

TC Abstract

I. Basic project data

• Country/Region :	HQ/CAN - Andean Group
• TC Name :	Regional Support for the Habitat III Conference
• TC Number :	RG-T2716
• Team Leader/Members :	Donovan, Michael Geiger; Zambrano-Barragan, Patricio Xavier; Rajack, Robin Michael; Avila, Francy Dianela; Blanco Blanco, Andres Guillermo; Greco, Maria Sofia.
• Taxonomy:	Research and Dissemination
• Date of TC Abstract :	January 15th, 2016
• Beneficiary:	Housing and Urban Development Ministries in Latin American and The Caribbean (LAC)
• Executing Agency and contact name:	IFD/FMM
• IDB Funding Requested :	US\$300,000.00
• Local counterpart funding, if any :	US\$0.00
• Disbursement period:	30 months
• Types of consultants:	Individuals
• Prepared by Unit :	Fiscal & Municipal Mgmt
• Unit of Disbursement Responsibility :	Institutions for Ddevelopment
• Included in Country Strategy:	No
• TC included in CPD:	No
• GCI-9 Sector Priority	Fostering development through the private sector

II. Objective and Justification

This Technical Cooperation (TC) seeks to support regional governments, and their respective urban development authorities, to prepare for their participation in the Third United Nations Conference on Housing and Sustainable Urban Development (Habitat III). This conference, which will be held in Quito, Ecuador (October 2016), occurs every twenty years and constitutes the highest-level meeting on global urban development. Habitat III will be the first implementing conference of the Post-2015 agenda and will be used to forge institutional commitments to achieve the Sustainable Development Goals (SDGs). It will secure renewed political commitment for sustainable urban development and assess the 1996 Habitat Agenda, which was ratified by 171 countries. Habitat III will be the largest urban summit ever held in Latin America and the Caribbean (LAC) and it is expected that 30,000 people will participate, including UN Member States, and relevant stakeholders (intergovernmental organizations, parliamentarians, civil society organizations, regional and local government representatives, academia, foundations, women and youth groups, trade unions, media, and the private sector). Strengthening the institutional capacity of governments in the region to pursue the global urban policy goals articulated in Habitat III is critically needed given the size of urban housing and infrastructure deficits.

Effective LAC participation in Habitat III will entail that countries prepare a strategy to implement the commitments of a "New Urban Agenda," including the monitoring of ambitious urban targets of the SDGs. The Habitat III Secretariat has requested that countries specify how they intend to achieve and measure progress towards fulfilling SDG Goal 11 ("make cities and human settlements inclusive, safe, resilient and sustainable"). This includes the following two targets (i) "By 2030, ensure access for all to adequate, safe and affordable housing and basic services and upgrade slums" and (ii) "by 2030, enhance inclusive and sustainable urbanization and capacity for

participatory, integrated and sustainable human settlement planning and management in all countries.”

Strengthening the institutional capacity of governments in the region to pursue the global urban policy goals established in the Sustainable Development Goals and operationalized in Habitat III is critically needed given the size of urban housing and infrastructure deficits. Though progress has been made in reducing slum conditions since LAC governments signed the Habitat Agenda in 1996, significant challenges remain. According to the UN, 113 million residents in LAC live in informal settlements. To achieve global urban targets, it is necessary to improve countries’ understanding and ability to design institutional reforms necessary to improve service delivery in cities and urban public management. These reforms are especially urgent given that nearly 90% of the region’s population is expected to live in urban areas by 2050.

The TC’s support to governments aligns with commitments outlined in the IDB’s Institutions for Growth and Social Welfare Sector Strategy (GN-2587-2) and the Update to the IDB Institutional Strategy 2016–2019 (GN-2727-6) whose Corporate Results Framework is informed by the SDGs. This engagement in Habitat III is facilitated through the Bank’s role as an intergovernmental organization with observer status in the UN General Assembly and previous participation in a broad number of UN meetings (Habitat II, Millennium Development Goals, Rio+20, SDGs, United Nations Climate Change Conference, etc.). This TC seeks to reaffirm the IDB’s commitment to help translate the SDGs into meaningful country level targets and policies. To this end, this TC supports the IDB’s position to “support our clients as they take part in an agreed process for developing the SDGs and tailoring them to regional and national circumstances” (MDB joint statement for Rio+20, June 19, 2012).

