

PROCUREMENT PLAN

Basic Data

Project Name: Third Small Towns Water Supply and Sanitation Sector Project	
Project Number: 35173-013	Approval Number: 3157/0405
Country: Nepal	Executing Agency: Ministry of Urban Development
Project Financing Amount: US\$ 109,000,000 ADB Financing: US\$ 60,000,000 Cofinancing (ADB Administered): US\$ 21,300,000 Non-ADB Financing: US\$ 27,700,000	Implementing Agency: Department of Water Supply and Sewerage Project Closing Date: 31 July 2021
Date of First Procurement Plan: 19 September 2014	Date of this Procurement Plan: 19 September 2014, Version 0

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 500,001 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 500,000	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Between US\$ 10,000 and US\$ 99,999	The first Shopping is subject to prior review, thereafter post review.
International Competitive Bidding for Works	US\$ 1,000,001 and Above	
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 1,000,000	The first NCB is subject to prior review, thereafter post review.
Shopping for Works	Between US\$ 10,000 and US\$ 99,999	
Community Participation in Procurement for Works	Up to US\$ 9,999	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	
Quality- and Cost-Based Selection for Consulting Firm	
Others for Consulting Firm	Direct Charge to the grant component supported by the Sanitation Financing Partnership Trust Fund under the Water Financing Partnership Facility.
Individual Consultants Selection for Individual Consultant	

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
ICB-01	Water Supply and Sanitation Improvement for Rukum, Salyan, Chandrauta (in 3 Lots)	5,850,000.00	ICB	Prior	1S2E	Q3 / 2014	Prequalification of Bidders: N Domestic Preference Applicable: Y Advanced Contracting: N

	<p>Lot 1: Rukum</p> <p>Lot 2: Salyan</p> <p>Lot 3: Chandrauta</p>	<p>1,950,000.00</p> <p>1,950,000.00</p> <p>1,950,000.00</p>					Bidding Document: Small Works
NCB-01	<p>Water Supply and Sanitation Improvement for Charaali, and Mahendranagar</p> <p>Lot 1: Charaali</p> <p>Lot 2: Mahendranagar</p>	<p>4,978,000.00</p> <p>2,489,000.00</p> <p>2,489,000.00</p>	ICB	Prior	1S2E	Q3 / 2014	<p>Prequalification of Bidders: N</p> <p>Domestic Preference Applicable: Y</p> <p>Advanced Contracting: N</p> <p>Bidding Document: Small Works</p>
NCB-03	<p>Water Supply and Sanitation Improvement for Dhumre and Nirmalpokhari</p> <p>Lot 1: Dhumre</p> <p>Lot 2: Nirmalpokhari</p>	<p>5,078,000.00</p> <p>2,539,000.00</p> <p>2,539,000.00</p>	ICB	Prior	1S2E	Q3 / 2014	<p>Prequalification of Bidders: N</p> <p>Domestic Preference Applicable: Y</p> <p>Advanced Contracting: N</p> <p>Bidding Document: Small Works</p>
W10	Construction of DWSS Head Office Building	2,753,000.00	ICB	Prior	1S2E	Q1 / 2015	<p>Prequalification of Bidders: N</p> <p>Domestic Preference Applicable: Y</p> <p>Advanced Contracting: N</p> <p>Bidding Document: Small Works</p>
W11	Water Supply and Sanitation Improvement for 3 project towns	10,025,000.00	ICB	Prior	1S2E	Q4 / 2015	Prequalification of Bidders: N

	<p>Lot 1: TBA</p> <p>Lot 2: TBA</p> <p>Lot 3: TBA</p>	<p>3,341,667.00</p> <p>3,341,667.00</p> <p>3,341,666.00</p>					<p>Domestic Preference Applicable: Y</p> <p>Advanced Contracting: N</p> <p>Bidding Document: Small Works</p>
W12	<p>Water Supply and Sanitation Improvement for 3 project towns</p> <p>Lot 1: TBA</p> <p>Lot 2: TBA</p> <p>Lot 3: TBA</p>	<p>10,157,000.00</p> <p>3,385,667.00</p> <p>3,385,667.00</p> <p>3,385,666.00</p>	ICB	Prior	1S2E	Q4 / 2015	<p>Prequalification of Bidders: N</p> <p>Domestic Preference Applicable: Y</p> <p>Advanced Contracting: N</p> <p>Bidding Document: Small Works</p>
W3	<p>Water Supply, Drainage, and Sanitation Improvement for Tikapur</p>	6,475,000.00	ICB	Prior	1S2E	Q1 / 2015	<p>Prequalification of Bidders: N</p> <p>Domestic Preference Applicable: Y</p> <p>Advanced Contracting: N</p> <p>Bidding Document: Small Works</p>
W4	<p>Water Supply and Sanitation Improvement for Mahendra Nagar, Ilam and Shiraha</p>	9,194,000.00	ICB	Prior	1S2E	Q3 / 2014	<p>Prequalification of Bidders: N</p> <p>Domestic Preference Applicable: Y</p> <p>Advanced Contracting: N</p> <p>Bidding Document: Small Works</p>

