Land Acquisition and Resettlement Due Diligence Report

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BHU: Secondary Towns Urban Development Project -Sarpang (including Shechamthang satellite town)

Sarpang Water Supply Development Project (Contract Package-SM/UWS/002); and

Development of Shechamthang Local Area Plan Infrastructure: Roads, Drainage and Water Supply Project (Contract Package-SM/UR/001)

Prepared by the Department of Urban Development and Engineering Services, Ministry of Works & Human Settlement, Royal Government of Bhutan for the Asian Development Bank. The views expressed herein do not necessarily represent those of the ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

CURRENCY EQUIVALENTS

as of 8 May 2018

Currency unit	_	Ngultrum (Nu)
Nu1.00	=	\$0.015
\$1.00	=	Nu67.147

ABBREVIATIONS

ADB	=	Asian Development Bank
BSR	=	Bhutan Schedule of Rates
CBSWM	=	community-based solid waste management
CCM	=	cabinet committee meeting
CWR	=	clear water reservoir
DDR	=	due diligence report
DDS	=	due diligence study
DEO	=	district engineering office
FHH	=	female headed households
GAD	=	gender and development
GRC	=	grievance redressal committee
GSB	=	granular sub-base
LAGC	=	Local Area Grievance Committee
LAP	=	local area plan
MOWHS	=	Ministry of Works and Human Settlement
PAF	=	project affected families
PAPs	=	project-affected persons
PAVA	=	property assessment and valuation agency
PIU	=	project implementation unit
PMU	=	project management unit
PPTA	=	project preparatory technical assistance
PRCS	=	progressive research & consultancy services
RENEW	=	Respect, Educate, Nurture and Empower Women (NGO)
RGoB	=	Royal Government of Bhutan
RWSS	=	rural water supply schemes
SPS	=	Safeguard Policy Statement
Thromde	=	Municipalities

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I. BACKGROUND

1. Under the Secondary Towns Urban Development Project (STUDP) the ADB will provide a \$10 million loan to the Royal Government of Bhutan for developing water and sewerage infrastructure in three secondary towns of Bhutan-Sarpang (Shechamthang), Samdrup Jongkhar (including Dewathang) and Trashigang (including Rangjung). The government will supplement the loan with \$2 million contribution. STUDP will develop 7 subprojects in three thromdes. The subprojects include water supply, sewerage system, transport (urban roads), and storm water drainage. The provision of adequate, reliable and safe water and sewerage systems will lead to substantial environmental improvements, including health benefits to the target population.

2. The project is designed to achieve balanced and environmentally sustainable urban development in the identified priority towns (Sarpang, Trashigang and Samdrup Jongkhar) by harnessing mixed-development approaches that integrate the natural terrain and resources in urban planning, design, and construction. The outcome will be increased quality, reliability, and sustainability of urban infrastructure. This report undertakes due diligence to assess the impact of proposed sub-projects on land acquisition and resettlement for proposed subprojects in Sarpang (Sarpang) and Shechamthang (Ranibagan).

3. The Ministry of Works and Human Settlements (MOWHS) acting through its' Water and Sanitation Division, Department of Engineering Services will be the executing agency. A program management unit, established in the MOWHS, will be responsible for planning, implementation, monitoring and supervision, and coordination of all activities under the project. The project implementing unit established under the Sarpang dzongkhag (district administration) will be the implementing agency and will be responsible for the daily coordination and execution of the project.

II. SCOPE OF THE REPORT

4. The report covers two subprojects to be implemented in Sarpang: (i) the Sarpang water supply development project; and (ii) development of the core town area of Shethamthang Local Area Plan (LAP)- this includes the development of infrastructure for the Shechamthang LAP through land pooling. This due diligence report (DDR) examines whether the safeguard measures for these two sub-projects have been carried out in accordance with the ADB's Safeguard Policy Statement (SPS 2009).

5. The dzongkhag (district) administration has planned a new commercial and residential town in Sarpang known as Shechamthang (Ranibagan). Shechamthang is located across the Sarpang river from the existing town of Sarpang Tar. The Shechamthang LAP was planned by the dzongkhag. using the technique of land readjustment to get an orderly alignment of plots and to provide space for urban infrastructure like roads, drains, water supply.¹ However, due to budget constraints the development of the LAP could not be undertaken by the dzongkhag till now.

¹ The dzongkhag pooled land with 112 households for planning of the LAP, wherein these existing land owners donated 30% of their land to the dzongkhag administration in lieu of the provision of improved urban infrastructure and services. Of these 93 land owners (629 people) owned plots in the ADB funded portion of the local area plan. Of these 93 households, 14 households lost non-land assets (trees/ structures) located in the donated portion of land. The compensation for these losses was negotiated with the land owners by the government and was paid in May 2017. The process of land pooling began as early as 2011 and was not initiated in anticipation of ADB funding.

STUDP will support the development of the core area Shechamthang/Ranibagan LAP, comprising roads, drains and water supply infrastructure.

6. The scope of this DDR includes: (i) conducting the land acquisition and resettlement due diligence for the two subprojects in Sarpang dzongkhag; and (iii) providing an independent third party evaluation on the land donation (land pooling) and negotiated settlement carried out for the development of the Shechamthang/ Ranibagan LAP.² The latter includes a review of the social preparation and assessment of the adequacy of project preparatory work of the LAP of Shechamthang where land pooling activities were advanced and completed prior to project implementation. The specific objectives for undertaking the DDR are to:

- (i) Assess the situation of land availability for both subprojects;
- (ii) Review the adequacy of the project preparation in consultation with project management unit (PMU), Sarpang project implementation unit (PIU) and the project affected persons in Shechamthang;
- (iii) Validate information on land pooling with affected families; identify any gaps or grievances about land acquisition and compensation;
- (iv) ascertain the availability and clearance of land for development;
- (v) Prepare remedial measures if required, both in terms of policy and implementation arrangements; and
- (vi) Identify social issues, benefits, impact and mitigation of the proposed subprojects.

7. The contents of this DDR include the following: (i) description of the proposed subprojects; (ii) land acquisition and resettlement due diligence; (iii) summary of public consultation activities undertaken; (iv) summary of issues and mitigations discussed during consultations; and (v) conclusions and recommendations. Proof of ownership of land for the various components of the Sarpang water supply scheme are provided in Appendix 1 to this document.

8. The relevant laws and regulations of the government and that of the ADB's Safeguards Policy statement (SPS) are the basis for the review. The involuntary resettlement policy principle of the SPS states: "to develop procedures in a transparent, consistent, and equitable manner if land acquisition is through negotiated settlement to ensure that those people who enter into negotiated settlements will maintain the same or better income and livelihood status."

III. RELEVANT POLICIES

9. In terms of land acquisition, the Project will be governed by the ADB's Safeguards Policy Statement (2009)—particularly with regards to voluntary donation, Land Act of Bhutan 2007 and the *Land Pooling Rules of the Kingdom of Bhutan 2009*, for land pooling and for structures on the Land-Revision thereof (2009). The ADB policy specifies that required safeguards measures include: (i) full consultation with landowners and any non-title affected people on site selection; (ii) ensuring that voluntary donations do not severely affect the living standards of affected people, and are linked directly to benefits for the affected people, with community sanctioned measures to replace any losses that are agreed through verbal and written record by affected people; (iii) any voluntary "donation" will be confirmed through verbal and written record and verified by an independent third party such as a designated nongovernment organization (NGO) or legal authority (land pooling in Bhutan falls under this category); and (iv) having adequate grievance redress mechanisms in place.

² The third party review has been carried out by Tarayana Foundation, a Thimphu based NGO.

- 10. Land Pooling will be guided by the following principles:³
 - (i) All affected persons (titled and non-titled) will be fully informed and consulted on land pooling sites, compensation, entitlements, and resettlement assistance if applicable;
 - (ii) Lack of formal legal land title is not a bar for compensation and assistance;
 - (iii) Land pooling contributions will be confirmed by written records signed by the landowners;
 - (iv) Agreement from 100% landowners is required for land pooling;
 - (v) Land pooling contributions will be kept at similar percentages to the extent possible and will not exceed 30%;
 - (vi) Plot owners contributing to land pooling will directly benefit from roads and drainage, and water supply and sewerage connections. During consultation, landowners will be informed by the Government of the project's implementation schedule and the expected timeframe for the delivery of benefits;
 - (vii) Land Pooling will not severely affect living standards of affected persons and the following measures will be ensured:
 - (a) Land pooling will exclude traditional villages;
 - (b) Land pooling will exclude land with residential and commercial structures; and
 - (c) Land pooling will minimize shifts in land plot owned. Only land rendered inefficient from the perspective of agriculture or for future structures will be considered for shifting. Landowner agreements will be required prior to shifting the location of plots and the reallocated plot will be within the same Local Area Plan;
 - (viii) The Government will not allocate surplus land from the local area to finance land pooling; and
 - (ix) The Government, to the extent possible, will include Government land to reduce land pooling contributions.

A. Land Act of Bhutan, 2007

1. On land acquisition

11. The land acquisition on private land will be governed by the relevant policies extracted from the Land Act of Bhutan 2007. These provisions are not applicable to STUDP as sub-projects do not require land acquisition.

- (i) Clause: 143: The Government may provide substitute land or cash payment, or both, as compensation;
- (ii) Clause: 144: Acquisition of land shall entail a fair compensation; and
- (iii) Clause: 146: Acquisition of the land occupied by religious monuments shall be avoided.

2. On mode of compensation for land acquired

- (i) Clause: 147: The landowner shall have the discretion to opt for substitute land or cash compensation offered by the Government in case of the land being acquired from rural area;
- (ii) Clause: 148: In case of the land acquired in Thromde, the landowner shall be provided cash compensation. If the land to be acquired is the only plot owned by

³ Land Pooling Rules of the Kingdom of Bhutan 2009.

the landowner in the Thromde, the Government shall consider a substitute land in the same Thromde. If the value of substitute land is inadequate additional cash compensation shall be provided subject to the value of land; and

(iii) Clause: 150: Upon acquisition, if the remaining land parcel is less than 10 decimals, such land both in Thromde and rural areas shall be acquired.

3. Location of substitute land

(i) Clause 155: The location of substitute land to be allotted in the rural areas shall be in order of preference of *same village, gewog and dzongkhag.*

4. Appropriate substitute land

(i) Clause 156: The Government shall ensure to provide the landowners with a substitute land commensurate to the value of land acquired.

5. Taking over acquired land

(i) Clause 158: The land under acquisition shall be taken over only after registering the substitute land in the name of the affected landowner or the cash compensation has been made to the landowner.

B. ADB's Resettlement Policy

- 12. ADB's involuntary resettlement safeguards are based on the following principles:
 - Screen the project early to identify past, present and future involuntary resettlement impacts and risks. Determine the scope of resettlement planning through a survey and/or census of displaced persons, including a gender analysis, specifically related to resettlement impacts and risks;
 - (ii) Carry out meaningful consultations with affected persons, host communities, and concerned nongovernmental organizations (NGO). Inform all displaced persons of their entitlements and resettlement options. Ensure their participation in planning, implementation and monitoring and evaluation of resettlement programs. Pay particular attention to the needs of vulnerable groups, especially those below the poverty line, the landless, the elderly, women and children and indigenous peoples, and those without legal title to land, and ensure their participation in consultations. Establish a grievance redress mechanism (GRM) to receive and facilitate resolution of the affected persons' concerns. Support the social and cultural institutions of displaced persons and their host population. Where involuntary resettlement impacts and risks are highly complex and sensitive, compensation and resettlement decisions should be preceded by a social preparation phase;
 - (iii) Improve, or at least restore, the livelihoods of all displaced persons through (a) land-based resettlement strategies when affected livelihoods are land based where possible or cash compensation at replacement value for land when the loss of land does not undermine livelihoods, (b) prompt replacement of assets with access to assets that of equal or higher value, (c) prompt compensation at full replacement cost for assets that cannot be restored, and (d) additional revenues and services through benefit sharing schemes where possible;
 - (iv) Provide physically and economically displaced persons with needed assistance, including the following: (a) If there is relocation, secured tenure to relocation land,

better housing at resettlement sites with comparable access to employment and production opportunities, integration of resettled persons economically and socially into their host communities, and extension of project benefits to host communities; (b) transitional support and development assistance, such as land development, credit facilities, training, or employment opportunities; and (c) civic infrastructure and community services, as required;

- (v) Improve the standards of living of the displaced poor and other vulnerable groups, including women, to at least national minimum standards. In rural areas provide them with legal and affordable access to land and resources, and in urban areas provide them with appropriate income sources and legal and affordable access to adequate housing;
- (vi) Develop procedures in a transparent, consistent, and equitable manner if land acquisition is through negotiated settlement to ensure that those people who enter into negotiated settlements will maintain the same or better income and livelihood status;
- (vii) Ensure that displaced persons without titles to land or any recognizable legal rights to land are eligible for resettlement assistance and compensation for loss of nonland assets;
- (viii) Prepare a resettlement plan elaborating on displaced persons' entitlements, the income and livelihood restoration strategy, institutional arrangements, monitoring and reporting framework, budget and time-bound implementation schedule; and
- (ix) Disclose a draft resettlement plan, including documentation of the consultation process in a timely manner, before project appraisal, in an accessible place and a form and language(s) understandable to affected persons and other stakeholders.

IV. OVERVIEW OF SARPANG

13. Sarpang town is divided into three zones, namely, Shechamthang (Ranibagan), Sarpang Tar and Sarpang bazaar. Sarpang is the most important dzongkhag in the southern region of Bhutan. It is strategically placed on the Indo-Bhutan border adjoining the Indian state of Assam. Sarpang town is one of the oldest towns in the country with access to motor roads as early as in 1950s. It is also the administrative center for the dzongkhag and located at about 32 kilometers (km) to the west of Gelephu. In the past Sarpang was the seat of administration for southern Bhutan and housed the office of Commissioner. It became the dzongkhag headquarters in late 1980s.

14. Sarpang town presently accommodates a population of 4,000 inclusive of Shechamthang. The population is spread out over an area of approximately 2.74 square kilometres (sq. kms.) The overall population of Sarpang dzongkhag is 34,426 within 12 Gewogs and 172 villages.⁴ The area at present could be characterized as an agglomeration of scattered pockets of residential, institutional and administrative settlements, each with varying population and density patterns.

15. Sarpang dzongkhag is administratively supported by one dungkhag⁵ (Gelephu), which is a southern border town located 33 km from Sarpang tar. The dzongkhag has a domestic airport recently established in Gelephu dungkhag (sub-district). In the same locality there is also a hot spring known for its special healing properties.

⁴ A gewog is a block. 2012. National Statistics Bureau and Asian Development Bank. Bhutan Living Standards Survey. Thimphu.

⁵ Subdistrict.

A. Demographic

16. The overall demographics of Sarpang town consist of (51.28%) male and (48.72%) female. Literacy rates are higher for males at 85.63% compared with females 68.69% as shown in Table 1.

17. The population of Sarpang town (excluding Shechamthang area) in the year 2005⁶ was 2,619 and is expected to reach 5,000 by the year 2020 considering a compound growth rate of 4.41% per annum.⁷

		01	
	Catego	ry	Total
Gender	Male	1,343 (51.28%)	
	Female	1,276 (48.72%)	2,619 (100%)
Education			
Male	Literate	1,043 (85.63%)	1,809
Female		766 (68.69%)	-
Male	Illiterate	175 (14.37%)	524
Female		349 (31.31%)	

 Table 1: Demographic Information⁸

Source: Poverty and Social Analysis (PSA) conducted under Project Preparatory Technical Assistance (2016)

B. Health

18. There are two hospitals in the dzongkhag, 10 basic health units (BHU), 11 outreach clinics (ORC) and one traditional medical unit. Regarding water-borne diseases, the Sarpang hospital has confirmed that they have records of sickness such as diarrhoea or gastrointestinal diseases. This indicates that the water quality may not be potable and may require boiling for consumption purposes.

C. Education

19. In Sarpang dzongkhag, there are three higher secondary schools, two middle secondary schools, six lower secondary schools, 11 primary schools, 64 non-formal education facilities, one private school, four extended class rooms (ECRs), one vocational training institute (VTI), Sershong institute of civil engineering, one sanskrit patshala (school) and nine monastic schools.

D. Local economy

20. Sarpang dzongkhag is a regional headquarter and is identified as one of the key service centers in the entire region. With its potential to be established as an institutional hub, Sarpang dzongkhag can support the industrial activities which are currently being planned through recent opening of 756 acre Jigmeling Industrial estate located 16km from the main town. Sarpang dzongkhag has a very high potential to develop as a service centre due to its nearness to the

⁶ 2005. Population and Housing Census of Bhutan. Royal Government of Bhutan. Thimphu.

⁷ 2008. Ministry of Works and Human Settlements. Bhutan National Urbanization Strategy, 2008. Thimphu.

⁸ 2005. Population and Housing Census of Bhutan. Royal Government of Bhutan. Thimphu.

Indian border, the growth center of Gelephu⁹ and through its status as an administrative headquarter. It can house institutes that could support the industrial development that is planned along the Sarpang-Gelephu corridor.

V. PROJECT DESCRIPTION

A. Sarpang Water Supply Development Project

21. Sarpang town is divided into three zones, namely, Shechamthang (Ranibagan), Sarpang Tar and Sarpang bazaar. Currently there are two gravity schemes namely- Lharing scheme (13.5. km length) and Kamikhola Scheme (2.3 km length) of which the latter is seasonal (during summer only). Of the three zones, water is currently supplied only to Sarpang Tar in a rationed manner. Residents of Sarpang bazaar have tapped the water themselves from the Kamikhola or seasonal streams while Shechamthang residents get water from the Rural Water Supply Schemes (RWSS).

22. There is enough water supply from the Lharing Chhu source but the existing water supply system is not functioning optimally due to poor operation and maintenance. The government in 2017 completed the construction of Water Treatment Plant and provision of household connections in Sarpang Tar. The water treatment plant is located on government land (Map 8) The STUDP will complete the water supply system by developing water transmission infrastructure to ensure water supply in the main town area (Sarpang tar) and the proposed satellite town (Shechamthang).

1. Sarpang Water Supply

23. The proposed subproject to be financed under STUDP includes the following components for Sarpang water supply package:

- (i) Construction of reinforced cement concrete (RCC) grit chamber near intake at Lharing Chhu;
- (ii) Construction of 16.053 km ductile iron raw water main from source to existing water treatment plant;
- (iii) Construction of river crossing (8 meters [m]); and
- (iv) Construction of 2 RCC Break pressure tanks (10 cubic meters each) along the main transmission line using ductile iron pipes and fittings with barbed wire fencing and gate.

24. The construction of the water treatment plant (WTP) to service the town is currently ongoing and is being financed from the 2017-2018 annual budget for Sarpang dzongkhag.

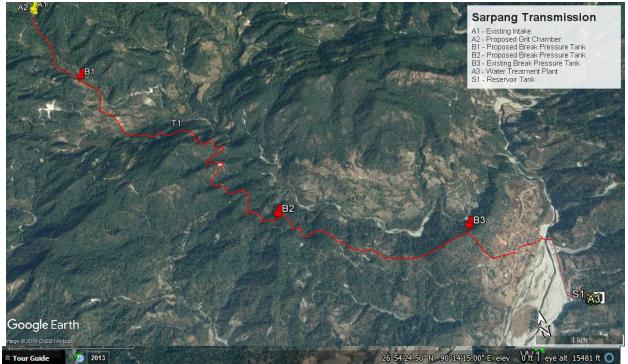
25. The following map shows the proposed water supply system and location of various components of the subproject.

⁹ These are identified strategic towns located along the border with India, which have high potential as centers for trade and manufacturing.



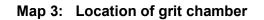
Map 1: Proposed Water Supply System for Sarpang

Source: PMU, STUDP: derived from Google earth.



Map 2: Water Transmission line alignment

Source: PMU, STUDP: derived from Google earth.



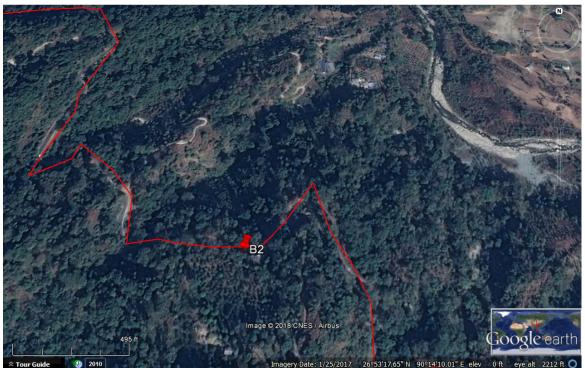


Source: PMU, STUDP: derived from Google earth.





Source: PMU, STUDP: derived from Google earth.



Map 5: Location of Break Pressure Tank 2

Tour Guide 2010 Source: PMU, STUDP: derived from Google earth.



Map 6: Location of River crossing section (8m)

Tour Guide 20 2010 Source: PMU, STUDP: derived from Google earth.

B. Land Acquisition and Resettlement

Most components of the water supply scheme do not impact any private assets such as 26. land, fruit trees and trees having economical values and, structures. No land acquisition will take place under the sub-project. However, 2.156 km of the water transmission line will be laid through 19 private agricultural plots. The pipe will be laid in less than 10% of each plots area. The land owners were consulted and have agreed to laying of the pipe through their properties. They have provided written consent for this and will be compensated for crop/ tree losses which they would incur as a result of the pipe laying. Site visits, third party independent assessment and due diligence confirm that these 19 land owners: (i) were adequately consulted; (ii) do not belong to vulnerable category; (iii) were made aware of their rights to compensation for asset losses and other damages incurred due to the pipe laying works; (iv) are not going to be unduly affected due to laying of two pipes through their properties; and (iv) were not coerced into signing the agreement with the dzongkhag. A resettlement plan has been prepared to address these impacts. The bidding documents for this subproject include the following provisions which address the concerns of these 19 land owners regarding the construction works: (i) no heavy machinery will be used within their plots; and (ii) contractors should undertake multiple pipe laying works within a single property simultaneously to avoid inconveniencing the affected persons multiple times.

27. Appendix 1 provides details of land ownership. Appendix 2 provides minutes of consultation with the affected persons. Appendix 3 shows consent of affected land owners for laying of transmission pipe in their property. There are no persons that will be affected by physical displacement or structure loss. No business establishments or livelihoods will be disadvantaged because of the subproject. All subproject component sites (excluding 2.156 km of the water transmission pipeline) are on government land or along existing road right of ways.

Component	Status of Land
(i) Construction of RCC grit chamber near intake at Lharing	Government-owned land, refer to
Chhu (35m away)	Appendix 1, Appendix 3,Map 4
(ii) Construction of 16.053 km ductile iron raw water main from source to existing water treatment plant.	14.5 km pipe will be laid along exiting road right of ways/ government-owned land, refer to Appendix 1; Appendix 3, Maps 2 and 3. Resettlement plan has been prepared for impacts to 19 agricultural land holders for laying of 2.156km water transmission pipe line.
(iii) Construction of river crossing (8m)	Government-owned land (will be laid along existing bridge), refer to Appendix 1, Appendix 3, Map 7
(iv) Construction of 2 RCC Break pressure tanks along the main transmission line.	Government-owned land, refer to Appendix 1; Appendix 3, Map 5 and 6.

Table 2: Status of Land for Components under Sarpang Water Supply Project.

km = kilometer, m = meter, RCC = reinforced cement concrete.

C. Development of Shechamthang Local Area Plan Infrastructure: Roads, Drainage and Water Supply Project

28. This subprojsect contains two distinct parts. The first one is the construction of common urban infrastructure in the new Sarpang satellite town- Shechamthang Local area plan- where

land pooling agreements were reached in 2011 from private landowners for the development of facilities like roads, water supply and drains.¹⁰ The Sarpang Structure Plan 2010-2035, which contained as an appendix the Shechangthang Local Area Plan with all final plot alignments (post land pooling scenario) was endorsed and released by the Ministry of Works & Human Settlement to the Sarpang dzongkhag in June 2012 (Appendix 4). It was ascertained that this land pooling was not undertaken in anticipation of ADB funded STUDP. The site for this new satellite town is currently mostly green field with few sparsely located houses. The second component under this sub-project is the development of water distribution main lines to convey water to Sarpang Tar and Shechamthang LAP.

