

Due Diligence Report – Social Safeguards

November 2015

BAN: Third Urban Governance and Infrastructure Improvement (Sector) Project – Habiganj Pourashava (Phase 1)

Prepared for the Local Government Engineering Department (LGED), Government of Bangladesh and for the Asian Development Bank.

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Due Diligence Report – Social Safeguards

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**BAN: Urban Governance and Infrastructure
Improvement (UGIIP-III) – Habiganj Pourashava
Phase 1 Package Nr:
UGIIP-III-I/ HABI/UT+DR/01/2015 (Lot-01+Lot-02)**

Prepared by the Local Government Engineering Department, Government of Bangladesh,
for the Asian Development Bank

CURRENCYEQUIVALENTS

(as of November 2015)

Currency = Tk.

Tk1.00 = \$0.0126

\$1.00 = Tk. 79.15

ABBREVIATIONS

ADB	–	Asian Development Bank
AP	–	affected person
ARIPO	–	Acquisition and Requisition of Immovable Properties Ordinance
CCL	–	Cash Compensation under Law
DC	–	Deputy Commissioner
DDR	–	Due Diligence Report
DPHE	–	Department of Public Health Engineering
EMP	–	environmental management plan
FGD	–	focus group discussion
GICD	–	Governance Improvement and Capacity Development
GRC	–	grievance redressal cell
GRM	–	grievance redress mechanism
IR	–	involuntary resettlement
LGED	–	Local Government Engineering Department
PDB	–	Power Development Board
PIU	–	project implementation unit
PMO	–	project management office
PPTA	–	project preparatory technical assistance
ROW	–	right of way
RF	–	resettlement framework
RP	–	resettlement plan
SPS	–	Safeguard Policy Statement
ToR	–	terms of reference

GLOSSARY OF BANGLADESHI TERMS

Crore:	10 million (= 100 lakh)
Ghat:	Boat landing area along a river
Hat, hut, or haat:	Market (bazaar) operating certain afternoons during the week when sellers establish temporary shops. There are also some permanent shops in a Hat. Markets usually represent a significant source of income for municipalities
Hartal:	General strike
Khal:	drainage ditch/canal
Khas or khash:	land/property belonging to government
Kutchha, katchha or kacca:	structures built without bricks and mortar or without concrete
Lakh or lac:	100,000
Moholla or mohalla:	Sub-division of a ward
Mouza:	Government-recognized land area
Mouza map:	Cadastral map of mouza showing plots and their numbers
Nasiman:	A 3-wheeler motorized vehicle
Parshad:	Councilor
Pourashava or Paurashava:	Municipality
Pucca or Puccha	Structures built with bricks and mortar or concrete
Semi-pucca or semi-puccha:	Structures built partly with bricks and mortar or concrete
Thana:	Police station
Upazila:	Administrative unit below the district level. A district is called a Zila

WEIGHTS AND MEASURES

km	–	kilometer
m	–	meter
m ²	–	square meter
mm	–	Millimeter
m ³	–	cubic meter

NOTES

- (i) In this report, “\$” refers to US dollars,
- (ii) BDT refers to Bangladeshi Taka

Disclaimer

This Sub-Project Appraisal Report (SPAR) of Habiganj Pourashava under Third Urban Governance Infrastructure Improvement (Sector) Project (UGIIP-III) has been prepared under the guidance of Team Leader and Deputy Team Leader of MDS consultant. All the data used to prepare this Sub Project Appraisal Report (SPAR) have been collected from the Pourashava Development Plan (PDP). Some of the information has also been collected from the Pourashava personnel over telephone. Moreover some information has been collected by the respective experts of MDS consultant through intensive field visit which have been used in writing this report. If any information or data or any other things coincide with other project documents that are beyond our knowledge and fully coincidental event and we express apology for that. Using this Sub Project Appraisal Report (SPAR) except our project (UGIIP-III) or modifying it, is strictly prohibited.

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I. INTRODUCTION

A. Background

1. The Government of Bangladesh is developing third towns to improve living standards, particularly in the poorer areas, and to provide an alternative destination for rural dwellers that would otherwise join the migration to larger metropolitan centres, through Third Urban Governance and Infrastructure Improvement (Sector) project (UGII-III). UGII-III is being implemented as a sector loan approach. After the successful implementation of Urban Governance and Infrastructure Improvement Projects (UGIIP I and II) in the selected Pourashavas, the Local Government Engineering Department (LGED) with the financial assistance of Asian Development Bank (ADB) have planned to implement a similar project (UGIIP-III) in pre-selected 31 Pourashavas (Figure 1) over a period of 6 years (2014 to 2020).

2. The impact will be improved living environment in project towns. The outcome will be improved municipal service delivery and urban governance in project towns. UGII-III will improve existing and provide new municipal infrastructures including (i) roads; (ii) Drainages; (iii) water supply system; (iv) solid waste management facilities; (v) slaughterhouses; (vi) markets, community centres/auditorium, bus and truck terminals and river *ghats*; (vii) public toilets; and (viii) others such as provision for street lighting and improvement of slums.

3. A sector-lending approach is being used for the project as it has been well established and successfully practiced in the UGIIP-1 and 2. In accordance with ADB's Safeguard Policy Statement (SPS), 2009 requirements a Resettlement Framework (RF) has been prepared.

4. During project preparation, resettle plans (RPs) were prepared for 3 sample Pourashavas covering roads, drainage, kitchen market and solid waste management subprojects. The RPs concluded that the project will have only temporary impacts and therefore, UGII-III has been categorized as resettlement Category B as per ADB SPS 2009. As a basic development principle, significant resettlement impacts (Category A type project) should be avoided to the extent possible in future subprojects. For any components, the social and resettlement assessments documents will be formulated and approved by ADB before any physical activities start.

5. This Resettlement and Social Impact Assessment Report has been prepared for Roads and Drainage Improvement subproject of Habiganj *Pourashava* taken up in phase-1 Package No. UGIIP-III-I/ HABI/UT+DR/01/2015 (Lot-01+Lot-02). The subproject includes improvement of 16 existing roads without affecting any structure. After selection and completion of the designs of the proposed schemes, the safeguard team of the Management, Design and Supervision Consultants (MDSC) conducted resettlement and social impact assessments through thorough investigation of different social safeguard issues including land acquisition and resettlement requirements as per ADB's Safeguard Policy Statement (SPS, 2009). The resettlement and social impact assessment report for Habiganj Pourashava has been prepared based on the feasibility study and detailed engineering designs.

6. During investigations, it was revealed that the roads and drains proposed for improvement under Package No. UGIIP-III-I/ HABI/UT+DR/01/2015 (Lot-01+Lot-02) will be implemented on the existing alignment of roads and drains and due to the improvement/ construction of the aforesaid roads are not expecting to have neither any physical nor any economic displacement of any people. As a result, there is no possibility of land acquisition and no structure affected, none found to require relocation, and consequently no involuntary

resettlement (IR) impact are anticipating thus the subproject is classified as Category C for IR. Under the circumstances, this report may be treated as due diligence report (DDR) with respect to social safeguard issues for the concern subproject.

B. Institutional Set-up

7. The Local Government Engineering Department (LGED) and the Department of Public Health Engineering (DPHE), both under the Local Government Division (LGD) of the Ministry of Local Government, Rural Development and Cooperatives (MLGRD&C) and having extensive experience in managing urban and water supply projects financed by ADB, are the executing agencies of the project. The participating Pourashavas are the implementing agencies (IA) with a project implementation unit (UNIT) within the Pourashava structure. Implementation activities will be overseen by a Project Management Office (PMO). Management, Design and Supervision Consultants (MDSC) and Governance Improvement and Capacity Development Consultants (GICDC) teams will provide support for (i) detailed engineering design, contract documents preparation and safeguards facilitation; (ii) project management and administrative support; (iii) assistance in supervising construction; (iv) awareness raising on behavioural change activities. Safeguards officers will be appointed in PMO and PIUs and will be responsible to undertake day to day safeguards tasks and requirements including implementation of project's grievance redress mechanism.

8. LGED will ensure that no physical displacement or economic displacement will occur until (i) compensation at full replacement cost has been paid to each displaced person, and (ii) other entitlements listed in the RPs have been provided.

II. SUB-PROJECT DESCRIPTION

9. **Location:** Habiganj Pourashava, as a district town is located in the north east part of Bangladesh under Sylhet division. It is bordered by Sunamganj and Sylhet to the north, Brahmanbaria district to the south and Kishoreganj to the west, and Moulvibazar district to the south east. The low lying Pourashava experiences flush flood in most of the years due to flood water from Surma- Kushiara river basin as low lying flood plain across the border of north-east region of India.

10. To cope with the demand of increasing population in the Pourashava area, rapid development of different civic facilities for the city dwellers has now become imperative. Under the circumstances, this subproject has been proposed comprising improvement of 16 existing roads, construction of 16 roads under Package No. UGIIP-III-I/ HABI/UT+DR/01/2015 (Lot-01+Lot-02). The components of the subproject, shown in Table 1 below will involve schemes (i) rehabilitation/upgrade/construction of road 15 roads length-R-9.856 km, (II) drainage improvement of 13 Drains of Length - D-6.085km. Table-1 shows the subproject components including ownership of land.

11. The subproject went through the process of meeting the selection criteria (general and technical), environmental and social safeguard screening and conforming to the municipal infrastructure development plan (PDP) and drainage master plan prepared for in UGIIP-2. Locations and sitting of the proposed infrastructures considered: (i) locating components on government-owned land and/or within existing right-of-way (ROW) to reduce acquisition of land, (ii) prioritizing rehabilitation/ improvement over new construction; (iii)

taking all possible measures in design and selection of sites to avoid resettlement impacts; (iv) avoiding where possible locations that will result in destruction/disturbance to historical and cultural places/values; (v) avoiding tree-cutting where possible; and (vi) ensuring all planning and design interventions and decisions are made in consultation with local communities and reflecting inputs from public consultation and disclosure for site selection.

12. **The components** of the sub-project seek to upgrade and expand the urban services. The roads and drains are located in different wards of the Pourashava. The proposal is concerned with activities, which address the most acute needs for better urban services and facilities to inhabitants of the town/Pourashava. Figure 1 shows the location of Habiganj Pourashava and Figure 2 shows the location of subproject's schemes (alignment of roads and drains proposed under the subproject). No roadways requirement resettlement, replacement or relocation. Strip diagrams of two roads are given as a sample in Figures 3a and 3b¹.

13. The 15 roads¹ proposed are all existing internal service roads. Most of the roads are lower than the houses beside them. So, water from houses flow over the roads and as a result, the roads damage. There are low lying areas and ditches by the side of many roads needing retaining walls for protection of road embankments including shoulders. The Pourashava is subjected to flash flood. The roads will be improved either by bituminous surfacing, or with RCC pavement.

14. The subproject contains 13 drains all of which are roadside drains to be constructed along the edge of the roads and ultimately will discharge to the river Khoai. All the 16 drains will be constructed with Reinforced Cement Concrete (RCC).

15. **Figure 4** provides photographs of proposed road and drains alignments of Habiganj Pourashava under Phase-1 of UGIIP-III.

16. **Implementation Schedule.** Substantial time is required spanning the continuum of subproject preparation, approval, survey, design & estimate, contract award and contract execution. Efforts need to be made to follow the schedule of timely implementation of work. Normally the construction work season in Bangladesh runs from October through May (eight months). The Construction works are sometimes impeded for the following reasons:

- Early floods in April/May,
- Late floods in September/October,
- Natural calamities (cyclone/tornado, excessive floods) occur in April/May and October/November.

17. Normally, the best construction period is only for 6 months a year (October to March). The construction period is sometimes squeezed to 4 months due to natural calamities. However, sometimes, based on time constraint or expediency, construction work may even need to be carried out in the monsoon. Whenever possible, parallel activities can be implemented and consequently, quantum of work can be maximized through efficient planning and adoption of best available practices.

¹**Note:** Improvement of the sub-project roads and drains will be carried out on the existing alignments and will not require land acquisition; (ii) most of the drains will be constructed as road side drains along the edge of the roads and drains will be constructed on the katchha alignments; (iii) there are no structures, houses, shops, trees or any other establishments on the ROWs of the proposed roads and drains alignments; So only two strip diagram has been given as an example/ sample.

