Biodiversity Action Plan and Biodiversity Offset Management Plan (Appendix C)

Project Number: 42916 31 January 2015

Sarulla Geothermal Power Development Project (Republic of Indonesia)

Prepared by Sarulla Operations Limited for the Asian Development Bank

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Biodiversity Action Plan & Biodiversity Off-set Management Plan



Appendix C. Biodiversity Socialisation Programme and Delivery Report







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Biodiversity Socialisation Delivery Interim Report
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Contents

Chapter Title Page

Executiv	Executive Summary		
1	Introduction	1	
1.1	Rationale	1	
1.2	Project Background	· 1	
1.3	Objectives	2	
1.4	Compliance		
1.5	Scope		
1.6	Structure of this report	4	
2	Delivery	5	
1.7	Date and Location	5	
1.8	Audience		
1.9	Delivery Team		
1.10	Modes of Delivery		
1.10.1	Overview		
1.10.2	Communication with Target Communities		
1.11	Modules		
1.11.1	Overview	7	
1.11.2	Module 0 – Level of Awareness	7	
1.11.3	Module 1 – National Legislation and International Lenders' Requirements	8	
1.11.4	Module 2 – Importance of Biodiversity		
1.11.5	Module 3 – Biodiversity Action Plan (BAP)	9	
1.11.6	Module 4 – Enforcement	11	
1.11.7	Module 5 – Monitoring and Reporting	11	
1.11.8	Question and Answer (Q&A)	11	
3	Key Performance Indicators (KPIs) and Way Forward	12	
1.12	Overview	12	
1.13	KPIs		
1.14	Way forward		
Append	dices	17	
	A. Participants Register	18	
	B. Socialisation Materials	19	
B.1	Presentation materials	19	
B.2	Poster and brochure_	20	
	C. Quiz	21	
C.1	SOL/Contractors_	21	
C.2	Local Communities_		
Appendix	D. Minutes of Discussion	23	
D.1	11 June 2014 – Pahae Jae	23	

Sarulla Geothermal Power Project
Biodiversity Socialisation Delivery Interim Report
CONFIDENTIAL



D.2	11 June 2014 – Local Government Agencies	23
D.3	12 June 2014 – Pahae Julu	23
Appendix E.	Photos	24
E.1	10 June 2014 - SOL and Contractors Site Staff	24
E.2	11 June 2014 – Pahae Jae	25
E.3	11 June 2014 – Local Government Agencies	27
E.4	12 June 2014 – Pahae Julu	28

Sarulla Geothermal Power Project

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Executive Summary

Further to the Environmental and Social Impact Assessment (ESIA) findings in 2013, SOL commissioned Mott MacDonald to develop a Biodiversity Action Plan (BAP) and Biodiversity Offset and Ecological Management Plan (BOEMP). The BAP/BOEMP is one of a number of plans which comprise Sarulla Operations Limited (SOL)'s Environmental and Social Management Plan (ESMP). The BAP and BOEMP are of particular significance as the Project is located in a critical habitat as defined by International Finance Corporation (IFC) Performance Standards 6 (PS6) with presence of species of conservation value such as Sumatran tiger. Specifically the BAP and BOMP are required to ensure that the Project:

- Implements the mitigation, compensation and biodiversity offsetting measures within the ESIA
- Complies with national legislative requirements
- Complies with international environmental requirements and best practice, including the Asian
 Development Bank (ADB) Safeguards Policy Statement 2009, IFC PS6, and the Equator Principles

The BAP proposed ten actions as presented in **Table S.1** to be undertaken by SOL with the aim of achieving 'no net loss' to biodiversity or a net gain of the affected biodiversity in accordance with the IFC PS6.

Table S.1: Summary of BAP Objectives

No	Action
1	Inform construction staff on the habitats of conservation value and notable plant species
2	Conduct further biodiversity surveys and prepare a detailed map of the habitats of conservation value
3	Socialisation programme with local villages to promote awareness of importance of habitats and plant species of conservation value
4	Undertake habitat restoration within laydown, disposal, borrow and former production well areas
5	Clear demarcation of areas to be cleared during construction
6	Reduce hunting and logging in areas opened up through the creation of new or improved access roads
7	Reduce and prevent mortality of wildlife from collision from vehicles
8	Light control within Project Area to minimise disturbance to forest species
9	Methodical clearance of forested areas to allow natural dispersal of wildlife into adjacent habitat and not to create isolated areas with no means of escape.
10	Undertake habitat restoration in degraded forest areas outside the Project Area within the WBTFB.

Source: Mott MacDonald (2014) BAP and BOMP (Rev D)

Due to the close proximity of communities to both the Project and forest of high conservation value, a socialization programme was determined to be essential in promoting awareness of the importance of habitats of conservation value for compliance with Lenders' safeguards to demonstrate SOL's commitment toward 'no net loss' to biodiversity and 'net gain' in critical habitat. A further objective of the Socialisation Programme was to support SOL's proper implementation of the BAP. Therefore, the Socialisation Programme was designed and delivered to raise awareness of the following:

- National legislative and international lenders' requirements on biodiversity that the Project must comply with
- Importance of biodiversity and habitats and species of conservation value and sensitivities of the Project area
- Measures to be implemented and monitored on site as per the BAP and BOEMP during the construction phase
- Procedures to be followed in the event of non-compliance with the BAP and BOEMP



Roles and responsibilities of each entity and personnel

The Socialisation Programme was delivered to the following groups as of 12 June 2014:

- Group 1 SOL and Contractors senior and middle managers in Jakarta
- Group 2 SOL, Contractors, and Subcontractors senior site staff
- Group 3 Focus group meetings with local communities and government agencies including the Heads of Pahae Jae and Pahae Julu Sub-districts as well as Department of Mines and Energy and Department of Environment

This Interim Report presents the delivery of Socialisation Programme conducted as of 12 June 2014, which was delivered to total 365 internal and external stakeholders as shown in **Table S.2**.

Table S.2: Delivery Date and Location

Group	Audience	Date	Duration	Location	# of participants
1	SOL and Contractors senior and middle managers	5 June 2014	20min	SOL Jakarta office	36
2	SOL and Contractors site staff and contractors	10 June 2014	1hour	SOL site office	75
3	Local communities and Heads of Sub-district	11 June 2014	1.5hour	A church in Pahae Jae Sub-district	118
		12 June 2014	1.5hour	A church in Pahae Julu Sub-district	126
	Local government agencies	11 June 2014	1hour	SOL site office	10
				TOTAL:	365

SOL will deliver further socialisation at ten villages in the two Sub-districts of the Project's Area of Influence (AoI). SOL will also deliver further socialisation to all the SOL, Contractors, and Subcontractors site staff as part of their induction training and refresher on an annual basis.

Furthermore, as part of SOL's commitment toward 'no net loss' to biodiversity and 'net gain' in critical habitat, SOL will be implementing a range of informal and formal economic activities that will assist the transition of subsistence communities to alternative methods of income generation. This may be conducted through mechanisms such as microcredit systems, 'local business initiatives' and vocational education programs. In many cases, training in agroforestry, rotational cropping or other non-forest based agricultural practices will not only help to increase yields but have shifted cultivation from forest areas to cleared or already degraded land. However, it is of note that many of the community members may conduct hunting for hobby and production of a local alcohol. Therefore, engagement of communities will be implemented together with awareness raising programme as described above.

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1 Introduction

1.1 Rationale

The Socialisation Programme is an integral component of the Biodiversity Action Plan (BAP) and Biodiversity Offset and Ecological Management Plan (BOEMP). The BAP/BOEMP is one of a number of plans which comprise Sarulla Operations Limited (SOL)'s Environmental and Social Management Plan (ESMP). The ESMP is a compendium of documents that have been compiled with the express purpose of demonstrating SOL's application of (and conformance with) the provisions of international lenders' standards as listed in Section 1.4. Each plan presents a comprehensive assessment of the management, mitigation and monitoring requirements necessary to manage the relevant environmental and socioeconomic impacts as identified in the Environmental and Social Impact Assessment (ESIA) documents. Together, these plans will be an integral component of SOL's Environmental and Social Management System (ESMS) which sets out to provide the Company with a robust structure to ensure its risks and impacts are effectively managed.