	Lot 1: Mahendra Nagar	3,064,667.00					
	Lot 2: Ilam	3,064,667.00					
	Lot 3: Shiraha	3,064,666.00					
W6	Water Supply and Sanitation Improvement for 3 towns including Bidur	10,533,000.00	ICB	Prior	1S2E	Q4 / 2014	Prequalification of Bidders: N Domestic Preference Applicable: Y Advanced Contracting: N Bidding Document: Small Works
	Lot 1: Bidur	3,511,000.00					
	Lot 2: TBD	3,511,000.00					
	Lot 3: TBD	3,511,000.00					
W7	Water Supply and Sanitation Improvement for 4 additional towns	9,234,000.00	ICB	Prior	1S2E	Q3 / 2015	Prequalification of Bidders: N Domestic Preference Applicable: Y Advanced Contracting: N Bidding Document: Small Works
	Lot 1: TBD	2,308,500.00					
	Lot 2: TBD	2,308,500.00					
	Lot 3: TBD	2,308,500.00					
	Lot 4: TBD	2,308,500.00					
W8	Water Supply and Sanitation Improvement for 2 project towns	10,157,000.00	ICB	Prior	1S1E	Q4 / 2015	Prequalification of Bidders: N Domestic Preference Applicable: Y Advanced Contracting: N Bidding Document: Small Works
	Lot 1: TBD	5,078,500.00					

	Lot 2: TBD	5,078,500.00					
W9	Rehabilitation and expansion of existing water supply schemes in small towns	7,926,000.00	ICB	Prior	1S1E	Q4 / 2015	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Small Works
	Lot 1: TBA	3,963,000.00					
	Lot 2: TBA	3,963,000.00					

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS-01	Western Region Design, Supervision and Management Consultants	3,190,000.00	QCBS	Prior	Q2 / 2014	FTP	Assignment: National Quality-Cost Ratio: 90:10 Advanced Contracting: N Comments: per procurement plan
CS-02	Eastern Region Design, Supervision and Management Consultants	3,190,000.00	QCBS	Prior	Q2 / 2014	FTP	Assignment: National Quality-Cost Ratio: 90:10 Advanced Contracting: N Comments: per procurement plan
CS-03	Design Review and Technical Audit Consultants	836,000.00	QCBS	Prior	Q2 / 2014	FTP	Assignment: National Quality-Cost Ratio: 90:10 Advanced Contracting: N

							Comments: per procurement plan
CS-04	Architectural firm for DWSS office Building	100,000.00	QCBS	Prior	Q4 / 2014	BTP	Assignment: National Quality-Cost Ratio: 90:10 Advanced Contracting: N Comments: per procurement plan
CS-05	Institutional Support and Service Advisory Consultant for DWSS	540,000.00	QCBS	Prior	Q3 / 2014	BTP	Assignment: National Quality-Cost Ratio: 90:10 Advanced Contracting: N Comments: per procurement plan

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
GW01	WUA Office Setup (Furniture and Equipment)	52,000.00	2	SHOPPING	Post		Q3 / 2014	Advanced Contracting: N
GW02	WUA computers and equipment	79,000.00	3	SHOPPING	Post		Q3 / 2015	Advanced Contracting: N
GW03	Septage and Sanitation Management (Works)	49,995.00	5	CPP	Post		Q2 / 2015	Advanced Contracting: N

GW04	Septage and Sanitation Management (Works)	499,995.00	5	NCB	Post	1S2E	Q2 / 2015	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Small Works
GW05	Septage and Sanitation Management (Works)	407,002.50	1	NCB	Post	1S2E	Q2 / 2015	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Small Works
GW06	Septage and Sanitation Management (Works)	407,002.50	1	NCB	Post	1S2E	Q2 / 2015	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Small Works
GW07	Test Drilling Work	180,000.00	1	NCB	Post	1S2E	Q3 / 2014	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Small Works