29. The works to be undertaken in the Shechamthang LAP infrastructure works package consists of the following components:

- (i) Construction of 1.3 km of primary road;
- (ii) Construction of 2.44 km of secondary road;
- (iii) Construction of 1.3 km of road side drains along primary road;
- (iv) Construction of 4.88 km of road side drain along secondary road;
- (v) 3.0 km of storm water drain;
- (vi) 0.350 km of roadside footpath; and
- (vii) 5.676 km of water distribution network.
- 30. The water distribution main infrastructure consists of the following components:
 - (i) Providing and laying 2.98km of water distribution main line from WTP to Shechamthang; and
 - (ii) Providing and laying 0.882km of water distribution main line from WTP to Sarpang Bazaar.

31. The site locations and land availability for the transmission infrastructure is provided in the next page.

¹⁰ Shechamthang- which is to be newly developed as the satellite town of Sarpang is located across the river from current settlement in Sarpang Tar and is being developed as the new commercial node of Sarpang.



Map 7: Map Showing the Water Distribution Main Alignment from existing Water Treatment Plant to Shechamthang (2.98km)

Source: PMU, STUDP: derived from Google earth





PMU, STUDP: derived from Google earth.

32. The 0.366 km of the treated water distribution main line (Map 8) will be laid through 2 private agricultural plots (0.183 km for item i and 0.183 km under item ii in Table 3 below). The two affected land owners have provided written consent for this. Appendix 5 shows consent of both land owners for laying of distribution main lines through their properties under the Shechamthang LAP infrastructure sub-project. The two land owners are also among the 19 affected land owners under the Sarpang water supply project (Table 2). The resettlement plan for the Sarpang water supply project has been prepared to address the impacts of the pipelaying activities on these two land owners (such as compensation for structure loss).

33. Site visits, third party independent assessment and due diligence confirm that these two land owners: (i) were adequately consulted, (ii) do not belong to vulnerable category, (iii) were made aware of their rights to compensation for asset losses and other damages incurred due to the pipe laying works, (iv) are not going to be unduly affected due to laying of two pipes through their properties, and (v) were not coerced into signing the agreement with the dzongkhag. Appendix 2 provides minutes of consultation with the affected persons. The bidding documents for this subproject include the following provisions which address their concerns regarding the construction works: (i) no heavy machinery will be used within their plots, and (ii) contractors should undertake multiple pipe laying works within a single property simultaneously to avoid inconveniencing the affected persons multiple times. Appendix 6 includes the report of the third party

Table 3: Status of Land for Water Transmission Components under Shechamthang Local
Area Plan infrastructure Subproject

Component	Status of Land
 (i) Providing and laying 2.98 kilometer (km) of water distribution line from Water Treatment Plant to Shechamthang 	2.797 km of pipe to be laid on Government-owned land, 0.183 km will be laid through private land for which no objection certificate has been obtained and resettlement plan has been prepared to compensate for losses for trees and assets. Refer to Appendix 1, Appendix 5 and Map 7.
 (i) Providing and laying 0.882 km of water distribution line from water treatment plant (WTP) to Sarpang Bazaar. 	0.699 km of pipe to be laid on Government-owned land, 0.183km will be laid through private land for which no objection certificate has been obtained and resettlement plan has been prepared to compensate for losses for trees and assets Appendix 1; Appendix 5 and Map 8.

1. Background of Shechamthang

34. Shechamthang is situated in the northwestern part of Sarpang Yenlag¹¹ Thromde, on the western side of the Sarpang Chhu (Sarpang river). It is bounded by hills to the north and west, as well as about 3 km of the Primary National Highway connecting Sarpang to Tsirang. On its way around the area, the road, which is 6 m wide, climbs steadily from the bridge over the Sarpang Chhu up the hills to the north and west. There is a Food Corporation of Bhutan (FCB) depot, a Gewog office and some other Royal Government of Bhutan (RGoB) institutions towards the east of Shechamthang. They are connected to the national highway by a road that was surfaced but is now quite damaged. Otherwise, there are only a few houses, scattered across the area, and unsurfaced roads.

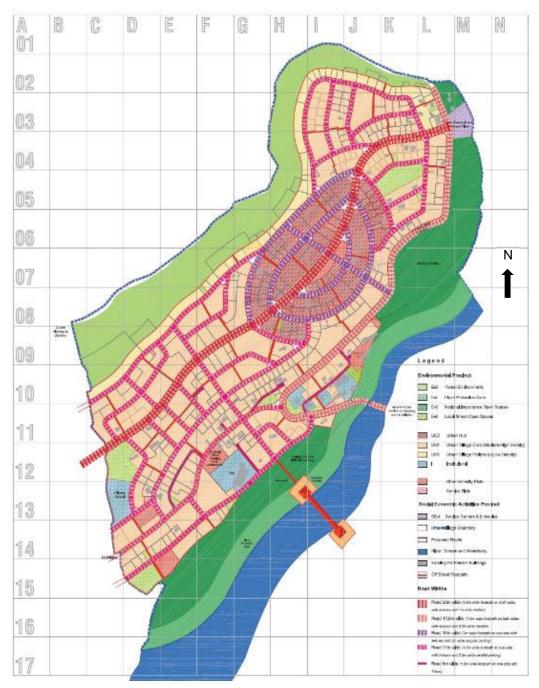
¹¹ Extended municipal area.

35. Land for the development of common urban infrastructure in the LAP was made available through land pooling which is guided by the Land Pooling Rules of the Kingdom of Bhutan, 2009 policy.¹² The final land pooling agreement was finalized between the private land owners and the Sarpang dzongkhag in February 2011, which was prior to STUDP conception.¹³ However, the government lacked the funding to develop the common urban infrastructure in the LAP. To this day the LAP is mostly barren land constituting designated plots for developments with about 11 families residing in the urban core area. Considering the prudence of phased development of the LAP (rather than developing 100% of the LAP upfront), the MoWHS decided that the only the core area and main road within the LAP will be financed through STUDP.

36. The road infrastructure would include the core area roads only as well as the main distributor road. STUDP will support (see Figure 1) the partial development of several roads: (i) roads in the commercial area; (ii) roads to and from the National Highway; (iii) roads providing access into, out of and within the whole LAP; and (iv) the roads expected to carry the highest levels of traffic, including large and/or heavy vehicles, which will have a permanent pavement of at least 4.5 m width. The subproject includes partly paved roads (1, 1B, 2, 3, 4, 5), with minimum widths of 7 m and 4.5 m. The subproject will not include the final surface sealing (blacktopping) of the roads as these are likely to be damaged due to heavy construction related traffic (materials trucks etc). The roads to be constructed under the subproject are shown in the Figure 1 and the cost estimate (including drainage) is shown in the Table 1. The project will include footpaths (road-side and off road) as well as off road parking. It does not include street lighting.

¹² Land pooling is a standard tool used for urban development in Bhutan. Other cities that have used this approach include Bajo, Kuruthang and even Thimphu where the southern expansion of the town was supported under ADB's Urban Infrastructure Project.

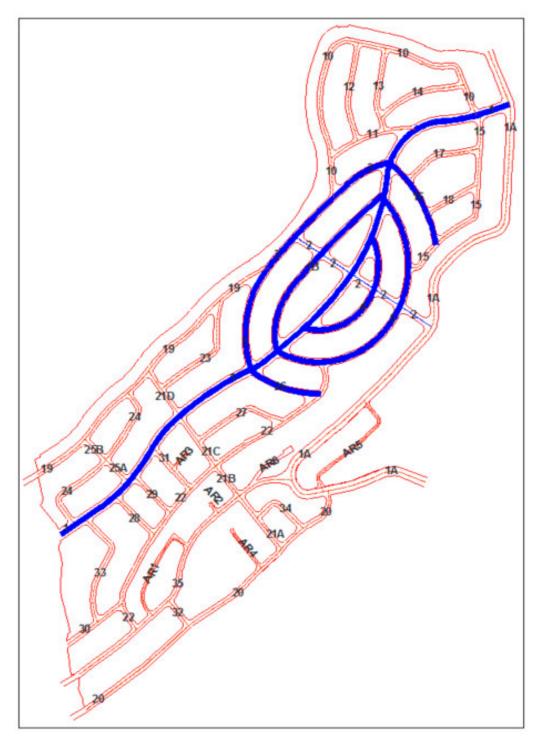
¹³ The concept paper for STUDP (formerly called Improved Urban Environmental Infrastructure Project) was approved in December 2013. Prior to land pooling/ readjustment, the proposed LAP was scattered with irregular shaped lots with no designated spaces for roads and common urban infrastructure.



Map 9: Road Map of Shechamthang LAP (entire LAP)

Source: Shechamthang LAP, Final Report- Volume 02

Figure 1: Proposed Road Layout and Reference Numbers, Shechamthang Local Area Plan 1



(STUDP scope= blue shaded roads)

Source: PPTA Consultant report, adapted from Shechamthang LAP.



Figure 2: Current situation- Shechamthang core are and main road

Source: Google Earth.

2. Drainage

37. Although there are existing houses and residents in Shechamthang there is no drainage network in the area. The only drainage that is present right now is a 60 centimetres (cm) x 80-cm random rubble masonry (RRM) drain of about 100 m length at the far lower/ south end of the locality alongside the Food Corporation of Bhutan (FCB) depot. This will have to be changed once the new LAP is implemented. The storm water collected through this drain is currently disposed of to the Sarpang Chhu (river).

38. With the absence of natural gullies or streams in the urban village other parts of Shechamthang do not have a drainage pattern. Therefore, the whole storm water drainage system, including cross drains and outlets was designed and built according to the LAP layout. Shechamthang LAP is located at a safe height (15 - 20 m above) and distance (more than 100 m) from Sarpang Chhu and therefore is at low risk of flooding. The LAP has already been planned and some road formation cutting is being done in the area.

39. The landscape of the Shechamthang LAP gently slopes towards the Sarpang Chhu river and downstream, southwards. The storm water drainage proposed in the LAP is shown in Figure 3 below. These are proposed along the core area roads as shown in Figure 3.

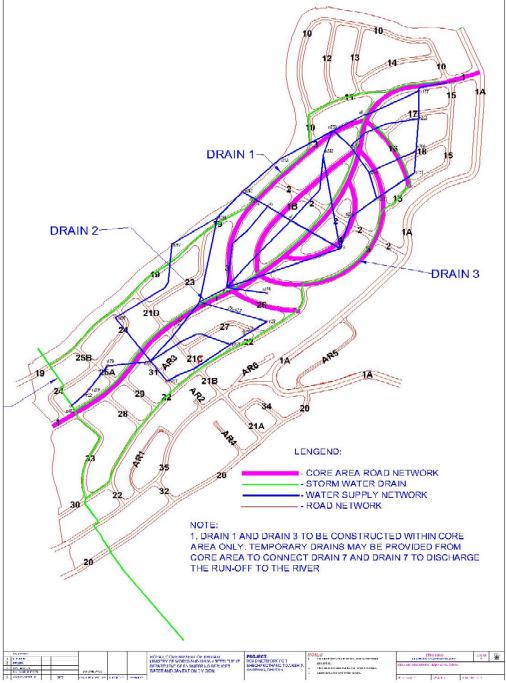


Figure 3: Layout of Proposed Storm Water Drains in Shechamthang (Ranibagan)

Source: Ministry of works and Humand Settlements.

3. Shechamthang Water Supply Distribution Network

40. The project will develop 5.676 km of water supply distribution network in the core area of Shechamthang/ Ranibagan LAP using HDPE pipe (of various sizes). The construction of transmission line from WTP to Shechamthang is included under the scope of the Sarpang water supply project.

41. The proposed network is shown in Map 10.



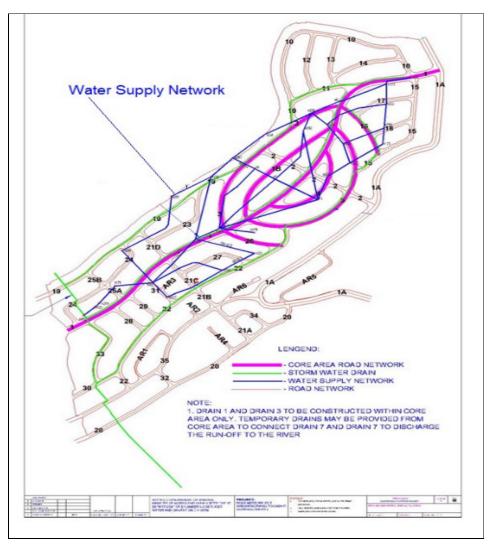


Table 4: Summary of Project Components in Shechamthang and Description	Table 4: Summar	v of Project C	components in	Shechamthand	and Description
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Components	Description	Land location
Roads	 (i) Construction of 1.3 kilometer (km) of primary road (ii) Construction of 2.44 km of secondary road (iii) Construction of 0.350 km of roadside footpath 	All components located in government portion of land obtained after land pooling
Drainage	 (i) Construction of 1.3 km of road side drains along primary roads (ii) Construction of 4.88 km of road side drains along secondary roads (iii) Construction of 3 km of storm water drain 	All components located in government portion of land obtained after land pooling
Water supply network	 (i) Construction of water distribution network for Shechamthang core area (about 5.676 km of HDPE pipe) 	All components located in government portion of land obtained after land pooling

Map 11: Map Showing Original Layout of Plots with respect to the Planned Local Area Plan (STUDP will fund red portion and main north-south road)





Map 12: Ranibagan Local Area Plan Showing Final Readjusted Plot Alignment with respect to Original Plot Layout.



Map 13: Ranibagan Local Area Plan Map- zooming in on Core Area Plot Realignment

D. Resettlement Impacts of Land Pooling in Shechamthang

42. The area of the total Shechamthang/ Ranibagan LAP (satellite town of Sarpang) is 222.96 acres which originally comprised of 112 plots- most of which are currently vacant. These 112 land owners pooled (donated) 30% of their land with the government for the development of basic urban infrastructure (roads, drainage and water supply). STUDP will finance the development of common urban infrastructure in only the core commercial area and main north-south road of this satellite town. The total land area required for laying roads and other urban services in the ADB financed portion of the LAP (covering the core area and main road) is 21.396 acres, belonging to 93 land donors. Of this total area, 6.419 acres or 30.0 % was made available through land pooling wherein these 93 households (total 629 people) who had existing irregular shaped plots in Shechamthang LAP donated 30% of their land for the development of common urban infrastructure.¹⁴ The land pooling did not result in any physical displacement of the people who lost non-land assets.

43. Of the 93 people who pooled (donated) 30% of their land in the ADB financed portion of the LAP, 14 households lost non-land assets (structures and trees) due to this land pooling process. The remaining landowners did not lose any non-land asset and only contributed land. The compensation for the lost assets has been paid through negotiated settlement. A third party independent assessment was conducted to assess the transparency and fairness of the process

¹⁴ Land pooling as practiced in Bhutan falls under 'voluntary donation' as per ADB's Safeguards Policy Statement, 2009. The land is donated and compensations are paid by the government for non-land assets. Due diligence, including a third party independent assessment was undertaken to ensure that there was no coercion involved in the land pooling (donation) process and that no persons pooling their land were made worse off due to land donation.

(Appendix 10). The details on the project affected persons (PAPs) and plots pooled are identified in Appendix 7.

Table 5: Impact on Land

Total area of Ranibagan LAP	222.96 Acres
Total plots pooled in LAPs	112 plots
Total land area pooled in Ranibagan LAP	66.88 Acres
Total developable area in the STUDP portion (Core and main road)	21.396 Acres
-Total land area pooled for the development of common urban infrastructure	6.419 Acres
in the STUDP portion (Core and main road)	
Area reallocated to land owners after land pooling	15.18 acres ¹⁵
Total number of plot owners that -pooled their land under area to be	93
developed through STUDP (core area and main road)	
(i) Total land pooled from the 14 PAPs	2.78 Acres

LAP = Local Area Plan, PAP = project affected persons, STUDP = Secondary Towns Urban Development Project

44. A new certificate of land title (Lagthram) has already been processed for each individual owner reflecting the deduction of the 30% that was pooled for the sub-project. Hence, the 6.419 acres of land which were pooled now belongs to the government, the rest of the land areas are still privately owned.

45. The Sarpang dzonghkhag undertook 7 formal consultations with the plot owners in Shechamthang between 2004-2012 culminating into the final agreement of land pooling as reflected in the minutes of the meeting held on 15 February 2011. These consultations covered a wide range of topics including: (i) explaining the reason for land pooling, (ii) negotiating and agreeing on the compensation for lost assets, and (iii) agreeing on the final plot alignments. These consultations were led by the Sarpang dzongkhag, but were not documented well. the third party undertook triangulation consultations. Through this triangulation it was ascertained that everyone agreed to the land pooling, that the rates for compensation of affected assets were negotiated with the affected persons and that affected persons expressed satisfaction with the compensation rates provided.¹⁶ The process of land pooling, negotiating of compensations and payment of compensations was carried out in a fair and transparent manner without any coercion or asymmetrical power relations (refer para 47 below).

46. Thimphu based NGO- Tarayana Foundation was appointed jointly by the PMU/ PIU and people of Sarpang (represented by local elected leader) to undertake the third party independent assessment of the land pooling and negotiated settlement. The third party has certified that the process of land pooling and negotiated settlement were conducted in a fair and transparent manner and that the landowner(s) were happy to pool their land in exchange of better urban services. The appointment letter for the third party is in Appendix 8. The third party's report is presented in Appendix 9. The third party assessment and due diligence by PPTA team and ADB project team confirm that:

(i) No coercion was used in the land pooling process;

¹⁵ This includes 0.2 acres which had to be purchased by 11 plot owners (in core area) from the government at 2017 rates to get the minimum plot size of 13 decimal, which is required for land pooling in order to retain the minimum required plot size of 9.1 decimals after land pooling.

¹⁶ The compensation for affected structures/ trees were estimated through actual measurements undertaken by a Sarpang dzongkhag engineer, PIU Project manager, and project preparatory technical consultants with the affected persons.

- (ii) No asymmetry of power or withholding of any information by the government was observed during the land pooling procedure;
- (iii) Compensations were negotiated in fair and transparent manner and adequate consultations were carried out by the affected persons who participated in land pooling;
- (iv) Land transfer costs (registration fee and stamp duty) were borne by the government and not by the owner/ donor;
- (v) All concerns expressed by the land owners as agreed, were addressed and no pending issues remain;
- (vi) the land owners participating in land pooling as well as the directly affected households did not come from vulnerable groups/ poor families;
- (vii) The 14 land owners who entered the negotiated settlement with the government have not had any adverse impacts to their livelihoods and will maintain the same or better income and livelihood status;
- (viii) the negotiated settlement did not result in any negative impacts to the people participating in land pooling activity;
- (ix) No household that pooled their land was left with less than 10 decimal of land (which is the minimum developable area required per building byelaws); and
- (x) Overall, there were no grievances emanating from the land pooling scheme for the subproject both from the core urban area and the main road intended for ADB funding.

47. Due diligence process revealed that the structures were compensated for at market price, and in case of the compensation paid for trees, PAVA 2009 rates were used, in line with government policy.

VI. SOCIOECONOMIC INFORMATION OF PROJECT-AFFECTED PERSONS (PAPS)

47. The project preparatory technical assistance (PPTA) consultants validated the census and inventory of affected assets undertaken by the District Engineering Office (DEO) through a survey questionnaire¹⁷ which was administered individually on 17 Feb 2017. The third party also undertook a subsequent socioeconomic evaluation of the 14 affected persons. According to survey findings, there are no vulnerable persons whose monthly income is less than Nu. 2,195.95 per person per month.¹⁸ The PPTA team and the independent third party interviewed and surveyed 14 respondents representing 100% of the total directly affected land owners (who had pooled their land and whose assets have been impacted). The survey findings noted their pooled lands are located within the layout of the right of way (ROW) of the internal roads of the LAP intended for ADB funding. The land owners are spread within the core urban area (8 numbers) and the main road (6 numbers). These land owners participated in land pooling on a voluntary basis. Provided below is the socioeconomic information of the land owners.

¹⁷ ANNEX Household Survey Questionnaire.

¹⁸ At the time of the census the Poverty Analysis Report 2012 established the national poverty line of Bhutan to be Nu1,704.84 per person per month. The Poverty Analysis Report released by the National Statistics bureau in 2017 revised this rate to Nu. 2199/ person/ month. Taking both thresholds, the due diligence has confirmed that there are no Below Poverty Line Households or vulnerable households amongst the directly impacted people. Vulnerable persons include- persons whose incomes are below the poverty line, households with disabled heads and household head being a daily wage earner.

A. Basic Information

48. Of the 14 land owners, 9 (64.3%) are male respondents and 5 (35.7%) are female. All the respondents were married. Total household members are 66 with an average family size of 4.7.

	Table 0. Status of fand owners (Respondents)						
		Sex		Status		Household	Household
Location	Male	Female	Single	Married		members	Size
Within the core urban area	(5) 35.7%	(3) 21.4%	0	8 (57.1%)	8	35	4.4
Within the Main road	(4) 28.6%	(2) 14.3%	0	6 (42.9%)	6	31	5.1
Total	(9)	(5)	0	14	14	66	4.7
%	64.3%	35.7%	0	100%	100%	100%	

 Table 6: Status of land owners (Respondents)

B. Education

49. There is variation in terms of education among the PAPs.¹⁹ Overall, about 42.9 % are literate, the remaining 21.4% have at least finished primary education, three PAPs (14.3%) have reached secondary level, and another three PAPs (21.4%) have completed tertiary education. In relation to this data, the poverty and social analysis report (2015) conducted under the PPTA showed that households' heads and members have difficulty in obtaining regular waged or formal jobs due to low educational attainment, which is compounded by the lack of economic opportunities in the area. However, these households are not resident in this area and have stable source of income where they are currently located.

Education	Literate	Primary	Secondary	Tertiary	Others
Within the core	3	2 (14.3%)	00	1 (7.1%)	
urban core	(21.4%)				
Within the Main	3	1 (7.1%)	2 (14.3%)	2	
road	(21.4%)			(14.3%)	
Total	6	3	2	3	
%	42.9%	21.4%	14.3%	21.4%	100%

Table 7: Educational status

C. Occupation

50. Majority of the affected persons (11 numbers) are living in the LAP. They are engaged in farming including horticulture activities on their land. One person owns a poultry business and another one is engaged in selling of retail goods. The present situation in the area shows very limited formal employment opportunities outside of farming in the LAP is completely undeveloped right now. The farmers cultivate cereal crops such as maize, millets and fruit bearing trees which are sources for food consumption and the excess produce is sold in the nearby market. 9 out of 14 directly affected persons lost some fruit bearing trees which are inside the designated project for ADB funding (Table 8). One directly affected person who is engaged in raising chickens lost one poultry house (affected structure) out of three. It must be noted that the owner was informed of the cut-off date (31 March 2012) by the district engineering officer and advised not to build the third structure and continue the business in view of the LAP development that will affect the third structure. During the Consultant's visit, it was noted that the chickens were all sold in the third

¹⁹ In Bhutan, literate means, a person who could read and write but may not have finished primary education.

structure. However, the business is still ongoing in the remaining two poultry structures and compensation has been paid for the third structure.

	Table 6. Occupation of Project Affected Persons					
Occupation	Farmer	Employed	Business	Small retail	Total	
Core area	7 (50%)	00	1 (7.1%)	00	8 (57.1%)	
Main road	5 (35.8%)	00	00	1(7.1%)	6 (42.9%)	
Total	12		1	1	14	
%	85.8%		7.1%	7.1%	100	

Table 8: Occupation of Project Affected Persons

D. Income and expenditures

51. The average monthly income of directly affected persons (loosing non-land assets) from their current livelihood source (mostly farming) indicates an average per person monthly income of Nu.4,116.44 (\$64.3) which is above the 2017 poverty line of Nu.2,195.95 per person. No family is ascertained to be vulnerable²⁰. The main source of income comes from the sale of farming products and supplementary income contributed by household members such as from remittances. The incomes are presented in Appendix 9 (third party's report)

52. In terms of expenditures, households spend an average of Nu8,500 (\$127.14) per month on market purchases which includes food and other basic needs, which falls within their income range.

E. Status of dwelling unit

53. Years and length of stay reflect stability and adaptation to current living condition which is reflected on the type and quality of housing structures the directly affected peoples have invested in. Most of the structures are of light materials, in anticipation for the development of the LAP, and the cut-off date (31 March 2012) set by the District electoral officer (DEO) of Sarpang prohibiting the putting up of new structures or improvement to an existing structure. All 14 directly affected persons own their housing structures. The details of structure losses are provided in Section VII and Appendix 9. Although 11 affected persons have faced some sort of structure loss, these were mostly temporary or abandoned structures. The structure loss did not lead to any physical displacement.²¹ Pictures of the affected structures are in Appendix 9 (third party report).

