

SOCIALIST REPUBLIC OF VIETNAM



**VINH LONG PROVINCIAL PEOPLE'S COMMITTEE**  
**Vinh Long ODA Project Management Unit (PMU)**



**Vinh Long City Urban Development and Enhanced Climate Resilience Project**  
**in Vinh Long Province - Additional Financing (P177314)**

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**  
**(ESCP)**



Vinh Long, February 2022

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Socialist Republic of Vietnam will implement the **Vinh Long City Urban Development and Enhanced Climate Resilience Project in Vinh Long Province** (the Project), including scale-up activities under the additional financing that enhance the quality of the management and sustainability outputs delivered under the Component 4 of the Project, with the involvement of the Vinh Long Provincial People's Committee (PPC)/ODA Project Management Unit (PMU) of Vinh Long province. The International Bank for Reconstruction and Development/International Development Association (hereinafter the Bank), acting as administrator of the Korea-World Bank Partnership Facility Single Donor Trust Fund, has agreed to provide additional financing to the Project. [This ESCP applies only to the AF, and all references to the Project in the ESCP apply to the activities to be financed under the Additional Financing for the Project. The ESCP for the original financing \(P171700\) applies to all other Project activities under the original financing.](#)
2. The Socialist Republic of Vietnam will implement material measures and actions so that the Project is implemented in accordance with the Bank's Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing of each of these.
3. The Socialist Republic of Vietnam will also comply with the provisions of any other Environmental and Social (E&S) documents required under the Environmental and Social Framework (ESF) and referred to in this ESCP, such as updated Stakeholder Engagement Plan (SEP), updated Labor Management Procedures (LMP) and Grievance Mechanism for project workers, and other required E&S documents, and the timelines specified in those E&S documents.
4. The Socialist Republic of Vietnam is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Vinh Long PPC or the ODA PMU of Vinh Long province.
5. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Bank by the Socialist Republic of Vietnam as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout the implementation of the Project.
6. As agreed by the Bank and the Socialist Republic of Vietnam, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Socialist Republic of Vietnam, through Vinh Long PPC, will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Socialist Republic of Vietnam, through Vinh Long PPC. The Socialist Republic of Vietnam, through Vinh Long PPC, will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Socialist Republic of Vietnam shall provide additional funds, if needed, to implement actions and measures to address such risks

and impacts, which may include environmental, health, and safety impacts, labor influx, and gender-based violence.

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)**

<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY/AUTHORITY</b>
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING:</b> Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p> <p>More details on monitoring and reporting are provided in SEP and LMP.</p>	<p>Quarterly reporting throughout Project implementation to the Bank.</p> <p>Reports to be sent within the first seven (7) days after the reporting quarter.</p>	<p>Responsibility: Vinh Long ODA PMU</p> <p>Accountability: PMU Director</p>
B	<p><b>INCIDENTS AND ACCIDENTS:</b> Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers included, for example, occupational accidents that could result in death or serious injury, cases of gender-based violence or violence against minors, injuries, falls, vehicle accidents and electrocution. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Report any incident or accident to the Bank within 24 hours and no later than 48 hours after becoming aware of the occurrence of the incident or accident.</p>	<p>Responsibility: Vinh Long ODA PMU /Contractors</p> <p>Accountability: PMU Director</p>
C	<p><b>CONTRACTORS MONTHLY REPORTS:</b> Prepare and submit monthly progress and monitoring report to the PMU which include details in the project environmental and social performance and implementation of the environmental and social mitigation measures.</p>	<p>Monthly progress and monitoring reports would be prepared and submitted throughout the project life.</p>	<p>Responsibility: Vinh Long ODA PMU and Contractors</p> <p>Accountability: PMU Director and Contractors</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)**

<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY/AUTHORITY</b>
1.1	<p><b>ORGANIZATIONAL STRUCTURE:</b> Maintain an organizational structure with qualified staff and resources to support management of E&amp;S risks in sufficient numbers including at least one Environmental Specialist and one Social Specialist (ESS – each responsible for environmental and social risks and impacts respectively) in the PMU for ensuring compliance with the ESSs and relevant instruments.</p> <p>Appoint a Grievance Redress Mechanism (GRM) focal point, within PMU, in order to provide essential coordination of different administrative levels for handling complaints in a timely and satisfactory manner. The PMU will also mobilize other specialists (<i>e.g.</i>, community engagement specialist) as and when required.</p>	<p>PMU has been established. Two (2) additional specialists (one Environmental Specialist and one Social Specialist) will be hired within 30 days after Project effectiveness. The organizational structure (PMU), including the specialists, should be maintained throughout Project implementation.</p> <p>The GRM focal point will be appointed within 30 days after the effectiveness of the grant agreement.</p>	<p>Responsibility: Vinh Long Provincial People’s Committee (PPC)</p> <p>Accountability: PMU Director</p>
1.2	<p><b>MANAGEMENT TOOLS AND INSTRUMENTS:</b> <u>Ensure that all studies, consultancies, and other technical advisory and capacity building activities to be supported under the AF are carried out in accordance with terms of reference acceptable to the Bank, that are consistent with the ESSs. Update, adopt, disclose, and implement the following management instruments, as required, in a manner acceptable to the Bank</u></p> <p><u>Ensure that the approaches and outputs of such activities comply with the terms of reference and are consistent with the ESSs.</u></p>	<p><u>Throughout the implementation of the AF activities</u></p>	<p><u>Responsibility: Vinh Long ODA PMU</u></p> <p><u>Accountability: PMU Director</u></p>