18. Considering the above facts, it has been estimated that the implementation of phase 1 roads and drains of Habiganj Pourashava will cover 12-months period, and major works are advisable to take place between October, 2015 and December, 2016. A tentative time-schedule for implementation (only as an indication) is shown in Figure 5, below for period July 2015 to December 2016

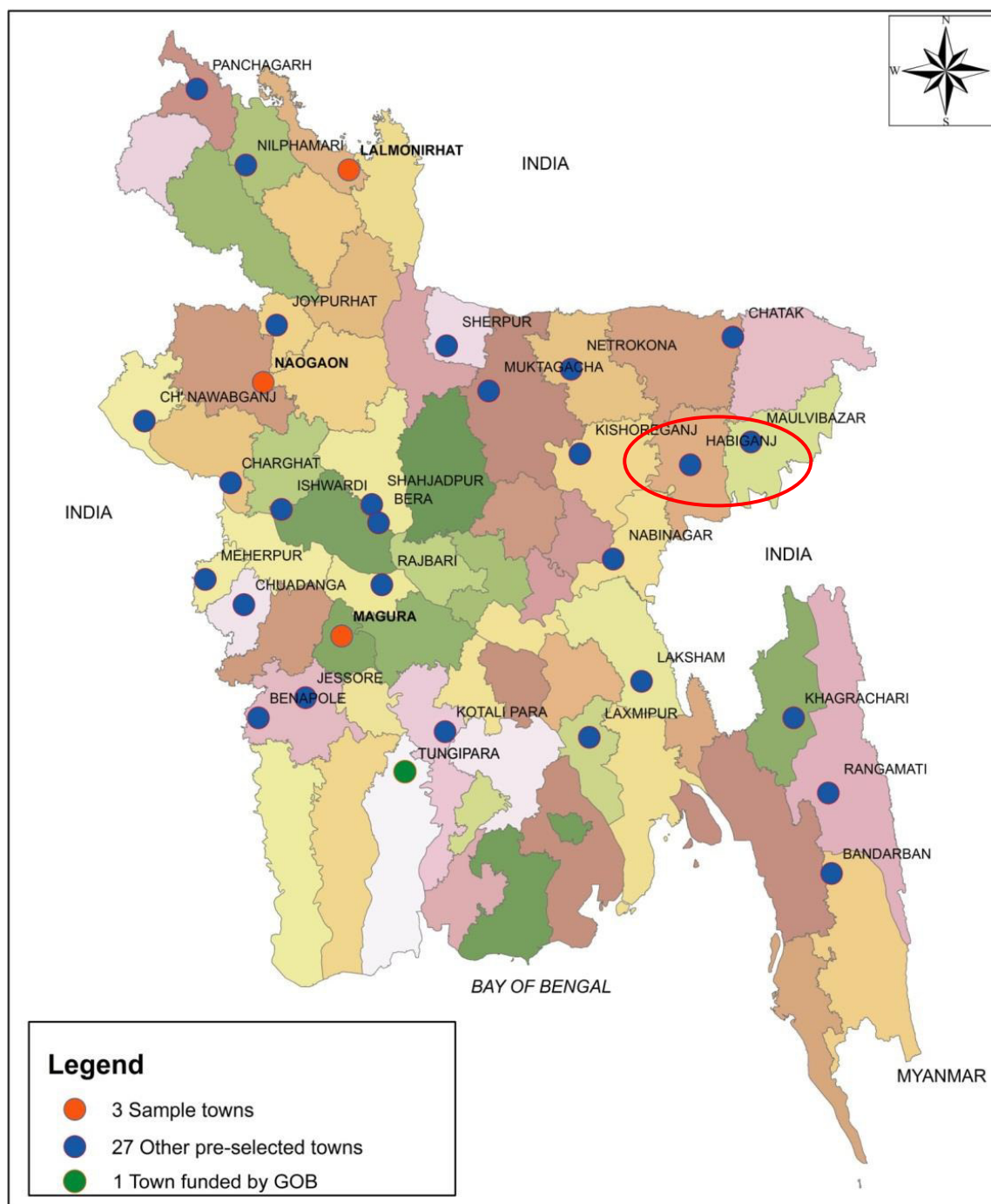


Figure 1: Location Map of Habiganj Pourashava

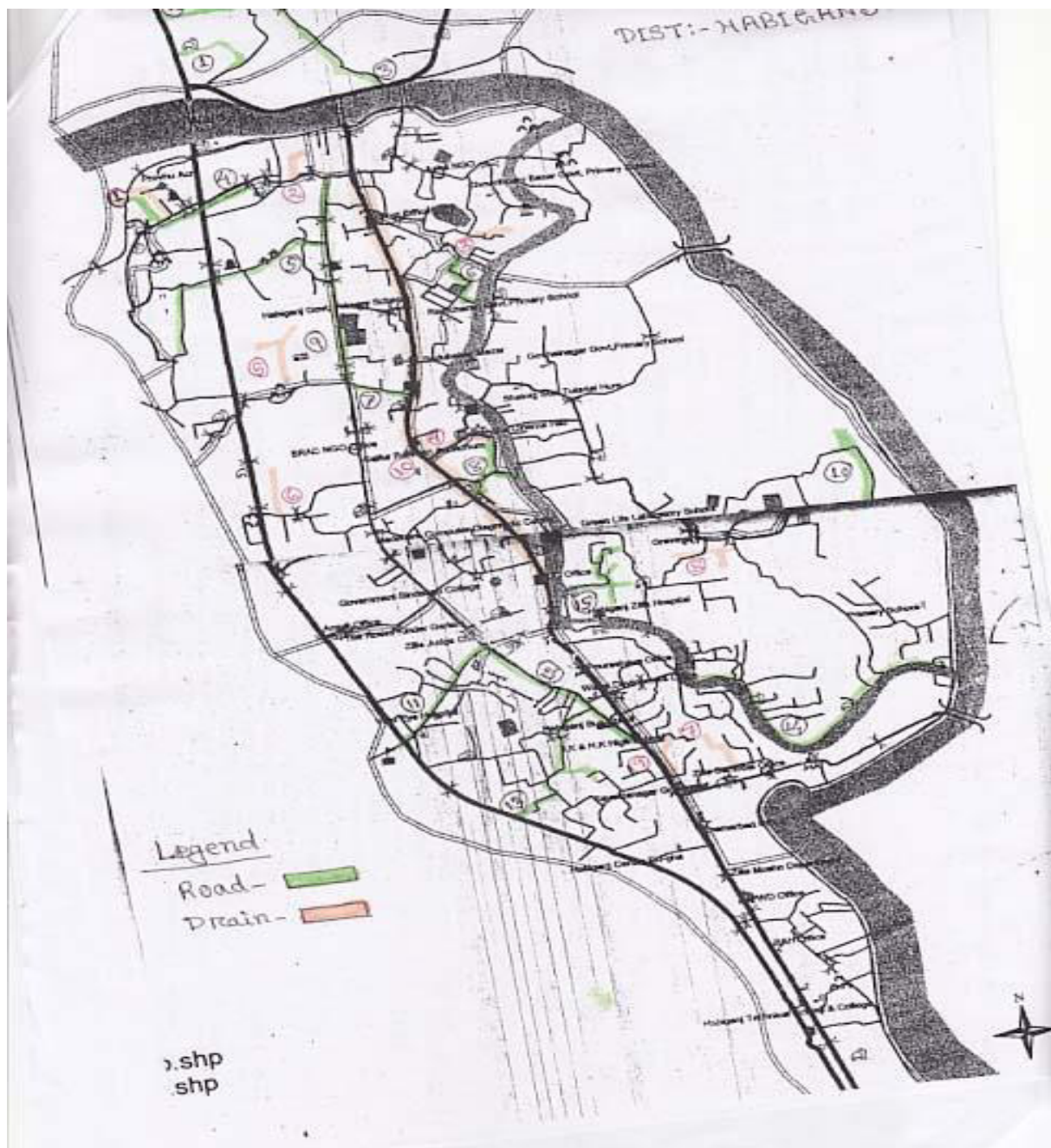


Figure 2: Map showing Proposed Roads Improvement in Habiganj

PACKAGE NO: UGIIP-III-I/HABI/UT+DR/01/2015

Name of Scheme: PDP 1001 - Improvement of RCC & BC road at Umednagar area infront of Ex Councilor Samchu Mia house to Baniyachong Road Ch.0+000-0+420m by RCC & at Ch.0+420-0+560m by BC.

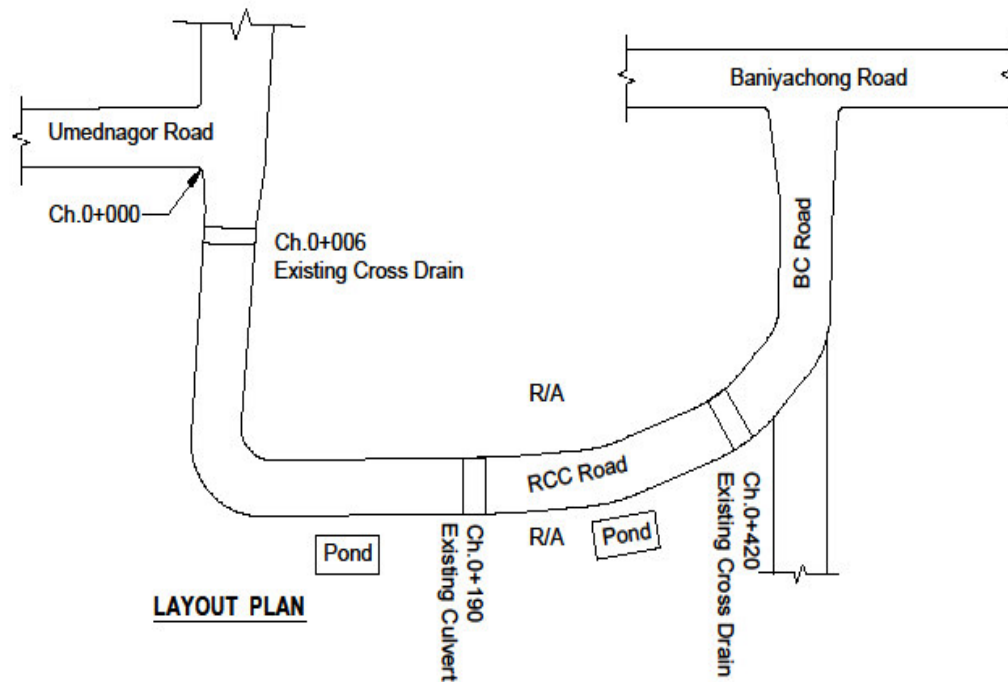


Figure 3a: Strip Map of Sub-project Roads

PACKAGE NO: UGIIP-III-I/HABI/UT+DR/01/2015

Name of Scheme: PDP 1009 - Improvement of BC road at Boglabazar area starting from infront of BOC road to Khorshed Mia's mill (Ch.0+200-0+715m).

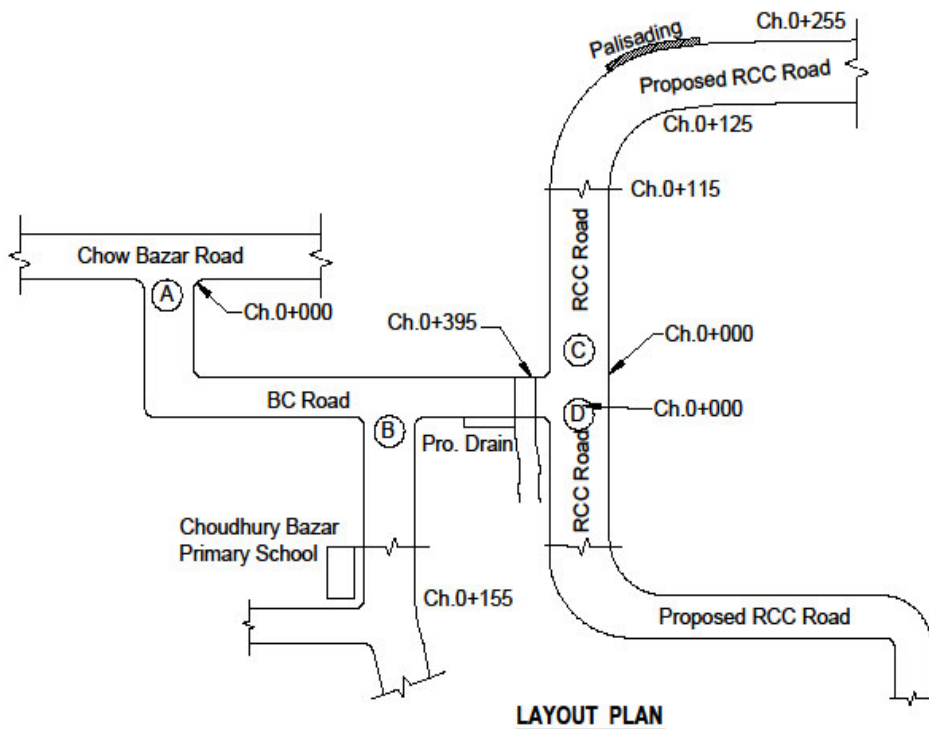


Figure 3b: Strip Map of Sub-project Roads



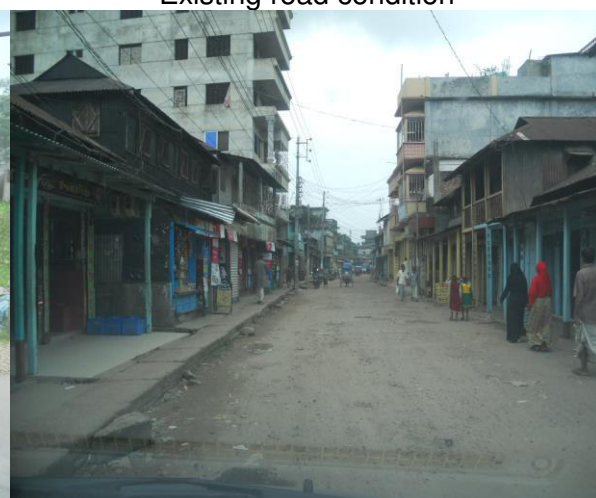
Existing Drain condition



Existing road condition



Existing Drain condition



Existing road condition

Figure 4: Typical Photographs of sub Project roads and drains

Item of Works	Period : June 2015 – November 2016																							
	2015												2016											
	04	05	06	07	08	09	10	11	12	1	2	3	4	5	6	7	8	9	10	11	12			
Assessment of Sub-project Supported by field visit																								
Compliance of Sub-project and approval																								
Preparation and approval of sub-projects																								
Preparation of the bid documents																								
Tendering of the sub-project and the work order																								
Execution of the physical Work																								
Final inspection and certification																								

