

# INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

**Report No.:** ISDSC6008

**Date ISDS Prepared/Updated:** 19-Feb-2014

**Date ISDS Approved/Disclosed:** 04-Jun-2014

## I. BASIC INFORMATION

### A. Basic Project Data

<b>Country:</b>	Mexico	<b>Project ID:</b>	P147212
<b>Project Name:</b>	MX Social Protection System (P147212)		
<b>Task Team Leader:</b>	Francesca Lamanna		
<b>Estimated Appraisal Date:</b>	17-Jul-2014	<b>Estimated Board Date:</b>	18-Nov-2014
<b>Managing Unit:</b>	LCSHS-DPT	<b>Lending Instrument:</b>	Investment Project Financing
<b>Sector(s):</b>	Other social services (100%)		
<b>Theme(s):</b>	Nutrition and food security (20%), Other human development (20%), Social safety nets (60%)		
<b>Financing (In USD Million)</b>			
<b>Total Project Cost:</b>	6300.00	<b>Total Bank Financing:</b>	300.00
<b>Financing Gap:</b>	0.00		
<b>Financing Source</b>			<b>Amount</b>
Borrower			6000.00
International Bank for Reconstruction and Development			300.00
Total			6300.00
<b>Environmental Category:</b>	C - Not Required		
<b>Is this a Repeater project?</b>	No		

### B. Project Objectives

The proposed Project would support the Government of Mexico's effort to strengthen its social protection system to support the poor by improving the effectiveness and articulation of the flagship social assistance program Oportunidades with other social and selected productive programs. Within this broader goal the Project would have the following development objectives: (i) increase access to health, nutrition and education of Oportunidades beneficiaries by promoting compliance with co-responsibilities; (ii) increase access of Oportunidades beneficiaries to selected social and productive

programs to improve employability and productive opportunities for beneficiaries; and (iii) promote greater harmonization of social protection programs through the establishment of a national unified beneficiary registry and a social information system.

### **C. Project Description**

The proposed Project would have three components.

Component 1, Support to the Financing of the Cash Grants in Oportunidades, will finance the bi-monthly cash payments to Oportunidades beneficiaries for selected cash grants to be defined during Project preparation (i.e. amongst those for early childhood development, nutrition, education transition and completion, and enrollment in technical secondary schools). As was the case under the previous operation in support of Oportunidades, in order to simplify the administration of external financing from both the Inter-American Development Bank (IADB) and the World Bank, a geographical division might be adopted. Therefore, under the Project, the Program would submit for disbursement payments made to beneficiary families and individuals who comply with conditions and live in selected states. The criteria for this selection will be decided during preparation.

Component 2, Improving Effectiveness of Oportunidades, aims at supporting the improvement of program effectiveness and its articulation with the supply of other social and productive services. The component would finance activities in three core areas:

- (i) Further strengthening and improving the operationalization of the Program,
- (ii) Strengthening the coordination and articulation with other programs,
- (iii) Supporting the monitoring and evaluation of the Program

Sub-component 2.1. Operational Improvements. The first sub-component will support further improvements in the operations of Oportunidades, including in areas of human resources capacity at all levels and the implementation of the Indigenous Peoples Plan (IPP). More specifically, this component will finance consulting services to assess operations and provide recommendations for revision and adaptation of Oportunidades staff curriculum and training for personnel. At the local level, TA will support activities to strengthen Oportunidades staff capacity to provide additional support and guidance to beneficiaries regarding access to different programs and follow up to families once referrals have been made, as part of the strengthening of Oportunidades' articulation with other programs, as described in sub-component 2.2. All of these activities aim to ensure higher quality and more effective support for Oportunidades families. The sub-component will also finance activities related to ensuring better inclusion of indigenous people in the program by financing consultancy services required to support the implementation of the Indigenous Peoples Plan.

Sub-component 2.2. Strengthening of coordination and program articulation. The second sub-component will support activities that will improve access to key complementary programs for Oportunidades beneficiaries, including health, education, employment, and productive services. More specifically, under this sub-component technical assistance would be provided to: (i) support the establishment of a framework for collaboration between Oportunidades and selected complementary social and productive programs that will be defined during preparation, (ii) improve the pertinence of these other programs for the Oportunidades beneficiaries by making programs more relevant (adjusting program rules as appropriate and improving information available to beneficiaries to access these programs), and (iii) support the diagnosis of the requirements to facilitate better coordination with other social programs (for example the development of clearly defined standards for sharing data, need for changes in the operating rules of various programs, etc.), including the piloting of these coordination/articulation mechanisms.

Sub-component 2.3. Monitoring and Evaluation. This sub-component will finance the strengthening of the monitoring and evaluation of the Program, including impact and process evaluations as identified in the evaluation agenda of Oportunidades. In particular, it will also support the evaluation of pilots identified under sub-component 2.2.