			Ŭ		
ltem	Status of Property			Uses of Proper	ty
	Owner	Tenant	Squatter	Residential	Commercial
Core urban area	8 (57.1%)	00	00	7 (50%)	1 (7.1%)
Main road	6 (42.9%)	00	00	6 (42.9%)	00
Total	14	00	00	13	1
%	100%	00	00	92.9%	7.1%

Table 9: Status of Dwelling Unit

²⁰ Vulnerability criteria have been assessed as per SPS and include below the poverty line households, the landless, the elderly, women and children, and those without legal title to land (there are no indigenous peoples in the project area). The minimum monthly income per person in one household is Nu.2,777.7 which is above the 2017 poverty line of Bhutan which stands at Nu.2,195.95 per person per month of which the food poverty line is Nu. 1,473.45/person/month while the non-food allowance is Nu.722.5/person/month. (Per Poverty Analysis Report 2017 released by National Statistics Bureau)

²¹ Affected persons continue to retain the remainder of their plot area (balance area after land pooling) and several have applied for building permits for construction of their permanent homes on their plots.

VII. RESETTLEMENT IMPACTS

54. During project preparation, the census identified that 14 persons (66 people in total including household members) will lose non-land assets (trees and structures) which are located in the pooled (donated) portion of the land. The structure loss comprises of temporary stores, sheds, toilets, septic tanks and cowsheds, partial impact (<10%) on houses and full loss of 1 house (temporary structure). The third party report in Appendix 9 provides a detailed overview of the nature of structure loss. APs continue to retain the remainder of their plot area (balance area after land pooling). The landowner who faced full loss chose to construct a new pucca house and has applied for building permit and is presently staying in another temporary house on the land. The land pooling (which included land and other assets) was voluntary, and the structure loss has been compensated. The compensation for loss of trees and structures was arrived at through negotiated settlement. The census did not identify vulnerable households such as disabledheaded, or indigenous peoples-headed households; and households with incomes below the poverty line (footnote 20). The census also did not identify any tenants and any impacts on seasonal agricultural workers and leaseholders although marginal agricultural activities were being conducted by the affected persons prior to completion of land pooling. The census did not identify impacts on common property resources. The description of losses is provided below.

4. Impact on Structures

55. There are varied secondary structures that are fully affected in the STUDP area comprising of toilets (6), cowsheds (2), temporary housing structures (not used as permanent residence – 5 and used as residence - 1), water tanks (2) septic tanks (2), extended veranda (3) and poultry shed (1). The compensation received for structures through negotiated settlement, for partially affected veranda was compensated at full cost of replacing the entire veranda²².

56. Demolition of the structures took effect after one month from the initial date of payment of compensation which took place on May 26, 2017.

5. Impact on Trees

57. There are a variety of fruit bearing trees and perennial trees that were affected in the core area and in the main road. These are identified in Table 10 below.

Types of Affected Trees	Core area #	Main Road #	Total #
Bamboo	113	136	249
Guava	3	20	23
Orange	0	12	12
Bamboo 2	0	110	110
Bamboo 4	0	110	110
(ii) Litchi	0	2	2
(iii) Betel Nut	20	144	164
(iv) Mango	10	4	14
(v) Lemon	2	1	3
(vi) Jack fruit	1	0	1
(vii) Coconut	0	1	1
(viii) Fodder tree	12	13	25

Table 10: Impact on Trees

²² The loss of extended veranda portion accounts for less than 10% of the house area.

6. Impact on Business

58. There is one land owner who has lost one of 3 poultry structures. The poultry sheds serve as housing for the chickens which is a source of income of the affected person. Accordingly, the poultry owner has sold his chickens in the shed that was affected. The remaining two poultry sheds will not be affected by the Project. As such there will be no economic displacement. The land owner was compensated for all three poultry structures. According to the affected person, he will use the compensation to build a new structure in his remaining land. The affected person has affirmed that the value offered is sufficient to rebuild a new poultry structure.

VIII. FIELD VISIT AND PUBLIC CONSULTATION

A. Outline of Field Visit

59. The due diligence work was conducted through field visits, transect walks and verification of land information and records by the project team comprising of PMU officials, PPTA consultants and ADB project team during feasibility study and design stage of the project. The location of the subprojects was surveyed in June 2017 for final assessment of impacts and social safeguards documents have been prepared accordingly.

B. Public Consultation

60. Seven rounds of consultation were undertaken by the Sarpang dzongkhag (spearheaded by the dzongkhag District Engineering Office) between 2004-2012 to agree with the land owners of Ranibagan on the land pooling process. The final landowners and the dzongkhag reached a final agreement on the land pooling process during the consultation meeting held on 15th of February 2011 (Appendix 11). Subsequent consultations were held with the residents of Ranibagan in June 2017, November 2013 to March 2014 and in June, September 2013 and February 2018. Third party also conducted consultations in December 2017. Field visits have been made to the locations of the Ranibagan LAP and Sarpang water supply scheme alignment during May-June 2016 and February 2017. The PPTA team held consultations in April 2015 and February 2017 to ensure that people's views, issues, recommendations were documented. These include public consultation meetings, key informant's interview, focus group discussions and administration of survey questionnaires. As such, there has been demonstrated support and high level of project awareness and acceptability amongst stakeholders, which resulted in an agreement on land pooling between the government and land owners.

61. The first consultation were undertaken by the PPTA team during the initial assessment on 4 April 2015, at pre-feasibility stage (Appendix 12), and during the PPTA stage on selection of the subprojects on 17 Feb 2017 (Appendix 13). The public unanimously gave clearance for the project (Appendix 14). Overall, the community participation and consultation process was undertaken to: (i) share information about the status of the subproject, (ii) ask community opinions and households on options and priorities, (iii) validate status of land pooling and compensation through negotiated consultation, (iv) clarify roles and responsibilities, and (v) signify support for the sub-project in favour of socially inclusive development, transparency and improved community and gender participation.

62. Prior to the scheduled meeting, (17 February 2017), the Sarpang dzongkhag made a public announcement for a consultation meeting via the Bhutan Broadcasting System, TV and the radio (Appendix 15: BBS Announcement). The public meeting was held at the Renewable Natural

Resources (RNR) meeting Hall of the Gakidling Gewog. Initial consultation with the Head and the Engineer of the District Engineering Office of the Sarpang dzongkhag was done on the 15th February 2017 for the preparatory work, which was followed by an ocular survey on the 16th February 2017, to identify the impact areas and location of the plots of directly affected families (those loosing assets as a result of the land pooling). Land and structure owners were identified through the plot numbers assigned to them plotted on the map as shown in Map 6. A summary of the details on issues and concerns raised by the residents specific to the sub projects in Shechamthang-Ranibagan is provided in Table 11. These were taken from public consultation, focus group discussions with women, key informants' interview with government officials, responses to structured questionnaires administered to households and other stakeholders. Impact mitigation measures are likewise provided accompanying each subproject.

Concerns Raised	Requested measures	Mitigation Measures				
A. Shechamthang Internal Roads:						
Loss of income from trees	Compensation for loss of structure	Appropriate compensation according to established rates and in compliance to ADB's social safeguards policy.				
Loss of secondary structure for business (poultry) Noise, pollution and contamination caused by construction activities may increase	Compensation for loss of trees No requested measures.	Ensure just compensation for income loss that would restore livelihood back similar to or better before project intervention Contractors to establish measures to minimize noise and control of pollution				
Where construction workers hire outside/non local labor, tension may arise with local workers	Use skilled labor available in the area	Gender Action Plan to specify inclusion of preferential hiring of local labor, including women.				
Increased potential for HIV/with influx of migrant construction worker	There are significant number of cases of HIV already in the region identified by the health unit.	Information and education campaign to be undertaken within the GAP framework in raising awareness and prevention of communicable diseases. IEC campaign will target construction workers, border police, households.				
Potential increase on human trafficking	Cases of human trafficking of minors have been cited as rampant in Gelephu	Strict enforcement of border police on requirement for entry of migrant workers, entering Shechamtang. Training for border police has been included in the Project's gender action plan.				
B. Water Supply						
Increase in water tariff will have impact on vulnerable households	Tariff must be affordable to households	Consider subsidized water rates especially for vulnerable households				
C. Drainage						
Presence of hired workers outside of		Contractors to ensure provision for needs of worker				

Table 11: Summary of Concerns and Mitigations

local territory may lead to competition	
on local resources	

1. Awareness and Information about the Project

63. The participants claimed that they were fully informed about the project several times in the past, and were aware of the cut-off date set by the dzongkhag which is 12th March 2012. received.

2. Overall

64. Overall, there was generally wide appreciation and acceptance on the proposed subproject and mostly the responses were positive. The majority affirmed by raising their hands to signify. Overall, the subprojects are viewed as development initiatives that will contribute to better and comfortable facilities; increased income for local business surrounding the area; enhanced aesthetics; increase in land value; improved market access leading to increased opportunity for business development.

65. Overall, it was ascertained that land pooling was conducted in a fair and transparent manner. The compensation for lost non-land assets for the 14 land owners was agreed through negotiated settlement and paid to affected persons on 26 May 2017 in the presence of an NGO which acted as witness. There was no grievance with regards to land pooling as participants affirmed that land pooling was voluntarily done in view of the expected benefits that will be derived from the subproject such as provision of roads and associated development works, access to water supply, energy supply and income generating opportunities. Affected persons have demonstrated satisfaction with regards to the compensation paid through negotiated settlement. Third party independent evaluation confirms that no coercion was used and that the affected persons were happy with the final settlement.

66. According to the District Engineer, some 55 individuals have already signified their intention to build their structures in the LAP when the sub-project will be ready and available for occupancy. It is estimated that around 80 buildings would be under various stages of construction when project will be completed.

IX. GRIEVANCE REDRESS MECHANISM

67. Although, there is no anticipated grievance, a grievance redress procedure is set in place and will be followed accordingly in case of any grievance that may arise during implementation. Local grievance redress mechanism is important in the implementation of the proposed subproject since any complaint and concern of the affected people must be addressed promptly at no cost to the complainant and without retribution. This mechanism shall be disclosed in public consultations during detailed design and in meetings during the construction phase. Minor complaints regarding construction nuisances can best be handled by an ad-hoc committee at the local level where the subproject is located for expeditious resolutions to the complaints. For other complaints a grievance redress mechanism has been set up which is described below.

68. The Project Grievance Redress Mechanism (GRM) follows a tiered system, starting at the local level. The GRM structure has been agreed with the concerned agencies and a notification of the GRM structure as shown in Figure 2 below and the Grievance Redress Committee (GRC)

composition have been provided by MOWHS. See government notification in Appendix 16. The GRM will ensure that grievances and complaints regarding land acquisition, compensation and resettlement or other social and environmental issues will be addressed in a timely and satisfactory manner. People in the towns will be made aware of their rights and the detailed procedures for filing of grievances. PIUs will be undertaking outreach activities to make people aware of the GRM and will be published on the thromde/ dzongkhag and MOWHS websites. GRM will also be displayed at notice boards in the PIU offices.

69. **First level of GRM.** Aggrieved persons may first approach the contractor's site representative/ project manager in case of complaints related to construction related nuisances. The complaint must be recorded in the site register and contractor should provide a resolution to the complaint within 2 days. In case, the complaint is not resolved at this level, the aggrieved persons can then file a complaint with the PIU office. Aggrieved persons are entitled to lodge complaints regarding any aspect of the land acquisition, entitlements, benefits or rates of payment as well as any project related social or environmental issues. Complaints can be made verbally or in written form. Complaints made to the PIU should be resolved within 3 days. All complaints must be recorded by the PIU, including actions taken to resolve the complaint. Complaints, their nature and resolution should be mentioned in the quarterly progress reports. A sample grievance registration form is appended as Appendix 17.

70. **2nd level of GRM:** At this level, the PIU Manager/Municipal level will coordinate with the Dzongkhag/ thromde municipal Committee which should be in place prior to project implementation. This committee will be comprised of: (i) Dzongda (district administrator) or thrompon (mayor) as Chairman; (ii) municipal engineer (PIU Project managers) as Member secretary; (iii) District engineers; (iv) district/ municipal planning officer; (v) district/ municipal legal officer; (vi) district/ municipal environmental officer; (vi) district/ municipal land record officer; (viii) town representatives (elected); and (ix) gender focal person of PIUs. The aggrieved person who filed the complaint (or representative/s from the affected household/s) will be called to present his/her case and deliberation on the case will be done through proper hearing or mediation. It will be the responsibility of the dzongkhag/ thromde committee to resolve the issue within 15 days from the date the complaint is received Minutes of meeting of the Dzongkhag/ thromde committee meeting will be kept and resolution provided will be recorded for purposes of project monitoring.

71. If the complaint is unresolved at this level, the PMU, PIU or the District Administrator will inform the aggrieved person accordingly and assist them in elevating the complaint to the PMU/ Central Grievance Committee.

72.

73. **3rd level of GRM**. Grievances not redressed at the Dzongkhag/ thromde municipal committee within 15 days will be brought to the Central Grievance Redress Committee at MOWHS level. The Central Grievance Redress Committee will comprise of: (i) Secretary, MOWHS (Chairman); (ii) Director, DES (Member secretary); (iii) Project Manager, PMU; (iv) Project Coordinator, PMU; (v) Water and Sanitation Division Chief; (vi) Legal Officer, MOWHS; (vii) environmental officer, MOWHS; (viii) gender officer (MOWHS); and (ix) representatives from local NGOs. It will be the responsibility of the dzongkhag/ thromde committee to resolve the issue within 10 days from the date the complaint is received. In the event, the grievance is still not resolved; the matter may be elevated by the aggrieved person to an appropriate court of law. The court will have the final authority to approve or reject the case. Aggrieved persons may seek recourse through legal system at any stage of the GRM process.

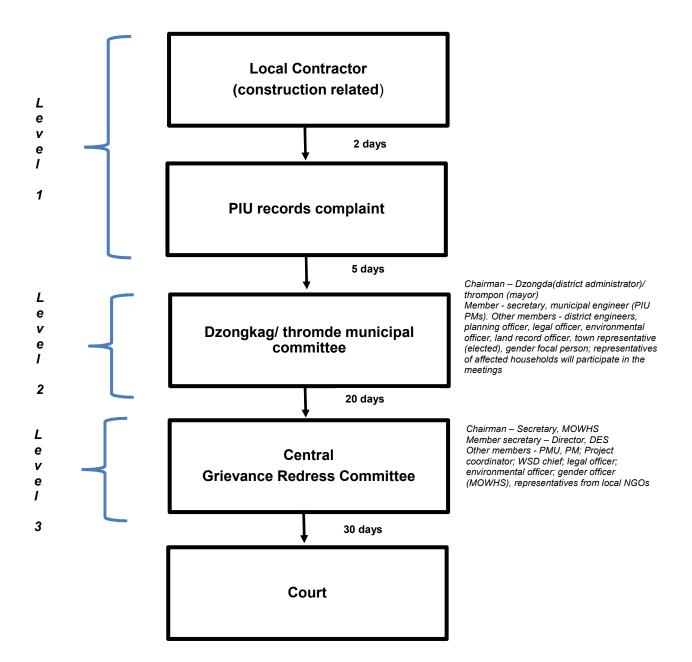
74. **Recordkeeping.** Records will be kept by the PIU of all grievances received, including contact details of complainant, date the complaint was received, nature of grievance, agreed corrective actions and the date these were effected, and final outcome.

75. **Costs.** All costs involved in resolving the complaints (meetings, consultations, communication, and information dissemination) will be borne by municipalities (Trashigang for this subproject) that operate the water system.

76. The GRM notwithstanding, an aggrieved person shall have access to the country's legal system at any stage. This can run parallel to accessing the GRM and is not dependent on the negative outcome of the GRM.

77. In the event that the established GRM is not in a position to resolved the issue, the affected persons can also use the ADB Accountability Mechanism through directly contacting (in writing) the Complaint Receiving Officer at ADB headquarters. The complaint can be submitted in any of the official languages of ADB's Developing Member Countries. The ADB Accountability Mechanism information will be included in the Project Information Document to be distributed to the affected communities, as part of the project GRM.





78. The DDR needs to be updated by the PIU in case of any design changes or site changes, and updated DDR needs to be approved by the ADB prior to implementation of works. Updated DDR will be verified by the project team prior to start of construction and will be disclosed on ADB and MOWHS websites.

STATUS OF LAND OWNERSHIP FOR SUBPROJECTS IN SARPANG TO BE SUPPORTED BY SECONDARY TOWNS URBAN DEVELOPMENT PROJECT



ROYAL GOVERNMENT OF BHUTAN DZONGKHAG ADMINISTRATION SARPANG



Dzongkhag Engineering Services

SD/Zorig/20/2017-2018/ 4548

Date: 29.01.2018

Sub: Certificate on the status of Land

This is to certify that the status of the land for Sarpang Water Supply and Shenchamthang LAP Infrastructure (roads, drainage, water supply) sub-projects in Sarpang Thromde under Sarpang Dzongkhag (district) funded under ADB, Secondary Towns Urban Development Project (STUDP) is as follows:

Sarpang Water Supply

S. No	Sub-component	Status of Land
1	Construction of I nos of RCC Grit Chamber (Including dismantling of existing sedimentation tank) near intake at Lharing Chu- 35m away	Government land
2	Providing & Laying of Raw Water DI Main of 16.053 km from the Source to the existing Water Treatment Plant	13.897 KM in Government Land and 2.156 KM private land (No Objection certificate from affected land owners is attached)
3	Construction of 2 nos. RCC Break Pressure Tank using DI Pipes & Fittings with Barbed Wire Fencing and Gate	Government land
4	Construction of 1 nos of River Crossing (8m)	Government land

Shechamthang LAP infrastructure: Roads, Drainage, Water supply

S. No	Sub-component	Status of Land
1	Providing and laying 2.98 km Water transmission line from WTP to Shechamthang	2.797Km in Government land and -0.183KM private land (No Objection certificate from affected land
2	Providing and laying 0.882 km Water transmission line from WTP to Sarpang Bazaar	owners is attached) 0.699 KM Government land and 0.183 Km private land (affected land owner's permission)
3	Water distribution network of 5.676 km in Shechamthang	pooled Government land
4	Shechamthang LAP Infrastructure	
		pooled Government lan

PABX-365173, FAX-365145, Deongdag-365100, Deongrab-365194, Adm-365264, HRO-365230, Finance-365263, DE-365168, Census Officer-365102, Planning Dfficer-365146, DED-365277, LRO-365184, RNR-365174, DMO-365120, DH5O-365159.



যাম্বান্য 1 ROYAL GOVERNMENT OF BHUTAN





Dzongkhag Engineering Services

i. Primary Road - 1.3 km	pooled Government land
ii. Secondary Road - 2.44 km	pooled Government land
iii. Road side drains along primary road - 1.30 km	pooled Government land
iv. Road side drain along seconary road - 4.88 km	pooled Government land
v. Storm water drain - 3.00 km	pooled Government land
vi. Roadside footpath - 0.35 km	pooled Government land

nistration. Ad rpang Teman) Sangay OFFICIATING DZONGDAG Sarpang Dzongkbag

PARX-365173, FAX-365145, Dzongdag-365100, Dzongrab-365194, Adm-365264, HRO-365230, Finance-365263, DE-365168, Census Officer-365102, Planning Difficer-365146, DEO-365277, LRO-365184, RNR-365174, DMD-365120, DHSD-365159.

MINUTES OF MEETING WITH AFFECTED PERSONS FOR SARPANG WATER SUPPLY (TRANSMISSION AND DISTRIBUTION SCHEMES)



SD/Zorig-20/2017-2018/ 4558

Date:- 29.1 2018

BHUTAN

20MG

Minutes of Consultative Meeting.

Public Consultative Meeting was convened at Gakidling RNR Meeting Hall along with the affected land owners of the Sompangkha and Gakidling Geog under Sarpang Dzongkhag. The theme of the meeting was to thrash out the social issues while Laying of Water Transmission Mains from Lorring to Chanaughtay Dangra and Laying of Water Distribution Mains from Chanaughtay Dangra till Schechamthang New Town is being carried out.

All the nineteen affected landowners had attended the meeting. In addition to this, three officials from Tarayana Foundation has also accompanied during the meeting as third party disposal as per the norms of the ADB requirements. The attendance sheet and their presence of signature is attached in separate sheet.

With the warm greetings, Municipal Engineer informed the floor that for any ADB earmarked projects; it is the prerequisite to conduct social analysis to safeguard the affected land owners. This will not only enhance the smooth implementation of the project but all the affected land owners shall not be deprived out of their right. Municipal engineer announced that basically, the ADB project is funding for three construction activities. They are mainly:-

- i. Construction of Water Transmission Mains from Lorring till Chanaughty Dangra.
- Laying of Distribution Mains from Chanaughtay Dangra till Schechamthang New Town.
- iii. Construction of Primary Roads within Schechamthang New Town.

Municipal Engineer addressed the floor that while the projects of sl. no. i and ii are being executed, there are ample of chances that private properties may be affected. He also added that sl. no. (i) activity is under tendering process and shall be opened on 28/2/2018 and sl. no. (ii) and sl.no. (iii) activity shall be tendered out very shortly as soon after the ground work preparations are completed. He added withstanding for the upcoming activity (i) and (ii) and recognizing the need and its importance a study was conducted by the municipality to verify the affected property owners whereby they had, come up with nineteen landowners. At the moment, it was observed that no properties were being affected except the land where no crops were being planted at this juncture. However, the sl. no. (iii) activity shall be carried out on the land pooled area of the Schchamthang LAP. That's how the consultative meeting of today was called to amicably thrash out the issues.

The points deliberated along with the affected land owners were reproduced as below:-

PABX-365173, FAX-365145, Dzongdag-365100, Dzongrab-365194, Adm-365264, HRO-365230, Finance 365263, DE-365168, Census Officer-365102, Planning Officer-365146, DEO-365277, LRO-365184, RNR-365174, DMO-365120, DHSO-365159





ROYAL GOVERNMENT OF BHUTAN DZONGKHAG ADMINISTRATION, SARPANG: BHUTAN



Engineering Service Division

Mrs. Tshering Dema from Jogidangra under Gakidling Geog has raised her concern that the water transmission mains laid earlier were not properly done and due to un-proper trenching, the pipelines were laid on the surface and affected a lot during their cultivation. It was also reported that some leakages in the pipelines has caused damages to their orchard land. Similarly, the same issue may arise during the laying of new pipelines. Moreover, they raised their concern that the season for cultivation is nearing to arrive and the pipelines maybe laid after cultivation resulting destruction in the cultivated land. The issue was supported by all the landowners who were present in the meeting.

In return, the municipal engineer explained the following:-

- In the present design, the pipelines were to be embedded below three feet and this won't affect the individuals while the cultivation is being carried out. However, we will make sure that the contractor does the proper job in meeting the desired specifications.
- ii. With regard to leakages in the system, the highest workmanship shall be maintained and the water transmission mains shall be developed into water tight compartment. It will be the sole responsibility of the municipality to compensate the individuals if such effects are observed in future. A very close monitoring and supervision shall take place from the outset of the project till its completion by the project management unit, Sarpang and MoWHS as well.
- iii. Municipal engineer clarified that tender for Laying of Water Transmission Mains are underway and municipal may soon initiate the construction after the award of work. There are ample of chances that laying of pipelines may incur destruction on your cultivated land. He addressed the floor that while the work plan is prepared, sequencing of work shall be done in such a manner to avoid destruction on the cultivated land. Since most of the pipelines run through state land, the activity shall take place only in the state land unless harvested. As far as possible the project management unit shall try their best to avoid the destruction in the cultivated land and if at all required, the compensation shall be paid to the individuals from the budget proposal made by the Dzongkhag. We will not predict any payment for now but shall be compensated as and when it is required based upon actual measurement.
- Mr. Bhanu Homagai from Jogidangra under Gakidling Geog has requested for individual house hold connections from the same project since they face acute shortages of potable drinking water supply and the present water transmission line unable to cater the required amount of drinking water. This issue was also supported by other six

PABX-365173, FAX-365145, Dzongdag-365100, Dzongrab-365194, Adm-365264, HRD-365230, Einamce-365263, DE-365168, Census Officer-365102, Planning Officer-365146, DEO-365277, LRO-3651284, BMR-365174, DMO-365120, DHSO-365159



Engineering Service Division

affected landowners from the same area. While the question was raised by the municipal engineer, they reported that the present size of the pipeline is 32 mm dia from the source till midway and it's followed by 25 mm dia till distribution tank. The exact length of the pipeline could not be determined during the meeting. They also told the size of the distribution tank is 4000 liters built in the year 2003. The distribution tank is very old and is getting obsolete.