Figure 5: Implementation work Schedule

Figures 6 to 8: Sample drawings showing cross-section of roads and drains are given below:

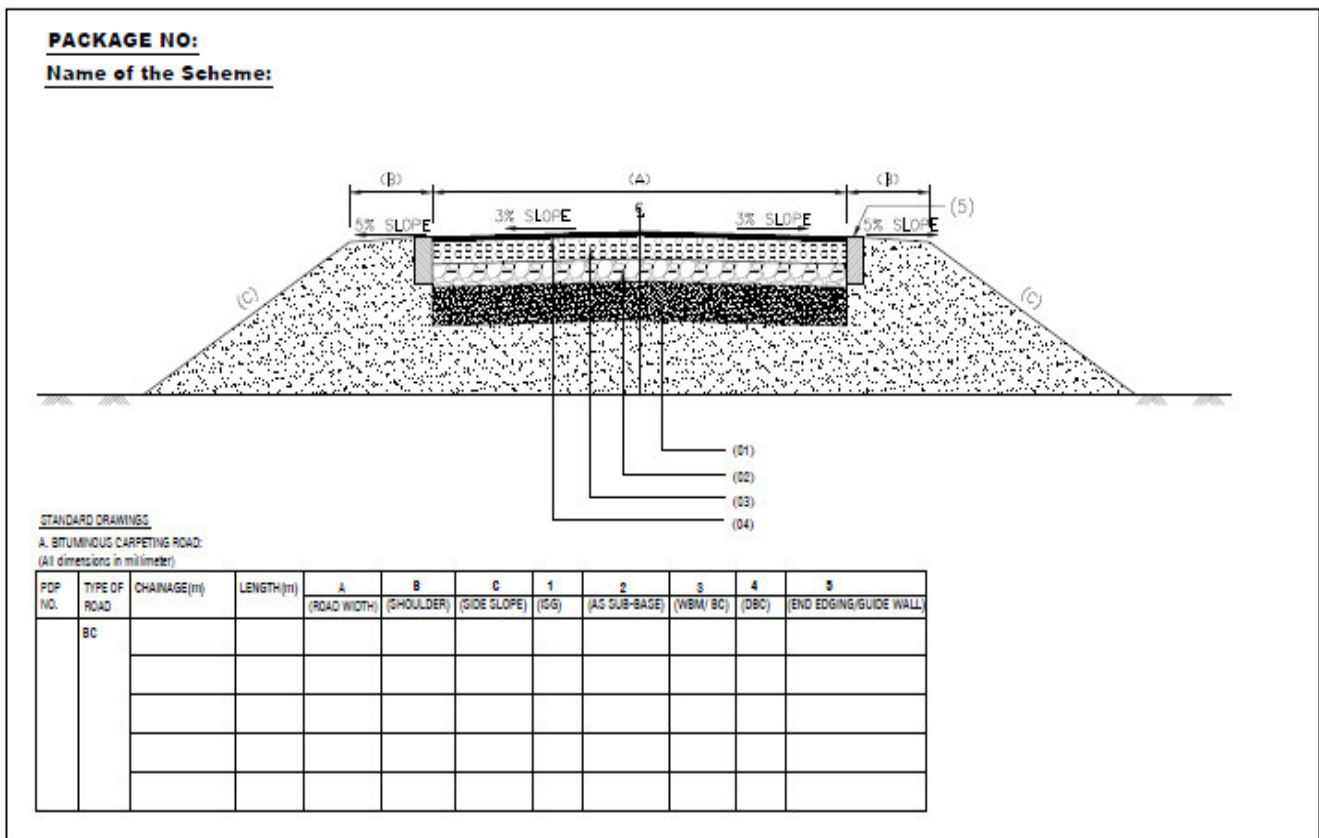


Figure 6: Typical Road Sections (Brick Road)

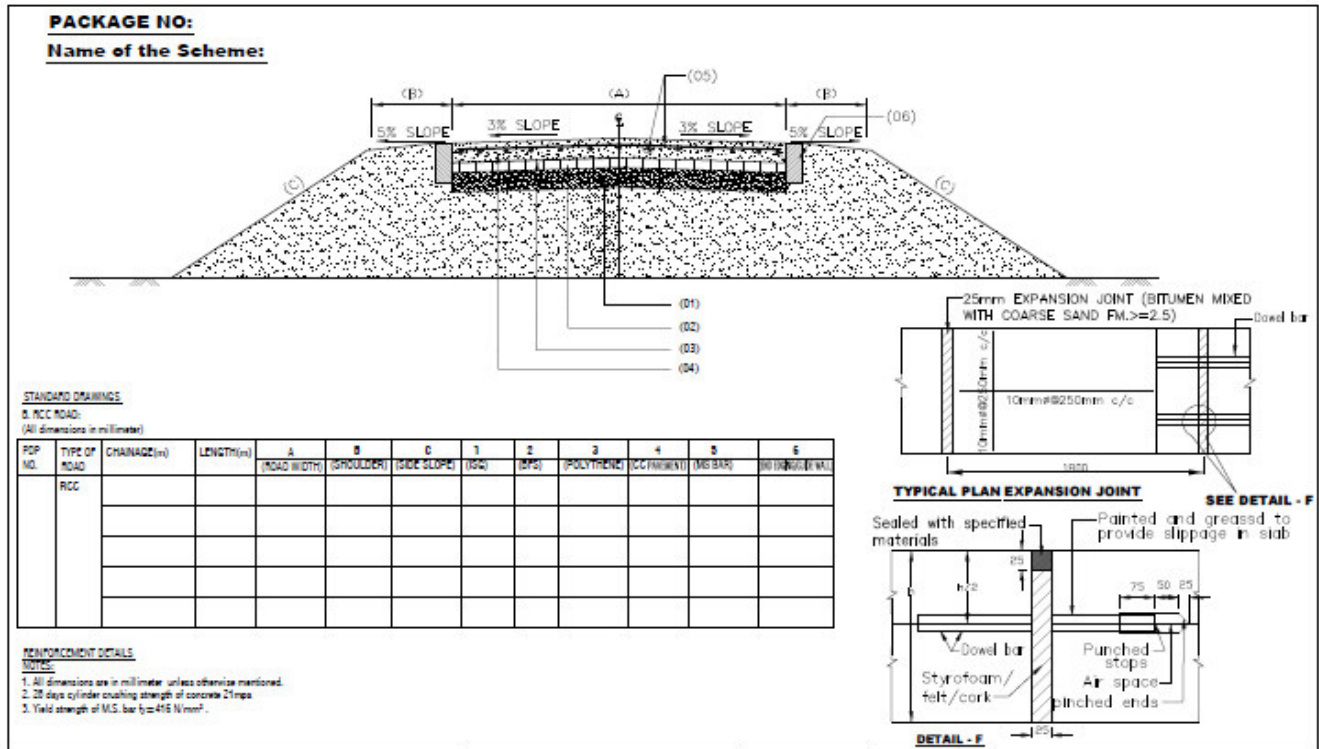


Figure 7: Typical Road Sections for (Concrete Road)

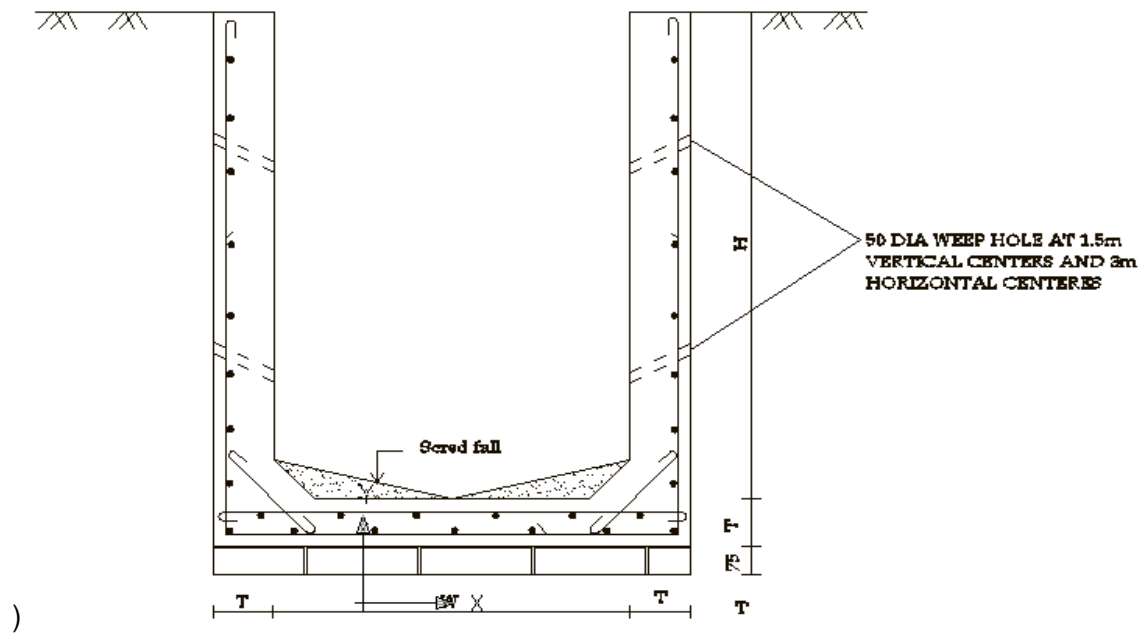


Figure 8: Typical Reinforced Concrete Drain Section

Table 1: Proposed Phase 1 Sub-Project Components

Package Nr: UGIIP-III-I/ HABI/UT+DR/01/2015(Lot-1& Lot-2)

SL. No	Scheme No/PDP No.	Scheme No	Sub-Project Schemes	Road Length/Drain Length (m)	Existing Road/Drain width(m)	Proposed Road/Drain Width(m)	Ownership of the land	Remarks
			Part-A: Road(Lot-1)					
1	1001	10087	Improvement of RCC & BC road at Umednagar area in front of Ex Councilor Samchu Mia house to Baniyachong Road Ch 0.00-420.00m by RCC & at Ch 420.00-560.00m by BC	560	2.5	2.5	Pourashava	
2	1188	10160	Construction of RCC road at Umednagar area starting from Baniyachong Road to Bacchu Mia house. Ch.0.00-235 and connecting RCC Road from Bacchu Mia House to Umed Naggar Moktob Road. Ch.0.00-75m.	310	2.50	2.5-2.8	Pourashava	
3	1192	10157	Improvement of Kalibari Cross Road from Back Road to R&H Road by BC. Ch0.00-200.00m	200	0.900-4.5	0.900-4.5	Pourashava	
4	1021	10164	(Part-A): Improvement of RCC road at Children Park area starting from R&H Road to Cinema Hall by RCC Ch.0.00-360m (Part-B):Connecting Road by RCC Ch.0.00-60m and (Part-C): Connecting Road Starting from R&H Road to old khowari Embankment Road by BC Ch.0.00-370m	790	1.8-3.05	1.8-3.05	Pourashava	
5	1023	10090	Improvement of Back road starting from Smashan Ghat road to Chowdhury Bazar Narikel Hata Road by BC Ch. 1735-2560m.	825	6.1	6.1	Pourashava	
6	1025	10098	Improvement of Road at Jungle Bohula area starting from Intabad Jungle Bohula Road to Masulia Akhra by RCC Ch. 0.00-525.00m	525	2.5	2.5	Pourashava	
7	1041	10162	Improvement of Court Station Road by BC starting from Baby Stand Point to Bye Pass Road by RCC Ch 0.00-430.00m	430	6.1	6.1	Pourashava	
8	1043	10097	Improvement of BC road at Back Road	550	6.5	6.5	Pourashava	