Component 3. Towards an Integrated Social Protection System. This component will strengthen the capacity of the Ministry of Social Development (SEDESOL) and finance measures that will promote the harmonization of social programs it manages, of which the largest is Oportunidades. More specifically this component will finance the development of a beneficiary registry and a social information system which will capture data on beneficiaries (and potential beneficiaries) and programs received, including Oportunidades, as the cornerstone of an integrated social protection system that will facilitate the harmonization of social protection programs. This component will also finance studies on the systematization of best practices and experiences in implementing programs, and ensuring effective integrated support for the poor and most vulnerable population.

#### **D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

Nationwide.

#### **E. Borrowers Institutional Capacity for Safeguard Policies**

Oportunidades has over 15 years of experience operating the conditional cash transfer program, with good operational and impact results. The management information and monitoring systems are strong and continue to be strengthened. The program has sufficient institutional capacity for the indigenous safeguards policy. During the implementation of the World Bank financed Project Support to Oportunidades the Indigenous Peoples Plan (IPP) was successfully implemented and a number of key actions were undertaken to benefit inclusion and better quality support for indigenous people in the Program. Oportunidades has identified efforts to improve the functioning of the program in indigenous communities as a priority area to be supported under the proposed Project. An institutional assessment of this capacity would be carried out during preparation to corroborate actual capacity and propose an institutional strengthening program as necessary.

#### **F. Environmental and Social Safeguards Specialists on the Team**

Martin Henry Lenihan (LCSSO)

Peter F. B. A. Lafere (LCSSO)

## **II. SAFEGUARD POLICIES THAT MIGHT APPLY**

<b>Safeguard Policies</b>	<b>Triggered?</b>	<b>Explanation (Optional)</b>
Environmental Assessment OP/BP 4.01	No	This policy is not triggered as project activities are not expected to cause any environmental impacts.
Natural Habitats OP/BP 4.04	No	This policy is not triggered as project activities are not expected to cause any impact on natural habits.
Forests OP/BP 4.36	No	This policy is not triggered given that project activities will not impact the welfare of forests nor impact forest dependent communities.

Pest Management OP 4.09	No	This policy is not triggered since the project will not finance the purchase or significant use of pesticides.
Physical Cultural Resources OP/ BP 4.11	No	This policy is not triggered given that project activities are not expected to impact physical cultural resources.
Indigenous Peoples OP/BP 4.10	Yes	<p>This policy is triggered given that Indigenous Peoples are present in the project's area of influence and could benefit from targeted activities in the project. It is expected that the proposed Project will support the needed efforts to strengthen program performance in states where indigenous people are present. A number of key activities will be identified during preparation and implemented throughout the Project.</p> <p>As part of the Support to Oportunidades Project (2008) and its additional financing (2010) an Indigenous People's Plan (IPP) to support implementation under the Project was prepared, and IPP implementation was supervised throughout the Project. Building on this experience, the World Bank team will assess the strengths and weaknesses of Oportunidades performance in states with indigenous population, and will support the Government in updating the Indigenous Peoples Plan (IPP) to guide implementation under the proposed new operation. During preparation, the World Bank team will agree with the Government on a work program for the development of the IPP. The consultation process for this plan, will involve free, prior and informed consultations with the Consultative Council of the National Commission for the Development of Indigenous People, which is constituted by representatives of different indigenous groups throughout Mexico. In addition to updating the IPP to reflect any lessons learned from its implementation thus far, the plan will also analyze the rules to access and benefit from other programs defined under Sub-Component 2.2 of project, any barriers to access, as well as recommendations to overcome these barriers. The plan will include measures to ensure these programs are culturally appropriate and</p>

		indigenous peoples can reap the benefits from these programs.
Involuntary Resettlement OP/BP 4.12	No	This policy is not triggered as the project is not expected to cause any impacts covered under the involuntary resettlement policy.
Safety of Dams OP/BP 4.37	No	This policy is not triggered given that the Project will not finance the construction of dams nor will rely on the operations of existing dams.
Projects on International Waterways OP/BP 7.50	No	This policy is not triggered since the project does not affect international waterways as defined by the policy.
Projects in Disputed Areas OP/BP 7.60	No	This policy is not triggered as the Project will not finance activities in disputed areas as defined by the policy.

### III. SAFEGUARD PREPARATION PLAN

**A. Tentative target date for preparing the PAD Stage ISDS:** 14-Mar-2014

**B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing<sup>1</sup> should be specified in the PAD-stage ISDS:**

It is anticipated that the update of the indigenous peoples plan, and consultations with the consultative council for indigenous people, will be completed by the end of April 2014.

### IV. APPROVALS

Task Team Leader:	Name: Francesca Lamanna	
<b>Approved By:</b>		
Regional Safeguards Coordinator:	Name: Glenn S. Morgan (RSA)	Date: 19-Feb-2014
Sector Manager:	Name: Mansoor Rashid (SM)	Date: 04-Jun-2014

<sup>1</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.