The BAP and BOEMP are of particular significance as the Project is located in a critical habitat as defined by International Finance Corporation (IFC) Performance Standard 6 (PS6) with presence of species of conservation value such as Sumatran tiger. Specifically the BAP and BOMP are required to ensure that the Project:

- Implements the mitigation, compensation and biodiversity offsetting measures within the ESIA
- Complies with national legislative requirements
- Complies with international environmental requirements and best practice, including the Asian Development Bank (ADB) Safeguards Policy Statement 2009, IFC PS6, and the Equator Principles

1.2 Project Background

SOL is the operation company established by the consortium of Itochu Corporation, Kyushu Electric Power Co., Inc., Ormat International, Inc. and PT. Medco Energi International Tbk. SOL plans to develop the geothermal field and power plant with combined capacity of 330 MW in Sarulla, in Pahae Jae and Pahae Julu Districts, North Tapanuli Regency, North Sumatera Province.

The Project comprises the development of two geothermal fields within the Sarulla valley and includes the following activities:

- The development of Sarulla geothermal field i.e. Silangkitang (SIL) field and Namora I Langit (NIL) field
- The construction and operation of a combined capacity of 330 MW geothermal power plant, one unit at SIL and two units at NIL each with a nominal capacity of 110 MW
- The construction of a combined length of approximately 14kms of 150 kV (high voltage) overhead transmission line from Silangkitang field (SIL 1) to Perusahaan Listrik Negara (PLN) Substation and from Namora I Langit field (NIL 1) to PLN substation

Based on initial surveys as part of the Environmental and Social Impact Assessment, SOL has developed a Biodiversity Action Plan (BAP) and Biodiversity Offset and Ecological Management Plan (BOEMP). The BAP proposed ten actions as presented in Table 1.1 to be undertaken by SOL with the aim of achieving 'no net loss' to biodiversity or 'net gain' in critical habitats in accordance with the IFC PS6.



Table 1.1: Summary of Action Plan Objectives

No	Action
1	Inform construction staff on the habitats of conservation value and notable plant species
2	Conduct further biodiversity surveys and prepare a detailed map of the habitats of conservation value
3	Socialisation programme with local villages to promote awareness of importance of habitats and plant species of conservation value
4	Undertake habitat restoration within laydown, disposal, borrow and former production well areas
5	Clear demarcation of areas to be cleared during construction
6	Reduce hunting and logging in areas opened up through the creation of new or improved access roads
7	Reduce and prevent mortality of wildlife from collision from vehicles
8	Light control within Project Area to minimise disturbance to forest species
9	Methodical clearance of forested areas to allow natural dispersal of wildlife into adjacent habitat and not to create isolated areas with no means of escape.
10	Undertake habitat restoration in degraded forest areas outside the Project Area within the WBTFB.

Source: Mott MacDonald (2014) BAP and BOMP (Rev D)

1.3 Objectives

Due to the close proximity of communities to both the Project and forest of high conservation value, a socialization programme was determined to be essential in promoting awareness of the importance of habitats of conservation value for compliance with Lenders' safeguards to demonstrate SOL's commitment toward 'no net loss' to biodiversity and 'net gain' in critical habitat. A further objective of the Socialisation Programme was to support SOL's proper implementation of the BAP.

The potential outcomes of achieving the above objectives include:

- All construction footprints are maintained to a minimum and as planned
- Proper implementation of biodiversity construction management measures, which aim to avoid:
 - Destruction of flora/fauna, habitat loss and edge effects
 - Fauna injuries and fatality through site clearing and grading activities
 - Introduction of invasive flora and fauna species
 - Light and noise causing behavioural disturbances to animals
 - Erosion impacts
 - Hazardous material spills
 - Waste impacts
 - Brine discharge impacts
 - Reduction in numbers of vulnerable animals
 - Reduction in numbers of flora species near camp sites
 - Disturbance impact from noise and presence of people due to Staff site and welfare facilities
 - Break in habitat continuity for tigers and other terrestrial mammals through embankments on site access roads
- Implementation of methodical clearance of forested areas to allow natural dispersal of wildlife into adjacent habitat and to prevent clearance of habitats of conversation value resulting in isolation of wildlife from escape

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- Restriction of entrance into "exclusion zones" and informal routes/tracks or off road driving are refrained
- Clear responsibilities and procedures for reporting and managing injured wildlife or road kill
- Discouragement of killing animals (including procedural implications for employees engaged in such activities) and a protocol to be followed in the event of animals being encountered on site

1.4 Compliance

This Socialisation Programme was designed and delivered to support the implementation of the BAP and BOEMP, which have been developed in accordance with the following international and national requirements:

National Legislation

- Law No 5 of 1990 regarding the Conservation of Natural Resources and Ecosystems
- Government Regulation 7 of 1997 regarding the Wildlife Protection Act

International Treaties

- United Nations Convention on International Trade in Endangered Species of Wild Fauna and Flora accession 1978;
- United Nations Convention on Biological Diversity (CBD) ratified 1995
- International Tropical Timber Agreement ratified 1995
- Convention on the Conservation of Migratory Species of Animal Wildlife (CMS)
- Convention on International Trade of Endangered Species of Wild Fauna and Flora (CITES) accession 1978
- Convention on International Trade in Endangered Species of Wild Fauna and Flora accession 1978

Lenders' Requirements

- Asian Development Bank (ADB) Safeguard Requirements1: Environment (Section D8 'Biodiversity Conservation and Sustainable Natural Resource Management')
- IFC PS 6: Biodiversity Conservation and Sustainable Management of Living Natural Resources
- Japan Bank for International Cooperation (JBIC) Environmental and Social Confirmation Guideline
- Equator Principles

1.5 Scope

This Socialisation Programme was designed and delivered to raise awareness of the following:

- National legislative and international lenders' requirements on biodiversity that the Project must comply with
- Importance of biodiversity and habitats and species of conservation value and sensitivities of the Project area
- Measures to be implemented and monitored on site as per the BAP and BOEMP during the construction phase
- Procedures to be followed in the event of non-compliance with the BAP and BOEMP

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Roles and responsibilities of each entity and personnel

1.6 Structure of this report

This report is structured as follow:

- Section 2 reports on the Socialisation Programme delivered as of 12 June 2014
- Section 3 presents the key performance indicators (KPIs) developed and recorded against the BAP as well as the objectives of next reporting
- Appendix A presents the Participants Register
- Appendix B presents the presentation and other materials used for the socialisation
- Appendix C presents the biodiversity quizzes used to identify the current knowledge level and to develop a KPI
- Appendix D records the minutes of discussion held at the end of each socialisation event
- Appendix E records the photos taken during each socialisation event to-date



2 Delivery

1.7 Date and Location

The Socialisation Programme was delivered to the following groups as of 12 June 2014 as summarised in **Table 2.1**:

- Group 1 SOL and Contractors senior and middle managers in Jakarta
- Group 2 SOL, Contractors, and Subcontractors senior site staff
- Group 3 Focus group meetings with local communities and government agencies including the Heads of Pahae Jae and Pahae Julu Sub-districts

Table 2.1: Delivery Date and Location

Group	Audience	Date	Duration	Location
1	SOL and Contractors senior and middle managers	5 June 2014	20min	SOL Jakarta office
2	SOL and Contractors site staff and contractors	10 June 2014	1hour	SOL site office
3	Local communities and Heads of Sub-district	11 June 2014	1.5hour	A church in Pahae Jae Sub- district
		12 June 2014	1.5hour	A church in Pahae Julu Sub- district
	Local government agencies	11 June 2014	1hour	SOL site office

1.8 Audience

The Socialisation Programme was delivered to total 365 internal and external stakeholders as presented in **Table 2.2** as of 12 June 2014.

Table 2.2: Number of Participants (as of 12 June 2014)

Group	# of participants
SOL senior managers	28
Contractors senior managers	8
SOL and Contractors site staff	75
Local communities in Pahae Jae	118
Local communities in Pahae Julu	126
Local government agencies	10
TOTAL:	365

The participant registers are provided in **Appendix A**. The local stakeholders included the following groups in addition to the villagers within the Project's Area of Interest (AOI) and high biodiversity forest (East and West Batang Toru forest blocks):

- Head of Pahae Jae Sub-district (Kecamatan)
- Head of Pahae Julu Sub-district (Kecamatan)
- Heads of village (Kepala Desa)
- Village representatives (Badan Permusyawaratan Desa)

Sarulla Geothermal Power Project

Biodiversity Socialisation Delivery Interim Report CONFIDENTIAL



- Department of Mines and Energy (Dinas Pertambangan dan Energi)
- Department of Environment (Dinas Lingkungan Hidup)
- Development of Family Welfare (Pembinaan Kesejahteraan Keluarga)
- Indonesian national army (Tentara Nasional Indonesia)
- Religious leaders

1.9 Delivery Team

The Socialisation Programme was delivered by:

- Mott MacDonald staff
- SOL Environmental Engineers and External Relation Officers
- Yayasan Ekosistem Lestari (YEL) and PanEco staff.