SL. No	Scheme No/PDP No.	Scheme No	Sub-Project Schemes	Road Length/Drain Length (m)	Existing Road/Drain width(m)	Proposed Road/Drain Width(m)	Ownership of the land	Remarks
			Starting from J.K.H.K High School to Baby Stand Point at Ch.0.00-550m.					
9	1040	10113	Improvement of RCC road at Mohonpur area starting from in front of Nozir Market to Bypass road at Ch 0.00-676.00m & Ch.00-150m	826	4.3	4.3	Pourashava	
10	1053	10106	Improvement of Mahmudabad road by BC and partially RCC (Front of Anantapur road to machulia Diabetic Hospital at Ch.00-1200m	1200	3.05	3.05-4.00	Pourashava	
11	1058	10163	Construction of RCC road at Qureshnagar area starting from old Passport Office to Late Adv. Rahat Chowdhury house. Ch 0.00-510.00m	510	2.1	0.750-2.1	Pourashava	
			Part-B : Drain				Pourashava	
12	D-1188		Construction of RCC drain at Umednagar area starting from Baniyachong Road to Kotu Mia house via Bacchu Mia house Ch 35.00-235.00m.	200		0.900	Pourashava	
13	D-1192		Construction of RCC drain beside the Kalibari Cross Road at Ch0.00-200.00m	200		0.900	Pourashava	
14	D-1021		Part-A: Construction of RCC drain at Children Park area starting from RHD road to existing cross drain (Ch00-360m) . Part-B: Construction of RCC drain at Children Park area starting from RHD road to existing cross drain (main drain) (Ch.00-60m).	420		0.750	Pourashava	
15	D-1040		Construction of RCC Drain Starting from infront of Nozir Market to existing Culvert Ch.200-400m	200		0.675	Pourashava	
16	D-1058		Construction of RCC drain starting from existing drain at Queshnagar near old	380		0.750	Pourashava	

SL. No	Scheme No/PDP No.	Scheme No	Sub-Project Schemes	Road Length/Drain Length (m)	Existing Road/Drain width(m)	Proposed Road/Drain Width(m)	Ownership of the land	Remarks
			Passport Office to existing Drain Ch. 80-250m, Link-01 drain Ch.300-420m & Link-02, drain Ch.420-510m.					
			Part –A, Lot-2					
17	1004	10088	Improvement of BC road at Umednagar area starting from Nabiganj Road to Gouranga Shil house. Ch 0.00-525.00m	525	3.00	3..05	Pourashava	
18	1009	10089	Improvement of BC road at Boglabazar area starting from in front of BOC road to Khorshed Mia's mill. Ch 200-715.00m	515	3.65-6.65	3.65-6.65	Pourashava	
19	1010	10096	Part-A: Improvement of road starting from main road to near Mr. Bahar Ali house by RCC (Ch00-270m). Part-B: Improvement of road starting from Back road to near Sankar Mudak house By BC (Ch.00-555m).	825	3.05	3.05-3.50	Pourashava	
20	1014	10107	Part-A: Improvement of road starting from Khowain Bahd road to Horipur road by RCC (Ch.00-715). Part-B: Improvement of road starting from Chowdhuri bazar Jame Mosjid to Main road by BC (Ch.00-395m). Part-C: Improvement of connecting road starting from main road to Chowdhuri bazar govt. primary school by BC (Ch.00-155).	1265	3.05	3.05	Pourashava	
			Part-B : Drain, Lot -2					
21	D-1187(01)		Constructions of RCC drain at Left side of Main Road starting from Chowdhury Bazar Traffic Point to Nursing Institute.Ch.00-1730m.	1725	0.750		Pourashava	

SL. No	Scheme No/PDP No.	Scheme No	Sub-Project Schemes	Road Length/Drain Length (m)	Existing Road/Drain width(m)	Proposed Road/Drain Width(m)	Ownership of the land	Remarks
22	D-1187(02)		Constructions of RCC drain at Right side of Main Road starting from Chowdhury Bazar Traffic Point to Nursing Institute.Ch.00-1730m.	1730	0.750-0.800		Pourashava	
23	D-1191		Constructions of RCC drain at Chirakandi area starting from Popy Store to outfull at Khowi River. Ch 0.00-210.00m	210	-	0.730	Pourashava	
24	D-1193		Construction of RCC drain at Cenema Hall Area Starting from Sultania Library to Old Khowai River Ch0.00-320.00m	320	-	0.750	Pourashava	
25	D-1194		Construction of RCC drain starting in front Mr. Ripon house to existing drain at Etimkhna Road Ch.0.00-150m. & Link-Ch.0+0-0+50m.	200	-	0.80 (Link-0.65)	Pourashava	
26	D-1195		Construction of RCC drain at Rajnagar area starting from in front of Councilor Lovely Sultana house to existing drain drain near Rajnagar Grave Yard Road Ch. 0.00-100.m.	100	-	0.750	Pourashava	
27	D-1197		Construction of RCC drain at Shaesta Nagar Area from Sardar Bari to Grave Yard Ch 0.00-300.00m	300	-	1.50	Pourashava	
28	D-1198		Construction of RCC drain Starting from infront of Eng. Bahadur Alam house to existing Drain near santinagar Poil Road Ch.0.00-100m	100	-	0.80	Pourashava	

III. DUE DILIGENCE

A. Objectives of the Study/ Investigation

19. This Social Impact Assessment Report/Due Diligence Report has been prepared to meet the following objectives:

- thorough assessment of social safeguard issues and impacts - major objective is to assess and identify all the possible socioeconomic and resettlement impacts including impacts on women, poor and vulnerable;
- to plan to avoid, minimize, mitigate or compensate for the potential adverse impact;
- to describe the extent of land acquisition and involuntary resettlement impacts;
- to inform and consult the affected people to make them aware about the project activities and take feedback to prepare safeguard plans summarizing mitigation measures, monitoring program/ mechanism, institutional arrangement and presenting budget for resettlement;
- to describe the likely economic impacts and identified livelihood risks of the proposed project components; and
- to describe the process undertaken during project design to engage stakeholders and the planned information disclosure measures and the process for carrying out consultation with affected people and facilitating their participation during project implementation;
- to establish a framework for grievance redress mechanism for affected persons (APs);
- to describe the applicable national and local legal framework for the project, and define the IR policy principles applicable to the project; and
- to define entitlements of affected persons, and assistance and benefits available under the project;

B. Methodology used for Assessing Land Acquisition and Resettlement

20. **Data collection.** Necessary data regarding social, economic and gender information has been collected primarily through desk work, field visits to the proposed subproject sites and one-on-one interviews with stakeholders.

21. Stakeholders and public consultations. Comprehensive discussions with MDSC, Habiganj Pourashava officials, community people living nearby the proposed subprojects schemes, public representatives and other stakeholders to identify different issues, problems/ constraints and prospects and feedback from the participants in connection to roads and drains construction under the subproject. The consultation covers mainly information dissemination about the project/subproject and its scope, possible positive and negative impacts, involvement of local people in different activities of the project and employment in project works, etc.. The public participation process included (i) identifying interested parties (stakeholders); (ii) informing and providing the stakeholders with sufficient background and technical information regarding the proposed development; (iii) creating opportunities and mechanisms whereby they can participate and raise their views (issues, comments, and concerns) with regard to the proposed development; (iv) giving the stakeholders feedback on process findings and recommendations; and (v) ensuring compliance to process requirements with regards to the environmental and related legislation.

22. The safeguard team of MDSC visited Habiganj Pourashava from 13 July, 2015 to 14 July, 2015 and had meetings with, Mayor, Executive Engineer, Assistant Engineer, Sub Assistant Engineers, councillors and Secretary of the Pourashava, community leaders, local

public representatives and different stakeholders at Pourashava office and at subproject areas with local people, community leaders, and local government's representatives. The purpose of these meetings were public consultations as outlined above.. During field visits, the consultants investigated about the existing condition of the roads and drainage alignments and emphasized on the issues like land acquisition, resettlement and rehabilitation. The participants were also informed of the subproject cut-off date of 30 September 2015 (date of completion of census survey).The consultant team also talked to the local people living nearby the subproject and nobody was found affected.

23. As a part of public consultation, relevant consultants and Pourashava officials arranged meetings at Pourashava office and at different roads and drains locations. Three formal meetings were arranged by the pourshava officials with the stakeholders. Also, consultations were held with the stakeholders during field visit of the consultants. The meeting sites were at RK Mission at Ghatia of Ward -3, Kalibari of Ward-5, Gulbagh of Ward-05 and Nazir Market of Ward no-08 on 22.09.2015. Pourashava officials and local stakeholders attended the meeting. Near about 75 participants were present during the consultation. The main agenda was improvement, rehabilitation and reconstruction of different roads and drains. The potential affected persons and local residents/ community leaders and other stakeholders were also consulted through group meetings and personal contract. During field visits, consultants physically visited the above mentioned scheme sites to verify the likely impacts on the people with respect to land acquisition & resettlement, and other social safeguard issues. During site visits, concern Pourashava councillor, Assistant Engineer, one Sub Assistant Engineer, and a surveyor of Pourashava accompanied the consultants to assist to identify the locations of roads & alignments of proposed drains and organized consultations/ meetings with the local representatives and people of the subproject area.

24. During discussions, the local people mentioned about the insufficiency of civic facilities, conditions of existing roads and coverage of drainage facilities, etc. The people expressed their happiness for getting project assistance for improvement of the Pourashava facilities. The roads and drains proposed under the subproject were a long felt demand of the people of the town. The records of public consultations have been shown in Appendix1.

25. **Transect walks.** The MDSC Regional Resettlement Specialist together with Habiganj *Pourashava engineering staff* conducted transect walks during June to August 2015 to do rapid appraisal of the proposed locations and alignments of subprojects using a standard IR checklist annexed with this report as Appendix 2.

26. **Reconnaissance Survey.** The project MDSC social safeguard team visited Habiganj *Pourashava* during June to August 2015 to measure the widths of proposed roads and drains under Package No. UGIIP-III-I/ HABI/UT+DR/01/2015 (Lot-01+Lot-02), count the number of trees, structures, natural resources, mobile vendors/ hawkers, and other facilities, etc. along ROWs, conduct informal discussions with local communities, formal discussions with *Pourashava* engineers, and visual assessment of IR impacts. The output of the survey was discussed with the design engineers of the project to incorporate into the designs to minimize the IR impacts.

27. **Census of affected persons and inventory of affected assets.** As nobody was found who may be affected by the subproject activities, no structures to be removed/relocated/demolished, and no mobile hawkers/vendors in the subproject alignments, the census was not required.

28. Social Safeguard Unit of MDSC has verified the roads and drains through the questionnaire that is titled" Initial Evaluation Assessment Format". Through this format, the team members have organized consultation with road users and gathered feedback on the

sub projects. Finally, it has been concluded that there is no impacts on involuntary resettlement.

IV. FINDINGS

29. **Involuntary Resettlement.** As per results of the data gathering, detailed survey of the sites and alignments together with review of land records, the IR Checklist has been completed (**Appendix 2**). The results show the subproject is considered as Category C, i.e., the subproject does not require temporary or permanent land acquisition, and there are no impacts involving the loss of land, structures, crops and trees, businesses or income.

30. All road and drainage components under Package Nr: UGIIP-III-I/ HABI/UT+DR/01/2015 (Lot-01+Lot-02) will involve improvement of existing roads and drainage on existing alignments owned by Habiganj Pourashava. No new road construction or change of alignment will be involved. Widening, if required will be on roads ROWs widths of which are sufficient for the proposed components. MDSC together with PMO confirmed ownership and land records of Habiganj Pourashava of the existing road and required widths in the ROWs therefore no land acquisition is required. Land ownership certificate is given in Appendix 5.

31. The Social Safeguard Unit of MDSC for UGII-III further verified and checked the roads and drains through the questionnaire that is titled "Initial Evaluation Assessment Format". Through this format, the team members have organized consultation with road users and gathered feedback on the subprojects. There are no encroachers, squatters, mobile vendors and hawkers along the ROW of proposed drains and roads. For recording and documentation purposes, the following are the socio-economic information and profile of survey participants:

- (i) no BPLs along the proposed drains and roads alignment
- (ii) no indigenous people (IP) along the proposed drains and roads alignment.

32. There is sufficient space along the ROWs for staging area, construction equipment, and stockpiling of materials. Besides, there is no possibility of affecting any structure needing relocation by the subproject activities as per detailed design of the components. Moreover, there is no possibility of loss of livelihood, neither permanent nor temporary due to loss of land/ assets occupied or squatting by anybody, is expected for the proposed development. Therefore, the potential impact of the subproject on privately owned land/ assets has been fully eliminated, and correspondingly, no issues relating to involuntary resettlement will occur during implementation of the subproject. **Thus, it has been concluded that there is no IR impact and the subproject is classified as Category C for IR.**

33. Full road closures are not expected during construction phase thus will not affect businesses. Residents and businesses along the subproject sites may experience impacts such as increased noise, vibration, dust and number of vehicles during construction phase which can be mitigated through good construction practices as documented in the subproject's initial environmental examination report and environmental management plan (EMP).