III. Description of activities and outputs

The operation seeks to support governments, and their respective urban development authorities, to prepare to participate in Habitat III through three components—research, interregional dialogue, and participation in Habitat III itself. This TC will assist countries to implement urban targets established in the UN’s Sustainable Development Goals (SDGs).

Component 1. Public Policy Analysis on Thematic Areas for the New Urban Agenda (US\$90,000). This component will finance the development of three publications that will inform the development of national and regional urban policy positions for Habitat III. The publications include: (i) a literature review of LAC research findings on the six main topics that will comprise the Habitat III zero draft outcome document; (ii) a discussion paper on proposed targets and monitoring of the urban SDG goals and current institutional capacity to implement urban policy, produced by a group of experts; and (iii) urban policy recommendations to bolster the resilience of coastal cities to climate change, including land use planning, coastal setbacks, coastal infrastructure, relocation from vulnerable areas (i.e. “managed coastal retreat”), and institutional strengthening of Coastal Zone Management Units.

Component 2. Knowledge Dissemination through Interregional Preparatory Workshops for Habitat III (US\$150,000). This TC will finance consulting services to facilitate knowledge dissemination and policy dialogue surrounding implementation of national urban policy and the SDGs. This will enable countries to identify the optimal preconditions for institutional adoption of the urban SDG goals, particularly the involvement of non-state actors such as civil society and the private sector. Ultimately, preparation will support (i) greater government coordination across different levels of government and (ii) increased transparency and monitoring of commitments to the urban goals of the SDGs. Within this component, participants will discuss the research produced in Component 1 during four events, with a view towards informing national urban development policies during and post-Habitat III.

Component 3. Roundtable on Implementing the Habitat III Urban Agenda (US\$60,000). This component will finance a high-level roundtable with mayors and urban development ministers from IDB member countries during Habitat III in Quito, which will

be co-sponsored by the General Assembly of Ministers of Housing and Urbanization in Latin America and the Caribbean (MINURVI). The publications produced in Component 1 and the preparatory dialogue from Component 2 will structure the roundtable's discussion.

Outcomes

To support efforts to design urban diagnostics and assessments of existing institutional capacity to implement urban policies proposed in the Sustainable Development Goals and further operationalized in Habitat III. To expand knowledge dissemination of research and innovative urban programs that: (i) prepare governments in Latin America and the Caribbean to effectively participate in Habitat III; and (ii) inform the design of national urban policies. To support efforts to increase transparency and monitoring of commitments to the urban goals of the Sustainable Development Goals (SDGs) and urban development targets that may emerge from the Habitat III Conference (i.e. the "New Urban Agenda").

Components

Public Policy Analysis on Thematic Areas for the New Urban Agenda

This component will finance the development of three publications that will inform the development of positions for Habitat III: (i) a publication on LAC urban research to inform political recommendations for the six topics of the forthcoming Habitat III Resolution; (ii) a discussion paper on proposed targets and monitoring for a Habitat III LAC urban agenda; and (iii) a discussion paper on policy recommendations aligned to SDGs which bolster the resilience of coastal cities to climate change.

Three publications

Knowledge Dissemination through Interregional Preparatory Workshops for Habitat III

It will finance 5 officials from ministries of urban development who each represent a subregion (CAN, CCB, CID, CDH, CSC) to participate in 4 events: (i) a forum during the LAC Habitat III preparatory meeting (Mexico, April 2016); (ii) 2 side events—the LAC agenda in Habitat III and coastal city resilience—during the 2016 IDB-IIC 2016 Annual Meeting of the Board of Governors in Nassau; and (iii) a roundtable to provide inputs for the 3rd Global Preparatory Conference of Habitat III (PrepCom3).