YEL and PanEco are the local and international non-governmental organisations (NGOs) working on conservation of biodiversity and sustainable use of natural resources in Western Batang Toru Forest. They have been engaged by the Project to conduct ecological surveys since November 2013.

1.10 Modes of Delivery

1.10.1 Overview

The Socialisation Programme was delivered through:

- Batang Toru Ecosystem documentary produced by PanEco
- PowerPoint presentation (as provided in Appendix B.1)
- Question and Answer (Q&A) session

In addition, SOL and YEL distributed printed materials as provided in **Appendix B.2** to the participants during the Socialisation Programme. These materials consist of:

- Protected Wildlife Poster in Bahasa
- Leaflets on Protected Wildlife, Laws, and Implications of violations in Bahasa

1.10.2 Communication with Target Communities

The Socialisation Programme was delivered to local communities in a culturally appropriate manner and language (both Bahasa Indonesia and Batak). Distribution of materials to the target communities followed the procedures established as part of SOL's Stakeholder Engagement Plan (SEP). SOL distributed invitations to meetings and materials through:

- Head of village and local communities leaders/informal leaders
- Village board information
- Village administration staff
- Representatives from community group



Verbal communication with the target communities followed the procedures established as part of SOL's SEP.

1.11 Modules

1.11.1 Overview

An overview of modules including targeted participants is summarised in **Table 2.3**.

Table 2.3: Overview of Modules

#	Module	BAP Ref	SOL and Contractors Senior and Middle Management	SOL and Contractors Site Staff	Local Communities	Local government agencies
0.	Level of Awareness	-	✓	✓	✓	✓
1	National Legislation and International Lenders' Requirements	-	✓	✓	✓	✓
2	Importance of Biodiversity	Action 1 Action 3	✓	✓	✓	✓
3	Biodiversity Action Plan and Offset Management Plan	Action 4 Action 5 Action 6 Action 7 Action 8 Action 9 Action 10	√	✓	√	√
4	Enforcement	-	✓	✓		✓
5	Monitoring and Reporting	Action 2	✓	✓		✓
6	Q&A	-	✓	✓	✓	✓

1.11.2 Module 0 - Level of Awareness

An overview of this module is presented in Table 2.4.

Table 2.4: Overview of Module 0

MODULE 0	LEVEL OF AWARENESS
Objectives	 To provide a written record of participants' level of knowledge and awareness on biodiversity after completing this Socialisation Programme
	To serve as a KPI by comparing to results of the first quiz
Mode of Delivery	Paper

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MODULE 0	LEVEL OF AWARENESS
Target audience	SOL, Contractors, Subcontractors, Local Communities, and Local government agencies

Prior to the commencement of the socialisation, all participants were requested to take a 5-minute quiz on their present awareness and knowledge on biodiversity within the Project AOI and the potential risks and impacts of the Project's current and planned activities. A final quiz was also undertaken at the end of the Socialisation Programme to:

- Provide a written record of participants' level of knowledge and awareness on biodiversity after completing this Socialisation Programme
- Serve as a KPI by comparing the score of the first and final guizzes.

The quiz was prepared in both English and Bahasa as presented in **Appendix C**. The quiz consisted of multiple choice and short answer questions. A different quiz was prepared for local communities and local government agencies as the questions related to the Project's construction mitigation measures are less important to these stakeholders.. The quiz results are summarised in **Table 2.5**.

Table 2.5: Results of Quiz

Group	Average score of 1st Quiz	Average score of 2nd Quiz
SOL and Contractors Senior Managers	83%	89%
SOL and Contractors site staff	60%	80%
Local communities in Pahae Jae	[to be reported in the next submission]	[to be reported in the next submission]
Local communities in Pahae Julu	[to be reported in the next submission]	[to be reported in the next submission]
Local government agencies	[to be reported in the next submission]	[to be reported in the next submission]

1.11.3 Module 1 - National Legislation and International Lenders Requirements

An overview of this module is presented in **Table 2.6**.

Table 2.6: Overview of Module 1

MODULE 1	NATIONAL LEGISLATION AND INTERNATIONAL LENDERS' REQUIREMENTS
Objectives	To raise awareness on:
	 Requirements stipulated under the national legislative and international requirements listed in Section 1.4 and their implications to their activities
	The recent changes to the Regency Spatial Plan, which has changed the status of the forest
Mode of Delivery	PowerPoint presentation
Target audience	SOL, Contractors, Subcontractors, Local Communities, and Local government agencies

A brief introduction to the key national legislation, international conventions that the Government of Indonesia is signatory to, as well as lenders' requirements was presented to the audience. The level of details and terminologies used were adjusted to meet the audience's level of knowledge.



1.11.4 Module 2 - Importance of Biodiversity

An overview of this module is presented in **Table 2.7**.

Table 2.7: Overview of Module 2

MODULE 2	IMPORTANCE OF BIODIVERSITY
Objectives	To raise awareness on the forest habitats of conservation value and wildlife and notable plant species within the Project AOI
Mode of Delivery	PowerPoint presentation and video
Target audience	SOL, Contractors, Subcontractors, Local Communities, and Local government agencies

In particular, the following were presented to the audience:

- Fauna and flora of conservation value including the species protected in accordance with the national legislation and species listed in the threatened categories in IUCN Red List
- Batang Toru Forest as an internationally recognized area
- Batang Toru Forest's functions as water catchment area and implications to local livelihoods

1.11.5 Module 3 - Biodiversity Action Plan (BAP)

An introduction to the activities that are permitted or not permitted in the habitats of conservation value as defined in the BAP was provided to SOL and Contractors. In particular, an introduction to the following actions was provided:

- Action 1 Inform construction staff on the habitats of conservation value and notable plant species
- Action 3 Socialisation programme with local villages to promote awareness of importance of habitats, wildlife and plant species of conservation value
- Action 4 Undertake habitat restoration within laydown, disposal, borrow and former production well areas
- Action 5 Clear demarcation of areas to be cleared during construction
- Action 6 Promote reduction in hunting and logging in areas opened up through the creation of new or improved access roads
- Action 7 Reduce and prevent mortality of wildlife from collision from vehicles
- Action 8 Light control within Project Area to minimise disturbance to forest species
- Action 9 Methodical clearance of forested areas to allow natural dispersal of wildlife into adjacent habitat and not to create isolated areas with no means of escape.

1.11.5.1 Local Communities

An overview of this module is presented in **Table 2.8**.

Table 2.8: Overview of Module 3 for Local Communities

MODULE 3	BAP FOR LOCAL COMMUNITIES
Objectives	To raise awareness on
	 Activities that are or are not permitted in the areas of conservation value including the access roads



MODULE 3	BAP FOR LOCAL COMMUNITIES					
	and buffer zones as well as restored habitats (e.g., logging, hunting of Threatened and protected species)					
	 SOL's construction activities and commitment to biodiversity 					
Mode of Delivery	PowerPoint presentation					
Target audience	Local Communities					

As the Batak have a sense of attachment to the land, SOL deliberately did not deliver the message to reduce illegal logging during the socialisation conducted in June 2014. SOL's external relation officers will work with local communities regarding this issue.

1.11.5.2 SOL and Contractors

An overview of this module is presented in **Table 2.9**. SOL's Environmental Engineer delivered the presentation to SOL site staff and Contractors to emphasise SOL's commitment to implementing the BAP. A summary of the BAP was also provided to the local government agencies.

Table 2.9: Overview of Module 3 for SOL and Contractors

MODULE 3	BAP FOR SOL AND CONTRACTORS
Objectives	To raise awareness on:
	 Appropriate construction management measures within the Project AOI
	 Activities that are or are not permitted in the areas of conservation value including the access roads and buffer zones as well as restored habitats
Mode of Delivery	PowerPoint presentation and group discussion
Target audience	SOL, Contractors, Subcontractors, Local government agencies

A brief introduction to the key biodiversity management measures for the construction phase was provided to SOL site staff, Contractors, and Subcontractors. The objectives of implementing appropriate construction management measures are to:

- Maintain all construction footprints to a minimum and as planned
- Implement methodical clearance of forested areas to allow natural dispersal of wildlife into adjacent habitat and to prevent clearance of habitats of conversation value resulting in isolation of wildlife from escape
- Avoid:
 - Destruction of flora/fauna, habitat loss and edge effects
 - Fauna injuries and fatality through site clearing and grading activities and traffic
 - Introduction of invasive flora and fauna species
 - Light and noise causing behavioural disturbances to animals
 - Erosion impacts
 - Hazardous material spills
 - Waste impacts
 - Brine discharge impacts
 - Reduction in numbers of vulnerable animals



- Reduction in numbers of flora species near camp sites
- Disturbance impact from noise and presence of people due to Staff site and welfare facilities
- Break in habitat continuity for tigers and other terrestrial mammals through the creation of embankments on site access roads.