Against the issue the municipal engineer responded and clarified the following:-

- i. On inquiry, it was observed that existing water source is sufficient to cater the required amount of drinking water for such a small population. The problem is with the curtailing of the pipe size in the mid-way, using 25 mm dia and being distribution tank very small and obsolete is creating insufficiency of drinking water.
- ii. It was informed the floor that raw water transmission mains was solely calculated for Schechamthang New Town in the present design aspects in the first place. If individual household connections were given to Jogidangra affected landowners; firstly, the purpose of the municipality to supply treated drinking water will be defeated. We cannot provide raw water to the consumers without treatment as per drinking water rules. Secondly, the location of treatment plant is much lower than Jogidangra area and will be unable to cater the water after treatment. As such the floor was informed and convinced its inability to provide the individual household connections as well.
- iii. The size of 25 mm dia pipe should be replaced by 32 mm dia and the higher capacity distribution tank should be constructed as an alternative. In contrast to facilitate the affected landowners, the municipal engineer informed the floor that the above activities shall be appraised under the same project for support or otherwise as second alternative; maybe the communities should come up with the proposal of the project through the Geog.
- Mr. Mon Bdr. Rana from Relangthang under Gakidling Geog had requested the municipality to lay the new pipelines and shift the existing pipelines from outside his fencing area. The municipal engineer told that new pipelines could be taken out of his fencing area if the distance of reach is permissible and with regard to relocation of existing pipelines, the decision could be offered only after field verifications.
- Mr. Kusha Rai from Jogidangra under Gakidling Geog had requested to shift the existing pipelines running through his retaining walls and to lay the new pipelines beyond the retaining wall. With regard to new pipelines, it was informed that new pipelines shall be

PABX-365173, FAX-365145, Dzongdag-365100, Dzongrab-365194, Adm-365264, HRO-365230, Finance-G A 365263, DE-365168, Census Officer-365102, Planning Officer-365146, DED-365277, LRO-365184, RNR-365174, DMO-365120, DHSO-365159



Mr. Pema Wangchuk from Jogidangra under Gakidling Geog has reported that the usage of machineries in trenching of pipelines shall not be permitted during execution period in the private land. In return, municipal engineer also stated that the trenching shall be done manually and the usage of machineries shall be kept at the discretion and amicable understanding between the contractor and the landowner.

In general, the municipal engineer requested the affected landowners to immediately report the project management unit, Sarpang Dzongkhag if any mismanagement is being carried out at site during the implementation stage rather than conversing with the contractor. This is basically to resolve the confronted issue amicably between each other and avoid un-necessary guarrels.

The representatives from Tarayana Foundation as third party have also interviewed the landowners during the consultative meeting and photographed the individuals for evidence.

Since there were no further submissions from the affected landowners and after thorough explanations, the landowners had accepted the undertaking and signatures granted.

The consultative meeting was adjourned.

451 (Tashi Chhophel)

Rapporteunicipal Engineer Sarpang : Dzongkhag

PABX-365173, FAX-365145, Dzongdag-365100, Dzongrab-365194, Adm-365264, HRO-365230, Finlance-365263, DE-365168, Census Officer-365102, Planning Officer-365146, DEO-365277, LRO-365184, RNR-365174, DMO-365120, DHSO-365159

CONSENT OF LAND OWNERS FOR LAYING OF TRANSMISSION PIPE THROUGH THEIR PROPERTIES FOR SARPANG WATER SUPPLY SYSTEM

VOLUNTARY CONSTRIBUTION CONSENT FORM



Royal Government of Bhutan Sarpang Dzongkhag

Certificate of Permission to lay water transmission pipeline

We, the following land owners residence of Gakidling & Sompangkha Gewog, Sarpang Dzongkhag, Certify that we have been previously informed by Sarpang Dzongkhag of our right to entitle any compensation for loss of property (house, land trees etc), that may be caused by the laying of 200mm diameter ductile iron water transmission line financed by the Secondary Towns Urban Develogment Project in Sarpang district.

We confirm that we voluntarily permit the project implementing/ executing agencies to lay the aforementioned pipe in our property. We agree and also conform that no assets are lost in our property.

Therefore, we prepare and sign this certificate for proof of our decision. Further, we certify that we have given our consent without any force' coercion from anybody, including project authorities.

Ш., (о.	Name & CID No.	Age	Occupation	Location	Area of Pipe Line Sq.m	Signature of the owner	Remarks
	Tshering Dema ; 11307001448	42	Homewife	Phuntshogang, Jogidangra	126	(Jourge	Mr. Kundh Tihaway Huchan
	Kusha Rai ; 11307001727	40	Mason	Phuntshogang, Jogidangra	39		Sancha Roya Mongue. Wife
3	Santosh Rai; 11307001728	21	Farmer	Phuntshogang, Jogidangra	72		
	Bhanu Humagai 11305002583	42	Engineer	Phuntshogang, Jogidangra	18	etu:	Damber Singh Rai Relation
	Dhan Kumar Samal 11307001673	31	Farmer	Phuntshogang, Jogidangra	66		Sounda Kuma Sonnal, Father
6	Lal Bdr Rai; 11307001782	37	Farmer	Phuntshogang, Jogidangra	57	buties	Khiliman Raj Father
	Mondos Lungeli 11307001647	73	Farmer	Phuntshogang, Jogidangra	126	B	Pweng sign Lungeli Son
8	Harka Bdr Rana 11307002383	72	farmer	Relangthang	141	Nor	T. B. Rana
9	Bal Bdr Pithakoti 11307002476 & Son- Deo Bdr	72	Farmer	Relangthang	180	15.0	

HILL	MANDINO						
0 + 5)	Rithakoti 11307002477	34	Farmer	Relaything			20 - 20 L
,	Bhim Bdr Pulami 1021307000295	28	Working BRC	Relangthang	90	hyang:	Padan lat Moya Brakher in law
	Kausila Pradhan 1111307002450	68	Return Civil second	Relangthang	54	Azahon	Partimen Prathon Bootherinder
	Perna Wangchuk 1211804001341	65	firmer	Relangthang	78	truthere	(and my m)
	Mon Bdr Rana 1311307002499	S 2	Farmer	Relangthang	39	AP (
	Harka Bdr Rana 1411307002355	64	Former	Relangthang	10.2	Bet	
	Ubir Singh Rana 1511307002212	38	Civil Servert	Relangthang	36	Pre	
	Garjaman Pulami 1611307002374	68	Farmer	Relangthang	57	See.	
	Harka maya 17Dahal	44	Housecule	Relangthang	52.8	Al.	Yo Wurndy
	Late Birkha Bdr	1				ert.	Pwine Bir
	Biswakarma 1811311001067			Sarpang Bazar	25.8	AN AN	Sin S2 %.
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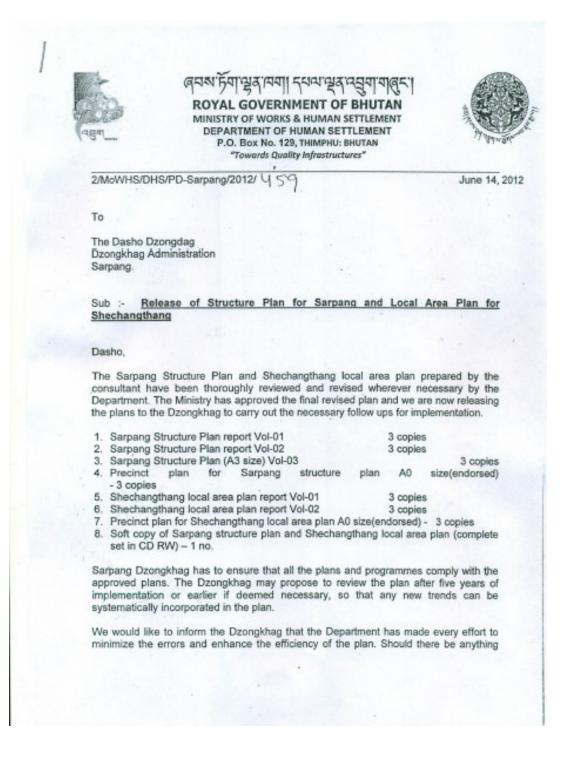
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Witnesses:

STRATION

- 1. Name & Signature
- 2. Name & Signature
- 3. Name & Signature

LETTER FROM MOWHS RELEASING THE FINAL SHECHAMTHANG LOCAL AREA PLAN TO SARPANG DZONGKHAG FOR IMPLEMENTATION





omitted; it can be looked at and corrected if necessary during the process of implementation.

The Dzongkhag is also required to forward one set of plan documents to National Land Commission and coordinate with them for further demarcation and implementation of the plan.

Thanking you.

Yours Sincerely,

6/~1

Kinzang Norbu Director, DHS

Copy to:

- 1. Hon'ble Secretary, MoWHS for kind information please
- 2. Hon ble Secretray, NLCS, Thimphu for kind information please
- 1-3. Chief Urban Planner, Urban Planning Division, DHS for information
 - 4. Dzongkhag Engineer, Sarpang Dzongkhag for information and follow up action.

CONSENT OF LAND OWNERS FOR LAYING OF TRANSMISSION PIPE THROUGH THEIR PROPERTIES FOR SHECHAMTHANG WATER SUPPLY SYSTEM

VOLUNTARY CONSTRIBUTION CONSENT FORM

Royal Government of Bhutan Sarpang Dzongkhag



Certificate of Permission to lay water Distribution pipeline from water treatment plant to Sarpang Bazaar and Shechangthang

We, the following land owners residence of Sompangkha Gewog, Sarpang Dzongkhag, Certify that we have been previously informed by Sarpang Dzongkhag of our right to entitle any compensation for loss of property-(house, land trees etc), that may be caused by the laying of water distribution line financed by the Secondary Towns Urban Development Project in Sarpang district.

We confirm that we voluntarily permit the project implementing/ executing agencies to lay the aforementioned pipe in our property. We agree and also conform that no assets are lost in our property.

Therefore, we prepare and sign this certificate for proof of our decision. Further, we certify that we have given our consent without any force' coercion from anybody, including project authorities.

SL. No.	Name & CID No.	Age	Occupation	Location	Area of Pipe Line Sq.m	Signature of the owner	Remarks
_,	Late Birkha Bdr Biswakarma 11311001067			Sarpang Bazar	37.8	HE AN	Purson Bohr Bitter a farma Som, 52 yr.
	Bhim Lhama Thinley Thing 11311001063	sy	Farmer	Sarpang Bazar	72	Blut.	nuterie

Witnesses: 1. Name & Signature 5 Rum Bal Gh 2. Name & Signature 3. Name & Signature

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THIRD PARTY CERTIFICATION FOR SARPANG WATER TRANSMISSION AND DISTRIBUTION

Third Party Statement

About 2km of the raw water transmission line from the source to the existing Sarpang water treatment plant to be constructed under the Sarpang water supply scheme will be routed through privately owned lands – most of which are used for agricultural purposes.

Ms.Sonam Pem, the independent third party from Tarayana Foundation, a registered Civil Society Organization in Bhutan is appointed to oversee and certify that the private land owners have agreed- without any coercion-to the passage of the transmission pipe through their properties and that they have been adequately consulted by the executing/ implementing agencies regarding the project and the compensation for any crop losses due to the pipe laying.

Sonam attended the consultation meeting organized by the District with all the stakeholders held on the 29th of January 2018 at the Renewable Natural Resource (RNR) Meeting hall in Gakidling gewog. The Dzongkhag Engineering Office (DEO) has already carried out a field assessment and has also done the measurement of land for the pipe laying work in the APs land. The APs were well aware of the project and had come prepared with the concerns they had with regards to this work.

Third Party Statement



Consultation Meeting

During the meeting, the affected persons (APs) were reoriented on the whole project first and then the specific issue of laying water pipes through their private land. All the APs were also reminded of the meters of pipe that will be laid on their land, and then they were asked to voice out concerns openly on this matter.

From the consultation, there were two concerns raised by the APs:

- The major concern all the APs was not on the pipe having to be laid on their land, but on the depth of the pipe laying work. This is mainly due to the past experience as the earlier transmission line was not laid properly and it had resulted into leakage of water on their land and damaged their orchards. The District engineers explained on the depth requirement of this pipe laying work and that their concerns related will be adequately addressed.
- The second concern was raised by a few APs was on the drinking water issue. To which the District Engineer said they Dzongkhag will look into it. The details are in the minutes of the meeting prepared by the DEO.

After the deliberations were done thoroughly, all APs signed on the no objection statement for the pipe laying work. This is also with the



Third Party Statement

understanding that there is no restriction on the land use after the pipes are laid.

The independent assessment team visited all the sites to see the land identified for the pipe laying work and to gathered information to ensure that none of the family falls under the vulnerable category.



Pictures from the site visits



Having attended the consultation meeting with the APs and seeing all the sites, I hereby certify that:

The land owners have:

 a. willingly and without any coercion agreed to the laying of the water transmission line through their properties;



Third Party Statement

- b. been adequately consulted and informed about the project (including construction schedules) and their eligibility for compensation for any crop/ structure losses.
- c. the laying of the pipe through does not adversely affect any vulnerable groups/poor families
- d. that the preferences and concerns of the land owners related to pipe alignment through their properties are recorded and any stipulated conditions related to pipe laying without transfer of land to government, will be met

Barry

Sonam Pem

4

Programme Director Tarayana Foundation

DETAILS OF PAYMENT OF COMPENSATION

						Properties affected		Total Final
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
1.	Mr. Bhim Bdr. Rai	608	2/1	Banana: 8 nos. Guava: 3 Nos. Nu. 5,862	Toilet Nu. 73,273.06	Photograph of AP Photograph of AP Photograph of AP receiving cheque		Nu 79,135.06

					Properties Af	fected		Total
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
2.	Mr. Chitra Kala Sutar Karki	1436	3410/A	Nil	House used as store and Toilet Nu. 277,486.02	Photograph of AP Photograph of AP Photograph of AP		Nu. 277,486.02
					Total			
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received

3.	Mr. Damber Kumar Siwakoti	762	87/1	Guava: 5 nos. Bamboo: (2 yrs) 100 nos Bamboo (4 yrs): 100 nos Beatle nut: 50 nos. Nu. 95,500	Toilet and store Nu. 121,493.98	Photograph of AP Photograph of AP Photograph of AP receiving cheque		Nu 216,993.98
				-		operties Affected	Г	Total
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
4.	Ms. Deki	571	290/1	Banana: 20 nos. Beatle nuts: 16 nos. Mango: 2 nos. Fodder trees: 12 nos Nu.33,920	Nil	Photograph of AP		Nu 33,920.0

					Pr	operties Affected		Total compensatio
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	n received
5.	Mr. Ganga Ram Basnet	540	37/1/2	Banana: 21 nos. Guava: 1 no. Litchi: 1 no. Beatle nut: 83 nos. Mango: 1 no. Lemon: 1 no. Coconut: 1 no Nu.130,884	Cow shed Toilet Water tank Small shed like house which is not used as residential structure Nu. 200,453.41	Photograph of AP Photograph of AP Photograph of AP receiving cheque		Nu 331,337.41

					Pr	operties Affected		Total
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
6.	Mr. Gopal Khatri	120	4/2		Poultry farm Toilet Caretaker house Water tank Septic tank Extended Verandah Nu. 815,393.40	Photograph of AP representative Photograph of AP representative Photograph of AP representative		Nu. 815,393.40

						operties Affected		Total
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
7.	Late. Hasta Bdr. Panda	537	283/J	Banana: 65 nos. Guava: 10 nos. Fodder trees: 8 nos. Nu. 26,210	Shop Nu. 107,347.23	Photograph of AP representative Photograph of AP representative		Nu 133,557.23

					1	Affected Assets		Total
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
8.	Mr. Man Bdr. Rai	604	2/D	Banana: 8 nos. Beatle nut: 3 nos. Mango: 2 nos. Lemon: 2 nos. Nu. 16,337	Extended verandah Nu. 83,861.38	Photograph of AP Photograph of AP Photograph of AP	Survey and a survey of the sur	Nu. 100,198.38

						operties Affected		Total Final
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
9.	Mr. Penjor	508	291/C	Banana: 7 nos. Beatle nut: 1 no. Mango: 3 nos. Nu. 20,359.06	Cowshed Nu. 8,823.09	Photograph of AP Photograph of AP Photograph of AP receiving cheque		Nu 23,386.09

					Pr	operties Affected		Total compensatio n received
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	
10.	Ms. Radhika Karki	12	288/2	Nil	House structure used as store Nu. 266,946.55	Photograph of AP representative Photograph of AP representative Photograph of AP receiving cheque		Nu. 266,946.55

					Pr	operties Affected		Total
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
11.	Mr. Shabir Monger	516	37/C	Nil	Temporary shed like Housing structure not used as residence and toilet Nu. 132,958.36	Photograph of AP Photograph of AP Photograph of AP Photograph of AP receiving cheque	<image/>	Nu. 132,958.36

				Properties Affected				Total compensatio n received
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	
12.	Ms. Sumitra Rai	605	2/F	Nil	Extended Verandah Nu. 87,934.45	Photograph of AP representative Photograph of AP Representative Photograph of AP Representative		Nu. 87,934.45

						operties Affected		
SI. No	Name	Th-No.	Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	Total compensatio n received
13.	Mr. Tek Bdr. Rai	572	288/G2	Banana: 70 nos. Litchi: 3 nos. Mango: 3 nos. Jack fruit: 1 no Nu. 37,705	Toilet Nu. 73,835.56	Photograph of AP Photograph of AP Photograph of AP receiving cheque		Nu. 111,540.56

					Total final			
SI. No	Name		Plot no	Fruit trees details and Total amount	Structures details and Total amount	Photographs of PAPs	Picture of affected Structures	compensatio n received
14.	Ms. Tshewang Lhamu	515	30/B/2	Banana: 50 nos. Guava: 4 nos. Orange: 12 nos. Bamboo (2 yrs): 10 nos. Bamboo (4 yrs) 10 nos. Litchi: 1 no. Beatle nut: 11 nos. Mango: 3 nos. Fodder trees: 5 nos. Nu. 82,500	Temporary house and Septic tank Nu. 250,864.10	Photograph of AP Photograph of AP Photograph of AP Photograph of AP receiving cheque		Nu 333,364.1
GRAND TOTAL (Nu)		443,481	2,271,829.38					

*Remarks: For structure loss this rate was negotiated as Bhutan schedule of rates 2015 which was found to average market price, suitable for replacement cost by the APs and PAVA 2009 rates for trees, in accordance with government policy.

**Due diligence conforms that most structures indicated as house or similar in table above were temporary or abandoned structures and were not being used as residential structures. Tshewang Lhamu's structure was a temporary dwelling. She has constructed another temporary housing structure in her plot close to the old structure and is waiting for building permit to begin construction of her new house. None of the 14 persons have been physically displaced and continue to own their original plots which are now readjusted plots afterss the land pooling.

***Remarks: Some of the affected structures are yet to be demolished even after one month time effective 26th May 2017

APPOINTMENT LETTER FOR THIRD PARTY VERIFICATION OF LAND POOLING AND NEGOTIATED SETTLEMENT

TERMS OF REFERENCE FOR INDEPENDENT THIRD PARTY FOR VERIFYING LAND POOLING CONDUCTED THROUGH NEGOTIATED SETTLEMENT IN SCHECHAMTHANG, SARPANG

For any negotiated settlement (commonly termed direct purchase) or voluntary donation of land, an external independent entity will supervise and document the consultation process and validate the negotiated settlement land pooling process as per legal requirement.

TOR for Independent Third Party Witness

An independent third party is sought to be appointed to oversee and certify the process of negotiated settlement land pooling. The third party shall be briefed about his/her expected role and deliverables by the concerned PIU/PMU.

Eligibility: The third party shall be a representative of a reputed local institution/organization with formal legal standing, without any direct interest in the negotiation process or project activity, who is acceptable to each of the concerned parties (PD, municipality and concerned land owner/donor).

Scope of work: The role of the third party shall be to ensure a fair and transparent process of land pooling conducted through negotiated settlement. The envisaged scope: of work shall entail the following:

- witness and keep a record of meetings held with the concerned parties, (\tilde{I}) (ii) ensure there is no coercion involved in the process of land pooling conducted
- through negotiated settlement / (iii) ensure that the land owners participating in land pooling did not come from
- vulnerable groups/poor families (iv) ensure that the preferences and concerns of the land owners[participating in land pooling) related to access, selection of site within lands held, etc. are
- recorded and any stipulated conditions met, (v) ensure that the negotiated settlement/land pooling agreement is drafted in a
- fair and transparent manner, confirm that the offered/agreed price/ compensation provided is fair and meet the market price of the land with similar value and condition in the area, ensure the negotiated settlement does not result in any negative impacts to the (vi)
- (vii) people participating in land pooling activity,
- (viii) identify and recommend mitigation measures to land owner /affected third party, if required,
- (ix) ensure that taxes, stamp duties and registration fees (if any) for pooled land are borne by government, and
- submit a certificate that land pooling and transfer process was conducted in fair and transparent manner and that people who pooled their land were made (x) not economically worse off because of the land pooling

Deliverables: The details of the meetings, and a certificate/reports as witness to the negotiated settlement / donation process and mitigation measures to owner / donor, if any, shall be submitted by the third party to PMU, municipality and owner/donor in the local language.

Director Department of Engineering Services Ministry of Works and Human Settlement

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CERTIFICATION

This is to certify that Ms. Sonam Pem, Program Director, Tarayana Foundation (NGO), Thimphuis appointed as independent third party to certify the process of land pooling through negotiated settlement conducted for the area required for the development of urban infrastructures under ADB financed Secondary Towns Urban Development Project in Schechamthang, Sarpang land owned by the residents of Schechamthang.

It is also placed on record that none of the signatories to this certificate have any objection to appointment of Ms.Sonam Pem as third party witness.

Duted:

1. Dasho Karma Galay, Dzongdag, Srapang Dzongkhag

2. Mr. Karma Dupchuk, Project Director, DES, MoWHS

3. Mr. Ichharam Dulal, Project Coordinator, PMU, DES

4. Mr. Tashi Chhophel, Project Manager, PIU, Sarpang

 Mr. Karma Tsheruing, Thromde Thuemi, Sarpang Thromde (Representative of landowners)

Demartment of Engineering Services

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THER ĸ. BAZAR SECRETAR SARPANG BAZAR

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Director Department of Engineering Services Ministry of Works and Human Settlement Thimphu

THIRD PARTY VERIFICATION REPORT

INDEPENDENT THIRD PARTY REPORT FOR VERIFYING LAND POOLING AND NEGOTIATED SETTLEMENT IN SCHECHAMTHANG, SARPANG

Sonam Pem Programme Director Tarayana Foundation Thimphu, Bhutan

ABBREVIATIONS

ADB APs	Asian Development Bank Affected Persons
DDR	Due Diligence Report
DEO	District Engineering Office
LAP	Local Area Plan
LP	Land Pooling
ME	Municipal Engineer
MoWHS	Ministry of Works and Human Settlement
CSO	Civil Society Organization
PAVA	Property Assessment and Valuation Agency
PIU	Project Implementation Unit
PMU	Project Management Unit
PPTA	Project Preparatory Technical Assistance
RENEW	Respect, Educate, Nurture and Empower Women
RGoB	Royal Government of Bhutan
STUDP	Secondary Towns Urban Development Project
Thromde	Municipalities

I. INTRODUCTION

The Royal Government of Bhutan pooled land with 112 households for planning of Shechamthang/ Ranibagan Local Area Plan- this is a new town envisaged as the new commercial town of Sarpang. Under Secondary Towns Urban Development Project (STUDP) ADB will be supporting the development of infrastructure (roads, water supply and drainage) in only the core area and main access road of Ranibagan. Currently only 12 families are residing in the core area as there as there is no urban infrastructure. A total of 93 plots pooled land for the ADB core area details in Annex A9.1 From these 93 plots, the total effective developable Area (square meter) is 86,586.930 (21.396 acres). Land pooled is 25,976.079 Sq.m (6.419 acres). Area reallocated is 61432.362 Sq.m (15.180acres). From the total, 70% of effective developable are accounts to 60610.851Sq.m and extra area allocated for smaller plot less than 9.1 decimal after land pooling is 821.511 Sq.m. All the households falling within the core area participated in the land pooling in the ADB funded portion contributing 30% of their land holdings towards the land pooling exercise.