Four events

Roundtable on Implementing the Habitat III Urban Agenda

This component will finance a high-level roundtable with mayors and urban development ministers from IDB member countries during Habitat III in Quito, which will be co-sponsored by the Assembly of Ministers of Housing and Urbanization in Latin America and the Caribbean (MINURVI). The funds will support an IDB booth that will provide publications and other IDB materials to the estimated 20,000 participants. The publications produced in Component 1 will structure the roundtable's discussion.

One event; One IDB conference booth

IV. Budget

Indicative Budget

Activity/Component	Description	IDB/Fund Funding	Total Funding
Public Policy Analysis on Thematic Areas for the New Urban Agenda	This component will finance the development of three publications that will inform the development of positions for Habitat III: (i) a publication on LAC urban research to inform political	90,000.00	90,000.00

	recommendations for the six topics of the forthcoming Habitat III Resolution; (ii) a discussion paper on proposed targets and monitoring for a Habitat III LAC urban agenda, and (iii) a discussion paper on policy recommendations aligned to SDGs which bolster the resilience of coastal cities to climate change.		
Knowledge Dissemination through Interregional Preparatory Workshops for Habitat III	It will finance five officials from ministries of urban development who each represent a subregion (CAN, CCB, CID, CDH, CSC) to participate in 4 events: (i) a forum during the LAC Habitat III preparatory meeting (Mexico, April 2016); (ii) two side events—the LAC agenda in Habitat III and coastal city resilience—during the 2016 IDB-IIC 2016 Annual Meeting of the Board of Governors in Nassau; and (iii) a roundtable to provide inputs for the 3rd Global Preparatory Conference of Habitat III (PrepCom3).	150,000.00	150,000.00
Roundtable on Implementing the Habitat III Urban Agenda	This component will finance a high-level roundtable with mayors and urban development ministers from IDB member countries during Habitat III in Quito, which will be co-sponsored by the Assembly of Ministers of Housing and Urbanization in Latin America and the Caribbean (MINURVI). The funds will support an IDB booth that will provide publications and other IDB materials to the estimated 20,000 participants. The publications produced in Component 1 will structure the roundtable's discussion.	60,000.00	60,000.00

V. Executing agency and execution structure

The Bank through IFD/FMM will be responsible for the execution of this TC. It will ensure that the actions of this TC will complement (i) those operations being executed by IFD/FMM to support Ecuador's hosting of Habitat III (e.g. EC-T1328) and (ii) IFD/FMM's role, per the appointment by the Habitat III Secretary General, as the coordinator of a group of 20 high-level experts who are developing global housing policy recommendations for member states to consider for the Habitat III Resolution.

The proposed execution structure will ensure that the actions of this technical cooperation will reinforce operations in the pipeline and those currently executed by IFD/FMM (EC-T1328). This model will enable the Bank to align this TC to its international engagement in the Habitat III preparation, which currently includes: (i) co-leadership of the Housing Policies Policy Unit, (ii) activity in official Habitat III preparatory conferences, and (iii) participation in Habitat III as an intergovernmental organization holding observer status with the General Assembly. IFD/FMM's recognized technical expertise in urban policy dialogue and its authorship of research that inform Habitat III debates also make it an impartial choice for executing agency.

VI. Project Risks and issues

A potential risk for this TC is the possible weak commitment of the Urban Development and Housing Ministries, especially in the interregional workshops. To mitigate this risk, the team will identify specific actions to engage authorities in the analysis, the

identification of participants who will be able to attend, and consider the implementation of suggested reforms. The TC will encourage participation by developing social media and internet resources to both increase exposure of this TC's activities and to strengthen the connections between members. Outputs of the network will be disseminated via the Bank's web site, blogs, and Facebook and Twitter feeds. The strategy will also identify an appropriate communication model for participants in the TC, e.g. an electronic discussion group, webinars, etc. Another risk includes the incompleteness of the research from the lack of primary data. This will be mitigated by the use of secondary data and the inclusion of data from urban research prepared for Habitat III, including IDB publications, National Habitat III reports from Latin American and Caribbean countries, and the Habitat III LAC Regional Report, which was recently completed by the United Nations Economic Commission for Latin America and the Caribbean (ECLAC) and the United Nations Human Settlements Programme (UN-Habitat).

VII. Environmental and Social Classification

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