

## PROCUREMENT PLAN

### Basic Data

|   |   |
|---|---|
| <b>Project Name:</b> Integrated Urban Environmental Management in the Tonle Sap Basin Project   |   |
| <b>Project Number:</b> 42285-013  | <b>Approval Number:</b> 3311/8295/0454                            |
| <b>Country:</b> Cambodia  | <b>Executing Agency:</b> Ministry of Public Works and Transport   |
| <b>Project Procurement Classification:</b> Category B   | <b>Implementing Agency:</b><br>N/A                                |
| <b>Project Procurement Risk:</b> Moderate   |   |
| <b>Project Financing Amount:</b> US\$ 52,600,000<br><b>ADB Financing:</b> US\$ 37,000,000<br><b>Cofinancing (ADB Administered):</b> US\$ 10,000,000<br><b>Non-ADB Financing:</b> US\$ 5,600,000 | <b>Project Closing Date:</b> 30 April 2023                        |
| <b>Date of First Procurement Plan:</b> 14 October 2014  | <b>Date of this Procurement Plan:</b> 21 February 2017, Version 1 |

### A. Methods, Thresholds, Review and 18-Month Procurement Plan

#### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

| Procurement of Goods and Works              |   |   |
|---|---|---|
| Method                                      | Threshold                               | Comments  |
| International Competitive Bidding for Goods | US\$ 1,000,000 and Above                |   |
| National Competitive Bidding for Goods      | Between US\$ 100,001 and US\$ 999,999   | The first NCB is subject to prior review, thereafter post review. |
| Shopping for Goods                          | Up to US\$ 100,000                      |   |
| International Competitive Bidding for Works | US\$ 3,000,000 and Above                |   |
| National Competitive Bidding for Works      | Between US\$ 100,001 and US\$ 2,999,999 | The first NCB is subject to prior review, thereafter post review. |
| Shopping for Works                          | Up to US\$ 100,000                      |   |

| Consulting Services                                      |          |
|--|----------|
| Method   | Comments |
| Consultant's Qualification Selection for Consulting Firm |          |
| Quality- and Cost-Based Selection for Consulting Firm    |          |
| Quality-Based Selection for Consulting Firm              |          |

#### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

| Package Number | General Description                        | Estimated Value | Procurement Method | Review (Prior/Post) | Bidding Procedure | Advertisement Date (quarter/year) | Comments   |
|----------------|--|-----------------|--------------------|---------------------|-------------------|-----------------------------------|--|
| CW04           | Construction of Kampong Chhnang Embankment | 24,550,988.00   | ICB                | Prior               | 1S1E              | Q4 / 2017                         | Prequalification of Bidders: N<br><br>Domestic Preference Applicable: Y<br><br>Bidding Document: Large Works |

|      |  |              |     |       |      |           |  |
|------|--|--------------|-----|-------|------|-----------|--|
|      |  |              |     |       |      |           | Comments: No PQ; standard bidding documents; large works   |
| CW05 | Construction of Pursat Drainage                                      | 9,171,218.00 | ICB | Prior | 1S1E | Q4 / 2017 | Prequalification of Bidders: N<br><br>Domestic Preference Applicable: Y<br><br>Bidding Document: Small Works<br><br>Comments: No PQ; standard bidding documents; small works |
| CW06 | Construction of Pursat Landfill Site                                 | 1,296,273.00 | NCB | Post  | 1S1E | Q1 / 2018 | Prequalification of Bidders: N<br><br>Domestic Preference Applicable: N<br><br>Bidding Document: Small Works<br><br>Comments: Harmonized standard bidding documents          |
| CW09 | Kampong Chhnang and Pursat Community Driven Environment Improvements | 3,965,547.00 | NCB | Prior | 1S1E | Q3 / 2017 | Prequalification of Bidders: N<br><br>Domestic Preference Applicable: N<br><br>Bidding Document: Small Works<br><br>Comments: Harmonized standard bidding documents          |
|      | Lot 1: 1   | 660,925.00   |     |       |      |           |  |
|      | Lot 2: 2   | 660,925.00   |     |       |      |           |  |
|      | Lot 3: 3   | 660,925.00   |     |       |      |           |  |
|      | Lot 4: 4   | 660,925.00   |     |       |      |           |  |
|      | Lot 5: 5   | 660,925.00   |     |       |      |           |  |
|      | Lot 6: 6   | 660,922.00   |     |       |      |           |  |

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|

### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

| Package Number | General Description                            | Estimated Value | Recruitment Method | Review (Prior/Post) | Advertisement Date (quarter/year) | Type of Proposal | Comments  |
|----------------|--|-----------------|--------------------|---------------------|-----------------------------------|------------------|---|
| CS02.          | Climate Change Adaptation in Urban Development | 345,180.00      | CQS                | Prior               | Q1 / 2016                         | BTP              | Assignment: National<br><br>Comments: Selection is ongoing      |
| CS03.          | Strengthening Sector Development               | 129,415.00      | CQS                | Prior               | Q1 / 2017                         | BTP              | Assignment: National<br><br>Comments: First advertised in Q4'15 |
| CS04           | NGO Support for Output 3                       | 990,000.00      | QBS                | Prior               | Q1 / 2017                         | STP              | Assignment: International                                       |

### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

| Goods and Works |                                       |                 |                     |                    |                     |                   |                                   |                          |
|-----------------|---------------------------------------|-----------------|---------------------|--------------------|---------------------|-------------------|-----------------------------------|--------------------------|
| Package Number  | General Description                   | Estimated Value | Number of Contracts | Procurement Method | Review (Prior/Post) | Bidding Procedure | Advertisement Date (quarter/year) | Comments                 |
| CW01            | Office Repairs (PMU)                  | 11,943.00       | 1                   | SHOPPING           | Post                |                   | Q1 / 2017                         | Comments: Government SOP |
| CW02            | Office Repairs PIUs (Kampong Chhnang) | 19,309.00       | 1                   | SHOPPING           | Post                |                   | Q1 / 2017                         | Comments: Government SOP |
| CW03            | Office Repairs PIUs (Pursat)          | 8,459.00        | 1                   | SHOPPING           | Post                |                   | Q1 / 2017                         |                          |

|      |  |            |   |          |       |      |           |   |
|------|--|------------|---|----------|-------|------|-----------|---|
|      |  |            |   |          |       |      |           | Comments:<br>Government<br>SOP  |
| CW07 | Construction of Pursat River Embankment Protection | 758,458.00 | 1 | NCB      | Post  | 1S1E | Q4 / 2017 | Prequalification of Bidders: N<br><br>Domestic Preference Applicable: N<br><br>Bidding Document: Small Works<br><br>Comments: Harmonized standard bidding documents |
| CW08 | Construction of Kapong Chhnang Landfill Site       | 546,268.00 | 1 | NCB      | Post  | 1S1E | Q1 / 2018 | Prequalification of Bidders: N<br><br>Domestic Preference Applicable: N<br><br>Bidding Document: Small Works<br><br>Comments: Harmonized standard bidding documents |
| G01  | Supply of 5 units 4WD double cabin pick up trucks  | 208,657.00 | 1 | NCB      | Prior | 1S1E | Q1 / 2017 | Prequalification of Bidders: N<br><br>Domestic Preference Applicable: N<br><br>Bidding Document: Goods<br><br>Comments: Harmonized standard bidding documents       |
| G02  | Supply of 8 motorcycles                            | 13,179.00  | 1 | SHOPPING | Post  |      | Q1 / 2017 | Comments:<br>Government<br>SOP  |

|       |  |            |   |          |      |      |           |  |
|-------|--|------------|---|----------|------|------|-----------|--|
| G03   | Office equipment for PMU and PIUs  | 8,786.00   | 1 | SHOPPING | Post |      | Q1 / 2017 | Comments: Government SOP   |
| G04   | Office equipment for PMU and PIUs  | 16,474.00  | 1 | SHOPPING | Post |      | Q1 / 2017 | Comments: Government SOP   |
| G05   | Manual Equipment for Pursat Drainage Maintenance and Flood Protection          | 91,967.00  | 1 | SHOPPING | Post |      | Q4 / 2017 | Comments: Government SOP   |
| G06   | Manual Equipment for Kampong Chhnang Drainage Maintenance and Flood Protection | 46,204.00  | 1 | SHOPPING | Post |      | Q4 / 2017 | Comments: Government SOP   |
| G07-a | Supply of Kampong Chhnang Solid Waste Management Equipment                     | 557,591.00 | 1 | NCB      | Post | 1S1E | Q3 / 2018 | Prequalification of Bidders: N<br>Domestic Preference Applicable: N<br>Bidding Document: Goods<br>Comments: Harmonized bidding documents |
| G07-b | Supply of Kampong Chhnang Solid Waste Management Equipment                     | 557,591.00 | 1 | NCB      | Post | 1S1E | Q3 / 2018 | Prequalification of Bidders: N<br>Domestic Preference Applicable: N<br>Bidding Document: Goods<br>Comments: Harmonized bidding documents |
| G08-a | Supply of Pursat Solid Waste Management  | 621,756.00 | 1 | NCB      | Post | 1S1E | Q3 / 2018 | Prequalification of Bidders: N   |

|       |   |            |   |     |      |      |           |  |
|-------|---|------------|---|-----|------|------|-----------|--|
|       | Equipment   |            |   |     |      |      |           | Domestic Preference Applicable: N<br><br>Bidding Document: Goods<br><br>Comments: Harmonized bidding documents                                       |
| G08-b | Supply of Pursat Solid Waste Management Equipment | 621,756.00 | 1 | NCB | Post | 1S1E | Q3 / 2018 | Prequalification of Bidders: N<br><br>Domestic Preference Applicable: N<br><br>Bidding Document: Goods<br><br>Comments: Harmonized bidding documents |

| Consulting Services |                     |                 |                     |                    |                     |                                   |                  |          |
|---------------------|---------------------|-----------------|---------------------|--------------------|---------------------|-----------------------------------|------------------|----------|
| Package Number      | General Description | Estimated Value | Number of Contracts | Recruitment Method | Review (Prior/Post) | Advertisement Date (quarter/year) | Type of Proposal | Comments |
| None                |                     |                 |                     |                    |                     |                                   |                  |          |