The total number of plot with area less than 13 decimal is 51 and out of this 11 plots are within the core area details attached in Annex 2.¹ These households have to procure the additional land at the 2017 Property Assessment and Valuation Agency (PAVA) rate making up to the minimum requirement of 9.1 decimal after the pooling process.

The 500 plots in Ranibagan resulted from multiple selling of the land by the owners therefore; an exact population figure cannot be derived at this point of time. The total population of the 93 households in Ranibagan who participated in the land pooling exercise was 629, according to the last census report.

The total land needed for implementation of the project sub components in Shechamthang LAP consisting of roads, drainage, and water supply is 31.24 acres (12.64 hectares). Out of this total requirement, 28.46 acres was pooled from government land and rest from private land refer Annex A9.3 for details of land pooling.

Within the ADB funded portion, fourteen 14 households have lost assets/ structures due to the land pooling exercise. These 14 households who have contributed 2.78 acres (1.125 hectares) would have direct impacts from the implementation of the project sub components. There were loss of fruit bearing and fodder trees and structures. From the field assessment it was confirmed that of the 14 affected households, none of the households is headed by a disabled member, neither did they fall under the vulnerable category.

As part of the project preparatory process for the proposed ADB Secondary Towns Urban Development Project(STUDP), a due diligence report (DRR) was finalized to ensure that safeguard measures are carried out in accordance with the ADB's Safeguard Policy Statement(SPS2009). In December 2017, Tarayana Foundation, a Civil Society Organization(CSO)/ nongovernment organization (NGO) based in Thimphu Bhutan (http://www.tarayanafoundation.org-refer for Annex A9.4 details of Taravana Foundation), represented by their Program Director, Ms. Sonam Pem was appointed as the third party to carry out an independent assessment for land pooling and compensation process (through negotiated settlement) in the project sub component: Shechamthang/ Ranibagan local area plan(LAP). It is in this context; Ms. Pem supported by a team from the Tarayana Foundation conducted an independent assessment from 11 to 31 December 2017.

The Third Party Assessment Report is divided into two sections. Section I provides background of the project; scope, approach and methodology of the assessment. Section II

¹ 1 decimal= 40.5 square meters

documents key findings and recommendations. In section II, focus of the assessment is limited to presenting the fairness of the land pooling and the payments for lost assets in the pooled land done through negotiated settlement carried out due to the land pooling for the development of the Shechamthang/ Ranibagan local area plan (LAP) pertinent under the proposed ADB supported STUDP.

SECTION I

II. PROJECT BACKGROUND

The proposed ADB Project: Secondary Towns Urban Development Project (STUDP)will support the Royal Government of Bhutan in developing water and sewerage infrastructure in three secondary towns of Bhutan- Sarpang (Shechamthang), Samdrup Jongkhar (including Dewathang) and Trashigang (including Rangjung).STUDP will develop seven sub projects in three thromdes. The sub projects include water supply, sewerage, transport (urban roads), and storm water drainage. The provision of adequate, reliable and safe water and sewerage systems is expected to lead to substantial environmental improvements, including health benefits to the target population. The total cost for the ADB support is expected to be \$10million with counterpart fund of USD \$2 million from the Government.

For the Sarpang (Shechamthang), LAP, ADB will support three sub-project components which include: (i) internal roads within the urban core area of the LAP, (ii) drainage within the corearea, and(iii)water supply within the core area. Specifically, the scopes of works to be funded under the subproject are provided in Table A9.1 below:

Components	Description
Ranibagan/Shecham	thang
Roads	 i. Construction of3.74 kilometer (km) of roads ii. Construction of 0.350 km of footpath and 2800sqm of surface parking.
Drainage	i. Construction of 9.18 km of storm water drainage.
Water supply network	 Construction of water distribution network for Shechamthang core area (5.676 km)

Table A9.1: Secondary Towns Urban Development Project Components in Shechamthang (Ranibagan) Local Area Plan And Description

III. APPROACH AND METHODOLOGY OFASSESSMENT

The Assessment was carried out in a four stage method of planning and preparation; field visits; documentation of findings and review of findings. Prior to the field visit, documents related to the project including resettlement plan and due diligence report for the project and pertinent legislations and policies of the Government and ADB were studied. A template to collect information of the affected persons was prepared (refer Annex A9.5). During the field visits, meetings with the District Engineering Office (DEO) were conducted to inspect and verify land pooling and compensation documents. The list of persons met during the assessment is given in Annex A9.6 Special focus was given on reviewing minutes of public consultation meetings and compensation payment files. The team then visited Shechamthang LAP and conducted focused interviews with 14 affected persons (100%) and 2 other households for key informant interviews. Profile of the14 affected persons and the two additional land owners are given in Annex A9.7. The team then met several times to develop and present key findings from evidence and information collected in Section II of this report.

SECTION II

KEY FINDINGS OF INDEPENDENT ASSESSMENT

Field Assessment Priority Objective 1- Socioeconomic Profile of the Affected Persons:

One of the primary objectives of the independent assessment was to validate the PPTA census finding that none of the affected persons were with incomes below the Poverty line (BPL) or vulnerable. From the field visit and the poverty assessment of the APs it was established that none of the 14 affected persons fall under below Poverty Line (BPL), which is Nu.2,195.5/person/month. The table below provides the detail of the assessment:

		1		
Name	APPROX. ANNUAL INCOME (Nu)	Monthly Income	Number of family members (living together)	Income/ family members/month
Ms. Tshewang Lhamu ^a	81,852.00	6,821.00	3	2,273.6
Mr Tek Bdr Rai	200,000.00	16,666.60	2	8,333
Ms. Sumitra Rai	200,000.00	16666.66	6	2777.7
Mr. Shabir Monger(Jatt Sherab Zangmo)ª	1,71,040	14,253.3	6	2375.5
Ms. Radhika Karki	300,000.00	25,000	6	4,166.66
Mr. Penjore	100,000.00	8333	3	27,77.7
Mr. Mon. Bdr Rai	250,000.00	20.833	4	5,208
Late Hasta Bdr Panda	360,000.00	30000	8	3,750
Mr. Gopal Khatri	600,000.00	50,000	6	8,333
Mr. Ganga Ram Basnet ^a	88,320.00	7360	3	2,453
Ms. Deki	201,000.00	16,750	6	2,791
Mr. Damber Kumar Siwakoti	286,000.00	23,833.33	5	4,766
Ms. Chitra Kala Sutar Karki	216,000.00	18000	4	4,500
Mr. Bhim Bdr Rai	150,000.00	12,500	4	3,125

Table A9.2: Poverty Assessment of the Affected Persons

^a Includes imputed value of crops grown for subsistence

Of the 14 affected persons, three do not reside in the LAP area. The following are the families and their current residence:

Table A9.3: Affected Persons living	g outside the Local Area Plan portion

SI.No	Affected Person	Current Residence
1	Radhika Karki	Sarpang Tar , Sarpang District
2	Chitra Kala Sutra Karki	Thimphu District
3	Shabir Mongar (Jatt Sherab Zangmo)	Semjong, Tsirang District

The average per person monthly income of the family members of the14 affected persons is Nu 4,116.44 (\$64.3) which is above the 2017 poverty line of Nu. 2,195.95 per person per

month. In addition, none of the household heads are vulnerable (those below poverty line, the landless, the elderly, and Indigenous Peoples, and those without legal title to land). The 14 affected families have lost their structures and trees to the land pooling. A detailed pictorial report is presented below.

Field Assessment Priority Objective 2- No Forceful Land Acquisition:

Meetings and consultations with officials of the Ministry of Works and Human Settlement (MOWHS), Sarpang DEO and field interviews with land owners indicated that 7 rounds of consultations were convened from 2004 to2012 culminating into a final agreement as reflected in the minutes of the meeting heldon15 February 2011 (Refer Annex A9.8). Interviews with the affected persons and also two other landowners indicated that the land pooling process was carried out in a transparent and voluntary manner and no coercion was reported by any of landowners covered by the field interviews. In fact, all landowners interviewed showed a high level of support for the LAP and related infrastructure development. A pictorial reported on the 14 affected persons is presented below.

1. Ms. Radhika Karki

Ms.Radhika is not a resident of Ranibagan LAP area. Her affected structure is the House (67.98 Sq.m), which was used as a store. She is constructing new structures (show room) near her old house.



Figure A9.1: Old House

Figure A9.2: New constructions (Showroom)

2. Ms. Chitra Kala Sutar Karki

Ms.Karki's does not reside in the LAP area and her house was found to be abandoned during the site visit.



Figure A9.3: Chitra Kala's Abandoned House

3. Tshewang Lhamu

Ms. Tshewang is one of the resettled families in Ranibagan, her structures affected include the one storied house, septic tank and some trees.



Figure A9.4: Old House on the Left and the Temporary House on the Right

Figure A9.5: Aum Tshewang showing the Septic Tank

They have constructed a temporary shelter for now and will soon construct a two-storied building. They are waiting for the drawing approval from the District. She resides in the LAP area.



Figure A9.6: New Construction Site

4. Tek Bdr. Rai

Mr. Tek lives in Ranibagan and his affected structure is a toilet with some fruit bearing trees around.



Figure A9.7: Affected Structure: Toilet



Figure A9.8: New Toilet

5. Ms. Sumitra Rai

Ms.Sumitra a resident of Ranibagn her family's affected structure is the extended verandah of their house which measures to 24.5 Sq.m.



Figure A9.9: The Extended Veranda

6. Ms. Deki

Ms.Deki is a resident of Ranibagan, he has lost few fruit and fodder trees in the land pooling.



Figure A9.10: Ms. Deki being Interviewed in Her Current Residence

Ms.Deki is building a three storied building in the LAP area with support from her children and availing of bank loan.



Figure A9.11: Affected Trees

7. Mr.Ganga Ram Basnet

Mr.Basnet is a resident in a Ranibagan LAP area. His affected structures and loss includes: Cow shed. Toilet, Water tank, small house and fruit trees. Mr.Basnet and his family lives in the house behind the tree and no one lives in the affected 'small house'.



Figure A9.12: Small House, Toilet & Cowshed

Figure A9.13: Mr. Basnet in his current Residence

8. Mr. Gopal Khatri

Mr. Gopal Khatri resides in the LAP area. His affected structures includes: Poultry farm, Toilet, Caretaker house, Water tank, Septic tank and an Extended Verandah.



Figure A9.14

9. Mr. Penjor

Mr. Penjor resides in Ranibagan and is one of the resettled families. His affected structure is the cowshed and fruit trees.



Figure A9.15: Current Residence

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10. Mr. Bhim Bdr.Rai

Mr. Bhim is a Resident of Ranibagan LAP area. His toilet is affected in the land pooling and he also lost some fruit trees.

11. Mr. Mon Bdr.Rai

Mr. Mon is a resident of the Ranibagan LAP area, his extended veranda of the house is affected in the land pooling and he also lost some fruit trees.



Figure A9.16

12. Late Hasta Bdr.Panda

Late Hasta Bdr's family lives in the Ranibagan LAP area and the family has lost some fruit trees to the land pooling.

13. Mr. Shabir Monger

Mr.Monger is a retired corporate servant from Tsirang Dzongkhag. He is a resident of Semjong Tsirang. He has bought a plot in Ranibagan and is planning to build a house there. He does not reside in Ranibagan LAP area, but lives in his village in Semjong,Tsirang, with his family. He has lost his temporary makeshift house that is seen in the picture below and the toilet in the land pooling. He plans to avail some loan and use his pension fund to build the new house.



Figure A9.17

14. Mr. Damber Kumar Siwakoti

Mr.Damber is a school teacher at the Sarpang Jr.High School and lives in the Ranibagan LAP area; he has lost some fruit trees, a toilet and store due to the land pooling.



Figure A9.18: Fruit trees

Field Assessment Priority Objective 3–Adequate Compensations to Affected Persons for Loss of Structures and Trees: The team examined all documents including proof of payment and other related records at the Sarpang District Engineering Office (DEO). The team also met with the team leader of the Royal Audit Authority team fielded to Sarpang and gathered his opinion on the land compensation process.

The team leader expressed complete satisfaction with the process based on the documents audited. The compensation was paid in the presence of third party observes including a representative from a CSO RENEW. An official account from the representative is given as **Annex A9.9**.

The affected persons indicated satisfaction with the compensation received and did not express any grievances related to compensations as these were paid in a timely manner. However, although compensation for structures was provided at average market rates, the compensation for trees was found to be around 30% less than average market rates (footnote 1). Table A9.4 provides details of compensation paid to the affected persons.

Table A9.4: Details of Compensation Payment to Affected Persons

Name	Property Detail	Compensation for Structure	Compensation for Fruit Bearing Trees	Compensation Received
Ms. Tshewang Lhamu	House, Septic tank & Trees (has constructed temporary house and is awaiting the building permit to begin construction of her new permanent home)	250,864.10	82,500	333,364.1
Mr. TekBdr Rai	Toilet &Trees	73,835.56	37,705	111,540.56
Ms. Sumitra Rai	Extended Verandah	87,934.45		87,934.45
Mr. Shabir Monger (Jatt Sherab Zangmo)	Makeshift housing structure (where no one lives) and toilet	132,958.36	0	132,958.36
Radhika Karki	House structure being used as store	266,946.55		266,946.55
Mr. Penjore	Cowshed &Trees	8,823.09	14563	23,386.09
Mr. Mon. Bdr Rai	Extended Verandah & Trees	83,861.38	16,337	100,198.38
Late Hasta BdrPanda	Trees	107,347.23	26,210.00	133,557.23
Ms.Deki	Trees		33,920.00	33,920.00
Gopal Khatri	Poultry farm , toilet, caretaker house, septic tank, extended verandah	815,393.4	0	815,393
Ganga Ram Basnet	Trees, cowshed, toilet, water tank, small shed type dwelling where no one is residing.	200,453.41	130,884	331,337.41
Mr.Damber Kumar Siwakoti	Fruit trees Trees	121,493.98	95,500	216,993.98

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Name	Property Detail	Compensation for Structure	Compensation for Fruit Bearing Trees	Compensation Received
Mr.Chitra Kala Sutar	House (abandoned structure) &Toilet	277,486.02		277,486.02
Karki	aronet			
Mr.Bhim BdrRai	Toilet & Trees	73,273.06	5,862.00	79,135.06

Field Assessment Priority Objective 4–Land pooling carried out as per standing rules and regulations:

Key informant interviews with the Landowners at site and with officials from MoWHS and Sarpang District engineering office (DEO) confirmed that due process was followed during land pooling in accordance to the *Land Pooling Rules of the Kingdom of Bhutan 2009.* For those land owners who did not have a minimum plot size of 13 decimal prior to land pooling as mentioned above had to procure the remaining decimals at the PAVA 2017 rate to make up to the minimum plot size.² These households are given the permit to build new structures as and when they make payment for the additional land they had to procure. None of the households under this category fell into the 14 affected persons however, 11 households' falls within the core area. Review of land pooling documents indicated that only 30% of the land was pooled from land owners as per the land pooling rules.

No complaints/grievances with regards to the land pooling process were apprised to the third party during the field visit.

² 1 decimal = 40.5 square meter

Conclusion

With this report, I certify that the land pooling was conducted in the fair and transparent manner, with no coercion or asymmetric power relations involved. People are happy to contribute land for the development of essential infrastructure. There were no vulnerable people who participated in the process. However, besides the original residents of Ranibagan, the affected landowners also constituted two resettled families from the eastern regions of the country; none of them are from ethnic minority communities like the Brokpas, Doya and the Monpas. It was also found during this assessment that due to the limited understanding by these resettled families among the 14 affected persons, they were not too satisfied with the land pooling in the beginning. However, with clearer understanding that resulted from the repeated consultations and the awareness sessions conducted by the Sarpang dzongkhag and project preparatory consultants, they are now fully aware of the town planning and the benefits they will have due to the land pooling. They are very happy to know that soon they will get services like roads and water supply as a result of the land pooling exercise. Most of them have already processed their paper works for the construction of new buildings, indicating the cooperation and planning for their future. For all the affected households, the compensation for lost assets was paid as per rates agreed by both parties through negotiated settlement.³ The affected persons have agreed to the negotiated rates, although the compensation provided for trees was less than market rates. Based on this assessment, I would like to validate:

 (i) That the land pooling will not cause any significant adverse impacts on the livelihood of the land donors and have maintained same or better income/ livelihood status;

- (ii) The donors fully understood the value of their land(s) donated;
- (iii) The donation does not come from the land owner categorized as poor or vulnerable family;
- (iv) The donation will not cause any economic or physical displacement of the renters, tenant and other types of current land users;
- (v) The land donor(s) will get direct benefits from the proposed project activities;
- (vi) Meaningful consultations were conducted with the land owner(s);
- (vii) Land contribution under land pooling scheme is in full accordance with the land pooling rules (2009);
- (viii) No household that pooled their land was left with less than 10 decimal of land (which is the minimum developable area required per building byelaws);
- (ix) The land donation(s) or land contribution through land pooling scheme does not come from coercion or asymmetrical power relation between the land owner(s) and the government- such as withholding of information by the government;
- (x) All concerns expressed by the land owners as agreed, were addressed and no pending issues remain;
- (xi) Land transfer costs (registration fee and stamp duty) were borne by the government and not by the owner/ donor;
- (xii) Compensations were negotiated in fair and transparent manner and adequate consultations were carried out by the affected persons who participated in land pooling;
- (xiii) While the compensation made was not entirely at the replacement cost, the affected persons were happy with what they received; and
- (xiv) There are no outstanding grievances pertaining to the land pooling and compensations.

³ The compensation was mutually agreed at existing government rates- 2015 Bhutan Schedule of rates for structures (reflecting market rates) and 2009 PAVA rate for trees.

Annex A9.1: Details of ADB Core Area plots

N	o tand sh:	all be pooled from plot falls under	forest/Hills, Ri	iver bed at	Minimu Minimu nd reserved go	m Plot Size to l um Plot size of overnment area Deve	be alloted in Shecha 13 Decimal should is, Future fragmen dopments should be	be retained tation or su as per the	AP is 13 De l incase of fi b-divisions : prescribed	cimal (Before Fo uture fragmenta should not be en DCR	itions. Itertained in the	se areas. De	evelopment	is restricted in	the enviror	nmental precir	ncts.
										1				1	Reallocated	Plot Area	
		I	and a final state		Desista	red Area	Effective Develop	able Area	Land	Area Pooled	Land to be				sub-t	otal	
sr.	Thram No.	Owner Name	Plot	Suff			Sq.m	Acres	Pooling %age	(sq.m)	reallocated			Sq.m	Acres	Sq.m 1.048.137	Acres 0.259
10.					Acres	Sq.m	1.497.338	0,370	30%	449.201	1,048.137	0.259		1,048.137	0.259	1,350.162	0.33
1	508	Penjor	291	С	0.370	1,497.338	1,928.803	0.477	30%	578.641	1,350.162	0.334	2	1,199,360	0.296	1,199.360	0.2
2					0.477	1928.803 1713.371	1,928.803	0.423	30%	514.011	1,199.360	0.296	2	368.264	0.091	368.264	0.0
3	1635	Karma choden		1000000	0.423	526.092	526.092	0.130	30%	157.828	368.264 1.104.793	0.091	2	1,104.793	0.273	1,104.793	0.2
4					0.390	1578.275	1,578.275	0.390	30%	473.483	368.265	0.091	1	368.265	0.091	368.265	0.0
.5	1636	Kinley Wangchuk	1	1	0.130	526.093	526.093	0.130	30%	157.828 354.081	826,190	0.204	3	826.190	0.204	826.190 1,416.401	0.2
6	778 513	Vangmo Pema Gyeltshen	28	B	0.292	1.180.271	1,180.271	0.292	30%	607.029	1,416.401	0.350		1,416.401	0.350	1,416.401 368.264	0.0
8	513	Pema Gyeltshen	28		0.500	2,023.430	2,023.430 526.092	0.130	30%	157.828	368.264	0.091		368.264 424.920	0.105	424,920	0.1
9	818	Indra Kumar Gurung	28		0.130	607.029	607.029	0.150	30%	182.109	424.920	0.105		424.920	0.105	424.920	0.1
10		Devika Thapa	289	H	0.150	607.029	607.029	0.150	30%	182.109	424.920	0.09	and the second second	368.264	0.09	368.26426	0.0
11		Kunley Pem		T	0.13	526.092	526.092	0.13	30%	157.828	368.264 368.264	0.09	and the second second	368.264	0.09	368.26426	0.0
12		Tara Nindhi Kafley Tika Ram Kafley		7 A	0.13	526.092	526.092	0.13	30% 30%	157.828 157.828	368.264	0.091	and the second sec	368.264	0.091	368.264	0.0
13		Ugyen Drukpa		G	0.130	526.092	526.092 809.372	0.130	30%	242.812	566.560	0.140		566.560	0.140	566.560 1.388.074	0.
15		Dhan Bahadur Tiwari		2 8	0.200	809.372	1,982.961	0.200	30%	594.888	1,388.073	0.343		1,388.074	0.343	424.920	0.
16		Nirmala Chhetri		7 P	0.490	1,982.961 607.029	607.029	0.150	30%	182.109	424.920	0.105		424.920 368.264	0.105	368,264	0.0
17		Padam Bahadur Kuragai		2 C	0.150	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0.0
18		Man Bahadur Rai		2 D 2 E	0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0.0
19		Kali Maya Rai		2 F	0.130	526.092	526.092	0.130	30%	157.828	368.264 283.280	0.091		368.264	0.091	368.264	0,
20		Sumitra Rai Tshering Dorji		D D	0.100	404.686	404.686	0.100	30% 30%	121.406	424.920	0.105	and the second	424.920	0.105	424.920	0.
22		Kuenley Dorji		2 N	0.150	607.029	607.029	0.150	30%	837.700	1,954.633	0.483		1,954.633	0.483	1,954.633	0.
23		Mina Rai		1 E	0.690	2,792.333	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0.
24		Purnimaya Rai		2 A	0.130	485.623	485.623	0.120	30%	145.687	339.936	0.084		368.264	0.091	368.264 368.264	0.
25	753	Sangay Zangmo		2 Q	0.120	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0.
26		Chhimi Tshering		2 J 2 H	0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091		
27	Contraction in the	Drambar Singh Gurung Choki		1 D 9 C	0.040	161.874 242.812	404.686	0.100	30%	121.406	283.280	0.070	1	368.264 368.264	0.091	368.264	0.
20					0.260	1,052.184	1,052.184	0.260	30%	315.655	736.529	0.182	2	368.265	0.091	736.529	0.
29 30 31		Tul Maya Rai		1 F	0.260	3,495,440	1,478,331	0.365	30%	443.499	1,034.832	0.256	1 B	666.568	0.165	1,034.832	0
31		Deki 🛬	290				1.497.338	0.37	30%	449.201	1.048.137	0.259	0	1048.137	0.259	1048.137	0
33		Jigme Namgay	382		0.37	1497.338	1,497.338	0.37	30%	485.623	1,133.121	0.28		1133.121	0.28	1133.121	0
34	1598	Yeshi Pelgay	381		0.40	1618.744	1,618.744	0.40	30%	485.623	1,133.121	0.28		1133.121	0.28	1133.121	C
35		Yeshey Nidup	381		0.40	1618.744	1,618.744	0.40	30%	485.623	1,133.121	0.28		1133.121	0.28	1133.121	0
36		Thinley Tobgay	381		0.40	1618.744	1,618.744	0.40	30%	485.623	1,133.121	0.28		1133.121	0.28	1133.121	0
37		Rinzin Wangmo Bhim Bahadur Rai	362	21	0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264 736.529	0
39		Jit Kumari Raika		2 K	0.260	1,052.184	1,052.184	0.260	30%	315.655	736.529	0.182		736.529	0.182	424.920	0
40		Khara Nanda Adhiraki		2 M	0.150	607.029	607.029	0.150	30%	182.109	424.920	0.105		368.264	0.105	368,264	0
41	L 733	Phurpa Drukpa		2 5	0.130	526.092	526.092 607.029	0.130	30%	182.109	424.920	0.105		424,920	0.105	424.920	0
42		Sarita Rai	273		0.15	607.029 607.029	607.029	0.15	30%	182.109	424.920	0.105		424.920	0.105	424.920	0
43		Kalpana Rai		1 G	0.15	1.618.744	1,618.744	0.400	30%	485.623	1,133.121	0.280		1,133.121	0.280	1,133.121	0
44		Khampa Dorji		2 9 W	0.400	1,052.184	1.052.184	0.260	30%	315.655	736.529	0.182		736.529	0.182	736.529	0
45		Chimi Zangmo Meena Kumari Dhihal		3 C	0.100	404,686	404.686	N 0.100	30%	121.406	283.280	0.070	Contraction of the second	368.264	0.091	368.264	0