1.11.6 Module 4 - Enforcement

An overview of this module is presented in **Table 2.10**. SOL's Environmental Engineer delivered this module to the SOL site staff, Contractors, and Subcontractors. As SOL cannot enforce local communities to adopt the BAP, this was instead communicated to the local government agencies to seek their assistance.

Table 2.10: Overview of Module 4

MODULE 4	ENFORCEMENT
Objectives	To raise awareness on: SOL's 'no tolerance policy' for its employees and contractors engaged in poaching wildlife and collecting forest products
	 the importance of adhering to the lenders' requirements and penalties for breaching
Mode of Delivery	PowerPoint presentation
Target audience	SOL, Contractors, Subcontractors, and Local government agencies

1.11.7 Module 5 - Monitoring and Reporting

An overview of this module is presented in **Table 2.11**. SOL's Environmental Engineer delivered this module to the Contractors, Sub-contractors, and local government agencies.

Table 2.11: Overview of Module 5

MODULE 5	ENFORCEMENT
Objectives	To raise awareness on:
	 Lines of communication and responsibilities for reporting and managing injured wildlife or roadkill and unpermitted act
	Monitoring for infringements
	 Monitoring surveys plan set out in the BAP and BOEMP for pre-construction, construction, and post- construction phase
Mode of Delivery	PowerPoint presentation
Target audience	SOL, Contractors, Subcontractors, and Local government agencies

1.11.8 Question and Answer (Q&A)

A Q&A session followed at the end of the programme to answer any queries arising from the participants. The minutes of discussion held are provided in **Appendix D**. Photos recorded during the Socialisation Programme are presented in **Appendix E**.

[A summary of the key issues/concerns raised will be reported in the next submission].



3 Key Performance Indicators (KPIs) and Way Forward

1.12 Overview

The Socialisation Programme was delivered to the following groups as of 12 June 2014:

- Group 1 SOL and Contractors senior and middle managers in Jakarta
- Group 2 SOL, Contractors, and Subcontractors senior site staff
- Group 3 Focus group meetings with local communities and government agencies including the Heads of Pahae Jae and Pahae Julu Sub-districts as well as Department of Mines and Energy and Department of Environment

The key performance indicators (KPIs) for the effectiveness of the Socialisation Programme in raising awareness of biodiversity issues were developed and recorded and are discussed further in **Section 3.2**. SOL's progress against the KPIs will be updated in the Final Report.

SOL will deliver further socialisation at ten villages in the two Sub-districts of the Project's Area of Influence (AoI). SOL will also deliver further socialisation to all the SOL, Contractors, and Subcontractors site staff as part of their induction training and refresher on an annual basis.

1.13 KPIs

SOL's progress against the KPIs as of 12 June 2014 is presented in **Table 3.1**. Since the primary objective of the Socialisation Programme is awareness raising and the actual implementation is largely dependent on enforcement and implementation of appropriate measures by SOL, Contractors, Subcontractors, and external stakeholders, majority of the KPIs refer to the quiz scores to measure the improved level of awareness (cf., the actual outcomes of implementing the BAP).

Table 3.1: KPIs for the Socialisation Programme (as of 12 June 2014)

KPI of Socialisation Programme	Achievement	BAP									
		#1	#2	#3	#4	#5	#6	#7	#8	#9	#10
[Number] of SOL and Contractors Senior Managers attending the Socialisation Programme	28	✓									
[Number] of SOL, Contractors, and Subcontractors site staff attending the Socialisation Programme	75	✓									
[%] improvement by SOL and Contractors senior managers on first and final quiz	6%	✓	✓		✓	✓		✓	✓	✓	✓
[%] improvement by SOL and Contractors, Sub-contractors site staff	20%	✓	✓		✓	✓		✓	✓	✓	✓
[%] improvement by local communities in Pahae Jae on first and final quiz	14%			✓			✓				
[%] improvement by local communities in Pahae Julu on first and final quiz	10%			✓			✓				
[Number] of biodiversity posters displayed in the Sarulla site office and work areas	24	✓									



KPI of Socialisation Programme	Achievement	BAP									
		#1	#2	#3	#4	#5	#6	#7	#8	#9	#10
[Number] of biodiversity brochures distributed to the SOL, Contractors, and Sub-contractors staff	103	✓									
[Number] of socialisation programme delivered to the local communities	2			✓			✓				
[Number] of socialisation programme delivered to the local government agencies	1			✓			✓				
[Number] of biodiversity posters displayed in the village information board	[To be reported in the next submission]			✓			✓				
[Number] of posters and brochures distributed to the local communities in Pahae Jae	118			✓			✓				
[Number] of posters and brochures distributed to the local communities in Pahae Julu	126			✓			✓				
[Number] of posters and brochures distributed to the local government agencies	10			✓			✓				

SOL aims to continuously improve its progress against the KPIs where appropriate, and this will be reported on an annual basis. KPIs will be reviewed and revised as appropriate on an annual basis.

1.14 Way forward

SOL will deliver further socialisation activities with local communities.

It is of note that the Socialisation Programme to the villages in Pahae Jae and Pahae Julu Sub-districts will be delivered by SOL in cooperation with PanEco/YEL and the Contractors' external relations officers. According to a survey conducted in 2009, there are approximately 6,200 inhabitants in Pahae Jae and Pahae Julu Sub-districts as shown in **Table 3.2**. The physical boundary of the target communities is provided in **Figure 3.1**.

Table 3.2: Population of Communities in SOL Area of Interest

Kecamatan	Village Name	Inhabitants (2009)
Pahae Julu	Simataniari	570
	Simanampang	420
	Sibaganding	479
	Lumban Tonga	671
	Lumban Jaean	545
	Lumban Garaga	561
	Lumban Gaol	711
Sub-total	7 villages	3,957

Sarulla Geothermal Power Project
Biodiversity Socialisation Delivery Interim Report
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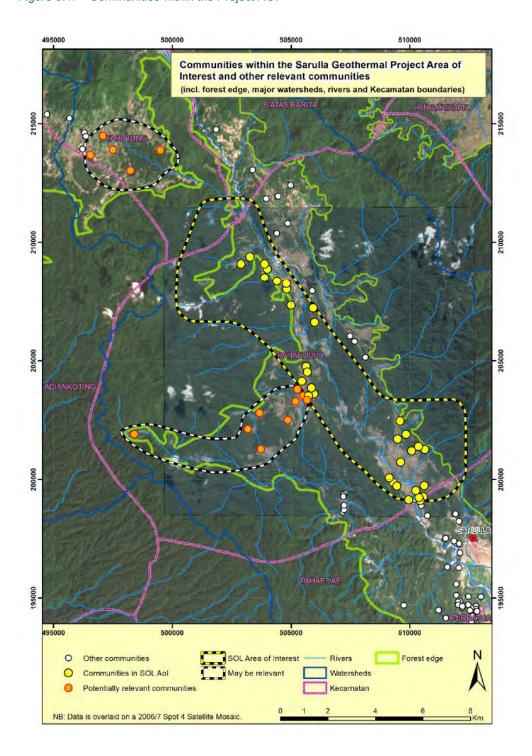


Kecamatan	Village Name	Inhabitants (2009)
Pahae Jae	Siopat Bahal	585
	Sigurunggurung	710
	Pardomuan Nainggolan	977
Sub-total	3 villages	2,272
Total	10 villages	6,229

Source: Pan Eco / YEL



Figure 3.1: Communities within the Project AOI



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SOL will deliver further socialisation at ten villages in the two Sub-districts of the Project's Area of Influence (AoI). SOL will also deliver further socialisation to all the SOL, Contractors, and Subcontractors site staff as part of their induction training and refresher on an annual basis. A refresher thereafter will be arranged and delivered by SOL in coordination with the Contractors on an annual basis throughout the construction phase.

Furthermore, as part of SOL's commitment toward 'no net loss' to biodiversity and 'net gain' in critical habitat, SOL will be implementing a range of informal and formal economic activities that will assist the transition of subsistence communities to alternative methods of income generation. This may be conducted through mechanisms such as microcredit systems, 'local business initiatives' and vocational education programs. In many cases, training in agroforestry, rotational cropping or other non-forest based agricultural practices will not only help to increase yields but have shifted cultivation from forest areas to cleared or already degraded land. However, it is of note that many of the community members may conduct hunting for hobby and production of a local alcohol. Therefore, engagement of communities will be implemented together with awareness raising programme as described above.