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<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY/AUTHORITY</b>
1.2.1	<p>Implement diligently the measures and actions identified in the ESCP in accordance with the timeframes specified and review the status of implementation of the ESCP as part of the monitoring and reporting.</p> <p>Notify the Bank promptly of any proposed changes to the scope, design, implementation or operation of the project that are likely to cause an adverse change in the environmental or social risks or impacts of the project.</p> <p>Carry out, as appropriate, additional assessment and stakeholder engagement in accordance with the ESSs, and propose changes, for approval by the Bank, to the ESCP and relevant management tools, as appropriate, in accordance with the findings of such assessments and consultation.</p>	<p>Throughout the Project implementation.</p> <p>Throughout the Project implementation.</p> <p>Throughout the Project implementation.</p>	<p>Responsibility: Vinh Long ODA PMU</p> <p>Accountability: PMU Director</p>
1.3	<p><b>MANAGEMENT OF CONTRACTORS:</b></p> <p>Selection of contractors: Ascertain that the contractors are legitimate and reliable entities and able to comply with the relevant environmental and social requirements of the Project.</p> <p>Incorporate the relevant aspects of the ESCP, including the relevant E&amp;S documents and/or plans, and the Labor Management Procedures, and GRM into the procurement documents with contractors.</p> <p>Performance monitoring: Monitor the performance of the contractors to ensure that they comply with the environmental and social requirements of their respective contracts.</p>	<p>During evaluation of bidders</p> <p>Prior to the preparation of procurement documents.</p> <p>Supervise contractors throughout Project implementation.</p>	<p>Responsibility: Vinh Long ODA PMU</p> <p>Accountability: PMU Director</p>



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<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY/AUTHORITY</b>
1.4	<p><b>PERMIT, CONSENTS AND AUTHORIZATION:</b> Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities, pursuant to applicable national laws.</p> <p>Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.</p>	Obtain licenses and permits prior to carrying out any Project activity requiring a license.	<p>Responsibility: Vinh Long ODA PMU/ Contractor</p> <p>Accountability: PMU Director</p>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES:</b> Update, adopt, and implement the LMP that have been developed for the Project to address labor risks, including (but not limited to) occupational, health and safety (OHS) measures; <del>prevention of child and forced labor; emergency preparedness and response</del>; grievance redress mechanism (GRM) for project workers; training of project workers on key issues including OHS and GBV prevention; <del>and management of labor influx</del>; and Covid-19 prevention and control.</p>	No later than 30 days after the effectiveness of the grant agreement and throughout Project implementation.	<p>Responsibility: Vinh Long ODA PMU</p> <p>Accountability: PMU Director</p>
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS:</b> Maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2. Implement worker GRM throughout project implementation.</p>	Grievance mechanism operational prior to engaging Project workers, to be disseminated to project workers prior to commencing any works and maintained throughout Project implementation.	<p>Responsibility: Vinh Long ODA PMU/ Contractors</p> <p>Accountability: PMU Director</p>
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES:</b> Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the ESMP (including OHS risks related to the transmission of communicable diseases). Ensure that contractors develop, implement and maintain OHS plan(s).</p>	Before commencement of project activities – no later than 30 days after the effectiveness of the grant agreement.	<p>Responsibility: Vinh Long ODA PMU/Contractors</p> <p>Accountability: PMU Director</p>
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			