34. Although concerned road and drainage schemes unlikely to have any IR or resettlement impact, however, the construction/ improvement of the subproject components may cause minor temporary disturbances limited to dust and noise, movement of people, etc. which will be limited to the construction period only, and can easily be mitigated by quick and timely completion of works, taking dust suppression measures, removal of debris regularly, providing alternate roads and access to houses during construction, erecting different road furniture, where required, to make the vehicular movement safe and to minimize road accidents. For mitigating noise pollution, brick crushing yards, bituminous hot mix plants and concrete batching plants shall be located sufficiently away from habitation (at least 1km). Workers at the vicinity of strong noise shall wear earplugs.

35. All the above measures will be taken by the contractors during construction as per conditions included in the contract documents. The contractors shall avoid congested areas and narrow roads for carrying construction materials and equipment to site and schedule transportation to avoid peak traffic period on certain roads and sequence activities to minimize disturbances. The contractors shall also maintain vehicles and construction machinery and prohibit the use of air horns in settlement areas. Thus impacts during construction phase can be mitigated through good construction practices as documented in the subproject's initial environmental examination report and environmental management plan (EMP).

36. Table 2 below, summarizes the findings of the data collection, field visits and surveys. If in the course of subproject implementation land will be required and stakeholders are willing to donate any land, donation procedures will follow the RF and to be endorsed by an independent third party evaluator (e.g., civil society and non-ex officio representatives of the TLCC) to ensure there is no significant social and economic impact due to land donation.

37. **Indigenous People.** There are no identified IPs near by the PDP Roads affected as a distinctive group. The IP Categorization form is attached as Appendix 3. The subproject has no IP impact. There is no identified indigenous people/ethnic minority (adivasi) communities on the vicinity of the proposed subproject components. The components are located only within the urban area and no ethnic people will be affected by the subproject activities classified as Category C for IP.

38. **Other Persons:** The subproject will not (i) result in labour retrenchment or encourage child labour; or, (ii) directly or indirectly contribute to the spread of HIV/AIDS, human trafficking, or the displacement of girls and women. The subproject will have no potential impact on any female-headed household.

39. **Costs** are shown in Table-2 below this provides the costs and sources of funds to ensure social safeguards are considered in the subproject implementation.

Table 2: Social Safeguards Cost Relevant to Habiganj Subproject Implementation

Activities	Amount (Tk)	Source of Funds
(i) public consultation and disclosure	300,000	PIU
(ii) grievance redress mechanism	100,000	PIU
(iii) safeguards capacity building program	50,000	Under MDSC costs
(vi) materials for awareness raising and implementation of consultation and participation plan	330,000	Under GICDC costs
10% Contingency (to cover labor costs for shifting assistance, repair/compensation for damaged property, others not specified above)	Variable	

40. Outcome of the Sub-project roads and drainage construction/ improvement under the project is improved transport and drainage system within the Pourashava area. This will: improve transportation and communication facilities; will reduce transport cost of goods and services including travelling cost of the residents of the town; help prevent water logging and unexpected flooding during monsoon; increase the capacity of receding waste water from industries, households, commercial premises, etc. This overall support will help guarantee health condition increases.

41. **Subproject benefits.** Improvement of roads and construction of drains proposed under the subproject is expected to bring various quantifiable benefits for the citizens of Pourashava. Road improvement will improve connectivity and as a result, vehicular movement will increase, journey will be safer, quicker and comfortable. Transportation costs will be lower and movement will be easier which will bring new avenues for investment and consequently commercial activities will increase which will boost up economic development. Extended benefits will include employment opportunity for local people during construction and maintenance.

42. Construction of drainage facilities will improve effectiveness of drainage system causing increased and easy draining out of storm and waste water, will reduce water-logging and consequently, intensity of water borne diseases will decline which will help to improve both the quality of life and living condition of the residents of the Pourashava. The standards of individual and public health as well will rise. Extended benefits will include employment opportunity for local people during construction and maintenance.

43. Thus the subproject implementation will bring economic gains to the local people in the form of increased employment and less spending on healthcare and transportation.

Table 3: Assessment of Social Safeguard Impacts of Habiganj Pourashava Subproject

SL. No	Scheme No/PDP No.	Sub-Project Schemes	Type of Loss/ Affected	Name of APs	Possible Social Impact	Resettlement required or not	Indigenous People	Remarks
		Part-A : Road(Lot-1)						
1	1001	Improvement of RCC & BC road at Umednagar area infront of Ex Councilor Samchu Mia house to Baniyachong Road Ch 0.00-420.00m by RCC & at Ch 420.00-560.00m by BC	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No major IR impacts • No requirement for land acquisition 	Not required	Not found	
2	1188	Construction of RCC road at Umednagar area starting from Baniyachong Road to Bacchu Mia house. Ch.0.00-235 and connecting RCC Road from Bacchu Mia House to Umed Nagar Moktob Road. Ch.0.00-75m.	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition 	Not required	Not found	
3	1192	Improvement of Kalibari Cross Road from Back Road to R&H Road by BC. Ch0.00-200.00m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction . 	Not required	Not found	
4	1021	(Part-A): Improvement of RCC road at Children Park area starting from R&H Road to Cinema Hall by RCC Ch.0.00-360m (Part-B):Connecting Road by RCC Ch.0.00-60m and (Part-C): Connecting Road Starting from R&H Road to old khowari Embankment Road by BC Ch.0.00-370m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction . 	Not required	Not found	
5	1023	Improvement of Back road starting from Smashan Ghat road to Chowdhury Bazar Narikel Hata Road by BC Ch. 1735-2560m.	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance 	Not required	Not found	

SL. No	Scheme No/PDP No.	Sub-Project Schemes	Type of Loss/ Affected	Name of APs	Possible Social Impact	Resettlement required or not	Indigenous People	Remarks
					of the local people during construction			
6	1025	Improvement of Road at Jungle Bohula area starting from Intabad Jungle Bohula Road to Masulia Akhra by RCC Ch. 0.00-525.00m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
7	1041	Improvement of Court Station Road by BC starting from Baby Stand Point to Bye Pass Road by RCC Ch 0.00-430.00m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
8	1043	Improvement of BC road at Back Road Starting from J.K.H.K High School to Baby Stand Point at Ch.0.00-550m.	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
9	1040	Improvement of RCC road at Mohonpur area starting from infront of Nozir Market to Bypass road at Ch 0.00-676.00m & Ch.00-150m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
10	1053	Improvement of Mahmudabad road by BC and partially RCC (Front of Anantapur road to machulia Diabetic Hospital at Ch.00-1200m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
11	1058	Construction of RCC road at Qureshnagar area starting from old Passport Office to Late Adv. Rahat Chowdhury house. Ch 0.00-	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance 	Not required	Not found	

SL. No	Scheme No/PDP No.	Sub-Project Schemes	Type of Loss/ Affected	Name of APs	Possible Social Impact	Resettlement required or not	Indigenous People	Remarks
		510.00m			of the local people during construction			
		Part-B : Drain						
12	D-1188	Construction of RCC drain at Umednagar area starting from Baniyachong Road to Kotu Mia house via Bacchu Mia house Ch 35.00-235.00m.	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
13	D-1192	Construction of RCC drain beside the Kalibari Cross Road at Ch0.00-200.00m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
14	D-1021	Part-A: :Construction of RCC drain at Children Park area starting from RHD road to existing cross drain (Ch00-360m) . Part-B: :Construction of RCC drain at Children Park area starting from RHD road to existing cross drain (main drain) (Ch.00-60m).	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
15	D-1040	Construction of RCC Drain Starting from infront of Nozir Market to existing Culvert Ch.200-400m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
16	D-1058	Construction of RCC drain starting from existing drain at Queshnagar near old Passport Office to existing Drain Ch. 80-250m, Link-01 drain Ch.300-420m & Link-02, drain Ch.420-510m.	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people 	Not required	Not found	

SL. No	Scheme No/PDP No.	Sub-Project Schemes	Type of Loss/ Affected	Name of APs	Possible Social Impact	Resettlement required or not	Indigenous People	Remarks
					during construction			
		Part –A, Lot-2						
17	1004	Improvement of BC road at Umednagar area starting from Nabiganj Road to Gouranga Shil house. Ch 0.00-525.00m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
18	1009	Improvement of BC road at Boglabazar area starting from infront of BOC road to Khorshed Mia's mill. Ch 200-715.00m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
19	1010	Part-A: Improvement of road starting from main road to near Mr. Bahar Ali house by RCC (Ch00-270m). Part-B: Improvement of road starting from Back road to near Sankar Mudak house By BC (Ch.00-555m).	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
20	1014	Part-A: Improvement of road starting from Khowain Bahd road to Horipur road by RCC (Ch.00-715). Part-B: Improvement of road starting from Chowdhuri bazar Jame Mosjid to Main road by BC (Ch.00-395m). Part-C: Improvement of connecting road starting from main road to Chowdhuri bazar govt. primary school by BC (Ch.00-155).	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
		Part-B : Drain, Lot -2						
21	D-1187(01)	Constructions of RCC drain at Left side of Main Road starting from	No loss and no resettlement	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land 	Not required	Not found	

SL. No	Scheme No/PDP No.	Sub-Project Schemes	Type of Loss/ Affected	Name of APs	Possible Social Impact	Resettlement required or not	Indigenous People	Remarks
		Chowdhury Bazar Traffic Point to Nursing Institute.Ch.00-1730m.	impact reported		acquisition <ul style="list-style-type: none"> • Temporary disturbance of the local people during construction 			
22	D-1187(02)	Construction of RCC drain at Right side of Main Road starting from Chowdhury Bazar Traffic Point to Nursing Institute.Ch.00-1730m.	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
23	D-1191	Construction of RCC drain at Chirakandi area starting from Popy Store to outfull at Khowi River. Ch 0.00-210.00m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
24	D-1193	Construction of RCC drain at Cinema Hall Area Starting from Sultania Library to Old Khowai River Ch0.00-320.00m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
25	D-1194	Construction of RCC drain starting infront Mr. Ripon house to existing drain at Etimkhna Road Ch.0.00-150m. & Link-Ch.0+0-0+50m.	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
26	D-1195	Construction of RCC drain at Rajnagar area starting from infront of Councilor Lovely Sultana house to existing drain drain near Rajnagar Grave Yard Road Ch. 0.00-100.m.	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	
27	D-1197	Construction of RCC drain at Shaesta Nagar Area from Sardar	No loss and no resettlement	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land 	Not required	Not found	No loss and no

SL. No	Scheme No/PDP No.	Sub-Project Schemes	Type of Loss/ Affected	Name of APs	Possible Social Impact	Resettlement required or not	Indigenous People	Remarks
		Bari to Grave Yard Ch 0.00-300.00m	impact reported		acquisition <ul style="list-style-type: none"> • Temporary disturbance of the local people during construction 			resettlement impact reported
28	D-1198	Construction of RCC drain Starting from infront of Eng. Bahadur Alam house to existing Drain near santinagar Poil Road Ch.0.00-100m	No loss and no resettlement impact reported	None affected	<ul style="list-style-type: none"> • No IR impacts • No requirement for land acquisition • Temporary disturbance of the local people during construction 	Not required	Not found	No loss and no resettlement impact reported

V. FUTURE CONSULTATIONS AND DISCLOSURE

44. This due diligence report and other relevant documents will be made available at public locations in the *Pourashava* and posted on the websites of LGED and ADB. The same information will be posted in Habiganj *Pourashava* and provided to members of TLCC and community representatives.

45. A consultation and participation plan is prepared for UGII-III; consultation activities will be coordinated by the PMO, PIU (Habiganj *Pourashava*) and consultant teams to ensure that the communities are fully aware of the activities at all stages of the project implementation. During construction phase, Habiganj *Pourashava* with assistance of consultant teams will conduct (i) public meetings with affected communities to discuss and plan work programs and allow issues to be raised and addressed once construction has started; and (ii) smaller-scale meetings to discuss and plan construction work with individual communities to reduce disturbance and other impacts, and to provide a mechanism through which stakeholders can participate in project monitoring and evaluation. The PIU will coordinate the schedule with the contractors and will ensure proper public consultations are held prior to start of civil works and during implementation. Documentation should be included in the social safeguards monitoring report.

46. The relevant information in this due diligence report together with following information on GRM will be translated to local language and disclosed to persons in the subproject area. Documentation will be included during social safeguard monitoring report. The social safeguard officers (XEN) will disclose information.