Sarulla Geothermal Power Project Biodiversity Socialisation Delivery Interim Report



Appendices

Appendix A.	Participants Register	17
Appendix B.	Socialisation Materials	18
Appendix C.	Quiz	20
Appendix D.	Minutes of Discussion	22
Appendix E.	Photos	23



Appendix A. Participants Register

Meeting Participants Register

Project Title

Sarulla Geothermal Power Project

Subject

ESMS Capacity Building and Biodiversity Socialisation

Location

SOL office in Jakarta

Date of Meeting

05-Jun-14

#	Name	Organisation	Position	Initials
1	Takao Shinohara	SOL	CEO	70
2	Hisao Nakano	SOL	CFO	A
3	William Lajousky	SOL	COT	WZ
4	Fauzi Imron	SOL		
5	Masaya Shibata	SOL	Project Convol Manager	塔田
6	Tetsuya Deguchi	SOL	Project Engineening Manager	J. Doguchi
7	Naoto Uchida	SOL	Cluil Engineer	BBEL
8	Makoto Nogami	SOL		1
9	Sakaue Jumpei	SOL	Project France Manager	JAS6-
10	Dave Packers	SOL	Human Resources 4 to S Manyer	- 1
11	Melva Samosir	SOL	CSR & AMDAL	34
12	M. Rangga Wirapasa	SOL	Legal Counsel	8.
13	Samsul Abdul Hakim	SOL	Dec Comrol	- tay or
14	Ria Mahdia Fitri	SOL	Duc control	-
15	Herry Saleh	SOL	HR Staff	#.
16	Olivia H.	SOL		10
17	Petrus Gunawan	SOL	HSE Manager (ETPB	POT
18	Johannes Sagala	SOL	HYE Engineer	The
19	José Perez Villarreal	Halliburton	Sr. Projet Rouger	AC-
20	Adnil Isvan Nurdin	Halliburton	7	
21	Kabul Prasetyo Utomo	Halliburton	LOGISTICS & MATERIALS MANAGER HSE MANAGER Engineering Manager	forst
22	Mohammad Nur Azan	Halliburton	HSE MANAGER	mis
23	Juan Rosales	Halliburton	Ingnering Manger	Juan B. RouleuB
24	Cesar Sarmiento	Halliburton	0 6 6	



Sarulla Operations Limited C/O The Energy Building 51st Fl SCBD Lot. 11A Jl. Jend. Sudirman Kav.52-53 Jakarta 12190 - Indonesia Phone +6221 2995 1648 Fax +6221 2995 1649



Registration Form

ESMS TRAINING AND BIODIVERSITY SOCIALIZATION

By Mott MacDonald

Thursday, June 5th, 2014

NIL 1&2 Meeting Room, The Energy Building 51st Floor

No	Name	Company	Phone Number	Email	Signature
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3	Masaya ShibaTa	SOL	08/3/08/4432	masara shibuta@ saralka-geothernal Gan	茶の
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Sarulla Operations Limited C/O The Energy Building 51st Fl SCBD Lot 11A Jl. Jend. Sudirman Kav.52-53 Jakarta 12190 - Indonesia Phone +6221 2995 1648 Fax +6221 2995 1649



Registration Form

ESMS TRAINING AND BIODIVERSITY SOCIALIZATION

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Thursday, June 5th, 2014

NIL 1&2 Meeting Room, The Energy Building 51st Floor

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24	JUAN ROSALES	HAL	08118476785	1 1000	Juan & Roule B.
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DAFTAR HADIR SOSIALISASI KEANEKARAGAMAN HAYATI (BIODIVERSITY)

HARI

: Selasa, 10 Juni 2014

TEMPAT : SOL Office

Pukul

: 09.00 Wib. s/d selesai



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: Selasa, 10 Juni 2014

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: Rabu, 11 Juni 2014

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HARI : Rabu, 11 Juni 2014 TEMPAT : GKPI Pangaloan

Pukul : 09.00 Wib. s/d selesai



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: Rabu, 11 Juni 2014

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: 09.00 Wib. s/d selesai



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Rabu, 11 Juni 2014

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DAFTAR HADIR

SOSIALISASI KEANEKARAGAMAN HAYATI KEPUA DESA (KDES) -> HEAS OFTIFE UICUGE (BIODIVERSITY)

PMM -> DEMISINARY NEWSON MEDELAHTERSARY REVARCA

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(DEVELOPMENT OF FAMILY WELFARE)

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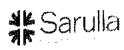
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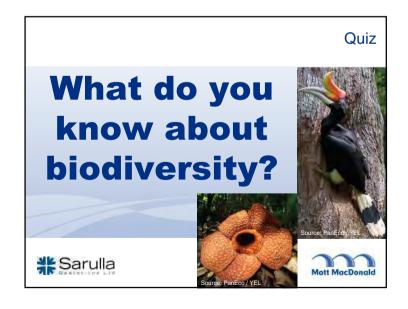
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Appendix B. Socialisation Materials

B.1 Presentation





Agenda

- National legislation and international requirements
- Importance of biodiversity
- Biodiversity Action Plan and Offset Management Plan
- · Enforcement, Monitoring, and Reporting
- Q&A







Key international requirements

- United Nations Framework Convention on Climate Change (1994)
 - Gol has set a voluntary commitment to reducing carbon emissions, including mitigation of emissions from deforestation through:
 - Combating illegal logging; conservation and rehabilitation of forest resources; empowering the economy of the community within and surrounding the forest area
- United Nations Convention on Biological Diversity (1995)
 - BAPPEDA produced the Indonesian Biodiversity Strategy and Action Plan (IBSAP).
 - BSAP for 2020 is currently under preparation to include mechanisms for monitoring and evaluating implementation at national and local levels
- Convention on International Trade in Endangered Species of Wild Fauna and Flora (1978)
 - Gol is signatory to this legally binding agreement between governments to ensure that international trade in wild animals and plants does not threaten their survival.







International lenders' requirements Asian Development Bank's Environmental Safeguards

- · Do not implement project activities in areas of critical habitats, unless:
 - There are no measurable adverse impacts, or likelihood of such, on the critical habitat which could impair its high biodiversity value or the ability to function;
 - The project is not anticipated to lead to a reduction in the population of any recognized endangered or critically endangered species or a loss in area of the habitat concerned such that the persistence of a viable and representative host ecosystem be compromised:
 - For any lesser impacts, mitigation measures will be designed to achieve at least no net loss of biodiversity.

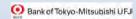




International lenders' requirements (cont'd)

- **Equator Principles**
 - Signed by the Sarulla project's financial institutions













 Japan Bank for International Cooperation (JBIC) Environmental and Social Confirmation Guideline

JAPAN BANK FOR INTERNATIONAL COOPERATION







International lenders' requirements

IFC PS6 - Biodiversity Conservation and Sustainable Management of Living Natural Resources

- In areas of critical habitat, the client will not implement any project activities
 - No other viable alternatives within the region exist for development of the project on modified or natural habitats that are not critical;
 - The project does not lead to measurable adverse impacts on those biodiversity values for which the critical habitat was designated, and on the ecological processes supporting those biodiversity values:
 - The project does not lead to a net reduction in the global and/or national/regional population of any Critically Endangered or Endangered species over a reasonable period of time; and
 - A robust, appropriately designed, and long-term biodiversity monitoring and evaluation program is integrated into the client's management program.





