OP4.10 such as Manchu and Mongolian communities present in, or have collective attachment to the project areas in the cities. Bank OP 4.10 policy is not triggered and IPDP is not required.
Involuntary Resettlement OP/ BP 4.12	Yes	It is anticipated that some collective land and structures in cities will be required. An experienced social safeguards consulting team will be contracted by the Project Management Office (PMO) to conduct the resettlement impact survey of the entire project areas. Social assessment will be undertaken to analyze elders needs and public demands, specific to citizen engagement and supports to poor families. Those findings will be integrated into the project design and policy reform of aged care system in Anhui province. If detailed information about each subproject is known site-specific, Resettlement Action Plan (RAP) will be prepared by appraisal. If the exact location of subprojects is not yet known before appraisal, Resettlement Policy Framework (RFP) will be prepared.
Safety of Dams OP/BP 4.37	No	The project will not finance construction or rehabilitation of any dams as defined under this policy. This polices is not triggered.
Projects on International Waterways OP/BP 7.50	No	The project is not on international waterways.
Projects in Disputed Areas OP/ BP 7.60	No	The project is not in disputed areas.

### III. SAFEGUARD PREPARATION PLAN

**A. Tentative target date for preparing the PAD Stage ISDS:** 01-Sep-2016

**B. Time frame for launching and completing the safeguard-related studies that may be needed.**

**The specific studies and their timing<sup>1</sup> should be specified in the PAD-stage ISDS:**

The Environment Management Plan (EMP), Social Assessment (SA), Resettlement Action Plan (RAP) and Resettlement Policy Framework (RFP) will be completed prior to the project appraisal tentatively in September 2016.

### IV. APPROVALS

Task Team Leader(s):	Name: Elena E. Glinskaya, Dewen Wang	
<b>Approved By:</b>		
Safeguards Advisor:	Name: Peter Leonard (SA)	Date: 26-Jul-2016
Practice Manager/ Manager:	Name: Jehan Arulpragasam (PMGR)	Date: 26-Jul-2016

<sup>1</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.