

INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

Report No.: ISDSC8249

Date ISDS Prepared/Updated: 11-Jun-2014

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I. BASIC INFORMATION

A. Basic Project Data

Country:	Dominican Republic	Project ID:	P147213
Project Name:	INTEGRATED SOCIAL PROTECTION AND PROMOTION PROJECT (P147213)		
Task Team Leader:	Carine Clert		
Estimated Appraisal Date:	07-Jul-2014	Estimated Board Date:	12-Nov-2014
Managing Unit:	LCSHS-DPT	Lending Instrument:	Investment Project Financing
Sector(s):	Other social services (80%), Public administration- Other social services (20%)		
Theme(s):	Other social protection and risk management (40%), Social safety nets (40%), Urban services and housing for the poor (20%)		
Financing (In USD Million)			
Total Project Cost:	70.00	Total Bank Financing:	70.00
Financing Gap:	0.00		
Financing Source			Amount
Borrower			0.00
International Bank for Reconstruction and Development			70.00
Total			70.00
Environmental Category:	C - Not Required		
Is this a Repeater project?	No		

B. Project Objectives

The proposed PDO is to improve access of poor Dominican citizens to an integrated package of social protection and promotion opportunities in selected territories.

In the context of this Project, “promotion” broadly refers to a set of services and opportunities that contribute to increase the human capital of the poor and their livelihood opportunities, including:

bridging CCT coverage gaps to increase investments in health and education, enhancing CCT users' employability and/or access to self-employment/small entrepreneurship programs, and testing agile modalities to provide CCT users with basic housing improvements.

The underpinning vision of the Project is two-fold: (i) to support area-based transformative interventions that will not only contribute to address the multidimensionality of, and regional disparities in, extreme poverty in a more effective and inclusive manner, but generate conditions for a sustainable exit of moderately poor households from the CCT, while (ii) continuing to support required improvements in the social protection system that are needed for the success and sustainability of these territorial interventions such as enhanced targeting and results-based orientation.

C. Project Description

The proposed Project would have three components:

Component 1. Integrated Area-Based Social Protection and Pro-poor Service Delivery. Building on Progresando Unidos, this Component would contribute to the financing of services and opportunities for extremely-poor and moderately poor CCT users in selected territories, partly through an output-based approach (OBA)- i.e. linking payments to different service-providers to previously specified and verifiable outputs, as follows:

- (i) Bridging CCT Coverage Gaps for extremely-poor Households with a view to smooth their consumption levels and increase access to education and health services, all of which contribute to human capital improvements;
- (ii) Increasing access of extremely poor CCT users to housing improvements, through the financing of a pilot housing improvement scheme; and
- (iii) Increasing Access of CCT Users to productive opportunities; providing a tailored “menu” of skills-enhancing opportunities for labor market insertion: micro-grants for small businesses for extremely-poor households, and/or connection of moderately poor adults to existing micro-finance schemes.

Component 2. Strengthening the CCT PROSOLI and its Articulation Capacity.

To ensure the success of the above integrated area-based strategy, this component would support the strengthening of PROSOLI, complementing support provided by the IADB, and focusing on operational improvements. Sub-components would include:

- (i) Incentivizing territorial coordination, presence and outreach. Through an output-based approach, this component would contribute to the financing of various pre-investments that will be required to ensure sound delivery and implementation of the integrated area-based approach.
- (ii) Strengthening Inter-connectivity of Management Information Systems, to ensure better coordination between PROSOLI, SIUBEN, and major service providers to be included in the operation, such as the Vocational Training Institute INFOTEP.
- (iii) other relevant Technical Assistance and Capacity-building (refinement of the Unidos Strategy and revisions to the Operational Manual; specific support to the graduation strategy of Progresando Avanzando, for those CCT households who no longer qualify for the program; strengthening of the recently developed units of PROSOLI for linkages to productive activities and housing improvements; and strengthening of regional intersectorial CCT Committees, among others).

Component 3. Enhancing Equity, Results-orientation, and Transparency.