GW08	Test Drilling Work	180,000.00	1	NCB	Post	1S2E	Q3 / 2014	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Small Works
GW09	Procurement of Vehicles for PMO, RPMO, ISSAU and Field Offices	186,660.00	1	NCB	Post	1S2E	Q3 / 2014	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Goods
GW10	Procurement of Vehicles for PMO, RPMO, ISSAU and Field Offices	186,660.00	1	NCB	Post	1S2E	Q3 / 2014	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Goods
GW11	Procurement of Vehicles for PMO, RPMO, ISSAUW and Field Offices	186,666.00	1	NCB	Post	1S2E	Q3 / 2014	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Goods

GW12	Office Equipment (Computer, printer and photocopiers for the PMO and RPMOs)	62,000.00	2	SHOPPING	Post		Q3 / 2015	Advanced Contracting: N
GW13	Office Equipment (Computer, printer and photocopiers for the PMO and RPMOs)	62,000.00	2	SHOPPING	Post		Q3 / 2015	Advanced Contracting: N

Consulting Services

Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
34	Institutional Development Support Consultants for TDF	71,857.14	1	ICS	Post	Q4 / 2014		Assignment: International Expertise: Financial Intermediation Specialist Advanced Contracting: N
35	Institutional Development Support Consultants for TDF	71,857.14	1	ICS	Post	Q4 / 2014		Assignment: National Expertise: Business Development Specialist Advanced Contracting: N
36	Institutional Development Support Consultants for TDF	71,857.14	1	ICS	Post	Q4 / 2014		Assignment: National Expertise: Finance Manager Advanced Contracting: N
37	Institutional Development Support Consultants for TDF	71,857.14	1	ICS	Post	Q4 / 2014		Assignment: National Expertise: Municipal Water and Sanitation Engineer

								Advanced Contracting: N
38	Institutional Development Support Consultants for TDF	71,857.14	1	ICS	Post	Q4 / 2014		Assignment: National Expertise: Fund Management Specialist Advanced Contracting: N
39	Institutional Development Support Consultants for TDF	71,857.14	1	ICS	Post	Q4 / 2014		Assignment: National Expertise: Environment and Social Safeguards Specialist Advanced Contracting: N
40	Institutional Development Support Consultants for TDF	71,857.14	1	ICS	Post	Q4 / 2014		Assignment: National Expertise: PME Specialist Advanced Contracting: N

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
GW15	Construction of septage plants in 4 pilot towns	450,000.00	1	NCB	Post	1S2E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. National Competitive Bidding

National competitive bidding (NCB) shall be in accordance with that described as "by inviting bids and a national level" as set forth in "The Public Procurement Act, 2007" (PPA) and "The Public Procurement Regulations, 2007" (PPR) and subject to the following:

1. The first NCB document for both goods and works procurement shall be reviewed and approved by Asian Development Bank (ADB) prior to issue. These will be used for all NCB procurement under the project. The document shall include qualification and evaluation criteria and ADB's right to audit and inspect in accordance with para 1.14 of ADB's Procurement Guidelines (March 2013, as amended from time to time). No other criteria other than that described in the bidding document may be used to determine the lowest evaluated responsive bidder and no form of domestic preference may be employed. A positive assessment of a bidder's qualifications to perform a contract will be a pre-requisite to an award.
2. All qualified ADB member bidders and ADB member produced goods, services and works shall be eligible. Registration and licensing shall be permitted only as a condition of contract award and not participation in bidding. No award may be withdrawn for failure to obtain tax registration; license or fulfill any similar requirement without ADB's prior concurrence. No bidder will be held ineligible based on provision 63 of the PPA without ADB prior concurrence.
3. Invitations to bid shall be advertised in at least one widely circulated national daily newspaper or freely accessible, nationally-known website allowing a minimum of twenty-eight (28) days for the preparation and submission of bids. Invitations for bids for contracts estimated at \$500,000 or more for goods and \$1,000,000 or more for civil works shall be advertised on ADB's website. No restriction will be placed on the sale of bidding documents.
4. The approved Standing List of a Procuring Entity prepared in accordance with the law shall only be accepted to supplement an advertised open pre-qualification exercise for the specific contract provided that those on the standing list meet the qualification criteria.
5. Bids shall be opened at a single location immediately after the deadline for submission. Multiple locations for submission and opening are not acceptable.
6. Government-owned enterprises in Nepal shall be eligible to participate only if they meet the conditions of para 1.8 (c) of ADB's Procurement Guidelines (March 2013, as amended from time to time).
7. Extension of bid validity of more than 4 weeks beyond the original validity shall not be allowed without the prior concurrence of ADB.
8. Cancellation of bidding and re-bidding shall not be carried out without the prior concurrence of ADB.
9. Percentage variations from rates fixed by a district rate fixation committee shall not be used for the purpose of evaluating bids.

In the event of a conflict between these provisions and the law, these provisions shall prevail.