47. **Grievance redress mechanism.** A project-specific grievance redress mechanism (GRM) will be established to receive, evaluate, and facilitate the resolution of AP's concerns, complaints, and grievances about the social and environmental performance at the level of the project. This is outlined in Figure 7 below. The GRM will aim to provide a time-bound and transparent mechanism to voice and resolve social and environmental concerns linked to the project. The multi-tier GRM for the project is outlined below, each tier having time-bound schedules and with responsible persons identified to address grievances and seek appropriate persons' advice at each stage, as required. The PMO, MDSC safeguards team and GICDC team will assist the PIU in establishing the GRM and building the capacity of the GRC members to address project-related complaints/grievances. Once contractors are mobilized, inform them of their role and responsibilities and procedures involved in the GRM.

48. PIU and governance improvement and capacity building consultants (GICDC) will conduct *Pourashava* wise awareness campaigns to ensure that people in the subproject area are made aware of grievance redress procedures and entitlements, and will work with the PMO and MDSC to help ensure that their grievances are addressed.

49. Affected persons will have the flexibility of conveying grievances /suggestions by dropping grievance redress/suggestion forms in complaints/suggestion boxes that have already been installed by PIU or through telephone hotlines, (mobile: 01711337358,01716418859) at accessible locations, by e-mail:mayorhabiganj Pourashava@gmail.com; nurazamsharif@gmail.com,by post, or by writing in a complains register in PIU or Habiganj *Pourashava* office.

50. Careful documentation of the name of the complainant, date of receipt of the complaint, address/contact details of the person, location of the problem area, and how the problem was resolved will be undertaken. PMO safeguard officer will have the overall responsibility for timely grievance redressal on environmental and social safeguards issues and for registration of grievances, related disclosure, and communication with the suggested party through the PIU designated safeguard focal person.

1. Grievance redresses process. In case of grievances that are immediate and urgent in the perception of the complainant, the contractor and MDSC on-site personnel will provide the most easily accessible or first level of contact for quick resolution of grievances. Contact phone numbers and names of the concerned PIU safeguard focal person and contractors, will be posted at all construction sites at visible locations. Sample of Grievance Registration Form has been given in **Appendix-4**

- (i) **1st Level Grievance.** The phone number of the PIU office should be made available at the construction site signboards. The contractors and PIU safeguard focal person can immediately resolve on-site in consultation with each other, and will be required to do so within 7 days of receipt of a complaint/grievance.
- (ii) **2nd Level Grievance.** All grievances that cannot be redressed within 7 days at field/ward level will be reviewed by the grievance redress cell (GRC) headed by Panel Mayor of the Pourashava with support from PIU designated safeguard focal person and MDSC regional environment and resettlement specialists. GRC will attempt to resolve them within 15 days.² The PIU designated safeguard focal person will be responsible to see through the process of redressal of each grievance.
- (iii) **3rd Level Grievance.** The PIU designated safeguard focal person will refer any unresolved or major issues to the PMO safeguard officer and MDSC national environmental and resettlement specialists. The PMO in consultation with these officers/specialists will resolve them within 30 days.

51. Despite the project GRM, an aggrieved person shall have access to the country's legal system at any stage, and accessing the country's legal system can run parallel to accessing the GRM and is not dependent on the negative outcome of the GRM.

52. In the event that the established GRM is not in a position to resolve the issue, the affected person also can use the ADB Accountability Mechanism (AM) through directly contacting (in writing) the Complaint Receiving Officer (CRO) at ADB headquarters or the ADB Bangladesh Resident Mission (BRM). The complaint can be submitted in any of the official languages of ADB's DMCs. The ADB Accountability Mechanism information has been included in the PID to be distributed to the affected communities, as part of the project GRM.

53. **Recordkeeping.** Records of all grievances received, including contact details of complainant, date the complaint was received, nature of grievance, agreed corrective actions and the date these were effected and final outcome will be kept by PIU. The number of

² Grievance redress committees (GRC) has been formed at Habigonj Pourashava. The GRC comprises of the Panel Mayor-1 as Chairperson, 1 female councilor, 1 male councilor, Xen of Pourashava, 1 NGO representative and 1 representative from the APs as members of the committee. For project related grievances, if arises, Community based organizations (CBOs), eminent citizens, will be invited as observers.

grievances recorded and resolved and the outcomes will be displayed/disclosed in the PMO office, *Pourashava* office, and on the web, as well as reported in monitoring reports submitted to ADB on a semi-annual basis.

54. **Periodic review and documentation of lessons learned.** The PMO safeguard officer will periodically review the functioning of the GRM in each *Pourashava* and record information on the effectiveness of the mechanism, especially on the project's ability to prevent and address grievances.

Costs. All costs involved in resolving the complaints (meetings, consultations, communication and reporting/information dissemination) will be borne by the concerned PIU at *Pourashava*-level; while costs related to escalated grievances will be met by the PMO. Cost estimates for grievance redress are included in resettlement cost estimates for affected persons.

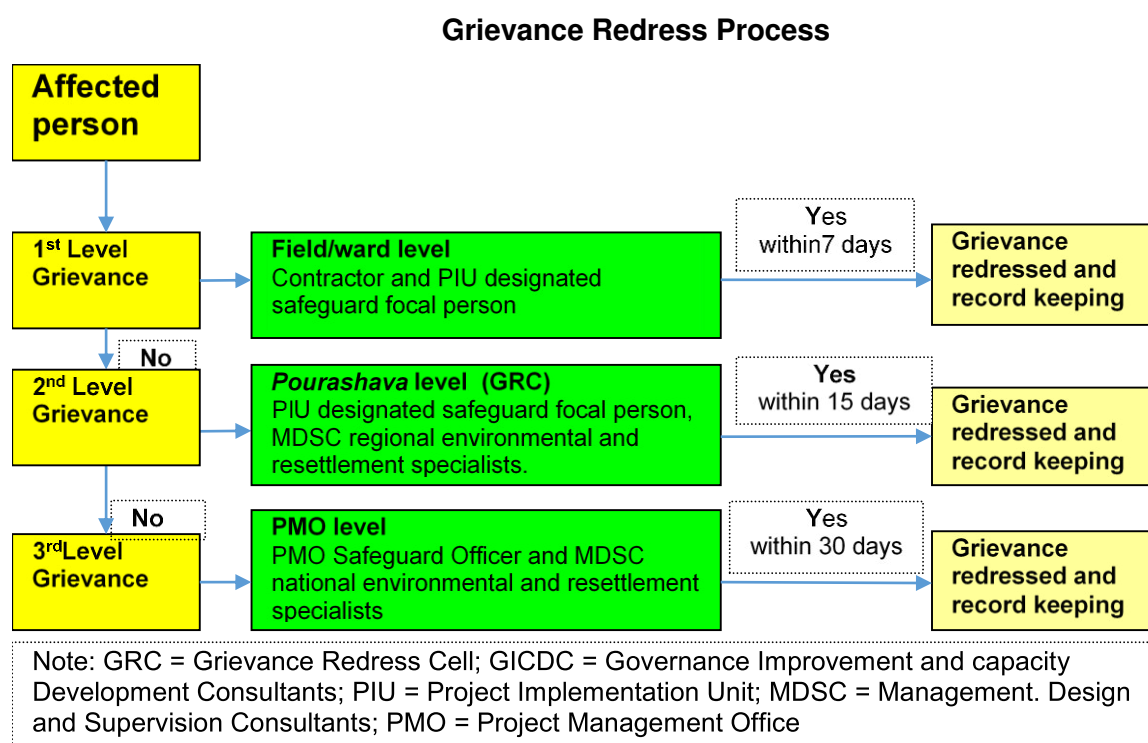


Figure 9: Project Grievance Redress Mechanism

VI. MITIGATION OF SOCIAL CONCERNS

55. Although the road and drainage schemes under the subproject of UGIIP-III construction/improvement is not expecting any land acquisition and involuntary resettlement, physical or economic displacement, or temporary restrictions to land use. However, some assumptions were made that during civil works for different schemes, pedestrians, residents

and shop keepers/different business operators carrying out different economic activities particularly beside the road; customers might face temporary disturbances in connection to movement and operate their business. In order to avoid even minor temporary disturbances during the construction activities, the following measures are suggested to eliminate such impacts:

- Informing all the residents, local households and traders about the nature and duration of works in advance, so that they can make necessary preparation to face the situation.
- Providing wooden walkways/ planks across trenches for pedestrians and metal sheets where vehicles access is required.
- Increasing the workforce and using the appropriate equipment to complete the work in a minimum timeframe.
- Suggest people to wear mask to protect from dust problem during construction.
- Other social concern, if any, shall be properly solved by the Grievance Redress Committee (GRC) under the Grievance Redress Mechanism (GRM) of the project to be formed by the PIU.
- Any other preventive measures to be adopted as required considering the situation during construction.

56. The above mitigation measures during construction activities will ease the temporary disturbances in connection to movement and operation business of the local residents, pedestrian customers and clients from shopping locally or using the usual services from local business.

VII. CONCLUSIONS

57. Important findings of the study in connection to social safeguard issues are (i) improvement of the roads will be carried out on the existing alignments and will not require land acquisition; (ii) most of the roads will be constructed along the existing carriageway; (iii) there are no structures, houses, shops, trees or any other establishments on the ROWs of the proposed road alignments; (iv) as a result no dislocation, demolition of houses or structures will be required due the development activities; (v) the local people unanimously welcomed the project and showed positive attitude to the improvement of the proposed subproject. None was found to oppose the subproject; (vi) urban residents and the rural residents in surrounding hinterland will benefit from improvement of the proposed roads for creating better access to urban markets & social services provided in the project town; (viii) no potential negative impact could not be identified and (ix) business or economic activities will not be impeded resulting losses in income or asset.

58. A due diligence process was conducted for the subproject to examine the IR issues particularly with respect to the requirements of the ADB's SPS (2009) and ARIPO. The roads and drains proposed under the subproject will be of straightforward construction on the existing ROWs. So, implementation of the subproject will neither affect any land or structure. Any disturbances will be limited to construction period only. The likely impacts are short-term,

localized and could easily be avoided or mitigated. The results of the study suggest that the impact of this subproject does not incur any land acquisition, resettlement or economic displacement.

59. The Project Director (PD) will carry out internal monitoring through the PMO and PIUs with the support of the MDSC. For this subproject, PIU will provide PMO on updates on (i) GRM establishment; (ii) report of public consultations and disclosures conducted; (iv) complaints/grievance received, if any, and resolutions conducted; and (iv) unanticipated IR impacts during subproject implementation not included in this due diligence report.

60. Based on the findings presented in this due diligence report, the Habiganj Subproject Package Nr: UGIIP-III-I/ HABI/UT+DR/01/2015 (Lot-01+Lot-02) will not require land acquisition and will not cause involuntary resettlement impacts. There are also no IPs identified in the subproject area. Thus it is concluded that the subproject **is Category C for IR and Category C for IP** as per ADB SPS 2009.

VIII. APPENDIX 1: RECORDS OF PUBLIC CONSULTATIONS

Consultation Meeting No- 1

Subproject: PDP Road-1010

Venue: RK Mission Ghatia, Ward No-3,

Date:22/9/2015, **Time-1PM, No of Participants:** 17

61. The Panel Mayor and the councillors of Habiganj Pourashava and few community leaders representing the area, participated the discussion. The Mayor mentioned that the proposed roads and drains will not encroach on any private land as the site falls in the ROW on both sides of the road belongs to Pourashava.

62. In case of concerned road, the condition of road is bad for long time and have not renovated. So, school going children and pedestrians face unbearable sufferings round the year, especially in the wet season. After renovation people will get easy access to the school and market.

63. Participants came from urban communities/road users/ drainage beneficiaries, members of ward committees, and representatives of local government agencies. The issues raised during public consultations have been addressed in the DDR, but the issues would not pose any significant constraint in the implementation of proposed sub- project.

64. The agenda of the meeting was the, planning, improvement, reconstruction; rehabilitation of ADB funded roads, drains of the Pourashava under UGIIP-III.

65. The safeguard officer of the Pourashava briefed the participants regarding the goals and objectives of the projects. And also briefed safeguard issues relating public disturbance during construction period and also probable mitigation measures.