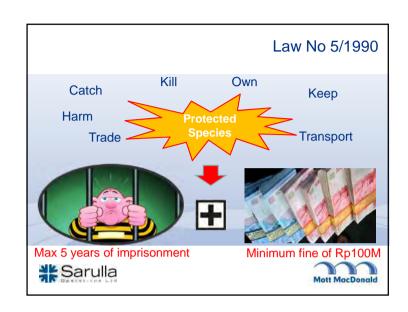


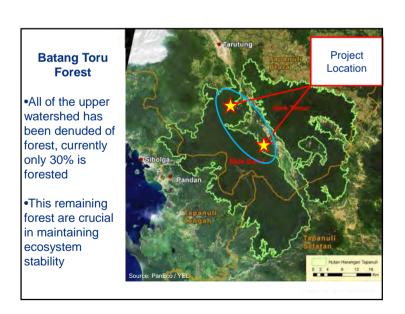
National legislation

- Law No 5 of 1990 regarding the Conservation of Natural Resources and Ecosystems
 - Outlines the importance of preserving plant and animal diversity and their ecosystems and
 - Regulates the participation of the people in the conservation of biological resources and
- · Law No 19 of 2004 regarding Forestry
 - Regulates the management and conservation of forests
 - Categorise forests into: Conservation, Protection, and Production
- Government Regulation 7 of 1999 regarding Conservation of Plants and
 - A species of plants and animals must be protected if: it has a small population; there is a sharp decrease in the number of individuals in the wild; limited distribution range (endemic).
 - For those species of plants and animals which fulfill the criteria referred to in paragraph (1)









Project Baseline

- Batang Toru Forest and its functions
- · Internationally recognized forest
- Results of field surveys



The Project site is located in critical habitats of high conservation value



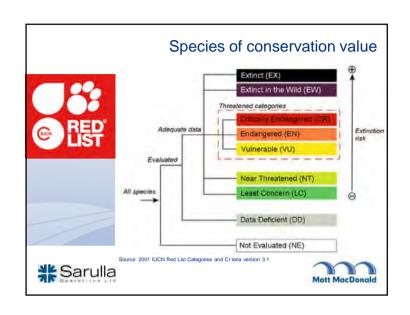


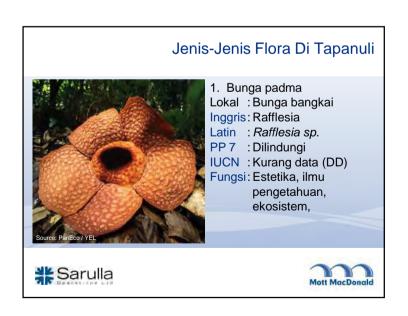
Batang Toru River Watercatchment area

•The Batang Toru watershed (3400 km²) is one of the most important ones in North Sumatra with following functions:

- Store water, thereby reducing flooding during heavy rains, and provide water during the dry season.
- Reduce erosion and landslides
- Accelerate the formation of soil and nutritional cycles
- Forests regulate (local) climate, thereby reducing temperature fluctuations and regulating rainfall.























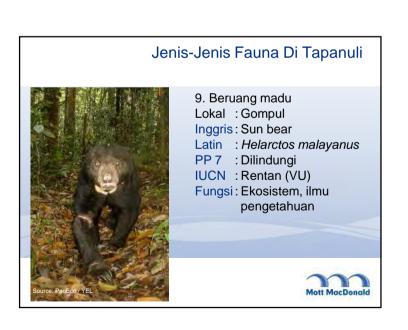
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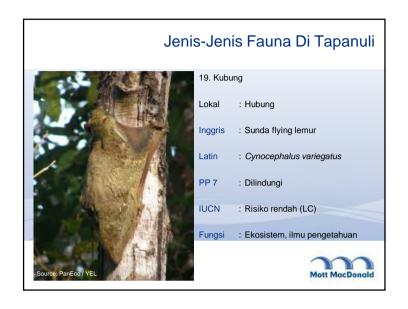


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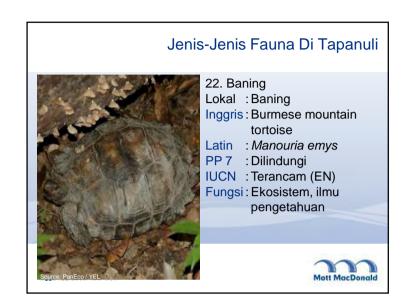




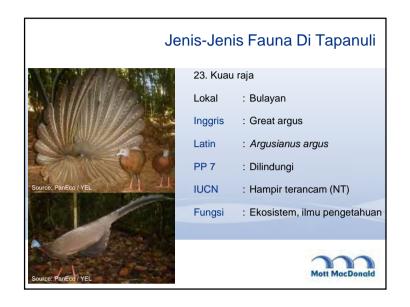










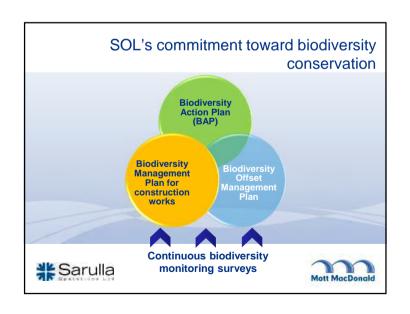


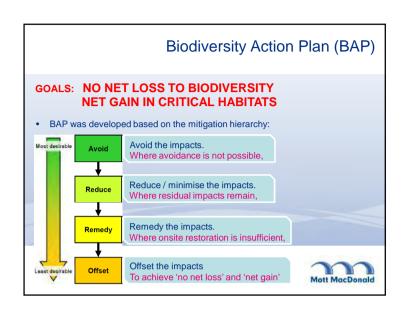


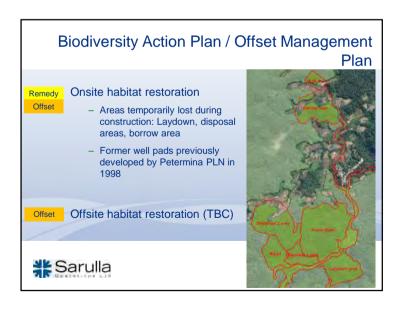


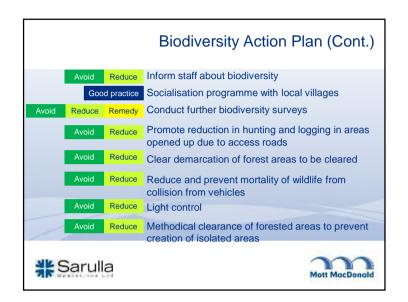














Avoid Reduce

Biodiversity Management Plan for minimising **Deforestation**

- Employ clearance and felling methods that retain trees and allow fauna to escape
- Relocate habitat features and resources for native fauna in a manner to limit damage to existing vegetation
- Avoid damage to trees by machinery that are not scheduled for felling. Do not permit vehicles or other plant or machinery to enter areas of intact vegetation.
- · Avoid use of herbicides to clear vegetation.
- Do not use intact vegetation areas for stockpiling or as lay down areas.





Avoid Reduce

Biodiversity Management Plan for avoiding **Invasive Species**

- Identify existing areas of non-native plant species and develop a management plan
- Hygiene measures for construction machinery and workforce
 - Disinfection and wash down of plants/machinery prior to the arrival
 - Contain and treat washdown water
 - Locate wash areas away from water bodies
- Use native species for restoration areas
- · Prohibit the possession of cats/dogs on site
- · Avoid the use of herbicides unless strictly necessary





Avoid Reduce

Biodiversity Management Plan for general construction activities

- Reduce the noise and light disturbance (appropriate vehicle maintenance, speed limit, reduce light)
- Control the erosion (erosion control devices, replant vegetation)
- Control waste/chemical disposal
- Inject Condensate and brine generated during the power plant production testing and operation into re-injection wells
- Prohibit hunting/fishing/harvesting of forest's products







Avoid Reduce

Biodiversity Management Plan for **Critical Habitats** Conservation

- In case of wildlife found on the site, ensure that it is relocated in a suitable habitat not too far from the original area.
- Check continuously for the presence of endangered species
- Threat abatement of impacted species (poaching-free zone)
- Avoid habitat interruption (e.g. site embankments of site roads)







Enforcement, Monitoring, and Reporting

- No tolerance policy
- Monitoring of infringement
- Lines of communication
- Biodiversity monitoring surveys for preconstruction, construction, and O&M phase
 - Update BAP report
 - Biodiversity Monitoring and Evaluation Programme



Compliance with national legislation and international requirements



NO NET LOSS TO BIODIVERSITY NET GAIN IN CRITICAL HABITATS

Avoid and/or minimise:

- Ecological impacts
- Commercial risks
- Reputational risks







Sarulla Geothermal Power Project Biodiversity Socialisation Delivery Interim Report



B.2 Poster and Brochure

Rupo-rupo Ni Angka Binatang Na Dijago Undang-undang Ni Indonesia

Sanga Ise Na Marburu/ manakkup Angka Binatang/Unggas Na Dijago Ni Pamaretta Gabe di Dando ma ia dohot Hepeng Rp 100.000.000,- Sanga Diuhum Hurungan lima taon penjara



Orangutan sumatera (Juhut bontar)



Orangutan jantan (gambar atas) memiliki bantalan di pipi (cheek-pads) yang membesar kalau dewasa. Informasi salah yang beredar ialah: orangutan dapat menculik anak kecil, memperkosa wanita, & dapat menyerang manusia dengan melemparkan kayu/ranting pohon.



Induk & anak orangutan masih menyusui (gambar di samping). Orangutan lambat berkembangbiak. Betina orangutan hanya punya satu anak sekaligus yang tinggal bersamanya selama 8 tahun lalu berpisah pelan-pelan.