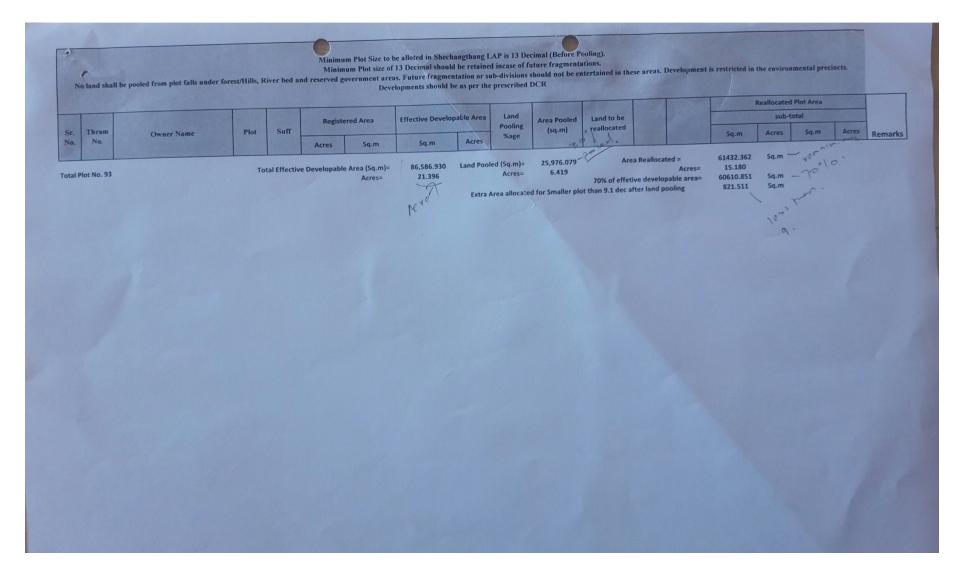
Plots under ADB core area

Minimum Plot Size to be alloted in Shechangthang LAP is 13 Decimal (Before Pooling). Minimum Plot size of 13 Decimal should be retained incase of future fragmentations.		
Minimum Flot Size to be anoted in Outering in ag	Minimum Plot Size to be a	lloted in Shechanothano LAP is 13 Decimal (Before Pooling).
	Minimum Fiot Size to be a	noted in Cutetining in a generations

No land shall be pooled from plot falls under forest/Hills, River bed and reserved government areas. Future fragmentation or sub-divisions should not be entertained in these areas. Development is restricted in the environmental precincts. Developments should be as per the prescribed DCR

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-	-							120 220							Reallocate	d Plot Area	
Sr.	Thram	Owner Name	Plot	Suff	Registe	ered Area	Effective Develop	able Area	Land Pooling	Area Pooled	Land to be				sub-	total	
No.	No.	Owner Manie	1 101	Carr	Acres	Sq.m	Sq.m	Acres	%age	(sq.m)	reallocated			Sq.m	Acres	Sq.m	Act
47	500	Keshar Man Rai	27	C	0.500	2,023,430	2.023.430	0.500	30%	607.029	1,416.401	0.350		1,416.401	0.350	1,416.401	0.3
47	568		4		0.260	1.052.184	1.052.184	0.260	30%	315.655	736.529	0.182	And the second second	736.529	0.182	736.529	0.
48		Muna Kaflay	288		0.150	607.029	607.029	0.150	30%	182.109	424,920	0.105	No.	424.920	0.105	424.920	0.
49		Milan Karki	200		0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0.
50		Sonam Pelden	2		0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0
51		Tshering Phuntsho	288		0.150	607.029	607.029	0.150	30%	182.109	424.920	0.105	- de la contra de la	424.920	0.105	424.920	0
52		Rekha Karki			0.100	404.686	404.686	0.100	30%	121.406	283.280	0.070	Service States	368.264	0.091	368.264	0
53		Kinlay Wangchuk	289		0.100	404.686	404.686	0.100	30%	121.406	283.280	0.070		368.264	0.091	368.264	0
54		Harka Bdr Rai	289	N	0.100	404.686	404.686	0.100	30%	121.400	203.200	0.070	1	1,379.618	0.341		
55							633 640.0	2.210	30%	2,683.069	6,260.494	1.547	2	3,714.482	0.918	6,260.494	1
56		Radhika Karki	288		2.210	8,943.562	8,943.562	2.210	30%	2,085.005	0,200.434	1.547	3	1,166.394	0.288		1000
57										157.020	368.264	0.091	3	368.264	0.091	368.264	0
58		Sher Man Rai	4631		0.13	526.092	526.092	0.13	30%	157.828		0.091		368.264	0.091	368.264	0
59		Inder Bdr Poudyal	4598		0.13	526.092	526.092	0.13	30%	157.828	368.264	0.091		368.264	0.091	368.264	0
60		Sonam Gyeltshen	4599		0.13	526.092	526.092	0.13	30%	157.828	368.264	0.091		368.264	0.091	368.264	0
61	1738	Wangmo Tshering	4633		0.13	526.092	526.092	0.13	30%	157.828	368.264	0.091		1.853.725	0.458	1.853.725	0
62		no information	27	1	0.654	2,648.295	2,648.178	0.654	30%	794.453	1,853.724			1,853.725	0.438	1,781.496	0
63	120	Gopal Khatiri	4		1.490	2,544.994	2,544.994	0.629	30%	763.498	1,781.496	0.440	1	424.920	0.440	424.920	0
64	1591	Choden	3811		0.15	607.029	607.029	0.15	30%	182.109	424.920	0.105	1	566.560	0.105	566,560	0
65	1592	Lhendup	3812		0.20	809.372	809.372	0.20	30%	242.812	566.560	0.140		424.920	0.14	424.920	0
66	1593	Doli	3813		0.15	607.029	607.029	0.15	30%	182.109	424.920	0.105		424.920	0.105	424.920	0
67	1594	Muku Dolma	3814		0.15	607.029	607.029	0.15	30%	182.109	424.920	0.105		424.920	0.105	424.920	0
58	1595	Sonam Dorji	3815		0.15	607.029	607.029	0.15	30%	182.109	424.920	0.105			0.105	566.56	
69	472	kaley	287	Cherry Cherry	0.20	809.371	809.371	0.20	30%	242.811	566.560	0.140		566.56			0
70	489	Dechen Pelden	289	Q	0.200	809.372	809.372	0.200	30%	242.812	566.560	0.140	1	566.560	0.140	566.560	
1	670	Thugji Pem	288	P	0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0
72	672	Lhamo	288	S	0.120	485.623	485.623	0.120	30%	145.687	339.936	0.084		368.264	0.091	368.264	0
13	490	Ugyen Tshering	288	В	0.100	404.686	404.686	0.100	30%	121.406	283.280	0.070		368.264	0.091	368.264	0
4		Muna Chhetri	288	M	0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091	2	368.264	0.091	368.264	C
5		Mindu	288	1	0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	C
6		Hari Parsad Neupani	288		0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0
7		Thinley Gyeltshen	288		0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0
8		Sarawati Pradhen	288		0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	C
			288		0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	C
9		Tshewang Tashi	288		0.130	526.092	526.092	0.130	30%	157.828	368.264	0.091		368.264	0.091	368.264	0
0		Durga Devi Pardhen	286		0.759	3.071.759	3,071.759	0.759	30%	921.528	2,150.231	0.531	5	2,150.231	0.531	2,150.231	0
81		Anil Kumar Sunar	288		0.260	1,052.184	1,052.184	0.260	30%	315.655	736.529	0.182		736.529	0.182	736.529	0
32		Bikash Thapa	289		0.200	809.372	809.372	0.200	30%	242.812	566.560	0.140		566.560	0.140	566.560	0
83		Surveyor General Sithar Namgye	289		0.150	607.029	607.029	0.150	30%	182.109	424.920	0.105		424.920	0.105	424.920	0
84		Karma Choden	289		0.100	404.686	404,686	0.100	30%	121.406	283.280	0.070		368.264	0.091	368.264	0
85		Mukid	445	0	0.150	607.029	607.029	0.150	30%	182.109	424.920	0.105		424.920	0.105	424.920	0
86	849	Choni Zangpo			0.150	607.029	607.029	0.150	30%	182.109	424.920	0.105		424.920	0.105	424.920	0.
87	825	Anu Geri	400		0.150	607.029	607.029	0.150	30%	182.109	424.920	0.105		424.920	0.105	424.920	0.
		Perna	289			607.029	607.029	0.150	30%	182.109	424.920	0.105		424.920	0.105	424.920	0.
89 90		Dhan Maya Sinchuri	289		0.150		404.686	0.100	30%	121.406	283.280	0.070	-	368.264	0.091	368.264	0
91	498	Thukla	283	E	0.100	404.686	1,484.450	0.367	30%	445.335	1.039.115	0.257	1	1,039.115	0.257	1,039.115	0
	2 1578	Jit Bdr Rai	289		0.367	1,484.450	526.092	0.13	30%	157.828	368.264	0.091		368.264	0.091	368.264	0.
	3 599	- Kumar Samal	3798		0.13	526.092		0.13	30%	121.406	283.280	0.070		368.264	0.091	368.264	0.
		Samsher Rai	289	0	0.100	404.686	404.686	0.100	30%	121.400	203.200	0.010		500.204	0.031	300.204	1



			Plot	Recon	nguratio	Dia 101 SI	ineemany	ginang i	n Shach	nathana	LAPis	3 Decim	al (Befo	s and Paymen re Pooling).				
					Register		Land	Area	Land	to be	Plot	Realloca	ted Plot	Remarks	Need to pay to	PAVA 2017 Rate per	Amount Need	Paid
Sr. No.	Thram	Owner Name	Plot	Suff			Pooling	Pooled		cated	Location	sub-t Sq.m	Acres	Remarks	for Land	Decimal	to Pay	Yes/No
15	No. 736	Tshering Dorji	29	D	Acres 0.100	Sq.m 404.686	%age 30%	(sq.m) 121.406	283.280	Acres 0.070	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	P. 0 3.00	45,744.53	1,37,233.59	3. and 15. frad
16	753	Sangay Zangmo	2	Q	0.120	485.623	30%	145.687	339.936	0.084	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	1.00	45,744.53	45,744.53	
17	735	Choki	1 29	D	0.040	161.874	30%	121.406	283.280	0.070	UC2	368.264	0.091	minimum plot size of 0.091acre	3.00	45,744.53	1,37,233.59	
18	612	Meena Kumari Dhihal		c	0.100	404.686	30%	121.406	283.280	0.070	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	3.00	45,744.53	1,37,233.59	
19	500	Kinlay Wangchuk	289		0.100	404.686	30%	121.406	283.280	0.070	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	3.00	45,744.53	1,37,233.59	
20	536	Harka Bdr Rai	289	ə N	0.100	404.686	30%	121.406	283.280	0.070	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	3.00	45,744.53	1,37,233.59	
21	672	Lhamo	28	B S	0.120	485.623	30%	145.687	339.936	0.084	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	1.00	45,744.53	45,744.53	
22	490	Ugyen Tshering	28	8 B	0.100	404.686	30%	121.406	283.280	0.070	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	3.00	45,744.53	1,37,233.59	
23	615	Mukid	28	9 U	0.100	404.686	30%	121.406	283.280	0.070	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	3.00	45 744 53	1,37,233.59	

Annex A9.2: Households with Less than 13 Decimal Lands

Appendix 9	87
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24	498	Thukla	283 E	0.100	404.686	30%	121.406	283.280	0.070	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted		45,744.53	1,37,233.59	
25	599	Samsher Rai	289 Q	0.100	404.686	30%	121.406	283.280	0.070	UC2	368.264	0.091	minimum plot size of 0.091acre should be alloted	3.00	45,744.53	1,37,233.59	

Total No of Small plot with area less than 13 Decimal is 51 Nos. out of 51 , 11 Nos of plots are within Core Area.

Table	4: Land Pooling Calculations to evolve the Land	d Pooling Percentag	e/ contribution	, ÷
		Area (sq.m)	,
	-	Actual	To be provided	1 P
1	Total Project Area	902,268.01	1	
2	Registered Land	644,389.73	Ont lolun	, or ea
2a	Under Land Pooling	1/ 541,580.86	379,106.60	- P'a' car
	Exclusions:			6
2b	River Bed	30,848.32		- oslipic
2c	Forest Environment	21,254.84		plain over
2d	Reserved Govt. Land (E5 & SE4)	50,705.71		r Le
3	Unregistered Land	257,878.28	11. A	Include
3a	Under Land Pooling (scattered Govt. Land)	\$ 14,751.26	10,325.88	pro
	Exclusions:			
3b	River Bed	87,162.28		
3c	Forest Environment	92,910.72		
3d	Road Right of Way (cadastral)	46,861.90		
3e	Reserved Govt. Land (E5)	16,192.12		% of S.N0. 4
4	Total Area Under Land Pooling	556,332.12	389,432.48	
5	Proposals (through Land Pooling)	166,797.39	% of Proposals	% of S.NO. 4
5a	Amenities (school included)	16,130.96	9.67%	2.90%
5b	Service Plots	4,374.97	2.62%	0.79%
50	Open Spaces	16,654.16	9.98%	2.99%
5d	Pedestrian Pathways	7,460.41	4.47%	1.34%
5e	Roads (excluding existing ROW's)	114,701.30	68.77%	20.62%
5d 5e 5f 6a 6b 8a	Excess Land Allotment	7,475.58	4.48%	1.34%
		166,797.39	100.00%	29.98%
68	Land Pooling Percentage	29.98%		
61	Rounding off the LP percentage to	30.00%		

Annex A9.3: Details of Land Pooling

Based on the proposed layout and plot redistribution, this comes to 30.00 percent of the land. This will be deducted from each plot, while the remaining land shall be returned to the landowner. Where there is an occupied, habitable structure on a plot, that same plot, minus the "development land" will be returned to the original owner. In cases, where there is no structure on the plot, an alternative plot may be allotted to the original landowner, in a nearby location. This reallocated plot will be calculated by taking the original plot area and deducting the 30.00 percent of "development land".

Annex A9.4: Details of Tarayana Foundation

Tarayana Foundation was founded by Her Majesty The Queen Mother Ashi Dorji Wangmo Wangchuck. It was formally launched by His Majesty Jigme Kheser Namgyel Wangchuck the then Crown Prince on 4th May 2003. Tarayana is a non-profit organization registered as a civil society organization (CSO) working in remote, rural villages to bring about holistic community growth and development serving the needy communities. The Foundation serves to bridge the gaps between larger national initiatives and local grassroots requirements. It focuses on social mobilization, capacity building and empowerment processes wherein communities make the main decisions for change they want effected in their villages.

Tarayana's interventions are mainly categorized into four main areas:

- (i) Community mobilization through awareness raising, capacity building, collective actions through self-help groups (mostly women), and promoting volunteerism both at the community as well as in the schools;
- (ii) Enhancing access to basic needs and services through housing improvement facilitating access to health and basic water and sanitation services such as organizing annual corrective surgical camps for cleft palates, hare-lips, burn victims and victims of wild animal attacks and piloting eco-san toilets;
- (iii) Increasing opportunities for education through supporting deserving students (mostly girls) to pursue tertiary education, supporting informal boarding arrangements including construction of hostels, and providing scholarships (the scholarship programme and support for senior citizens have now been merged with His Majesty's Kidu programme in 2008); and
- (iv) Enhancing livelihood prospects through income-generating activities, facilitating micro-credit for establishing micro-enterprises, and marketing of artisan's products.

Vision: A happy and prosperous Bhutan

Mission: Tarayana Foundation believes in maximizing happiness and harmony among all Bhutanese people by providing opportunities for life improvement. By helping community members learn and integrate new skills, Tarayana Foundation promotes self-empowerment and the importance of serving each other

Motto: Service from the Heart

Core Values: Compassion, Dignity, and Integrity

As of July 2017, Tarayana is working in 310 villages in 60 gewogs/blocks of 16 Dzongkhags/Districts covering 6,494 households with a total population of 51,302 (direct beneficiaries) indirectly the Foundation touches lives of close to 100,000.

Summary of the achievements:

- 1. House constructed and improved: 1,600 houses
- 2. Self Help Groups: 200 groups formed (3000 members engaged in income generating activities like weaving textiles, nettle, cane & bamboo; wood crafts- furniture, wood turning, wood carving, container making; food processing, agriculture/ vegetable production, cash crops like turmeric, ginger, cardamom & oranges, poultry farm, dairy farming, soap & candle, traditional pottery. Work-sheds/ Community Facility Centres (CFC) constructed: 50
- 3. ECCD Centers (Buzip Centres) established: 31 in 8 Dzongkhags (serving 658 toddlers in 2016); Trained and employing 39 class twelve female school leavers.

- 4. Scholarship Tertiary Education: 48
- 5. Scholarship Primary & Secondary: 1,505 students (closed)
- 6. Tarayana School Clubs: 90 active clubs throughout the country to promote the spirit of volunteerism among the youth with a volunteer membership of 4,537 members.
- 7. Support to senior and citizens with special needs: The Foundation has also supported 1000 Senior Citizen & individuals with special seeds people throughout the country by providing monthly stipend to meet their basic needs expenses. (Partial closed)
- 8. Food security and improved nutrition: Foundation supplies small agriculture machines, relevant hand tools and implements and seeds based on the expressed needs of the communities and supplementary feeding piloted in selected ECCDs.
- 9. Capacity building: Facilitated a wide range of skills training in our communities often collaborating with relevant technical agencies of the government, in the areas of natural farming; seed production and storage; postharvest management of produce; life-skills including first aid; financial literacy; waste management; sanitation; water management; trade-based skill training; book keeping
- 10. Solar Water heating systems at two nunneries.
- 11. Restorative surgeries conducted: 14 restorative surgical camps in collaboration with Ministry of Health and Surgicorp International from the US and Smile Asia from Singapore and one eye camp conducted by all Bhutanese team. These camps cumulatively screened nearly 3000 people over the years and positively transformed the lives of 872 people, mostly from the remote communities. An additional 395 senior citizens benefited from knee injections.
- 12. Community radios: 2 established (one in Lotokuchu, Samtse & the second in Dechen Pelri, Sarpang Dzongkhag)
- 13. Micro Credit: 211 individuals & 11 groups availed micro credit and took up small enterprises and income generating activities. The loan was made available at 7% interest rate p.a. Groups savings are introduced in all the SHGs, the members make monthly contribution of any amount they agree on and lend out the amount within the community.
- 14. Solar Fencing: 49.6 kms in Monggar, Lhuentse and Trongsa sites to address food security issues resulting from human wild life conflicts.

NOTE:

All these activities were possible with financial support from our donors and some as local implementing partner to the Government (REAP with GNHC with GOI funding; NAPA with NEC with UNDP GEF funding; Fuel Efficient stoves with Alternate Energy Division of Renewable Energy, Ministry of Economic Affairs with UNDP funding; Community Radio with Ministry of Information and Communication with SDC funding; Buzip programme with ECCD and SEN Programme, Department of School Education, Ministry of Education with UNICEF funding, Enhancing Economic Opportunities for women by ADB. Other Activities:

- 1. Folk Heritage Museum
- 2. Tarayana Rural Crafts and its outlets
- 3. Tarayana Social Research and Development Centre

Third Party Assessment	for Secondary Tov	vns Urban Development Project :	at Ranibaghan LAP by ADE	3
Dzongkhag 6	Sarpang			
Gewog				
Assessment Date				
Assessment conducted by	Mr. / Ms.			
1. Name of The Land Owner	Mr. / Ms.			
2. Thram No.		2a. Original Land Area	Acre	Decimal
		2b. Land Pooled Area	Acre	Decimal
3. Resident In the Proposed Lap.*	Yes / No	*if "No" go to Q8		
4. Household income:				-
Primary Source		Annual Income (Nu)		
Other Source		Annual Income (Nu)		
4	4a	. Total Annual Income (Nu)	4b. Above Poverty line	Yes / No
5. No. of Consultations conducted (Excluding PPTA report)				
6. Did you agree to the land pooling proposal	Yes / No			
7. Were you coerced to pool your land	Yes / No			
8. Received compensation for Property and Crop loss	Yes / No	Remarks:		
9. Was Compensation Adequate	Yes / No			
10. Current residence				
New House	* Yes / No			
Living somewhere else	Yes / No			
Homeless	yes / No			

Annex A9.5: Template to Collect Information of the Affected Persons

SI. No	Name	Designation	Organization
1.	Mr. Meghraj Adhikari	Urban Specialist	Department of Human Settlement, Ministry of Works and Human Settlement
2.	Mr. Ichharam Dulal	Specialist	Department of Engineering Services, Ministry of Works and Human Settlement
3.	Mr. Rajesh Pradhan	Social Safeguard Specialist	STUDP PPTA consultant
4.	Mr. Tashi Chophel	Dzongkhag Engineer	Dzongkhag Engineering Office, Sarpang
5.	MR. Masidur Rahman	Engineer	Dzongkhag Engineering Office, Sarpang
6.	Mr. Rinzin Jamtsho	Auditor	Royal Audit Authority

Annex A9.6: List of Stakeholders (Person) met during the Assessment

SI. No.	Name	Photograph	Sex	Age	Primary Source of Income	Number of family members (living together)	Vulnerable Yes/No
1	Ms. Tshewang Lhamu		F	56	Weaving & Horticulture	3(1f2m)	No
2	Mr Tek Bdr Rai		Μ	64	Horticultur	3(1m2f)	No
3	Ms. Sumitra Rai		F	36	Horticulture	6(2m4f)	No

Annex A9.7: Profile of the14 Affected Persons

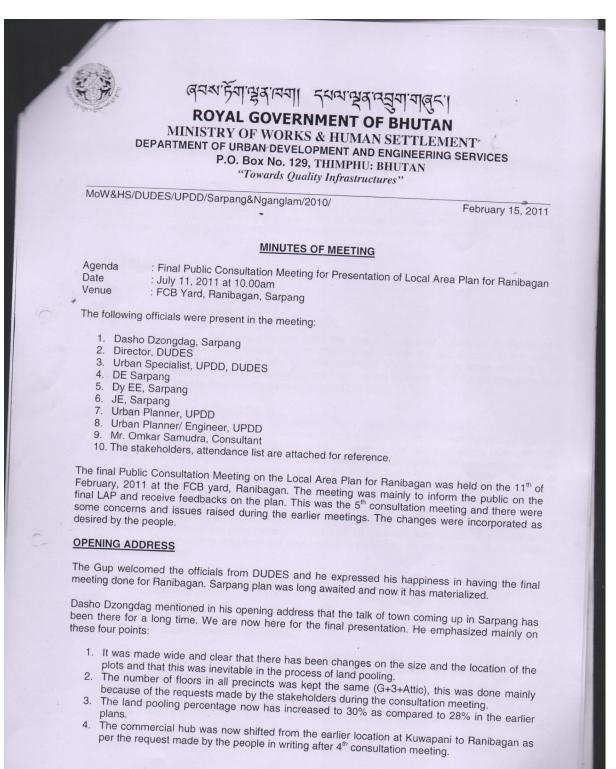
SI.					Primary Source of	Number of family members (living	Vulnerable Yes/No
No.	Name	Photograph	Sex	Age	Income	(living together)	
	Mr. Shabir Monger(J att Sherab						No
4	Zangmo)		М	56	Horticulture	6((3m3f)	No
5	Ms. Radhika Karki (Pic:Husb and of Ms.Radhik a)		F	70	Business	6	NO
6	Mr. Penjore		Μ	74	Horticulture and Remittance	3(2m1f)	No