This last component would complement targeted interventions at the territorial level with institutional strengthening at the national policy level to sustain progress in the building blocks of the

social protection system, including: (i) updating and strengthening the SIUBEN; (ii) enhancing results-orientation and user feedback mechanisms; and (iii) promoting innovation and policy development. A flexible set of technical assistance and capacity-building activities will inform social protection debates and reform in the DR including, among others, a move toward pro-poor social policies that could complement targeted programs such as PROSOLI, with housing and productive inclusion as an important feature. Finally, this last component would also provide support for the coordination of the Loan implementation.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

Project location will be confirmed during preparation. It is expected that it will be carried out in some or all of the 14 provinces that are targeted by the Unidos Strategy (out of 32 throughout the country). These 14 Provinces have been selected based on poverty levels, and are as follows: Elías Piña, El Seibo, Bahoruco, Pedernales, Monte Cristi, Independencia, San José de Ocoa, Monte Plata, San Juan, Barahona, Santo Domingo, Santiago, Monte Plata, and San Cristobal.

E. Borrowers Institutional Capacity for Safeguard Policies

The Social Cabinet is responsible for administration of the loan. Its Project Coordination Unit (PCU) will manage fiduciary and safeguard issues. The PCU's capacity to carry out that task will be assessed during preparation, and if necessary, the proposed Project will include activities to improve the PCU's ability to do so.

F. Environmental and Social Safeguards Specialists on the Team

Sarah Keener (LCSSO)

Andrea Gallina (LCSSO)

Robert H. Montgomery (LCSEN)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	No	The Project has a housing component (increasing access of extremely poor CCT users to housing improvements, through the financing of a pilot housing improvement scheme) that involves rehabilitation of existing housing structures, which are small, simple structures. No new construction will be financed. If any negative environmental impacts occur they will be minimal. Standard measures to prevent or mitigate any minor environmental or social impacts that could be caused by Project activities will be included in the Operational Manual and will be monitored as part of overall supervision. Thus the Project environmental assessment category is C.
Natural Habitats OP/BP 4.04	No	The Project will not involve any works that will have significant impacts on natural habitats.

Forests OP/BP 4.36	No	The Project does not involve forests or significant impacts on forests.
Pest Management OP 4.09	No	The Project will not involve the purchase or use of any significant quantities of pesticides.
Physical Cultural Resources OP/BP 4.11	No	The Project will not involve works or activities that would have significant impacts on physical cultural resources.
Indigenous Peoples OP/BP 4.10	No	The Project will not trigger this safeguard policy since there are no indigenous peoples that fulfill the characteristics of the policy in the Project areas.
Involuntary Resettlement OP/BP 4.12	No	OP 4.12 Involuntary Resettlement is not triggered as the rehabilitation of housing structures will not require any land acquisition or cause any involuntary resettlement as defined by the Policy.
Safety of Dams OP/BP 4.37	No	The Project will not support the construction or rehabilitation of dams nor will support other investments which rely on the services of existing dams.
Projects on International Waterways OP/BP 7.50	No	Project activities will not affect international waterways.
Projects in Disputed Areas OP/BP 7.60	No	The Project will not be implemented in areas known to involve disputed areas.

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 02-Jun-2014

B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

Given that safeguards have not been triggered, no related studies are required.

Regarding a social assessment, the proposed Project will use the Government's Single Beneficiary Selection System (known as SIUBEN) to target beneficiaries, and that information dissemination to potential users is expected as part of component 2. Consultations with NGOs in selected territories were conducted as part of field-visits during Project identification, and NGOs form part of the Poverty Alliance Network that is associated with the Social Cabinet. Social analysis, versus a stand-alone report or social assessment, will be used as an iterative process throughout preparation and implementation. The team and the client will be able to count on a small PSIA grant that will provide an even more thorough quantitative analysis of the target population, as per the latest results of the 2011-2012 Second SIUBEN survey. Regarding the supply-side of the Project, part of preparation and monitoring will involve identifying gaps in services and opportunities at the territorial level. In this context, a separate, stand-alone social assessment is not required.

IV. APPROVALS

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

Task Team Leader:	Name: Carine Clert	
<i>Approved By:</i>		
Regional Safeguards Coordinator:	Name: Glenn S. Morgan (RSA)	Date: 24-Jun-2014
Sector Manager:	Name: Margaret Ellen Grosh (SM)	Date: 25-Jun-2014