Populasi orangutan (mawas) di dalam Hutan Tapanuli mempunyai ciri genetika yang berbeda dengan orangutan lain di Sumatera Utara dan Aceh, sehingga patut

dilesrtaikan.

Secara alami makanan orangutan adalah bunga, buah, daun , liana, kulit kayu, rayap, serangga lain, dll. Orangutan menjaga keseimbangan hutan melalui rantai makanan, membantu penyerbukan, dan memencarkan biji-bijian.

Penangkapan burung di alam liar baik untuk peliharaan (hobi) maupun dijual marak terjadi. Perlu kesadaran dari masyarakat agar mengurangi aktivitas ini untuk memulihkan populasi burung yang saat ini semakin langka.



STOP PERBURUAN & PERDAGANGAN SATWA LIAR DEMI KELESTARIAN ALAM KITA SEMUA!











"Setiap orang dilarang untuk: menangkap, melukai, membunuh, menyimpan, memiliki, memelihara, mengangkut, dan memperniagakan satwa yang dilindungi (UU No. 5 tahun 1990)".



Apabila terjadi konflik dengan orangutan dan satwa liar dilindungi lainnya, segera SMS ke:

0812-6569-8936 (YEL) 0813-9772-0000 (OIC) 0634-23450 (BKSDA Wil. II P.Sidempuan)

Mengapa Terjadi Konflik Manusia-Satwa Liar?

1. Aktivitas manusia yang semakin masuk ke hutan meng-



akibatkan kerusakan atau penyempitan habitat satwa.

Pembukaan hutan untuk areal perkebunan akan mengakibatkan satwa liar kehilangan tempat tinggal nya.

2. Berkurangnya satwa mangsa akibat perburuan oleh manusia mengakibatkan satwa predator datang ke pemukiman masyarakat. Rusa & kambing hutan merupakan salah satu contoh jenis pakan Harimau sumatera yang jumlahnya menurun karena diburu.



- 3. Dianggap hama (pengganggu). Contohnya pada saat musim panen durian, orangutan masuk ke kebun buah yang lokasinya dekat/merupakan daerah bekas hutan.
- 4. Masih banyak masyarakat yang kurang mengetahui manfaat penting satwa liar bagi alam.



Mengapa Satwa Liar Perlu Dilindungi?

- 1. Di dalam hutan ada suatu sistem yang lengkap disebut ekosistem (hubungan timbal-balik antara mahluk hidup dengan lingkungannya). Bila satwa liar hilang/ punah, maka sistem tidak akan berjalan dengan baik, sehingga lingkungan akan rusak.
- 2. Beragam satwaliar yang dilindungi memiliki peran sangat penting dalam menjaga keseimbangan alam.
- 3. Perkembang-biakannya sangat lambat.
- 4. Menjaga kekayaan alam hayati yang sangat istimewa ini merupakan tanggung-jawab kita bersama.

Landak raya & trenggiling sering diburu untuk perdagangan illegal, dengan dalih satwa ini merupakan hama tanaman. Tindakan ini melanggar hukum.





Penanggulangan Konflik Antara Manusia Dan Satwa Liar:

- 1. Bila ada ancaman dari satwa liar di sekitar saudara, segera hubungi atau SMS ke **0812-6569-8936**.
- 2. Demi keselamatan saudara, jangan terlalu dekat dengan satwa tersebut.
- 3. Kerumunan orang banyak hanya akan membuat satwa liar menjadi stres dan cenderung mengamuk.
- 4. Jangan mengambil tindakan yang dapat melukai satwa liar, karena akan membuat satwa tersebut semakin ganas. Selain itu tindakan tersebut juga melanggar hukum.

Setiap orang yang menangkap, melukai, membunuh, menyimpan, memiliki, memelihara, mengangkut, dan memperniagakan satwa dilindungi akan dipidana dengan pidana penjara paling lama 5 tahun dan denda paling banyakRp. 100.000.000 (UU No. 5 tahun 1990).





Appendix C. Quiz

C.1 SOL/Contractors

Sarulla Geothermal Power Project Biodiversity Socialisation

Quiz 1

Name: ————	(optional
INAITIC.	(Optional

Your organization (please circle one) : <u>SOL / Hyundai / Halliburton</u> (required)

Date: 5 June 2014

Que	stion Ans	swer	
МОІ	DULE 1 - NATIONAL LEGISLATION AND INTERNATIONAL LENDERS' RE	EQUIRE	MENTS
1.	What happens when you hunt a protected species in Indonesia?	1.	Warned
		2.	Jailed and fined
		3.	Death penalty
		4.	Nothing happens
2.	Which of the lenders requires biodiversity risk and impact assessment	1.	ADB
	and implementation of mitigation / compensation measures?	2.	JBIC
		3.	National Australian Bank
		4.	Japanese banks
	NULE OF MEDICAL OF DISPLYED OF THE OFFICE OFFICE OF THE OFFICE OF THE OFFICE OFFICE OFFICE OFFICE OF THE OFFICE OF	5.	All of the above
	DULE 2 - IMPORTANCE OF BIODIVERSITY		
3.	How many hectares of forest are going to be cleared by the Project?	1.	10ha
		2.	50ha
		3.	90ha
4.	Please name one protected species (animal or plant) found within the vicinity of the Project site.		
MOI	DULE 3 - BIODIVERSITY ACTION PLAN (BAP)		
5.	How many actions are there in SOL's biodiversity action plan (BAP)?	1.	8
		2.	10
		3.	15
6.	Please describe one action from the BAP.		
MOI	DULE 4 - ENFORCEMENT		
7.	Who is responsible for implementing the BAP?	1.	SOL
		2.	Contractors
		3.	Sub-contractors
		4.	All of the above
МО	DULE 5 - MONITORING AND REPORTING		
8.	When is biodiversity monitoring survey carried out?	1.	Pre-construction
		2.	Post-construction
		3.	All of the above
9.	True or False: SOL and the Contractors are required to regularly report to the lenders about the implementation status of BAP	1.	True

Sarulla Geothermal Power Project Biodiversity Socialisation Delivery Interim Report



C.2 Local Communities

Daftar Pre Test dan Post Test Sosialisasi di Pahae 2014

A. Identitas Peserta

1 Nama :

2 Jenis kelamin : Pria / Wanita3 Umur : tahun

4 Pendidikan terakhir (lingkari : Tidak / tamat SD Tidak / tamat SMA

jawaban yang sesuai Tidak / tamat SMP Universitas

5 Agama & aliran Gereja :6 Jenis pekerjaan :7 Asal desa/dusun :

B. Benar/Salah (B / S)

Lingkari pilihan yang menurut Bapak/Ibu merupakan jawaban yang tepat.

- 1. Membuka kebun di hulu hutan dapat merugikan masyarakat yang berada di hilir (B / S).
- 2. Penggundulan hutan dapat mengakibatkan kekeringan pada musim kemarau, karena kurangnya persediaan air di dalam tanah (B / S).
- 3. Apabila binatang-binatang di hutan habis, maka lama kelamaan hutan akan rusak (B / S).
- 4. Landak (parindurian) merupakan jenis binatang yang **tidak** dilindungi (B / S).
- 5. Di Indonesia tidak ada peraturan khusus mengenai perlindungan satwa dan tumbuhan (B / S).
- 6. Hutan tidak berperan dalam proses terjadinya hujan (B / S).
- 7. Menangkap trenggiling akan dipidana penjara paling lama 5 tahun dan denda paling banyak Rp 100.000.000 (B / S).
- 8. Sangsi bagi setiap orang yang melukai, menangkap atau membunuh satwa dilindungi adalah sama beratnya (B / S).
- 9. Pembukaan lahan hutan termasuk penyebab terjadinya konflik antara Manusia dengan satwa (B / S).
- 10. Orangutan di Tapanuli adalah sama dengan orangutan sumatera yang berada di Aceh (B / S).
- 11. Konservasi sumberdaya alam hayati dan ekosistemnya adalah tanggung jawab dan kewajiban pemerintah saja (B / S).