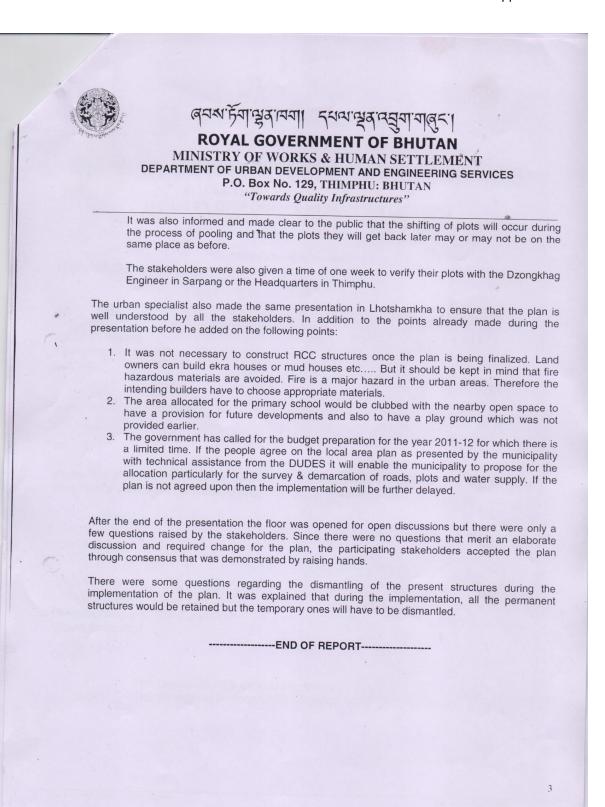
						Number of family	Vulnerable Yes/No
SI.					Primary Source of	members (living	
No.	Name	Photograph	Sex	Age	Income	together)	No
	Mr. Mon.						NU
7	Bdr Rai		М	49	Horticulture	4(1m3f)	No
8	Late Hasta Bdr Panda(pic : Mrs Panda)		Μ	63	Horticulture , Shop	8	
	Mr. Copol				Livestock		No
9	Mr. Gopal Khatri		М	57	Farming	6(3m3f)	
	Mr. Ganga Ram				Livestock Farming and	-	No
10	Basnet		М	63	Horticulture	3	

SI. No.	Name	Photograph	Sex	Age	Primary Source of Income	Number of family members (living together)	Vulnerable Yes/No
11	Ms. Deki		F	65	Livestock Farming and Horticulture	6(2m4f)	No
12	Mr. Damber Kumar Siwakoti(P ic: Mother of Damber)		Μ	33	Wage	5(2m3f)	No
13	Ms. Chitra Kala Sutar Karki		F	42	Salary	4(2m2f)	No
14	Mr. Bhim Bdr Rai		М	84	Horticulture	4(2m2f)	No

Annex A9.8: Meeting Minutes

Starding and a start of the second se	OF BHUTAN IAN SETTLEMENT ENT AND ENGINEERING SERVICES
"Towards Quality Infrastru MoWHS/DUDES/UPDD/PD/Sarpang/2011/ 970	28 th February 2011
To, Dasho Dzongdag, Dzongkhag Administration Sarpang.	Diary (10. <u>5938</u> Date : 10/3/2011 Sarpany : Decongines
Sub: Minutes of meeting	
Sir, Please find enclosed herewith the minutes for the fin Area Plan of Ranibagan (Sherchamthang) held on 11 th Yours faithfully (Meghraj Adhikari) Urban Specialist	al public consultation meeting on Local February 2011.
Copy to: 1) The Director,DUDES for kind information 2) The Dzongkhag Engineer,DEC, Sarpang	on. g.
Tel. # 322920, 326451, 323441, 327560	Fax. # 323105, 325206





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	7	Amber Bda Rai		20	551		130	1.4
1	8	Kali maya Rai		Do	609		300	
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Annex A9.9: Official Account from RENEW representative

Dated : 18/12 /2017

Account of land compensation payment for SaprpangShechamthang LAP under ADB STUDP

The undersigned representing Respect Educate Nurture and Empower Women (RENEW) attended the compensation payment process as a witness at the GakidlingGewog RNR Center on 25 May 2017. As per my observation along with nine other witnesses from Sarpang District Administration, I found that all the 14 landowners whose properties were affected were paid compensation compensationon the same day and acknowledgment of the receipt of compensation were signed by all.

Norbulhamo CID No: 12004002972 Phone No. 77603189 Email: palmo 469 @gmail. Com

Signature page of Third part for Shechamthang report

Annex 9: Official Account from RENEW representative

Dated : 18/12 /2017

Account of land compensation payment for SaprpangShechamthang LAP under ADB STUDP

The undersigned representing Respect Educate Nurture and Empower Women (RENEW) attended the compensation payment process as a witness at the GakidlingGewog RNR Center on 25 May 2017. As per my observation along with nine other witnesses from Sarpang District Administration, I found that all the 14 landowners whose properties were affected were paid compensation compensation the same day and acknowledgment of the receipt of compensation were signed by all.

Norbukhamo CID No: 12004002972 Phone No. 97603184 Email : princo 469 @gravil. Com

Program Director Tarayana Foundation Thimphu : Bhutan

Programme Director Tarayana Foundation

Sonam Pem

35

DETAILS OF COMPENSATION PAID BY THE GOVERNMENT

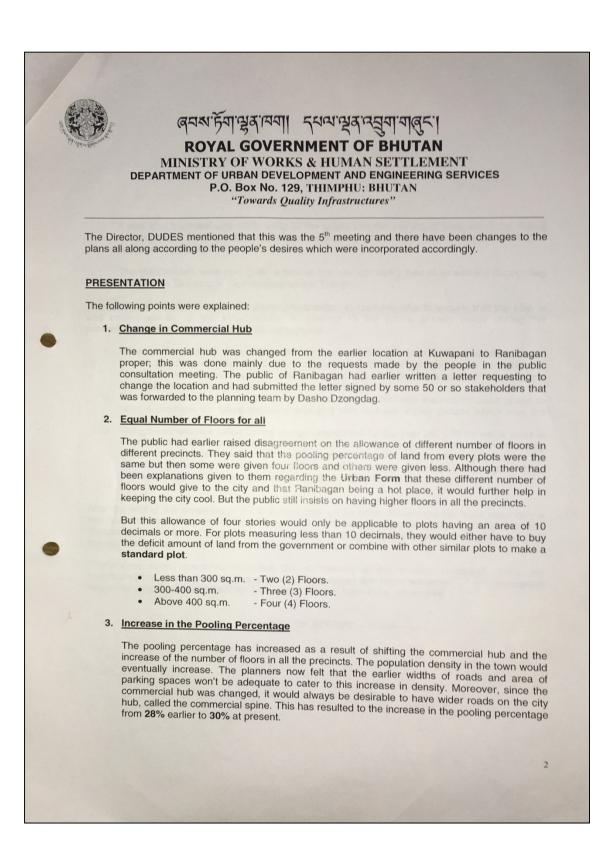
The following table provides details of compensation paid by government against loss of nonland assets.

Name of affected person	Type of loss	Compensation for Structure (Nu.)	Compensation for fruit Bearing Trees (nu.)	Compensation Received (Nu.)
Ms. Tshewang Lhamu	House, Septic tank & Trees	250,864.10	82,500	333,364.1
Mr.TekBdr Rai	Toilet &Trees	73,835.56	37,705	111,540.56
Ms. Sumitra Rai	Extended Verandah	87,934.45		87,934.45
Mr. Shabir Monger	House and toilet	132,958.36	0	132,958.36
Radhika Karki	House	266,946.55		266,946.55
Mr.Penjore	CowShed &Trees	8,823.09	14,563	23,386.09
Mr. Mon. Bdr Rai	Extended Verandah & Trees	83,861.38	16,337	100,198.38
Late Hasta BdrPanda	Trees	107,347.23	26,210.00	133,557.23
Ms.Deki	Trees		33,920.00	33,920.00
Gopal Khatri	Poulty farm , toilet, caretaker house, septic tank, extended verandah	815,393.4	0	815,393
Ganga Ram Basnet	Trees, cowshed, toilet, water tank, small house	200,453.41	130,884	331,337.41
Mr.Damber Kumar Siwakoti	Fruit trees Trees	121,493.98	95,500	216,993.98
Mr.Chitra Kala Sutar Karki	House &Toilet	277,486.02		277,486.02
Mr.Bhim BdrRai	Toilet & Trees	73,273.06	5,862.00	79,135.06

^a Additional compensation is based on the 2017 PAVA rate.

MINUTES OF PUBLIC CONSULTATION WHICH CULMINATED IN LAND POOLING AGREEMENT BETWEEN PUBLIC AND SARPANG DZONGKHAG

জনমান্ট্রাম্ট্রাম্বা ব্যম্যশ্র্র্বাব্ব্ব্বাব্ব্ব্বা ROYAL GOVERNMENT OF BHUTAN MINISTRY OF WORKS & HUMAN SETTLEMENT DEPARTMENT OF URBAN DEVELOPMENT AND ENGINEERING SERVICES P.O. Box No. 129, THIMPHU: BHUTAN				
MoW&HS/	"Towards Quality Infrastruct	February 15, 2011		
		nam-setal accerding-		
PRESENT	MINUTES OF MEETING			
Agenda Date Venue	: Final Public Consultation Meeting for Presenta : July 11, 2011 at 10.00am : FCB Yard, Ranibagan, Sarpang	tion of Local Area Plan for Ranibagar		
The follow	ing officials were present in the meeting:			
2. Dir 3. Url 4. DE 5. Dy 6. JE 7. Url 8. Url 9. Mr	sho Dzongdag, Sarpang ector, DUDES ban Specialist, UPDD, DUDES E Sarpang EE, Sarpang ban Planner, UPDD ban Planner, UPDD ban Planner/ Engineer, UPDD . Omkar Samudra, Consultant e stakeholders, attendance list are attached for refer	rence.		
February, final LAP some con	Public Consultation Meeting on the Local Area Plan 2011 at the FCB yard, Ranibagan. The meeting w and receive feedbacks on the plan. This was the 5 ^t icerns and issues raised during the earlier meeting / the people.	as mainly to inform the public on the consultation meeting and there were		
OPENING	ADDRESS			
	welcomed the officials from DUDES and he expressione for Ranibagan. Sarpang plan was long awaited			
Dasho Dz been ther these four	congdag mentioned in his opening address that the tree for a long time. We are now here for the final proposition of the	alk of town coming up in Sarpang ha esentation. He emphasized mainly o		
2. Th be 3. Th pla 4. Th	was made wide and clear that there has been chang ots and that this was inevitable in the process of land he number of floors in all precincts was kept the sa iccuse of the requests made by the stakeholders dur he land pooling percentage now has increased to 3 ans. he commercial hub was now shifted from the earlier or the request made by the people in writing after 4 th of	pooling. me (G+3+Attic), this was done main ing the consultation meeting. 0% as compared to 28% in the earlie location at Kuwapani to Banibagan of		



18/12/08 Tr willingly signed on this statement for land Posting for leve forthe coming lower Plan at Ramilapun & leur apari in present of Darho Drangelay, DE and other officials. Signatine Name of land on mere Signature TINO. HANDO 1. 1.12 Pa-7-210 Gopilal learlesi 2520000219 - ? 2. 126 Pa-7-205 Wharles Role Rai 3. 58 Ra-7-109 Gaupa Ray Chehi 0.70 acres 4. 1568 Ra-7-198 Kester Man Rai 0. So acres 1.92 acres Sr 68 Pa-7-204 Mon Maya Rai 6. ~69 Ra-7-209 Moni leuma Sunas 2.66 acres 7. × 31 Pa-7-2 Indea May a Duilal -7 8. x 447 E-7-180 Maha bashed Rai 9. 15+2 Pa-7-174 Tele Bdi Rei 0.26 acres ~ 1527 Ra-7-112 C. B. Panela 0.25 Acres - Pena Surpa 11. 1495 0.20acres Damber Sigh lung 12. 1657 -- 0.13 a mes Harles sche Rai - 0.10 acres. 13. 1536 April - Mon Bds Rai 14. 604 - 0.13 acres. 15 × ? Mon Maya Basaet mand _ _ 0.13 acres 16. 120 Ra.7.77 Gopal Icheali for lahr. - 2.50 acres

D Klame of Land ornens. Placo. HPro. -? h-7.326 Tit Bdi Rai 17 212 -+-Lopzang Jouden 18. 602 3-000101000 19 505 Part- 309 ToleeDang Chamo 20 557 - Amler rdi Beri 3.00 90000 20/551 0.30 acres Ban Manda la Rai Peep . O.13 acres 02. V.707 - Inder Leri phis - 0.20 acres 02 1514 ha-7-315 Icanjunta thoma. - 3.00 acres 24-573 Pa-7-310 -Ishening Dema 25-609 - Icali Mayo Revi Dr -3:00 acres perfumera - 0.13 acres 26 1517 R-7-312 Delie Japosepique. 27 585 Pa-7-134 Dhamanli Cheli _ O. IS acres 28 172 Pa-7-217 shalela sod Dhilal Both tel- O.SI acres ~9 ~40 Pa-7-133 Icamala - Chapa 3'37acres 40 1680 -Rep Alarayan - thapa 41 182 Part- Sangay Denji 42 1608 - Pohim Beli Kai (Bala) Mas 0.75 acres 3.20 acres 43 446 0.13 acres Goti Rai Pa-f- 311 Sherah 44 516

DETAILS OF INITIAL PUBLIC CONSULTATION CONDUCTED BY PPTA IN APRIL 2015

Volume 1: Sarpang

Annex 1: Focus Group Discussion

DB TA 8551: SARPANG	Town: Sarpang	Date: 04.04.2015
leeting Location: Inside hotel room, Sar	pang	Gewog: Sarpang
		Dzongkhag: Sarpang
Group description: 3 males		
Number of people: 3		
TOPIC OF DISCUSSIONS 1. Water: The present water tank water	appartmented in the 60's to s	pater for a few bouseholds. Now the
water tank is insufficient to cater for the the town are receiving untreated water no households have water meters.	e growing population of Sarp	ang tar. Further, people residing tin
People in down town are collecting wa about 0.5 km away from the town.	ater from their own efforts an	d the source is Khami Khola located
2. Drainage: There is no drainage syst	em in Sarpang town.	
 Solid waste: The refuse collector w shop keepers stated that they prefer t waste. Instead of a truck, people in to manageable height. 	o have at least 2-3 portable of	dustbins wherein they can collect the
4. Sewerage: There is no sewer system	m in both Sarpang tar and in	town.
5. Land fill: The land fill site is located proposal for constructing a land fill furt		Sarpang tar and the town. There is a
Water Borne Diseases: The result of School (SLSS) and Sarpang hospital a clear indication that the water is grow CFU of Police camp which is intermed	reveals that the coliform contension solve polluted. The trend of pol	ent is >50CFU/100 ml water which is
In 2015 March the trend was alightly	better as compared with the	Dec 2014 data which is intermediate
to high health risk as compared to different types of source.	grossly polluted. The overall	Tanaiysis is that it could be due to

DOCUMENTATION OF PUBLIC CONSULTATION HELD ON 17 FEBRUARY 2017

Location: RNR Conference Hall, Gakidling Gewog, Shechamthang, Sarpang, Bhutan

List of Participants Stakeholders/Participants:

- Total of 65 participants from the public were present at the meeting.
- Tashi Chophel, Municipal Engineer.
- Project preparatory technical assistance Consultants

Agenda

9:00 - 9:15	Registration	Secretariat
9:15 - 9:30	Welcome Address	Tashi Chhophel, Municipal Engineer
9:30 - 9:45	Introduction of Participants	Sangay Wangdi, Social Assistant
9:45 - 10:45	Presentation of Proposed Subprojects at Shechamthang, Sarpang	Tashi Chhophel, Municipal Engineer
10:45 - 11:45	Open Forum	Rajesh Pradhan, Chhimi Dorji and others
11:45 - 12:00	Thank you	Nyrh Cabance, Environmental Specialist
12:00 - 12:15	Closing Remarks	Tashi Chhophel, Municipal Engineer

Brief Minutes of the Public Consultation

Opening/ Presentation:

The public consultation/meeting started at 9:30 A.M. Mr. Tashi Chophel, Municipal Engineer (ME) welcoming the participants and thanked them for positively responding to the dzongkhag's invitation.

The Municipal Engineer (ME) presented the detailed aspects of the proposed Project and the current status of the Project at Shechamthang. He also went through the list of Affected Persons and checked if all affected persons are there which was confirmed.

Mr. Sangay Wangdi from the Social consultation team also thanked the participants and introduced the team members.

Comments, Views, Issues and Concerns:

- All participants are aware of the Project and mentioned that they are in complete support of project. The Project site had already been visited by His Majesty the King and Hon'ble Minister of MOWHS among others.

- It was also confirmed that the Land Pooling Agreement had been drawn with all land owners in the area with their willingness. Upon questioning, it was clarified by the public that the Land Pooling was agreed due to the benefits of the Project such as road access, water supply and also with possibility of getting higher land values.
- Members of the community present also provided their support and commitment to the Project and raised no negative issue with the proposed Project.
 - (ix)
- Further, consultations asked for following questions and discussions transpired as below.

Question	Response
Does the local person support the proposed Project?	All participants pledged to give their full support for the said Project for everybody's welfare.
Any critical issue or concern by the local people regarding the Project	None.
Any critical issue or concern by the local people regarding the Project	No issues or concerns.
Any loss of residential or commercial structures due to the Project	Yes, being dealt separately by the District and separate surveys shall be conducted for all impacted households.
Any loss of Community life (like market place, public playground) or Community Activities that will be affected?	Besides the land pooling, no private land will be used for any such purpose
Would there be land acquisition that would result in resettlement, or would affect parks, forest, etc.?	Besides the land pooling, no private land will be used for any such purpose
Will the Project location adversely affect water resources?	No issues or concerns are foreseen.
Any other issues you want to share (security, cooperation from local communities)?	We are happy to collaborate and contribute.
Any Cultural or Sacred sites in the proposed township	No such places of worship or sacred sites exist.

	1	Public Consultat	ion for Socia	I and E	nvironment Safeguards (and Assessment.	
					8551 BHUTAN:		
		IMPROVED URBAN	ENVIRO	NME	NTAL INFRASTR	UCTURE PROJECT	
and the second second	Venue	- RNR meeting	Hall	ENDA	NCE SHEET	Date: 0/03)	2017
		PRINTED NAME	SEX F/M	AGE	VILLAGE/ ORGANIZATION/FIRM & DESIGNATION	CONTACT NUMBER/S & EMAIL ADDRESS	SIGNATURE/ THUMB PRINT
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	2	me wige th.	A	62	Reguir'	Dava 7stang 667 @	go Dont
	3	Tsheway Chamo	f	56	Farmer	17949116 Sheehanthary.	
	4	Dil bol. Ra:	M	59	Farmer	17611608 11	Sano
	5	Beron Rai	~	34	Driver Gamy.	17505040 11	Beai
	6	Jit kumari Mongan	F	47	Farm / hourse	17612168 heley/getilin	Mary to '
	7	Pagpa fai	м	47	Farmer	17856841 Chromagetar	fl.
	8	Kiran Rai	F	25	T/	17308913 Ranibaga	Ráin
	9	Chang Zangpa	М	63	11	17701632 Sheehangthay	02/6
	10	Jit boly Rai	M	63	"	Rani bagan.	Janie
					14 M		Page 1 of 4
/		Public Consultat	tion for Socia	al and E	nvironment Safeguards :	and Assessment.	
		PRINTED NAME	SEX F/M	AGE	VILLAGE/ ORGANIZATION/FIRM & DESIGNATION	CONTACT NUMBER/S & EMAIL ADDRESS	SIGNATURE/ THUMB PRINT
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	12	Rup Narine	м	61	Famer	17674964 Sherbey fly	-
1	13	Sumita for	F	36		17538124	there
	14	Hun Maya Truson	F	42	*	17732679 2 shee hay to	Dive
	15	Harles Low forma	м	47	11	(Nun Pani)	mil
	16	Kanjula	F	64	11	17932697	13.0
	17	Bhin bolr fri	м	83	r	1766 8346 pm: bag-	4) .
	18	Mahandra Saberi	M	58	"	17376953 Druching	1 den
	19	Heens fai	F	58	Teachir	17118583	Alle
	20	Mon body Rai	M	49	Friend	17728 422 Ran; Rag-	Port
	21	Padam Ldr Guragai	м	52	11	1755 3334 Daphichan	De Lange
	22	Rai boly Rai	y	85	11		N
	23		M	37	CDCL Deportment	Pani bagan	
	23	Pressay Norber Shape		9	the second se	Paugbang.	
Charles Contractor							Page 2 of 4
		Public Consultat	ion for Socia	and E	nvironment Safeguards a	and Assessment.	
		PRINTED NAME	SEX F/M	AGE	VILLAGE/ ORGANIZATION/FIRM & DESIGNATION	CONTACT NUMBER/S & EMAIL ADDRESS	SIGNATURE/ THUMB PRINT
	24	J.K Garny	м	51	Rusines	17172990	C
	25	Jeta kuwar Poi	м	41	Front	17669400 GT	12ª
	26	Dargi Leaya fei	F	46	Busing,	1771071 Q HOIFT	- CUE;
	27	karm Chagoy	м	74	Leture Cotran	17652609 ·	1. 4
	28	Sangay	М	62	Barsinos	17625827 sheating	12 =0
	29	Robert parela	24	37	Burenaes/cl-p	17659950 shechaythy	Bad D
	30	Doundt Chhetn.	F	60	have a fe / F.	"	
	31	Indu Rai	F	35	n	17673023 4	Kir
	32	Jigne Nangay	н	33	Frank	17422482 11	fins .
	33	Pens Gydteria	И	40	it	17404619 M	
	34	Perney Maryn	F	18	0	17891895 Manchaloy	Almalin
	35	Ganga Maya Rai	F	33	NGE cart.	J	V-A
	36	Gonga Ram Rasnet	м	63	For	Kawabari	
							Page 3 of 4

List of Participants to the Public Consultation

	PRINTED NAME	SEX F/M	AGE	VILLAGE/ ORGANIZATION/FIRM & DESIGNATION	CONTACT NUMBER/S & EMAIL ADDRESS	SIGNATURE THUMB PRIN
37	Sabear deargar	М	56	Farmer	17909155- Knumpanj	prov
38	Jobi Prida	F	67	Burning / Chap	17674980	The second
39	kanala Thops	F	72		17319017	CARLES
40	Monthew Day Pres.	M	91	have wife.	17613692 Roni bag-	Rai
41	Karna Ede Gany.	м	50	Goot. Some	17626642	242
42	Dok Thopa	F	25	-	1788-1047	Apr.
43	Mangalal Rui	м	53	Farmer	17519759 Onchan	157
44	Tele body Rai	М	64	Farre	Onchera	Ais.
45	Delay Rain Adilensi	н	74	1/	15675019 Ranil-ga	
46	Shild James And Kinner	₽M	28	Time g -	17640457 Duchunga	Sm
47	Dhan body Paray	м	57	Frank	17872900	sail
48	Rabi Lal	М	63	"	17404844 shehard hay	Qui

		PRINTED NAME	SEX F/M	AGE	VILLAGE/ ORGANIZATION/FIRM & DESIGNATION	CONTACT NUMBER/S & EMAIL ADDRESS	SIGNATURE/ THUMB PRINT
91		Gapal Khata	м	57	Busungs	17957965	LII-
19	-	Paspa Pai	м	55	Businey	77360982)	The second
21	-	Tenper Sharpa	м	49	4	17879461 D.	Sent
52	1	to Non Droj. Shap.	м	41	farmer	17579376 Sackh.	KIRT
53		Perpe Renohn Shorpa	м	47	4	17928529 San Johnerty	A REAL
59	-	Bagti Prasted Pokbrd	Ц	55	Rumar/Stap	17758358 Gakilug	Bf pote
15	_	Jankay Dorj:	F	28	Ford Daylan	1764 7317 Sabag loor Ta	Statistics of
52		Tohang Phanton	М	49	Gavt serve	17288219	8%
57		Man Maya Pri	F	53	Barries days	17461816	- 2
58	2/	Ron bold Pitapets	н	51	Manguag	17260974 have	Road
59	-	Rejon Prashan	M	57	405-74-8551	17603661 Riprodun 2008 Egnil on	tor
60	-	Beton Pridha	м	24	-d	17722910	Bala
	- 1						

	PRINTED NAME	SEX F/M	AGE	VILLAGE/ ORGANIZATION/FIRM & DESIGNATION	CONTACT NUMBER/S & EMAIL ADDRESS	SIGNATUR THUMB PRI
61	- Amrita Wong~	F	30	Farmer	17764904	Art
62	Kamal Elimini Rai	F	62	Farmer	-	1
63	- Parton Pradhan	м	69	Front	17883315 Prishage	Wikcos
64	- Chandlen Maya Dhotal	F	418	Russin.	17404809 Compani Sarbang Tar	2
65	-Radiks karki	P	70	have se uspo / France	- Sarbany Tar	
	29				J	
	30					12
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Photographs of Public Consultations