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<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY/AUTHORITY</b>
	<a href="#">The requirements of ESS 3 shall be taken into account as relevant as part of action [1.2] above</a> <del>Not currently relevant</del>	<a href="#">Throughout the Project implementation.</a>	<a href="#">Responsibility: Vinh Long ODA PMU/Contractors</a>  <a href="#">Accountability: PMU Director</a>
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>COMMUNITY HEALTH AND SAFETY:</b> Implement the measures and actions to manage specific risks and impacts to the community arising from Project activities, including, inter alia, behavior of Project workers, risks of labor influx, the risk of transmission of communicable diseases due to project activities, response to emergency situations including prevention and control of Covid-19 in a manner acceptable to the Bank.	Throughout Project implementation.	Responsibility: Vinh Long ODA PMU  Accountability: PMU Director
4.2	<b>GBV AND SEA RISKS:</b> Prepare, adopt, and implement measures and actions to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA). This will include: <ul style="list-style-type: none"> <li>o Measures and actions to assess and manage the risks of HIV/AIDS, gender-based violence (GBV) and sexual exploitation and abuse (SEA) as part of LMP.</li> <li>o Engage a qualified institution to conduct community and workers' training and awareness on HIV/AIDS, GBV &amp; SEA.</li> <li>o Awareness raising on grievance/feedback processes for reporting SEA &amp; GBV cases.</li> </ul>	Throughout the execution of the Project: (i) prior to commencement of construction and maintain during the project timeline; (ii) quarterly awareness raising campaigns through the stakeholder's engagement meetings.	Responsibility: Vinh Long ODA PMU  Accountability: PMU Director
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
	<a href="#">The requirements of ESS 5 shall be taken into account as relevant as part of action [1.2] above</a> <del>Not currently relevant</del>	<a href="#">Throughout the Project implementation.</a>	<a href="#">Responsibility: Vinh Long ODA PMU/Contractors</a>  <a href="#">Accountability: PMU Director</a>
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	<a href="#">The requirements of ESS 6 shall be taken into account as relevant as part of action [1.2] above</a> <del>Not currently relevant</del>	<a href="#">Throughout the Project implementation.</a>	<a href="#">Responsibility: Vinh Long ODA PMU/Contractors</a>  <a href="#">Accountability: PMU Director</a>



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<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY/AUTHORITY</b>
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
	<a href="#">The requirements of ESS 7 shall be taken into account as relevant as part of action [1.2] above</a> <del>Not currently relevant</del>	<a href="#">Throughout the Project implementation.</a>	<a href="#">Responsibility: Vinh Long ODA PMU/Contractors</a>  <a href="#">Accountability: PMU Director</a>
<b>ESS 8: CULTURAL HERITAGE</b>			
	<a href="#">The requirements of ESS 8 shall be taken into account as relevant as part of action [1.2] above</a> <del>Not currently relevant</del>	<a href="#">Throughout the Project implementation.</a>	<a href="#">Responsibility: Vinh Long ODA PMU/Contractors</a>  <a href="#">Accountability: PMU Director</a>
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	Not currently relevant		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION:</b> Update and implement the SEP including the project grievance mechanism (GRM).</p> <p>Deliberations of each meetings will be documented (minutes of meetings prepared) and disclosed. In particular, issues raised in the stakeholder meetings and the propose solutions will also be documented and disclosed.</p> <p>Depending on the public health situation regarding communicable diseases, update the stakeholder engagement plan to reflect national and international guidelines on preventing transmission through public meetings or other stakeholder engagement events.</p>	<p>Throughout Project implementation.</p> <p>Prior to, and throughout, the implementation of the activities specified in Stakeholder Engagement Plan.</p>	<p>Responsibility: Vinh Long ODA PMU</p> <p>Accountability: PMU Director</p>
10.2	<p><b>PROJECT GRIEVANCE MECHANISM:</b> Maintain and operate a grievance mechanism, as described in the SEP. Awareness raising for potential project affected persons (PAPs) and communities on the existence and processes for submitting grievances.</p>	<p>Throughout Project implementation.</p>	<p>Responsibility: Vinh Long ODA PMU</p> <p>Accountability: PMU Director</p>

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<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY/AUTHORITY</b>
<b>CAPACITY SUPPORT (TRAINING)</b>		<b>Targeted Groups and Timeframe for Delivery</b>	
CS1	<i>Training to be provided:</i>		
	<ul style="list-style-type: none"> <li>○ Training on: i) the Bank procurement processes and ESF; ii) stakeholder mapping and engagement; iii) Project Environmental and Social Assessment; iv) grievance redress; v) environmental and social supervision, monitoring, and reporting; and v) labor management procedures.</li> </ul>	PMU Environment & Social Safeguards Team and various stakeholders throughout Project implementation. Before commencement of civil works and maintained throughout Project implementation where necessary.	Responsibility: Vinh Long ODA PMU  Accountability: PMU Director
	<ul style="list-style-type: none"> <li>○ Training on: i) occupational health and safety; ii) emergency prevention and preparedness and response arrangements to emergency situations.</li> </ul>	Project workers throughout Project implementation.	Responsibility: Vinh Long ODA PMU/Contractors  Accountability: PMU Director
	<ul style="list-style-type: none"> <li>○ Training on: i) Gender-Based Violence Risk Mitigation; and ii) disability inclusion.</li> </ul>	Project staff, contractors, and contractor employees as well as local Communities participating in the Project, particularly women) throughout Project implementation.	Responsibility: Vinh Long ODA PMU  Accountability: PMU Director