## B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

| Goods and Works |                     |                              |                               |                    |                     |                   |          |
|-----------------|---------------------|------------------------------|-------------------------------|--------------------|---------------------|-------------------|----------|
| Package Number  | General Description | Estimated Value (cumulative) | Estimated Number of Contracts | Procurement Method | Review (Prior/Post) | Bidding Procedure | Comments |
| None            |                     |                              |                               |                    |                     |                   |          |

| Consulting Services |                     |                              |                               |                    |                     |                  |          |
|---------------------|---------------------|------------------------------|-------------------------------|--------------------|---------------------|------------------|----------|
| Package Number      | General Description | Estimated Value (cumulative) | Estimated Number of Contracts | Recruitment Method | Review (Prior/Post) | Type of Proposal | Comments |
| None                |                     |                              |                               |                    |                     |                  |          |

## C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

### 1. Awarded and Ongoing Contracts

| Goods and Works |                     |                 |                        |                    |                                   |  |          |
|-----------------|---------------------|-----------------|------------------------|--------------------|-----------------------------------|--|----------|
| Package Number  | General Description | Estimated Value | Awarded Contract Value | Procurement Method | Advertisement Date (quarter/year) | Date of ADB Approval of Contract Award | Comments |
| None            |                     |                 |                        |                    |                                   |  |          |

| Consulting Services |   |                 |                        |                    |                                   |  |          |
|---------------------|---|-----------------|------------------------|--------------------|-----------------------------------|--|----------|
| Package Number      | General Description                           | Estimated Value | Awarded Contract Value | Recruitment Method | Advertisement Date (quarter/year) | Date of ADB Approval of Contract Award | Comments |
| CS01                | Project Management and Implementation Support | 4,672,987.00    | 4,206,913.00           | QCBS               | Q4 / 2015                         | 03-NOV-16                              |          |

## D. National Competitive Bidding

### A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth for the "National Competitive Bidding" method in the Government's Procurement Manual issued under Sub-Decree Number 74 ANKR.BK, updated version dated 22 May 2012 with the clarifications and modifications described in the following paragraphs. These clarifications and modifications are required for compliance with the provisions of the Procurement Guidelines.

2. For the procurement of ADB financed contracts under National Competitive Bidding (NCB) procedures, the use of harmonized national bidding documents (NCB and National Shopping) developed in consultation with development partners including ADB, is mandatory except where the Government and ADB have agreed to amendments to any part of the documents. The Procurement Manual also advises users to check the ADB website from time to time for any update on ADB documents, which form the basis, among others, of the existing harmonized national bidding documents.

### B. Procurement Procedures

#### 1. Application

3. Contract packages subject to National Competitive Bidding procedures will be those identified as such in the project Procurement Plan. Any change to the mode of procurement of any procurement package in the Procurement Plan shall be made through updating of the Procurement Plan, and only with prior approval of ADB.

#### 2. Sanctioning

4. Bidders shall not be declared ineligible or prohibited from bidding on the basis of barring procedures or sanction lists, except individuals and firms sanctioned by ADB, without prior approval of ADB.

#### 3. Rejection of all Bids and Rebidding

5. The Borrower shall not reject all bids and solicit new bids without ADB's prior concurrence. Even when only one or a few bids is/are submitted, the bidding process may still be considered valid if the bid was satisfactorily advertised and prices are reasonable in comparison to market values.

#### **4. Advertising**

6. Bidding of NCB contracts shall be advertised on the ADB website via the posting of the Procurement Plan. Borrowers have the option of requesting ADB to post specific notices in the ADB website.

### **C. Bidding Documents**

#### **5. Use of Bidding Documents**

7. The Standard National Competitive Bidding Documents provided with the Government's Procurement Manual shall be used to the extent possible both for the master bidding documents and the contract-specific bidding documents. The English language version of the procurement documents shall be submitted for ADB review and approval in accordance with agreed review procedures (post and prior review) as indicated in the Procurement Plan. The ADB-approved procurement documents will then be used as a model for all procurement financed by ADB for the project.

#### **6. Bid Evaluation**

8. Bidders shall not be eliminated from detailed evaluation on the basis of minor, non-substantial deviations.

9. A bidder shall not be required, as a condition for award of contract, to undertake obligations not specified in the bidding documents or otherwise to modify the bid as originally submitted.

#### **7. Employer's Right to Accept or Reject Any or All Bids**

10. The decision of the Employer to accept or reject any or all bids shall be made in a transparent manner and involve an obligation to inform of the grounds for the decision through the bid evaluation report.

#### **8. ADB Policy Clauses**

11. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

12. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.

13. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.