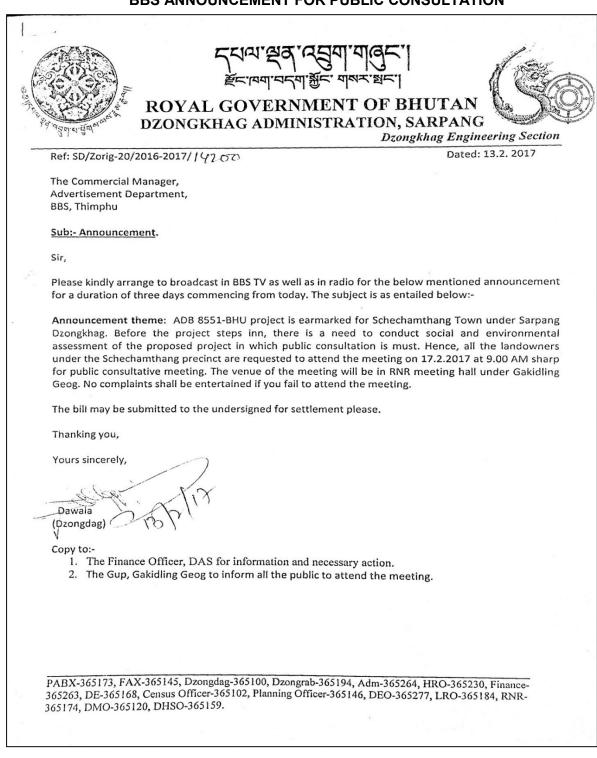






CONSULTATIONS WITH PEOPLE OF SHECHAMTHANG LAP WITH REGARD TO LAND POOLING

	NO OBJECTION FR	COM THE PUBLIC (SOCIAL	CLEARANCE)
	This is based on our experie 17/02/2017 at Gakiling Gewog (blic consultation held on
	We certify that the undersigned a the proposed Development o Dzongkhang Adminstration, and	f Shechangthang Local Are	ea Plan of the Sarbang
	Hence, we the following certify of the said project and the public	that there are no objection when are in favour of the proposed	atsoever to the undertaking project.
	Done in Shechangtang, Gakiling	Gewog Office, this day; 17/02	2/2017.
	Printed Name	Position/Office	Signature
• 1.	Tigmi Namgay	farmer.	Jame and .
2.	choui sugpo	Pannen	Clu
3.	Riek naram	Farm	Ring
4	it Barani	Parmer	Varil
S.	Pema Gyltchen	Þ	Rei
6.	Ganga Nara Rai	t ₁	1 del-
7.	Fit Kumasi Merger	6	Am.
8.	Purne maya Rai)1	Ahufful
9.	Sanjay Penjo	fi -	and
10,	Sangay	11	0. 5
11.	Rabilal Rai	1,	Pric.
12.	Indu Ray		a.
13.	Meena Rai	Teachor	the
14,	Padam Boto Gma	raga!	5
15,	Non maya Tin	Jari - I	Eliveri
16,	13hom Bdr R	ai - Risi	~
15,	Amita Mongar	fater.	a the second second



BBS ANNOUNCEMENT FOR PUBLIC CONSULTATION

GRIEVANCE REDRESS MECHANISM AND GRIEVANCE REDRESS CELL NOTIFICATION



ন্দন্দ দ্রুর দেরবা বার্ব । রবঝ র্টবা শ্রুর দেবা।

ROYAL GOVERNMENT OF BHUTAN MINISTRY OF WORKS & HUMAN SETTLEMENT DEPARTMENT ENGINEERING SERVICES THIMPHU: BHUTAN

"Construction Industry: Solutions through innovation and improved technology"

DES/PMU/ ADB-8551/8 195

4.12.2017

The Director, Urban Development and Water Division, South Asia Department, Asian Development Bank 6 ADB Avenue, Mandaluyong City 1550 Metro Manila, Philippines

Sub: Grievance Redress Mechanism

Dear Sir,

The Ministry of Works and Human Settlement would like to inform that the Grievance Redress Mechanism (GRM) has been established in the Ministry and in the Project Implementation Units. The objective of the establishment of the GRM is to redress the social, environmental and other grievances of the aggrieved persons during the implementation of the Secondary Towns Urban Development Project (STUDP) BHU-8551. The flow chart, structure of the GRM committee, the procedure that would be followed is attached.

This is as per the agreement reached with the ADB during the mission from 13^{th} November to 17^{th} November 2017.

Thank you,

Yours sincerely,

Phuntsho Secretary

Cc:

- 1. Dasho DzongdaTrashigang and Sarpang Dzongkhag
- 2. The Executive Secretary Samdrup Jongkhar Thromde, Samdrup Jongkhar
- 3. Director, Department of Engineering Services, MoWHS, Thimphu
- 4. Shinjini Mehta, Urban Development Specialist, SAUW, ADB, Manila, Philippines.
- 5. Chief Engineer, WSD, DES
- 6. Project Manager, ADB-8551, WSD, DES

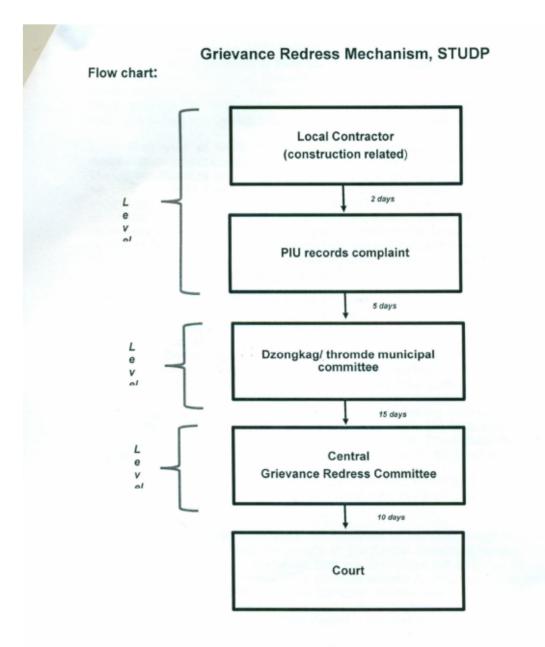


Figure 1: Grievance Redress Mechanism, STUDP

Procedure and composition of GRM Committee

 The Project Grievance Redress Mechanism follows a tiered system, starting at the local level. The GRM structure has been agreed with the concerned agencies and a notification of the GRM stricture and composition has been provided by the Ministry of Works and Human Settlements (MOWHS)

). The GRM will ensure that grievances and complaints regarding land acquisition, compensation and resettlement or other social and environmental issues will be addressed in a timely and satisfactory manner. People in the towns will be made aware of their rights and the detailed procedures for filing of grievances. PIUs will be undertaking outreach activities to make people aware of the GRM and will be published on the thromde/ dzongkhag and MOWHS websites.GRM will also be displayed at notice boards in the PIU offices.

2. **First level of GRM.** Aggrieved persons may first approach the contractor's site representative/ project manager in case of complaints related to construction related nuisances. The complaint must be recorded in the site register and contractor should provide a resolution to the complaint within 2 days. In case, the complaint is not resolved at this level, the aggrieved persons can then file a complaint with the PIU office. Aggrieved persons are entitled to lodge complaints regarding any aspect of the land acquisition, entitlements, benefits or rates of payment as well as any project related social or environmental issues. Complaints can be made verbally or in written form. Complaints made to the PIU should be resolved within 3 days. All complaints must be recorded by the PIU, including actions taken to resolve the complaint. Complaints, their nature and resolution should be mentioned in the quarterly progress reports.

3. 2nd level of GRM: At this level, the PIU Manager/Municipal level will coordinate with the Dzongkhag/ thromde municipal Committee which should be in place prior to project implementation. This committee will be comprised of : (i) Dzongda (district administrator) or thrompon (mayor) as Chairman; (ii) municipal engineer (PIU Project managers) as Member secretary;(iii) District engineers; (iii) district/ municipal planning officer;(iv) district/ municipal legal officer; (v)district/ municipal environmental officer; (vi) district/ municipal land record officer, (vii) town representatives (elected); and (viii) gender focal person of PIUs; The aggrieved person / or the representative who filed the complaint will be called to present his case and deliberation on the case will be done through proper hearing or mediation. It will be the responsibility of the dzongkhag/ thromde committee to resolve the issue within 15 days from the date the complaint is received Minutes of meeting of the Dzongkhag/ thromde committee meeting will be kept and resolution provided will be recorded for purposes of project monitoring.

4. If the complaint is unresolved at this level, the PMU, PIU or the District Administrator will inform the aggrieved person accordingly and assist them in elevating the complaint to the PMU/ Central Grievance Committee.

5. **3**rd **level of GRM**. Grievances not redressed at the Dzongkhag/ thromde municipal committee within 15 days will be brought to the Central Grievance Redress Committee at MOWHS level. The Central Grievance Redress Committee will comprise of: (i) Secretary, MOWHS (Chairman); (ii) Director, DES (Member secretary); (iii) Project manager, PMU; (iv) Project coordinator, PMU;(v) Water and Sanitation Division chief; (vi) legal officer, MOWHS; (viii) environmental officer, MOWHS; (ix) gender officer (MOWHS); (x)representatives from local NGOs;.It will be the responsibility of the Central committee to resolve the issue within 10 days from the date the complaint is received. In the event, the grievance is still not resolved; the matter may be elevated by the aggrieved person to an appropriate court of law. The court will have the final authority to approve or reject the case. Aggrieved persons may seek recourse through legal system at any stage of the GRM process.

Composition of Dzongkhag/thromde Municipal Committee; Chairman – Dzongda(district administrator)/ thrompon (mayor) Member – secretary- municipal engineer (PU PMs) Other members - district engineers, planning afficer, legal officer, town representative (elected), gender focal person, .

Composition of central Grievance Redress Committee Chairman – Secretary, MOWHS Member secretary – Director, DES Other members-PMU, PM; Project coordinator; WSD chief, legal officer; environmental officer; gender officer (MOWHS) romosmitutes from/KGDr

SAMPLE GRIEVANCE REDRESS FORM

The _____Project welcomes complaints, suggestions, queries, and comments regarding project implementation. We encourage persons with grievance to provide their name and contact information to enable us to get in touch with you for clarification and feedback.

Should you choose to include your personal details but want that information to remain confidential, please inform us by writing/typing *(CONFIDENTIAL)* above your name. Thank you.

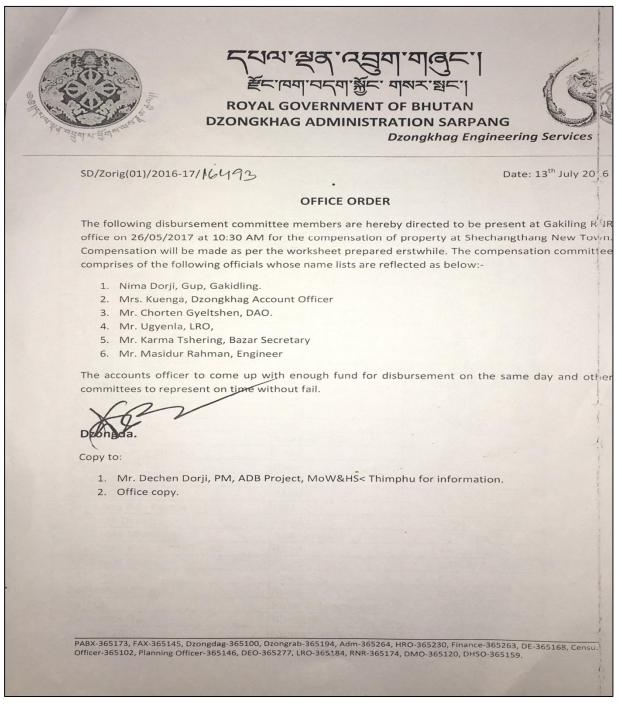
Date		Place of Registration	on			
Contact Information	/Personal Details					
Name			Gender	* Male * Female	Age	
Home Address						
Place						
Phone no.						
E-mail						
Complaint/Suggesti grievance below:	on/Comment/Questic	n Please provide the	details (who, wh	at, where, and	how) of y	our
If included as attachn	nent/note/letter, please	tick here:				
How do you want us	s to reach you for fee	dback or update on y	our comment/	grievance?		

LIST OF NAMES OF LAND OWNERS IN SHECHAMTHANG WHO WERE COMPENSATED FOR STRUCTURE LOSS (INCLUDES PAPs OUTSIDE ADB-ASSISTED AREA)

List of the Land owner Compensation for the structure & Fruit Bearing Trees Place: Shechnagthang New Town Sarpang Dzongkhag						
SL Nor	Name of The Owner	Structure Falls on	Th No.	Plot No.		
1 [Dil Bdr Rai	Road	1510	3557/A		
25	Shahabir Mongar	Road	516	37/C		
3 5	Sherab Zangmo	Road	516	31/C		
4 (Gopilal Karki	Commuinity Hall (A5)	545	24/P		
5 F	Puspa Rai	Road	598	288/H		
6 0	Ganga Maya Rai	Road	739	40/E/2		
71	Dambar Kumar Siwakoti	Road & OS11	762	87/1		
18 0	Ganga Ram Basnet	Road	540	37/1/2		
9-	Tek Bdr Rai	Road	572	288/G2		
10 1	Dil Bdr Rai	Road	737	40/1&2		
11 1	Indu Rai	Road	701	281/A		
12 1	Damanti Chhetri	Road	585	41/A		
13 1	Dhan Bdr Paray	Road	1725	83/1		
14 0	Chandra Bdr Panda	Road	527	39/A		
15 1	Mangalal Rai	Road	772	44/D/2		
	Deo Ka Thapa	Road	1626	3851		
17 1	Kharka Maya Khatiwara	Raod	929	83/L		
18	kamala Thapa	Road		39/1		
19 1	Rabilal Rai	Road	860	292/F		
20	Tahal Man Rai	Road	53	1/1 & 1/2		
21 [Deki	Road	517	290/1		
22 1	Nabin Kesar Rai	OS1	577	283/S		
23 1	Mamu Rai	Road & S15	. 25	31		
24 7	Tshewang Lhamo	Road	515	30/B/2		
25 M	Van Bdr Rai	Road	604	2/D		
~	Penjor	Road	508	291/C		
27 F	Radhika Karki	Road	12	288/2		
28 +	lasta Bdr Panda	OS1	537	283/J		
	Dorji Drukpa	Road	479	289/E&Z		
	inley Wangmo	near by plot	. 497	283/D		
	hitra Kala Sutar Karki	Road	1436	3410/A		
	iopal Khatri	Road	120	4/2		
-33 S	umitra Rai	Road	605	2/F		
34 B	him Bdr. Rai	Road	608			
35 F	СВ	Road & Municipal Off		44/A		
36 S	unita Rai	Municipal Office Plot				
27 P	ita Dhital	Municipal Office Plot				

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DISBURSEMENT COMMITTEE MEMBERS



DISBURSEMENT COMMITTEE MEMBERS WITNESSING THE PAYMENT OF COMPENSATION

Payment of complication: 26/5/2017. 1. RENEW RETRESENTATIVE: MS North Ungure. 14. 2. Massidur Rohmon, Municipal Sugireen. 3. Ram Edi. Pitakon, Gakidling Nongmi, 2 (17260574) 4. Rarma Tshering, Sarpang Dazarsectory 17722617 s. Ogyanta (DLEO), Dznigkling Adm. Stopping c. Chimi Wangelink, ADAO 7. Kinge Wanynes, DeAo HETJOU 8. Rajesh frædhan, Jafegur fr. *38 8551. 9. Babin frædhen, Ant. " 10. Langjy Warger".

NOTICE OF PAYMENT FOR COMPENSATION OF AFFECTED PERSONS

हरावगान्तगा अन्य गलर छन्। **ROYAL GOVERNMENT OF BHUTAN DZONGKHAG ADMINISTRATION SARPANG** Dzongkhag Engineering Services SD/Zorig-01/2016-2017/ 64494 Date: 19.5.2017 **General Notification.** This is inform general public of Schechamthang Town that phase (I) disbursement of compensation payment shall be made on 26/5/2017 in Gakidling RNR Office at 10.30 AM sharp. Every affected property owners whose lists are enclosed in separate sheet should be made available in person without fail. No complaints shall be entertained if you fail to meet the deadline. We hope that all the property owners shall cooperate with the administration and turn up on time. DZONGDA Copy to: 1. Mr. Dechen Dorji, PM, ADB Project, MoW&HS, Thimphu for information. 2. Office copy. PABX-365173, FAX-365145, Dzongdag-365100, Dzongrab-365194, Adm-365264, HRO-365230, Finance-365263, DE-365168, Census Officer-365102, Planning Officer-365146, DEO-365277, LRO-365184, RNR-365174, DMO-365120, DHSO-365159.

SURVEY RESULTS ON ROADS, DRAINAGE MANAGEMENT

Date:16.03.2017Gewog/Thromde: Shechamthang, SarpangTotal Number of Respondents:24[11] Male = 46% [13] Female= 54%Total Number of Respondents:24 [11] Male = 46% [13] Female= 54

Section A: Project Awareness				
 Have you heard about the project before? [21=87%] yes [03=13%] No If yes, where did you hear it from? [√] If yes, when did you hear about it? From Thromde staff Year 2016 	 3. Are you in favor of the project [100%] Yes [] No [] No Answer (x) 4. If No, why not? 			
Section B: Perception of Positive impacts and Benefits				
What do you think are the benefits or positive impacts for having an improved road in your Thromde? (please check the box below as appropriate)	2. Increase opportunity for economic development			
1. Facilitate higher mobility	2.1 Promote urban development[] Most significant [100%] Significant[] Less significant			
1.1 High travel speed and time saving [] Most significant [100%] Significant [] Less significant	2.2. Promote industrialization [] Most significant [100%] Significant [] Less significant			
1.2High accessibility to distant places []Most significant [100%] Significant [] Less significant	 2.3 Increase in trade and business [] Most significant [75%] Significant [25%] Less significant 			
1.3 Availability of safe transport service [] Most significant [75%] Significant [25%] Less significant	 2.4 Increase potential for tourism industry Most significant 100%] Significant Less significant 2.5 Provide employment for local labor during construction, operation and maintenance [80%] Most significant [20%] Significant [] Less significant 			
1.4Availability of comfort transport service [10%] Most significant [80%] Significant[10%] Less significant				
	2.6.Increase in land value [100%] Most significant [] Significant [] Less significant			

Section C: Issues and Concerns	Section C: Issues and Concerns				
What are some of the problems or	What are some of the problems or negative issues				
that you perceive in implementing this project?		2. Social impact			
 Natural Environment and Pollution 1.1 Loss of natural resources (trees, vegetation, etc) [10%] High impact [90%] less impact[] No impact 		 2.1 Land acquisition and resettlement [10%] High impact [90%] less impact [] No impact 2.2. Displacement of residential and 			
 1.2. Impact on nature reserved/protected area conservation] High impact [10%] less impact[90%] No impact 		 L.2. Displacement of residential and business communities [] High impact [90%] less impact[10%] No impact 2.3 Impact on cultural properties/historical settings 			
1.3 Pollution (Noise, and vibration) [10%] High impact [90%] less impact[] No impact		[] High impact[] less impact [100%] No impact			
		2.4 impact on Ethnic minorities [] High impact[] less impact [100%] No impact			
		2.5 Loss of livelihood (productive land) [10%] High impact [80%] less impact [10%] No impact			
Section D: Planning Factors Conce	erned				
 Which factors should be especially concerned for selecting <u>the location of the</u> <u>roads (</u>check all items that apply) 	 [√] Connectivity with other transport mode [] Distance from city centers [√] Availability of land for integrated development around the station location [] Impact on natural environment (protected area, forest, water, topography) [√] Pollution problem (Noise/vibration, etc) [] Impact on social environment(community, cultural heritage, ethnic minorities) [] Impact on land use (ex. army area, industrial zone, cemetery, heritages) [√] Land acquisition and resettlement [√] Construction Cost [] Other (specify) 				
Consolidated comments : People are less concerned as they really don't know the actual positive/negative impacts caused by the location and alignment of the roads. As far as community-based solid waste management is concerned, people have no idea as they throw their waste into their kitchen garden. People responded that when the actual construction work starts then only they will think of forming the community to manage their waste. Regarding stormwater drainage system, there is none at the moment and people are not aware of the location of the storm water drainage system.					

SURVEY RESULTS ON WATER SUPPLY

Date:	16.03.2017	Gewog/Thromde: Shec	hamthang, Sarpang
Total N	Number of Resp	ondents: 24 [11] Male = 46%	[13] Female= 54%

Section A: Project Awareness				
Heard about the water project before? [21=87%] yes [03=13%] No If yes, where did you hear it from? [$$] If yes, when did you hear about it? From Thromde meeting in Year 2016	Are you in favor of the project [100%] Yes [] No [] No Answer If No, why not?			
Section B: Perception of Positive impacts and B	enefits			
What do you think are the benefits or positive impacts for having an improved water project in your Thromde? (please check the box below as appropriate)3. Improvement on health	 4. Increase opportunity for economic development 2.1 Promote urban development [8.6%] Most significant [73%] Significant [18.4%] Less significant 4.2. Promote industrialization 			
 1.1Decrease incidence on health-related sickness [17=71%] Most significant [5=21%] Significant [02=08%] Less significant 1.2 Savings on time in collecting water [0.0%] Most significant [89.2%] Significant [10.8%] Less significant 	 [79.8%] Most significant [11.5%] Significant [8.7%] Less significant 2.3 Increase in trade and business [10.2%] Most significant [65.7%] Significant [24.1%] Less significant 2.4 Increase potential for tourism industry [5.3%] Most significant [66.7%] Significant 			
 1.3Improved condition of women and children [72.3%] Most significant [14.8%] Significant [12.9%] Less significant 1.4 Regular availability of water [15.2%] Most significant [75.3%] Significant[9.5%] Less significant 	 [28.0%] Less significant 2.5 Provide employment for local labor during construction , operation and maintenance [2.1%] Most significant [81%] Significant [16.9%] Less significant 2.6. Increase in land value [72.7%] Most significant [27.3%] Significant [0.0%] Less significant 			

Section C: Issues and Concerns				
Section C: Issues and Concerns What are some of the problems or negative issues that you perceive in implementing this project? 1. Natural Environment and Pollution 1.1 Loss of natural resources (trees, vegetation, etc.) [0.0%] High impact [16.3%] less impact [83.7%] No impact 1.2. Impact on nature reserved/protected area conservation [3.4%] High impact [12.6%] less impact[84.0%] No impact	 4. Social impact 2.1 Land acquisition and resettlement [60.2%] High impact [34.3%] less impact [5.5%] No impact 2.2.Dispalcement of residential and business communities [11.1%] High impact [35.7%] less impact [53.2%] No impact 2.5 Impact on cultural properties/historical settings [0.0%] High impact [0.0%] less impact [100%] No impact 			
1.3 Pollution (Noise, and vibration) [10.7%] High impact [51.3%] less impact [38.0%] No impact	2.4 impact on Ethnic minorities [0.0%] High impact [0.0%] less impact [100%] No impact 2.7 Loss of livelihood (productive land) [0.0%] High impact [34.7%] less impact [65.3%] No impact			
Section D: Information on Current Water situation				
Present cost of water in /per cubic meter:	Nu.3.5-4.0 per cu m			
 Is this affordable? 	[100%] yes [0.0%] No			
 Average consumption of HHs in M3/month: 	20-30 cu m/month			
Current sources of Water?	[0] Stream [100%] Spring [] River [] Others			
Distance to Water Source	[25.0%] Near [75.0%] Far if far specify distance: 13.5 km			
Time or frequency/availability current source of water per day.	 [] 2 hours per day [25%] more than 2 hours per day [] available all the time [75%] very irregular 			
Who fetches water	[50%] Men [50%] Women [] Girl child [] Boy child			
water source?	[60%] Good [40%] Not good [] very bad			
Sickness related to water	Diarrhea, Dysentery and Fever.			
 Problems on water (xiii) 	[25%] color not good [15%] smell is bad [60%] taste not good			
Recommendations to improve your water services": Not applicable as the people residing in Shechamthang collects water from the nearby ponds and Rural Water Supply Schemes.				