66. The participants raised the following issues for mitigation. During open discussion session, participants raised following questions, queries and suggestions:

- Proper maintenance and quality of the work to be ensured
- In case of livelihood loss compensation for project affected persons (PAPs) are expected
- Most of the participants are happy to know the improvements of the subprojects

67. The meeting concluded with thanks from the chair to the participants.



নগর পরিচালন ও অবকাঠামো উন্নতিকরন (সেক্টর) প্রকল্প-এর রোড এন্ড সেক্টর সাব-প্রজেক্ট প্যাকেজের পিডিপি সিরিয়াল নম্বর ১০১০। হবিগঞ্জ পৌরসভার ৩ নং ওয়ার্ডের ঘাটিয়া এলাকায় বিসি ও সিসি রোড পুরাতন কৃষি ব্যাংক হতে বাহার আলী সাহেবের বাসা পর্যন্ত।

Photograph of the Consultation Meeting with stakeholders,(PDP-1010)

Key Issues of Discussion

SL	Key issues	Major Findings
1.	Existing narrow road s to be improved	The existing road condition is broken, narrow and some part is kacha, communication is not good; so in the rainy season vehicles cannot move along the road, so when heavy vehicles are plying causing further damage to the road and creating traffic jam. In the rainy season, it gets worse and movements of rickshaw, van other vehicles come to a stop at some time when it rains heavily.
2.	Better road communication will bring better scopes for the community	Better road condition is the pre condition of development and expansion of business. It can save time and ensure improved living standard and present suffering in traffic movement. Business, education and health services will be highly benefited and people's overall wellbeing.
3.	Service use from Pourashava	As a remittance earning area, the participants criticize the Pourashava for lacking proper urban planning and continuing the development plan for maintaining narrow road and urging the Pourashava officials for making the Pourashava alternative development hub as the area is a industrial belt and ensuring all development needs. They urged to provide all basic needs for people below poverty line as part of urban planning .
4.	Access to supply Water and health facilities	They demanded access of drinking water and health facilities for marginal people of the Pourashava.
5.	Opinion of the local people regarding improvement of existing road	The people feel that they are deprived of all supports for being members of the poor community Their children, despite having good education, do not get jobs while with lesser education other people get jobs. They expressed their satisfaction for the development of proposed road.
6.	Willingness to support the project	The community s pledged their all-out support and cooperation with the project. At some points the road is very narrow and at other points the bends and curves need to be straightened and widened. People on both sides promised to sacrifice a part of their land to make the road wide and straight enough If it is needed. They welcome the project implementation policy & would be happy to get employment during subproject implementation, if possible. Moreover, they are interested in to participate different project activities during implementation.

List of Participants Public Consultation List of Participants

Third Urban Governance & Infrastructure Improvement (Sector) Project (UGIIP-III)

Local Government Engineering Department

Public Consultation & list of participants

Name of the Pourashava: HADICANT Venue: R.K. Minia Ward: 03

Date: 22.02.18 Time: 1.00 pm

Sl	Name of the Participant	Occupation	Signature
01	শ্রীমতী হাজিমা	সমাজসেবিকা	[Signature]
02	শ্রী বনু বসু	স্বাস্থ্যকর্মী	শ্রী বনু বসু
03	শ্রীমতী - মল্লিকা	গৃহস্বামী	শ্রীমতী মল্লিকা
04	শ্রীমতী হাজিমা		
05	শ্রীমতী চন্দ্রা দেবী	অধ্যাপক	[Signature]
06	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
07	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
08	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
09	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
10	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
11	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
12	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
13	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
14	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
15	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
16	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
17	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
18	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
19	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]
20	শ্রীমতী হাজিমা	স্বাস্থ্যকর্মী	[Signature]

Consultation Meeting-02

Subproject: PDP -1019 and Drain-1192

Venue: Kalibari , Ward No-05,

Date: 22/9/2015, **Time-**12 am

No of Participants: 15

68. The public consultation meeting was presided over by the Assistant Engineer and Social Safeguard Officer of the Pourashava Syed Nakibur Rahman. The Secretary and other officials of Pourashava were present on the consultation meeting. In the meeting, road users, beneficiaries of the drains, local elites, local government representatives, and businessmen were present.

69. During initial survey assessment, the Project follows a participatory approach involving local stakeholders. That is why stakeholder consultations were held in getting opinion of the local people. In addition to that for identification of affected person and assessing their socio economic condition socio-economic surveys were carried out as a part of project planning and initiation of PDPs by means of open, facilitated sessions for Pourashava visioning or planning. A project brief was prepared for each Pourashava that provided an overview of potential resettlement impacts and explained proposed entitlement for affected persons (APs). Present consultation meeting is a part of that approach.

70. Among the participants representatives of concerned communities, road users, drainage beneficiaries, members of ward committees, and representatives of local government agencies are important. The issues raised during public consultations have been addressed in the DDR, but the issues would not pose any significant constraint in the implementation of proposed sub-project.

71. The agenda of the meeting was the, planning, improvement, reconstruction, rehabilitation of ADB funded roads, drains of the Pourashava under UGIIP-III.

72. The safeguard officer of the Pourashava briefed the participants regarding the goals and objectives of the projects. And also briefed safeguard issues relating public disturbance during construction period and also probable mitigation measures.

73. The participants raised the following issues for mitigation and clarification. During open discussion session, participants raised following questions, queries and suggestions:

- ensure quality and timely completion of the work
- The authority should not disturb livelihood of the poor people without compensation
- Positive regarding the development activities through the proposed subprojects.

74. The meeting concluded with thanks from the chair to the participants.



Key Issues of Discussion

SL	Key issues	Major Findings
1.	Existing situation of the feeder road	The road is very narrow, so when heavy so it should be widened to meet the present needs.. In the rainy season, it gets worse and movements of vehicles come to a stop at some time when it rains heavily. Sometimes accidents happen. Emergency movement like taking patients to hospitals by ambulance cannot be possible.
2.	Better road communication will bring better scopes and income opportunity for the community	Better road condition is the pre condition of development. It can save time and ensure improved living standard and present suffering in traffic movement. Business, education and health will be highly benefited. Better planning will make the pourashava thriving and good habitat for local people and they need not migrate to divisional and district town.
3.	Service use from Pourashava	The participants representing the community expressed their frustration with the Pourashava for its failure to provide humanitarian support to the poor .The participants criticize the Pourashava for lacking proper urban planning and continuing the development plan for maintaining narrow road and urging the Pourashava officials for making the city as a substitute for not going to the capital.
4.	Access to supply Water	They demanded access of pure drinking water for marginal people of the Pourashava.
5.	Opinion of the local people regarding improvement of existing road	The people feel that they are deprived of all supports for being members of the poor community Their children, despite having good education, do not get jobs while with lesser education other people get jobs. They do not have any confidence in Pourashava's announcement of this project as it has been an age-old demand of the community but Pourashava paid no heed to it.
6.	Willingness to support the project	They welcome the project implementation policy & would be happy to get employment during subproject implementation, if possible. Moreover, they are interested in to participate different project activities during implementation.

Public Consultation List of Participants


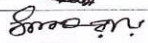
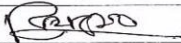
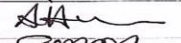
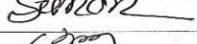
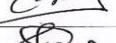
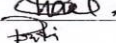
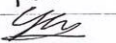

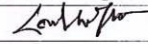


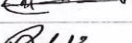
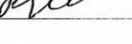

Third Urban Governance & Infrastructure Improvement (Sector) Project (UGIIP-III)

Local Government Engineering Department

Public Consultation & list of participants

Name of the Pourashava: HABIGANT Venue: Kali Bari Ward: 05

Date: 22.09.15 Time: 11:00 am

Sl	Name of the Participant	Occupation	Signature
01	মাসুম মদ কক বজা	ব্যবসায়ী	
02	মাসুম কুন্ডার মাস	সামান্য কর্মী	
03	বিষ্ণু দেব	ব্যবসায়ী	
04	মাসুম মদ কক বজা	ব্যবসায়ী	
05	মাসুম দেব	ব্যবসায়ী	
06	মাসুম দেব	ব্যবসায়ী	
07	মাসুম কুন্ডার মাস	ব্যবসায়ী	
08	মাসুম কুন্ডার মাস	ব্যবসায়ী	
09	মাসুম কুন্ডার মাস	ব্যবসায়ী	
10	মাসুম কুন্ডার মাস	ব্যবসায়ী	
11	মাসুম কুন্ডার মাস	ব্যবসায়ী	
12	মাসুম কুন্ডার মাস	ব্যবসায়ী	
13	মাসুম কুন্ডার মাস	ব্যবসায়ী	
14	মাসুম কুন্ডার মাস	ব্যবসায়ী	
15	মাসুম কুন্ডার মাস	ব্যবসায়ী	
16			
17			
18			
19			
20			

Consultation Meeting-03

Subproject: PDP 1014, Mohanpur Bypass Backroad of RCC

Venue: Nazir Market Area, Ward No-08,

Date: 22/09/2015, **Time:** 10am

No of Participants: 20

75. The public consultation meeting was presided over by the Assistant Engineer and Social Safeguard Officer of the Pourashava Syed Nakibur Rahman. The Secretary and other officials of Pourashava were present on the consultation meeting. In the meeting, road users, beneficiaries of the drains, local elites, local government representatives, and businessmen were present.

76. Participants came from urban communities of the concerned sub project. Road user, drainage beneficiaries, members of ward committees, public representative and representatives of local government agencies representatives attended in the meeting. The issues raised during public consultations have been addressed in the DDR, but the issues would not pose any significant constraint in the implementation of proposed sub- project.

77. The agenda of the meeting is the, planning, improvement, reconstruction, rehabilitation of ADB funded roads, and drains proposed under UGIIP-III.

78. The safeguard officer of the Pourashava briefed the participants regarding the goals and objectives of the projects. And also briefed safeguard issues relating public disturbance during construction period and also probable mitigation measures.

79. The participants raised the following issues for mitigation. During open discussion session, participants raised following questions, queries and suggestions:

- Avoid land acquisition and livelihood loss; if loss is unavoidable pay proper compensation.
- Routine communication with community and routine consultation with the community.
- Ensuring proper safety measures during construction period

80. The meeting concluded with thanks from the chair for participating beneficiaries and users in the meeting.



নগর পরিচালন ও অবকাঠামো উন্নতিকরন (সেক্টর) প্রকল্প-এর রোড এন্ড সেক্টর সাব-প্রজেক্ট প্যাকেজের পিডিপি সিরিয়াল নম্বর ১০১৪। হবিগঞ্জ পৌরসভার ৮ নং ওয়ার্ডের মোহনপুর বাইপাস ও ব্যাক রোডের আরসিসি সংযোগ রাস্তা।

Photo of the Consultation Meeting

Key Issues of Discussion

SL	Key issues	Major Findings
1.	Widening of road and reduce traffic congestion	The road is very narrow causing traffic congestion and further damage to the road already in bad shape. In the wet season, it gets worse and movements of vehicles come to a stop at some time when it rains heavily. Emergency movement like taking patients to hospitals by ambulance cannot be possible. Road should be wide enough which can ensure emergency needs like earthquake, flood, fire accidents, sufficient air and sunlight etc
2.	Better road communication will bring better scopes	Design should be optimum. Modern and better planning of the pourshava is the pre condition of development. It can save time and ensure improved living standard and present suffering in traffic movement. Business, education and health will be highly benefited.
3.	Service use from Pourashava	The participants criticize the Pourashava for lacking proper urban planning and continuing the development plan for maintaining narrow road and urging the Pourashava officials for making the city as a substitute regional town. Create health and education and job facilities for the poor, unemployed and young.
4.	Access to supply Water and health support	They demanded access of drinking water health facilities for of the inhabitants of Pourashava.
5.	Equitable development of poor	Development should be in such way both poor and rich get equitable benefit.
6.	Willingness to support the project	Even with lot of suspicion and mistrusts, the community still pledged their all-out support and cooperation with the project. They welcome the project implementation policy & would be happy to get employment during subproject implementation, if possible. Moreover, they are interested in to participate different project activities during implementation.

Public Consultation List of Participants

Third Urban Governance & Infrastructure Improvement (Sector) Project (UGIIP-III)

Local Government Engineering Department

Public Consultation & list of participants

Name of the Pourashava: HABIGANJ Venue: No. 18 Ward: 08
Market Area

Date: 22.02.15 Time: 10:00 AM

Sl	Name of the Participant	Occupation	Signature
01	শ্রী. মাহুদুল হক	কৃষক	[Signature]
02	শ্রী. মাহুদুল হক	কৃষক	[Signature]
03	শ্রী. মাহুদুল হক	কৃষক	[Signature]
04	শ্রী. মাহুদুল হক	কৃষক	[Signature]
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20	শ্রী. মাহুদুল হক	কৃষক	[Signature]

IX. APPENDIX 2: INVOLUNTARY RESETTLEMENT IMPACT ASSESSMENT CHECKLIST

Note: This is an expanded checklist based on ADB IR Impact Assessment Checklist. The modifications are indented to facilitate quick IR assessment by PIUs of a proposed subproject. The checklist may be modified as deemed necessary during project implementation

A. Introduction

Each subproject/component needs to be screened for any involuntary resettlement impacts which will occur or have already occurred. This screening determines the necessary action to be taken by the project team/design consultants.