C. <u>Pilihan berganda</u>

- 1. Manfaat keberadaan kalong/haluang bagi kelestarian hutan: (Hanya 1 jawaban)
- a. Mengurangi jumlah nyamuk c. Membantu penyerbukan bunga pada tumbuhan
- b. Membantu penyebaran biji tumbuhan d. Jawaban b dan c benar
- Mengapa kepunahan harimau sumatera dapat menggangu keseimbangan di dalam hutan?(Boleh lebih dari 1 jawaban)
- a. Harimau membantu penyebaran biji-bijian c. Harimau suka mencari mangsa di kampung
- b. Harimau membantu penyerbukan bunga d. Harimau merupakan pemangsa hewan yang
 bisa menjadi hama di kebun masyarakat

3.	Jenis binatang yang tidak termasuk dilindun	gi ur	ndang-undang di Indonesia: (Boleh lebih dari 1
	jawaban)		
a.	Harimau sumatera (babiat)	c.	Orangutan (mawas)
b.	Kijang (hije)	d.	Beruk (bodat)
4.	Jenis tumbuhan yang dilindungi undang-und	lang	di Indonesia: (Boleh lebih dari 1 jawaban)
a.	Anturmangan	c.	Kantung semar/tahul-tahul
b.	Amorphophallus/atturbung/bunga bangkai	d.	Jamur
5.	Apabila Bapak/Ibu melihat seseorang melak	ukaı	n perburuan binatang dilindungi, tindakan apa
	yang paling tepat untuk Bapak/Ibu lakukan?	(Bo	eh lebih dari 1 jawaban)
a.	Ikut berburu	c.	Berkelahi dengan pemburu tersebut
b.	Merasa sedih	d.	Melaporkan ke kepala desa dan dinas terkait
6.	Menurut Bapak/Ibu, siapa yang bertanggung	g-jav	ab atas kelestarian hutan disekitar kita?
	(Boleh lebih dari 1 jawaban)		
a.	Anak sekolah	C.	Masyarakat
b.	Tenaga pendidik	d.	Pemerintah
7.	Menurut anda apakah fungsi hutan? (Boleh	lebih	n dari 1 jawaban)
a.	Tempat rekreasi/berlibur (fungsi sosial)	c.	Tempat mencari penghasilan (fungsi
			ekonomi)
b.	Tempat menyimpan cadangan air dan	d.	Menjaga kesuburan tanah
	pencegah erosi & banjir (fungsi ekologi)		
8.	Keuntungan yang dapat dirasakan oleh Bap	ak/It	ou apabila hutan di sekitar anda terjaga:
	(Boleh lebih dari 1 jawaban)		
a.	Suasana damai, udara sejuk, sumber air jel	rnih	c. Hidup sehat
b.	Dapat berburu untuk kebutuhan sehari-hari		d. Tidak ada keuntungan
9.	Faktor apa yang menentukan suatu wilayah	huta	an patut dilestarikan: (Boleh lebih dari 1
	jawaban)		
a.	Keterjalan (topografi)	c.	Jenis pohon di hutan
b.	Kepemilikan	d.	Kepekaan tanah terhadap erosi/longsor
10.	Berapa persen sisa penutupan hutan di DAS	S Ba	tang Toru? (Hanya 1 jawaban)
a.	95 %	c.	30 %
b.	60 %	d.	12 %

Pre Test and Post Test for Socialization

A. Participant profile

1	Name	:		
2	Gender	:	Male / Female	
3	Age	:		
4	Education (Sign your	:	O Did not complete	O Did not complete
	answer)		Primary School /	Senior High School /
			Graduate from	Graduate from Senior
			Primary School	High School
			O Did not complete	O University
			Junior High School	
			/ Graduate from	
			Junior High School	
5	Religion & Church	:		
6	Occupation	:		
7	Residence	:		

B. True/False (T / F)

Circle your answer.

- Building a field at upstream forest can pose negative impacts to communities who live in downstream forest. (T / F)
- 2. Deforestation could cause draught during the dry season due to lack of water retention capacity in the soil. (T / F).
- 3. When there is a reduction in animals population in forest, eventually the forest conditions will be damaged.
- 4. Hedgehog (parindurian/landak) is **not** a protected animal. (T / F)
- 5. Indonesia does not have a special regulation about protecting animals and plants. (T / F)
- 6. Forest does not affect a rainfall pattern. (T / F)
- 7. Hunting anteater (trenggiling) will be led to in-prison for at most 5 years and fined for maximum IDR 100.000.000. (T / F)
- 8. Penalties or sanctions for peoples who hurt, catch or kill the protected animals are the same. (T / F)
- 9. Forest clearance will cause a conflict between human and animals. (T / F)
- 10. Orangutan in Tapanuli is the same species as the orangutan in Aceh Province. (T / F)
- 11. Conservation of forest biodiversity and its ecosystem are only the government's responsibility/obligation. (T / F).

C. Multiple Choices

1.	The benefit of bats for forest sustainability: (Give only 1 answer)						
a.	Reducing mosquitos	c.	Н	elp pollinate flowers			
b.	Help spread plant's seeds	d.	B and C are true				
2.	Why the extinction of Sumatran tiger can disturb the ecosystem balance in forest? (May						
	choose more than 1 answer)						
a.	Tigers help spreading seeds	(C.	Tigers like to prowl in the village			
b.	Tigers help the flowers pollination	(d.	Tigers are predator for pests in villagers field			
3.	Which animal species are not protected by Indonesia regulation: (May choose more than 1						
	answer)						
a.	Sumatran tiger (babiat)	(c.	Orangutan (mawas)			
b.	Deer (hije)	(d.	Monkey (bodat)			
4.	Which plant species are protected by Indonesia's regulation : (May choose more than 1						
	answer)						
a.	Anturmangan	(C.	Kantung semar/tahul-tahul			
b.	Amorphophallus/atturbung/bunga bangka	i (d.	Fungus			
5.	5. What will you do if you observe people hunting the protected animals? (May choose more						
	1 answer)						
a.	Join to hunt	(C.	Fight with the hunter			
b.	Feeling sad	(d.	Report to the head of village and government			
6.	Who has responsibility on forest sustainability around us? (May choose more than 1 answer)						
a.	Students	(C.	Villagers/Peoples			
b.	Teachers	(d.	Government			
7.	What are the forest functions? (May choose more than 1 answer)						
a.	Recreation /holiday (social function)	(C.	Give income to people (economic function)			
b.	Water supply and erosionprevention of	(d.	Maintain the soil fertility			
	flooding (ecology function)						
8.	The benefits/advantage when we have sustainable forest around us: (May choose more than						
	answer)						
a.	Peacefull, fresh air, fresh water source.			c. Healthy life			
b.	Peoples can hunt animals for their living.			d. No benefit			
9.	Factors which determine the status of forest: (May choose more than 1 answer)						
a.	Topography	С) .	Kind of tress			
b.	Lands property	d	1.	Sensitivity of soil againts erotion/landslide			
10.	How many percentage of primary forest is there in Batang Toru watersheed? (Choose 1						
	answer)						
a.	95 %	C	c.	30 %			
b.	60 %	(d.	12 %			



Appendix D. Minutes of Discussion

D.1 11 June 2014 - Pahae Jae

[to be provided in the next submission]

D.2 11 June 2014 – Local Government Agencies

[to be provided in the next submission]

D.3 12 June 2014 - Pahae Julu

[to be provided in the next submission]



Appendix E. Photos

E.1 10 June 2014 - SOL and Contractors Site Staff

Figure E.1: Biodiversity poster erected at site office



Figure E.2: Biodiversity Presentation for Site Staff



Source: Mott MacDonald

Figure E.3: Socialisation venue at site office



Figure E.4: SOL, Contractors, and Subcontractors staff attending the socialisation









Figure E.5: SOL Environmental Engineer presenting the BAP



Source: Mott MacDonald

E.2 11 June 2014 – Pahae Jae

Figure E.6: Socialisation venue



Source: Mott MacDonald

Figure E.7: Biodiversity Presentation for Pahae Jae District





Figure E.8: SOL External Relation Officer giving an opening remark at the socialisation



Source: Mott MacDonald

Figure E.10: A villager during the Q&A session



Source: Mott MacDonald

Figure E.9: Local communities attending the socialisation



Source: Mott MacDonald

Figure E.11: Head of Sub-district giving a closing remark





E.3 11 June 2014 – Local Government Agencies

Figure E.12:: Biodiversity Socialisation for Government Officers



Source: Mott MacDonald

Figure E.13:: Government officers taking a biodiversity quiz



Source: Mott MacDonald

Figure E.14: A government officer during Q&A session



Source: Mott MacDonald

Figure E.15: Government officers during the socialisation





12 June 2014 - Pahae Julu **E.4**

Figure E.16: Biodiversity Socialisation registration



Source: Mott MacDonald

Figure E.18: Villagers during the socialisation



Source: Mott MacDonald

Figure E.17: Biodiversity Presentation for Pahae Julu **District**



Source: Mott MacDonald

Figure E.19: Religious leader during the socialisation