B. Information on proposed scheme/subproject:

- a. District/administrative name: Habiganj
- b. Location: Habiganj Pourashava
- c. Proposed scheme considered in this checklist: (check one)

<input checked="" type="checkbox"/> roads <input checked="" type="checkbox"/> drainages <input type="checkbox"/> water supply <input type="checkbox"/> solid waste management <input type="checkbox"/> sanitation (toilets, septage management, etc.) <input type="checkbox"/> street lighting	<input type="checkbox"/> slaughterhouse <input type="checkbox"/> market <input type="checkbox"/> community center/auditorium <input type="checkbox"/> bus and truck terminals <input type="checkbox"/> river <i>ghats</i> <input type="checkbox"/> Others (<i>please specify</i>) <hr style="width: 100%;"/>
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C. Screening Questions for Involuntary Resettlement Impact

Involuntary Resettlement Impacts	Yes	No	Not Known	Remarks
Will the project include any physical construction work?	x			
Does the proposed activity include upgrading or rehabilitation of existing physical facilities?				
A. Land (not applicable for public ROWs)				
1. Ownership of land known?	x			<i>(if yes, check appropriate)</i> <input type="checkbox"/> government <input type="checkbox"/> private <input type="checkbox"/> trust/community <input type="checkbox"/> traditional (IPs/tribal) <input type="checkbox"/> Others (specify) <hr style="width: 100%;"/>
2. Land purchase/acquisition (<i>answer required even for land donation and/or negotiated land purchase</i>)				
a. permanent (<i>owner/s required to transfer ownership/rights to Pourashava</i>)		Not applicable		<i>(if yes, provide purpose)</i>
b. temporary (<i>owner/s retain</i>)		Not		<i>(if yes, provide purpose)</i>

Involuntary Resettlement Impacts	Yes	No	Not Known	Remarks
<i>rights/ownership)</i>		applicable		
c. not required				(check appropriate) <input checked="" type="checkbox"/> land owned by Pourashava <input type="checkbox"/> land owned by other government agency <input type="checkbox"/> proposal will not require land (scheme will be along right of way or existing facility)
3. Current usage of the land known?				if yes, check as appropriate: <input type="checkbox"/> agricultural <input type="checkbox"/> residential <input type="checkbox"/> commercial/business <input type="checkbox"/> community use <input type="checkbox"/> vacant/not used <input type="checkbox"/> private access road <input checked="" type="checkbox"/> others (specify) _____ existing carrigeway and roadside Pourashava land
4. Are there any non-titled people who live or earn their livelihood at the site/land?		x		(if yes, provide description)
5. Are there any existing structures on land?		x		
(if yes, complete the following information)				
- Residential				(if yes, provide number)
- Business/shops/stalls		x		(if yes, provide number)
- Fences		x		(if yes, provide description – brick, bamboo, wired, etc.)
- Water wells		x		(if yes, provide number)
- Sanitation facility		x		(if yes, provide description)
- Others (specify)				(if yes, provide description)
6. Are there any trees on land?		x		(if yes, provide number)
7. Are there any crops on land?		x		(if yes, provide if perennial or seasonal)
8. Will people lose access to:				
- any facility		x		(if yes, provide description)
- services		x		(if yes, provide description)
- natural resources		x		(if yes, provide description)

Involuntary Resettlement Impacts	Yes	No	Not Known	Remarks
9. Will any social or economic activities be affected by land use-related changes?		x		
10. Are any of the affected persons (AP) from indigenous or ethnic minority groups?		x		<i>(if yes, provide description)</i>
B. Linear Works				
1. Within public RoW?	X			<i>(if no, provide description and ensure answer questions on land)</i>
2. Structures on RoW? <i>(applicable to full or partial parts, applicable to permanent/semi-permanent structures)</i>		X		<i>(if yes, provide description)</i>
- Residential		X		
- Commercial/business/stalls		X		
- Fence/boundary walls		X		
- Sanitation facility		X		
- Community facility		X		
- School/educational facility		X		
- Religious structure		X		
- Service provision (light poles, water wells, etc)		X		
- Others (specify)				
3. Any mobile vendors/hawkers using RoW?		X		
4. Will there be loss of agricultural plots?		X		
5. Will there be loss of trees?		X		
6. Will there be loss of crops?		X		
5. Will people lose access to:				
- any facility		X		<i>(if yes, provide description)</i>
- services		X		<i>(if yes, provide description)</i>
- natural resources		X		<i>(if yes, provide description)</i>
6. Are any of the affected persons (AP) from indigenous or ethnic minority groups?		X		<i>(if yes, provide description)</i>

D. Attachments

1. Subproject with land requirement: Not applicable
 - a. Photograph/s of site/s: not applicable
 - b. Photograph/s of existing structure/s (permanent/semi-permanent): not applicable
2. Subproject along ROWs:

- a. Photograph/s of each alignment (chainage-wise at least 200 meters): see RP
- b. Photograph/s of existing structure/s (permanent/semi-permanent): Not applicable
- c. Photograph/s of trees/crops: Not applicable

Prepared by: MDS Team Consultant Signature:	Verified by:
Name: HumayunKabir Position: Regional Resettlement Specialist Date: 20 September, 2015	Signature: Name: Md. Abdul Karim Position: Deputy Team Leader Date: 20 September, 2015

THIS PORTION IS FOR PMO AND MDSC SAFEGUARD TEAM USE ONLY

Date Checklist Received:	
Database/Record Number:	
Assigned category and further actions	<input checked="" type="checkbox"/> Category C <input type="checkbox"/> Category B (tentative) for verification of land purchase/acquisition for verification of land donation for verification of non-land donation for verification of voluntary resettlement <input type="checkbox"/> Category B

Assessed by:	Noted by:
Signature: Name: Humayun Kabir Position: Regional Resettlement Specialist Date: 20 September, 2015	Signature: Name: Md. Abdul Karim Position: Deputy Team Leader Date: 20 September, 2015

X. APPENDIX 3: INDIGENOUS PEOPLE/SMALL ETHNIC COMMUNITIES (SEC) IMPACTS SCREENING CHECKLISTS

A. Introduction

1. Each project/subproject/component needs to be screened for any indigenous people impacts which will occur or have already occurred. This screening determines the necessary action to be taken by the project team.

2. Information on project/subproject/component:

a. *District/administrative name: Habiganj*

b. *Location (km): For all subprojects (15.941 km) of Phase-1*

c. *Civil work dates (proposed): November 2015 to December 2016*

d. *Technical description: Subproject is improvement of 16 existing roads, construction of 16). This will involve schemes (i) rehabilitation/upgrade/construction of road 15 roads length-R-9.856 km, (II) drainage improvement of 13 Drains of Length - D-6.085km.*

B. Screening Questions for Indigenous People/SEC Impact

KEY CONCERNS (Please provide elaborations in the "Remarks" column)	YES	NO	NOT KNOWN	Remarks
A. Indigenous Peoples/SEC Identification				
1. Are there sociocultural groups present in or using the project area who may be considered "tribes" (hill tribes, scheduled tribes, IP/SEC), "minorities" (ethnic or national minorities), or "indigenous communities"?		No		
2. Are there national or local laws or policies as well as anthropological researches/studies that consider these groups present in or using the project area as belonging to "ethnic minorities," scheduled tribes, IP/SEC, national minorities, or cultural communities?		No		
3. Do such groups self-identify as being part of a distinct social and cultural group?		N.A		
4. Do such groups maintain collective attachments to distinct habitats or ancestral territories and/or to the natural resources in these habitats and territories?		N.A		
5. Do such groups maintain cultural, economic, social, and political institutions distinct from the dominant society and culture?		N.A		

KEY CONCERNS (Please provide elaborations in the "Remarks" column)	YES	NO	NOT KNOWN	Remarks
6. Do such groups speak a distinct language or dialect?		N.A		
7. Have such groups been historically, socially, and economically marginalized, disempowered, excluded, and/or discriminated against?		N.A		
8. Are such groups represented as "indigenous peoples," "ethnic minorities," "scheduled tribes," or "IP populations" in any formal decision-making bodies at the national or local levels?		N.A		
B. Identification of Potential Impacts				
9. Will the project directly or indirectly benefit or target indigenous peoples?		N.A		
10. Will the project directly or indirectly affect indigenous peoples' traditional sociocultural and belief practices (e.g. child-rearing, health, education, arts, and governance)?		N.A		
11. Will the project affect the livelihood systems of indigenous peoples (e.g., food production system, natural resource management, crafts and trade, employment status)?		N.A		
12. Will the project be in an area (land or territory) occupied, owned, or used by indigenous peoples, and/or claimed as ancestral domain?		N.A		
C. Identification of Special Requirements <i>Will the project activities include:</i>		N.A		
13. Commercial development of the cultural resources and knowledge of indigenous peoples?		N.A		
14. Physical displacement from traditional or customary lands?		N.A		
15. Commercial development of natural resources (such as minerals, hydrocarbons, forests, water, hunting or fishing grounds) within customary lands under use that would impact the livelihoods or the cultural, ceremonial, and spiritual uses that define the identity and community of indigenous peoples?		N.A		
16. Establishing legal recognition of rights to lands and territories that are traditionally owned or customarily used, occupied, or claimed by indigenous peoples?		N.A		

KEY CONCERNS (Please provide elaborations in the "Remarks" column)	YES	NO	NOT KNOWN	Remarks
17. Acquisition of lands that are traditionally owned or customarily used, occupied, or claimed by indigenous peoples?		N.A		

C. Indigenous People/SEC Impact

After reviewing the answers above, executing agency/safeguard team confirms that the proposed subsection/ section/subproject/component (tick as appropriate):

☐ has indigenous people (IP)/SEC impact, so an SECDP or specific SEC action plan is required.

☒ has No IP/SEC impact, so no SECDP/specific action plan is required.

Prepared by: Humayun Kabir Signature: Name: Humayun Kabir Position: Regional Resettlement Specialist	Verified by: Md. Abdul Karim Signature: Name: Md. Abdul Karim Position: Deputy Team Leader
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XI. APPENDIX 4: SAMPLE GRIEVANCE REGISTRATION FORM

(To Be available in Bangla and English)

The Project welcomes complaints, suggestions, queries and comments regarding project implementation. We encourage persons with grievance to provide their name and contact information to enable us to get in touch with you for clarification and feedback. Should you choose to include your personal details but want that information to remain confidential, please inform us by writing/typing ***(CONFIDENTIAL)*** above your name. Thank you.

Date	Place of registration				
Contact Information/Personal Details					
Name		Gender	* Male * Female	Age	
Home Address					
Place					
Phone no.					
E-mail					
Complaint/Suggestion/Comment/Question Please provide the details (who, what, where and how) of your grievance below: If included as attachment/note/letter, please tick here: How do you want us to reach you for feedback or update on your comment/grievance? 					
FOR OFFICIAL USE ONLY					
Registered by: (Name of Official registering grievance)					
Mode of communication: Note/Letter E-mail Verbal/Telephonic					
Reviewed by: (Names/Positions of Official(s) reviewing grievance)					
Action Taken:					
Whether Action Taken Disclosed:					
Yes () No ()					
Means of Disclosure:					

XII. APPENDIX 5: LAND OWNERSHIP CERTIFICATE OF HABIGANJ POURASHAVA



হবিগঞ্জ পৌরসভা কার্যালয় হবিগঞ্জ

Web: www.habiganjpsbd.org Email: mayorhabiganjpourashava@gmail.com

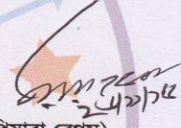
সূত্রঃ স্মারক নং-হঃপৌঃ/প্রকৌঃ/(UGIIP-III)/১৫/১৫৭৬ তারিখ : ২৬/১১/১৫

প্রাপক,

প্রকল্প পরিচালক
তৃতীয় নগর পরিচালন ও অবকাঠামো উন্নতিকরণ (সেক্টর) প্রকল্প (UGIIP-III)
স্থানীয় সরকার প্রকৌশল অধিদপ্তর, লেভেল-১২
আগারগাঁও, শেরে বাংলা নগর, ঢাকা-১২০৭।

বিষয়ঃ প্যাকেজ নং- UGIIP-III-1/HABI/UT+DR/01/2015 LOT-1 (UT+DR) & LOT-2 (UT+DR) এর
নির্মান স্থলের মালিকানা প্রসঙ্গে।

উপর্যুক্ত বিষয়ের প্রেক্ষিতে আপনার সদয় অবগতি ও প্রয়োজনীয় ব্যবস্থা গ্রহণের জন্য জানানো যাচ্ছে যে,
প্যাকেজ নং- UGIIP-III-1/HABI/UT+DR/01/2015 LOT-1 (UT+DR) & LOT-2 (UT+DR) এর গৃহীত উপ প্রকল্পের
প্রস্তাবিত ১৫টি রাস্তা ও ১৩ টি ড্রেন পৌরসভার নিজস্ব ভূমিতে বাস্তবায়ন করা হইবে। ইহা আপনার সদয় অবগতির জন্য
অবহিত করা হলো।



(পিয়ারা বেগম)
প্যানেল মেয়র-১ (ভারপ্রাপ্ত মেয়র)
হবিগঞ্জ পৌরসভা।

ফোন : অফিসঃ ০৮৩১-৬২৩১০, ৫৪৩৯৭, ৬৩৬৪৪, বাসা : ০৮৩১-৬২৯৯৯, ফ্যাক্স : ০৮৩১-৬২২২৩

D:\GEN-SECLetter Pad\Ministry Letter Pad 01 ENG.doc

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